The background of the cover features a large, light-colored seal of the New York State Unified Court System. The seal is circular and contains several elements: a central shield with a sunburst at the top, a ship on the left, and a scale of justice on the right. Above the shield is a winged figure holding a sword. Below the shield is a banner with the word "EXCELSIOR".

NEW YORK STATE UNIFIED COURT SYSTEM

BUDGET

FISCAL YEAR 2014-2015

Jonathan Lippman
CHIEF JUDGE

A. Gail Prudenti
CHIEF ADMINISTRATIVE JUDGE

Lawrence K. Marks
FIRST DEPUTY CHIEF
ADMINISTRATIVE JUDGE

Ronald Younkins
EXECUTIVE DIRECTOR
OFFICE OF COURT ADMINISTRATION

Eugene W. Myers
CHIEF OF OPERATIONS
OFFICE OF COURT ADMINISTRATION

Maureen McAlary
BUDGET DIRECTOR



*New York State
Unified Court System
25 Beaver Street
New York, New York 10004*

*A. Gail Prudenti
Chief Administrative Judge*

212-428-2120

November 29, 2013

MEMORANDUM

To: Honorable Andrew M. Cuomo Honorable Sheldon Silver
 Honorable Dean G. Skelos Honorable Jeffrey D. Klein
 Honorable Andrea Stewart-Cousins Honorable Brian M. Kolb
 Honorable John DeFrancisco Honorable Herman D. Farrell, Jr.
 Honorable Liz Krueger Honorable Robert C. Oaks
 Honorable John J. Bonacic Honorable Helene E. Weinstein

From: A. Gail Prudenti

I respectfully submit the itemized estimates of the annual financial needs of the Judiciary for the Fiscal Year beginning April 1, 2014. Article VII, Section 1 of the Constitution requires the submission of these estimates to the Governor and legislative leaders on or before December 1, 2013.

The 2014- 15 General Fund State Operations budget request totals \$1.81 billion, a cash increase of \$44.2 million, or 2.5 percent, over available current year funds. We believe that, after five years of essentially flat budgets and sharply escalating costs, this increase is required if the Judiciary is to have the resources needed to fulfill its constitutional duty to the people of New York. In addition to providing funding for increased costs that we face in the coming fiscal year, the proposed budget would allow the courts to maintain their current staffing levels, and fill a limited number of positions that are critical to operations. Funds would also be used to ensure that courtrooms can remain open to the public until 5 pm each day. Additional funds are also requested for civil legal services.

Finally, the Judiciary budget addresses the critical need for additional Family Court judges by requesting a \$5 million supplemental appropriation for 20 new Family Court Judgeships to be established effective January 1, 2015.

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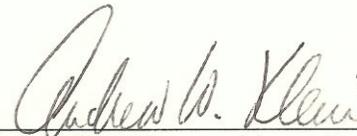
CHIEF JUDGE'S CERTIFICATION

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, I certify that the attached schedules are the itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2014 and that they have been approved by the Court of Appeals.




Jonathan Lippman
Chief Judge of the Court of Appeals

ATTEST: 
Clerk of the Court of Appeals

Date: November 26, 2013

COURT OF APPEALS APPROVAL

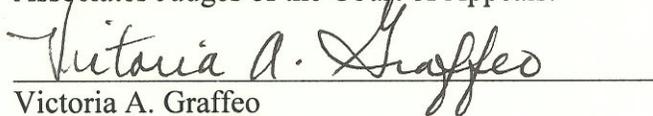
ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, the Court of Appeals approves the attached itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2014.



Jonathan Lippman
Chief Judge of the Court of Appeals

Associates Judges of the Court of Appeals:



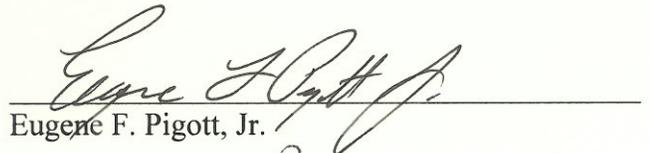
Victoria A. Graffeo



Susan Phillips Read



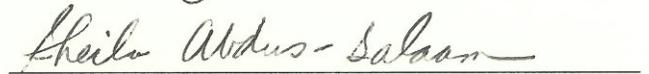
Robert S. Smith



Eugene F. Pigott, Jr.

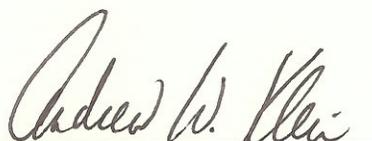


Jenny Rivera



Sheila Abdus-Salaam



ATTEST: 
Clerk of the Court of Appeals

Date: November 26, 2013

2014-15 JUDICIARY BUDGET

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Part II Aid to Localities

Part III Capital Projects

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2014-15

Executive Summary

**JUDICIARY
2014-15 BUDGET REQUEST
EXECUTIVE SUMMARY**

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JUDICIARY
2014-2015 BUDGET REQUEST
EXECUTIVE SUMMARY

For five years the Judiciary's operating budget has essentially been flat. Indeed, in the current (2013-2014) fiscal year, the Judiciary has \$22 million less General Fund cash to support court operations than it did in Fiscal Year 2009-2010.¹ In the face of this declining funding, the Judiciary has absorbed more than \$300 million in increased costs over the past five years.

The Judiciary has managed to absorb these increased expenses despite declining resources by rethinking every aspect of its operations. We streamlined administration, and reorganized, and consolidated offices and programs that provide services to the courts and the public. We also eliminated or reduced programs that are not critical to the courts' core mission, modified court operations, cut all but essential expenditures, and significantly reduced our workforce. Our goal has not just been to reduce costs, but to rethink the way that we do business and to find the best, and most efficient, ways to serve the justice needs of New Yorkers:

- The expansion of electronic filing continues as a high priority. E-filing offers significant savings and convenience not only to the courts, but also to the County Clerks, attorneys and litigants.
- We also continue to seek better ways to use automation to improve efficiency and reduce costs through initiatives such as the inter-agency electronic transmission of data. For example, we have enhanced the automation and quality of the data collected by the court system and electronically transmitted to DCJS and the FBI for the national firearms registry and implementation of the New York State SAFE Act.
- The deployment of Internet Protocol telephones, which operate over the court system's own data network, has sharply reduced our communication expenses by virtually eliminating monthly telephone charges.
- We have sharply cut expenditures for print legal materials in favor of on-line materials that are available under flat-rate agreements with legal publishers.
- Web-based training for Judges and court personnel has made our training program more accessible, while sharply reducing its cost.
- Regional centers for the scanning and printing of millions of juror qualification questionnaires and summonses save equipment costs and reduce the burden on local commissioners of juror offices.
- A new, simple automated program is now assisting advocates prepare Family Offense petitions on behalf of domestic violence victims. This same technology will soon be used to help litigants prepare thousands of Family Court petitions, enhancing our service to self-represented litigants while significantly reducing the burdens on Family Court staff.

¹In Fiscal Year 2009-2010, the cash available in the General Fund State Operations portion of the Judiciary budget, the section of the budget that supports core court operations, was \$1,786.3 million. Five years later, in the current fiscal year, the corresponding amount is \$1,764.6 million, a reduction of \$21.7 million.

- When completed later this year, automation of the transfer of probation cases between counties will save the courts the time and expense of printing, mailing, and storing multiple copies of case files for over 10,000 probation transfer cases each year.
- An automated real-time system for tracking the efficiency of the arraignment process in New York City helps ensure compliance with legal time requirements.
- We established an official electronic records repository that enables courts to more easily access court records and permit courts to destroy paper records, thus reducing the expense of storing paper files or creating microfiche records for records retention purposes.
- The close monitoring of juror utilization helps both to reduce expenditures for per diem juror fees, as well as to ensure that jurors are not called for service when it is unlikely that they will be needed.
- We launched a new web site that permits the public to easily order a criminal history search, providing greater service to the public while reducing the administrative costs of running this program, which annually collects more than \$92 million for the State Treasury.
- We improved the attorney for the child voucher system to enhance controls and ensure the accuracy of billing and payments for this \$50 million a year program.
- We continue to update and improve our various automated case management systems, with the goal of enhancing efficiency and the accuracy of information, reducing the need for data entry by court staff, and eliminating the use of paper. For example, the Surrogate Court case management system has now been fully implemented statewide, as has an updated and enhanced Family Court system, and we are beginning implementation of the new Criminal Court case management system.

A reduction in the Judiciary's workforce has also been a central factor in controlling costs. Over the past five years, as a result of participation in the Early Retirement Incentive Program, targeted layoffs, a hiring freeze, and other measures, the non-judicial workforce of the court system has been reduced by more than 1,900 employees. Our current staffing is the lowest in more than a decade, despite a significant increase in workload over that time period.

This past year has also seen a significant reorganization at the Office of Court Administration. A key component of this reorganization has been an effort to take full advantage of the State Comptroller's new financial management system, toward the goals of streamlining and expediting processes, eliminating paper, and reducing the numbers of employees involved in each transaction. As a result of this reorganization, we have been able to reassign a number of OCA employees to the trial courts.

This ongoing, top-to-bottom review and rethinking of court operations has accomplished much – we have reduced costs, increased efficiencies, improved processes, and created more effective ways of serving the public. But the austere budgets of the past few years have also exacted a toll. That the price has not been higher is a testament to our Judges and non-judicial employees who, in the face of an overwhelming workload and reductions in personnel and resources, have redoubled their efforts and are working harder than ever. However, there is a point beyond which

the Judiciary cannot be pushed if it is still to play its role in our constitutional system. We have reached that point. The substantial reduction in our workforce has depleted back-office staff, with resulting delays in processing court documents, frustrating the timely disposition of cases. In many courthouses, the loss of court officers and other courtroom staff has made it increasingly difficult to staff all court parts. Back-office employees have at times been redeployed to courtroom duties, causing further delay in the processing of documents, or officers and courtroom clerks are shared between courtrooms, causing delays in the opening of court parts until the required courtroom team is assembled. Reduced staffing is causing delays in trials and in deciding motions. The increasing need to redeploy staff between different offices and functions has made the need for training especially critical, exactly at the time that the reduced staffing makes training more difficult. These challenges are exacerbated by the frequent need to deny annual leave requests to ensure coverage, affecting staff morale and well-being. Also, mid and upper-level court managers, reduced in numbers, have been diverted from their administrative and oversight duties to critical front-line tasks directly serving the public. The 4:30 courtroom closing time, adopted as an important (albeit flexible) overtime control measure, has prompted complaints from members of the bar and the public. And, while not yet a crisis, the loss of court officers significantly affects the timely delivery of prisoners to courtrooms and raises serious concerns about safety in our courthouses, especially in light of such incidences as the fatal shooting at the Middletown Courthouse in 2012.

After five years of absorbing enormous cost increases with no increase in resources, there can be no doubt that the New York State Judiciary has shown itself to be a faithful steward of the public fisc, an effective innovator, and a good partner with its co-equal branches of State government. But the Judiciary also has a fundamental and independent duty to the people of New York: to provide timely and fair justice to every person who comes to our courthouses. And the Judiciary has a duty to seek the resources necessary to fulfill this core mission.

In Fiscal Year 2014-2015, the Judiciary will again face significant cost increases, including a \$17 million increase in funding for the final year of the phase-in of statutorily mandated indigent criminal defense standards, and \$17.5 million for mandated salary increments for represented non-judicial employees. The Judiciary simply cannot, as it has for over the past years, absorb these increased costs with no additional funding and still meet its constitutional mission.

For that reason, the Judiciary is requesting an increase in its budget. This budget seeks cash funding of \$1.81 billion for General Fund State Operations, to support court operations. This request represents an increase of \$44.2 million, or 2.5 percent.²

The requested funding would, in addition to covering the increases for indigent criminal defense and mandated non-judicial employee increments, allow the Judiciary to avoid further reduction in service to the public and to mitigate some of the negative impacts that have resulted from the series of no-growth budgets of recent years. The requested budget would allow the courts

²The appropriation request associated with the requested increase in cash is \$1.82 billion, which represents a \$63 million, or 3.6 percent, increase. The increase in the appropriation request is slightly higher than the increase in the cash request because of technical reasons that relate to the use of reappropriations to pay for the first two years of the judicial salary increase. The cash increase, rather than the appropriation request, is the true measure of the year-to-year increase sought by the Judiciary.

to maintain their current staffing levels, and to fill a limited number of positions that are critical to operations. In addition, the funds will be used to ensure that courtrooms can remain open to the public until 5 pm each day.

This budget also provides \$15 million in additional funding for civil legal services, to help ensure equal justice to the millions of litigants who appear each year without counsel in eviction, domestic violence, consumer debt, and other cases involving the essentials of life. Legal representation in foreclosure cases continues as a major concern, especially in light of the dramatic increase in filings this year. Not only does the lack of representation in these cases impose a profound human and social toll on the most vulnerable New Yorkers, but our judges bear significant additional burdens, including more and longer court appearances, when they hear cases in which a party is not represented. The result is delay and inefficiency, as well as increased costs, both to the court system and to represented parties. These additional funds for civil legal services are also a sound investment: for every dollar invested in civil legal services there is a return of six dollars in economic benefit to the State of New York in terms of reduced social services and other public expenses.³

Finally, the Judiciary budget addresses the critical and widely recognized need for additional Family Court Judges. Over the past three decades, the caseload of the Family Court has nearly doubled, from 366,000 filings a year in 1983 to more than 698,000 today. This shocking statistic does not tell the whole story – over the years new statutory requirements, such as database checks in custody and visitation cases, and a mandated increase in hearings in permanency cases, have greatly increased the time and resources required by each case.

Despite this dramatic growth in the work of the Family Court, few new Family Court judgeships have been established. Over the past 30 years, while the filings have increased by 90 percent, the number of Family Court judgeships has been increased by only 8.8 percent. None have been created in New York City since 1990, and only one was created anywhere in the State in the last decade (Orange County in 2005).

Each and every day our Family Court Judges make extraordinary efforts to give each family the time and attention that these difficult cases deserve. We have also attempted to handle the heavy, and demanding, workload of this Court by assigning quasi-judicial staff to hear certain types of cases. This temporary remedy is no longer sustainable: it is time to do right by the families in crisis that come to this court. Therefore, this budget provides funding for 20 Family Court judgeships, to be established effective January 1, 2015. In recognition of the constitutional authority of the other branches in establishing new judgeships, the funding for these judgeships is included as a supplemental appropriation.

The request for additional funding in this proposed budget in no way signals the end of the Judiciary's commitment to seek further efficiencies, savings, and improvements in our service to the public. That commitment is steadfast and ongoing. Rather, it reflects a determination by the Judiciary that it cannot fulfill its constitutional duty to the people of the State of New York if it is

³See The Task Force to Expand Civil Legal Services in New York, Report to the Chief Judge of the State of New York, November 2012.

forced, for the sixth year in a row, to absorb tens of millions of dollars of new costs without increased funding; and, that a modest increase in the Judiciary budget is necessary to ensure that our Judges and non-judicial personnel have the resources necessary for the courts to fulfill their mission.

**Unified Court System
2014-15 Budget Request
All Funds Disbursement Requirements
(Millions \$)**

Category/Fund	<u>2013-14 Planned</u>	<u>2014-15 Required</u>	<u>Change</u>
<u>Court & Agency Operations</u>			
General Fund	1,764.6	1,808.8	44.2
Special Revenue - Federal	6.5	6.5	0.0
NYC County Clerks' Operations Offset Fund	23.3	24.1	0.8
Judiciary Data Processing Offset Fund	20.7	23.6	2.9
Miscellaneous Special Revenue Fund	24.9	24.8	(0.1)
Indigent Legal Services Fund	25.0	25.0	0.0
Court Facilities Incentive Aid Fund	1.7	1.8	0.1
Court & Agency Operations - All Funds Total	1,866.7	1,914.6	47.9
<hr/>			
Lawyers' Fund for Client Protection	12.7	12.8	0.1
<hr/>			
<u>Aid to Localities</u>			
General Fund	2.4	2.4	0.0
Court Facilities Incentive Aid Fund	104.2	104.2	0.0
Aid to Localities - All Funds Total	106.6	106.6	0.0
<hr/>			
Capital Projects	9.0	5.1	(3.9)
<hr/>			
General Fund Total	1,767.0	1,811.2	44.2
<hr/>			
All Funds Total	1,995.0	2,039.0	44.0
<hr/>			

**Unified Court System
2014-15 Budget Request
All Funds Appropriation Requirements
Major Purpose by Fund Summary**

State Operations	2013-14	2014-15	
General Fund	<u>Available</u>	<u>Requested</u>	<u>Change</u>
Courts of Original Jurisdiction	\$1,433,033,887	\$1,471,347,549	\$38,313,662
Court of Appeals	\$14,751,698	\$14,839,110	\$87,412
Appellate Court Operations	\$76,145,047	\$74,391,608	(\$1,753,439)
Appellate Auxilliary Operations	\$170,889,311	\$183,327,620	\$12,438,309
Administration and General Support	\$19,095,425	\$17,975,271	(\$1,120,154)
Judiciary Wide Maintenance Undistributed	\$40,000,000	\$55,000,000	\$15,000,000
State Operations - General Fund Total	\$1,753,915,368	\$1,816,881,158	\$62,965,790
<hr/>			
State Operations - Special Revenue Funds - Federal	\$9,000,000	\$7,500,000	(\$1,500,000)
<hr/>			
Special Revenue Funds - Other			
NYC County Clerks' Operations Offset Fund	\$22,962,046	\$24,214,696	\$1,252,650
Judiciary Data Processing Offset Fund	\$20,671,771	\$21,705,906	\$1,034,135
Miscellaneous Special Revenue Fund	\$1,500,000	\$1,000,000	(\$500,000)
Attorney Licensing Fund	\$23,350,977	\$23,805,345	\$454,368
Indigent Legal Services Fund	\$25,000,000	\$25,000,000	\$0
Court Facilities Incentive Aid Fund	\$1,714,336	\$1,767,030	\$52,694
Lawyers' Fund for Client Protection	\$12,743,876	\$12,756,663	\$12,787
State Operations - Special Revenue Funds - Other	\$107,943,006	\$110,249,640	\$2,306,634
<hr/>			
State Operations - All Funds - Total	\$1,870,858,374	\$1,934,630,798	\$63,772,424
<hr/>			
Aid to Localities			
General Fund	\$2,445,584	\$2,445,584	\$0
Court Facilities Incentive Aid	\$99,931,911	\$99,931,911	\$0
Aid to Localities - All Funds Total	\$102,377,495	\$102,377,495	\$0
<hr/>			
Grand Total General Fund	\$1,756,360,952	\$1,819,326,742	\$62,965,790
<hr/>			
Grand Total All Funds	\$1,973,235,869	\$2,037,008,293	\$63,772,424
<hr/>			

**Unified Court System
2014-15 Budget Request
All Funds Appropriation Requirements
Major Purpose Summary by Fund Category**

	<u>2013-14 Available</u>	<u>2014-15 Required</u>	<u>Change</u>
Courts of Original Jurisdiction			
General Fund	\$1,433,033,887	\$1,471,347,549	\$38,313,662
Special Revenue Funds	\$55,371,774	\$56,037,655	\$665,881
Total	\$1,488,405,661	\$1,527,385,204	\$38,979,543
Court of Appeals			
General Fund	\$14,751,698	\$14,839,110	\$87,412
Special Revenue Funds	\$0	\$0	\$0
Total	\$14,751,698	\$14,839,110	\$87,412
Appellate Court Operations			
General Fund	\$76,145,047	\$74,391,608	(\$1,753,439)
Special Revenue Funds	\$0	\$0	\$0
Total	\$76,145,047	\$74,391,608	(\$1,753,439)
Appellate Auxilliary Operations			
General Fund	\$170,889,311	\$183,327,620	\$12,438,309
Special Revenue Funds	\$46,279,028	\$46,193,848	(\$85,180)
Total	\$217,168,339	\$229,521,468	\$12,353,129
Administration & General Support			
General Fund	\$19,095,425	\$17,975,271	(\$1,120,154)
Special Revenue Funds	\$2,548,328	\$2,761,474	\$213,146
Total	\$21,643,753	\$20,736,745	(\$907,008)
Judiciary Wide Maintenance Undistributed			
General Fund	\$40,000,000	\$55,000,000	\$15,000,000
Special Revenue Funds	\$0	\$0	\$0
Total	\$40,000,000	\$55,000,000	\$15,000,000
Lawyers' Fund for Client Protection			
General Fund	\$0	\$0	\$0
Special Revenue Funds	\$12,743,876	\$12,756,663	\$12,787
Total	\$12,743,876	\$12,756,663	\$12,787
Aid to Localities			
General Fund	\$2,445,584	\$2,445,584	\$0
Special Revenue Funds	\$99,931,911	\$99,931,911	\$0
Total	\$102,377,495	\$102,377,495	\$0
Total General Fund	\$1,756,360,952	\$1,819,326,742	\$62,965,790
Total Special Revenue Funds	\$216,874,917	\$217,681,551	\$806,634
Grand Total All Funds	\$1,973,235,869	\$2,037,008,293	63,772,424

**Fiscal Year 2014-15
IOLA Support**

Appropriation Requirements

	<u>2013-14 Available</u>	<u>2014-15 Requested</u>	<u>Change</u>
Aid to Localities General Fund - IOLA	\$15,000,000	\$15,000,000	\$0
<u>Aid to Localities - General Fund Total</u>	<u>\$15,000,000</u>	<u>\$15,000,000</u>	<u>\$0</u>

**Disbursement Requirements
(Million \$)**

	<u>2013-14 Planned</u>	<u>2014-15 Required</u>	<u>Change</u>
Aid to Localities General Fund - IOLA	15.0	15.0	0.0
<u>Aid to Localities - General Fund Total</u>	<u>15.0</u>	<u>15.0</u>	<u>0.0</u>

**Fiscal Year 2014-15
New Family Court Judgeships**

Appropriation Requirements

Court and Agency Operations	<u>2013-14 Available</u>	<u>2014-15 Requested</u>	<u>Change</u>
General Fund	\$0	\$5,000,000	\$5,000,000
General Fund Total	\$0	\$5,000,000	\$5,000,000

**Disbursement Requirements
(Million \$)**

Court and Agency Operations	<u>2013-14 Planned</u>	<u>2014-15 Required</u>	<u>Change</u>
General Fund	0	5.0	5.0
General Fund Total	0	5.0	5.0

THE JUDICIARY

§2. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated by the appropriations, are hereby appropriated and authorized to be paid as hereinafter provided, to the respective public officers and for the several purposes specified, which amounts shall be available for the fiscal year beginning April 1, 2014.

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2014 in accordance with the following schedule:

STATE OPERATIONS AND AID TO LOCALITIES 2014-15

	Appropriations	Reappropriations
General Fund - State and Local.....	1,819,326,742	-
Special Revenue Funds - Federal.....	7,500,000	15,525,000
Special Revenue Funds - Other	210,181,551	26,000,000
	<hr/>	<hr/>
All Funds	2,037,008,293	41,525,000
	<hr/> <hr/>	<hr/> <hr/>

JUDICIARY OPERATING BUDGET SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	1,816,881,158	2,445,584	-	1,819,326,742
SR - Federal	7,500,000	-	-	7,500,000
SR - Other	110,249,640	99,931,911	-	210,181,551
	<hr/>	<hr/>	<hr/>	<hr/>
All Funds	1,934,630,798	102,377,495	-	2,037,008,293
	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>

SCHEDULE

Notwithstanding any provision of law, the amount appropriated for any program within a major purpose within this schedule may be increased or decreased in any amount by interchange with any other program in any other major purpose, or with any appropriation in section three of this act, with the approval of the chief administrator of the courts.

COURTS OF ORIGINAL JURISDICTION **1,527,385,204**

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	1,285,463,603
Personal service - temporary	1,083,734
Personal service - holiday / overtime compensation	18,567,838
	<hr/>
Amount available for personal service	1,305,115,175

NONPERSONAL SERVICE

Supplies and Materials	26,135,688
Travel	1,955,471
Contractual Services	134,154,317
Equipment	3,986,898
	<hr/>
Amount available for nonpersonal service	166,232,374

Program account subtotal	<u>1,471,347,549</u>
--------------------------------	----------------------

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

For services and expenses as provided by section 94-a of the state finance law.

PERSONAL SERVICE

Personal service - regular	20,108,459
	<hr/>
Amount available for personal service	20,108,459

NONPERSONAL SERVICE

Supplies and Materials	253,400
Travel	4,650
Contractual Services	3,848,187
	<hr/>
Amount available for nonpersonal service	4,106,237

Program fund subtotal	<u>24,214,696</u>
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**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

For services and expenses as provided by section 94-b of the state finance law.

PERSONAL SERVICE

Personal service - regular	17,590,106
Personal service - holiday / overtime compensation	<u>115,800</u>
Amount available for personal service	<u>17,705,906</u>

NONPERSONAL SERVICE

Contractual Services	<u>4,000,000</u>
Amount available for nonpersonal service	<u>4,000,000</u>
Program fund subtotal	<u>21,705,906</u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	605,459
Personal service - holiday / overtime compensation	<u>62</u>
Program fund subtotal	<u>605,521</u>

**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2014	<u>3,000,000</u>
Program account subtotal	<u>3,000,000</u>

**Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services**

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2014	<u>4,500,000</u>
Program account subtotal	<u>4,500,000</u>

**Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund**

PERSONAL SERVICE

Personal service - regular	970,654
Amount available for personal service	<u>970,654</u>

NONPERSONAL SERVICE

Supplies and Materials	1,500
Travel	1,500
Contractual Services	<u>1,037,878</u>
Amount available for nonpersonal service	<u>1,040,878</u>

Program fund subtotal	<u><u>2,011,532</u></u>
-----------------------------	-------------------------

COURT OF APPEALS 14,839,110

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	12,871,899
Personal service - holiday / overtime compensation	<u>114,000</u>
Amount available for personal service	<u>12,985,899</u>

NONPERSONAL SERVICE

Supplies and Materials	939,331
Travel	378,610
Contractual Services	<u>535,270</u>
Amount available for nonpersonal service	<u>1,853,211</u>

Program account subtotal	<u><u>14,839,110</u></u>
--------------------------------	--------------------------

APPELLATE COURT OPERATIONS 74,391,608

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	69,378,321
Personal service - temporary	147,834
Personal service - holiday / overtime compensation	138,700
	<hr/>
Amount available for personal service	69,664,855

NONPERSONAL SERVICE

Supplies and Materials	2,542,048
Travel	428,732
Contractual Services	1,755,973
	<hr/>
Amount available for nonpersonal service	4,726,753
	<hr/>
Program account subtotal	74,391,608

APPELLATE AUXILLIARY OPERATIONS 229,521,468

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	27,323,233
Personal service - temporary	553,684
Personal service - holiday / overtime compensation	600
	<hr/>
Amount available for personal service	27,877,517

NONPERSONAL SERVICE

Supplies and Materials	407,552
Travel	398,700
Contractual Services	154,643,851
	<hr/>
Amount available for nonpersonal service	155,450,103
	<hr/>
Program account subtotal	183,327,620

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	15,168,188
Personal service - temporary	297,794
Personal service - holiday / overtime compensation	<u>16,000</u>
Amount available for personal service	<u>15,481,982</u>

NONPERSONAL SERVICE

Supplies and Materials	436,981
Travel	122,508
Contractual Services	<u>5,152,377</u>
Amount available for nonpersonal service	<u>5,711,866</u>
Program fund subtotal	<u>21,193,848</u>

**Special Revenue Funds - Other / State Operations
Indigent Legal Services Fund**

For services and expenses as provided by section 98-b of state finance law.

NONPERSONAL SERVICE

Contractual Services	<u>25,000,000</u>
Amount available for nonpersonal service	<u>25,000,000</u>
Program fund subtotal	<u>25,000,000</u>

ADMINISTRATION AND GENERAL SUPPORT 20,736,745

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	16,145,592
Personal service - holiday / overtime compensation	<u>6,600</u>
Amount available for personal service	<u>16,152,192</u>

NONPERSONAL SERVICE

Supplies and Materials	190,264
Travel	595,600
Contractual Services	<u>1,037,215</u>
Amount available for nonpersonal service	<u>1,823,079</u>
Program account subtotal	<u><u>17,975,271</u></u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	1,150,509
Amount available for personal service	<u>1,150,509</u>

NONPERSONAL SERVICE

Supplies and Materials	3,500
Travel	<u>7,500</u>
Amount available for nonpersonal service	<u>11,000</u>
Program fund subtotal	<u><u>1,161,509</u></u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	857,756
Personal service - holiday / overtime compensation	<u>10,000</u>
Amount available for personal service	<u>867,756</u>

NONPERSONAL SERVICE

Supplies and Materials	81,000
Travel	750
Contractual Services	<u>650,459</u>
Amount available for nonpersonal service	<u>732,209</u>
Program fund subtotal	<u><u>1,599,965</u></u>

LAWYERS' CLIENT PROTECTION 12,756,663

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection of the State of New York**

For expenses associated with the operation of the Lawyers' Fund for Client Protection of the State of New York; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, up to \$3,750,000 from the Special Revenue Funds - Other / State Operations Attorney Licensing Fund to the Lawyers' Fund for Client Protection of the State of New York on or before March 31, 2015.

PERSONAL SERVICE

Personal service - regular 476,663
Amount available for personal service 476,663

NONPERSONAL SERVICE

Supplies and Materials 23,500
Travel 26,000
Contractual Services 12,230,500
Amount available for nonpersonal service 12,280,000
Program fund subtotal 12,756,663

JUDICIARY-WIDE MAINTENANCE UNDISTRIBUTED 55,000,000

**General Fund / State Operations
State Purposes Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses necessary to implement recommendations of the chief judge's task force to expand access to civil legal services in New York, which may include suballocation by the chief administrator to other state departments or agencies outside the judiciary. 55,000,000
Program account subtotal 55,000,000

AID TO LOCALITIES 102,377,495

**General Fund / Aid to Localities
Local Assistance Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the justice court
assistance program 2,445,584

Program account subtotal 2,445,584

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2015.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities
incentive aid program 99,931,911

Program fund subtotal 99,931,911

NEW YORK INTEREST ON LAWYER ACCOUNT 2014-15

For expenses in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	15,000,000
All Funds	<u>15,000,000</u>

JUDICIARY BUDGET SUMMARY OF NEW APPROPRIATIONS (SUPPLEMENTAL)

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	-	15,000,000	-	15,000,000
All Funds	<u>-</u>	<u>15,000,000</u>	-	<u>15,000,000</u>

SCHEDULE

IOLA SUPPORT	<u>15,000,000</u>
---------------------------	--------------------------

**General Fund / Aid to Localities
Local Assistance Account**

For suballocation to the board of trustees of the New York Interest on Lawyer Account (IOLA) Fund, at the direction of the chief administrator of the courts, for services and expenses associated with operation of section 97-v of the state finance law, as added by chapter 659 of the laws of 1983; provided, however, such direction shall be given not later than thirty days after this act shall become law.

MAINTENANCE UNDISTRIBUTED

For services and expenses in relation to IOLA	<u>15,000,000</u>
Program account subtotal	<u>15,000,000</u>

NEW FAMILY COURT JUDGESHIPS

For expenses in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	5,000,000
All Funds	<u>5,000,000</u>

JUDICIARY BUDGET SUMMARY OF NEW APPROPRIATIONS (SUPPLEMENTAL)

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	5,000,000	-	-	5,000,000
All Funds	<u>5,000,000</u>	<u>-</u>	<u>-</u>	<u>5,000,000</u>

SCHEDULE

NEW FAMILY COURT JUDGESHIPS	<u>5,000,000</u>
--	-------------------------

**General Fund / State Operations
State Purposes Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the establishment of twenty additional family court judgeships for the unified court system, effective January 1, 2015, pursuant to a chapter of the laws of 2014 that becomes a law not later than June 30, 2014

	<u>5,000,000</u>
Program fund subtotal	<u>5,000,000</u>

THE JUDICIARY

GENERAL STATE CHARGES 2014-15

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2014 in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	642,103,529
Special Revenue Funds - Other	<u>27,030,262</u>
 All Funds	 <u><u>669,133,791</u></u>

JUDICIARY GENERAL STATE CHARGES SUMMARY OF NEW APPROPRIATIONS

	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	642,103,529	-	-	642,103,529
SR - Other	<u>27,030,262</u>	-	-	<u>27,030,262</u>
 All Funds	 <u><u>669,133,791</u></u>	 -	 -	 <u><u>669,133,791</u></u>

SCHEDULE

GENERAL STATE CHARGES **669,133,791**

**General Fund / State Operations
State Purposes Account**

FRINGE BENEFITS

For Fringe Benefits 642,103,529

Program account subtotal **642,103,529**

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

FRINGE BENEFITS

For Fringe Benefits 7,570,875

Program fund subtotal **7,570,875**

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

FRINGE BENEFITS

For Fringe Benefits 771,722

Program fund subtotal 771,722

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection**

FRINGE BENEFITS

For Fringe Benefits 209,098

Program fund subtotal 209,098

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

FRINGE BENEFITS

For Fringe Benefits 10,542,570

Program fund subtotal 10,542,570

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

FRINGE BENEFITS

For Fringe Benefits 7,935,997

Program fund subtotal 7,935,997

REAPPROPRIATIONS

§3. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated being the unexpended balances of a prior year's appropriation, are hereby reappropriated from the same funds and made available for the same purposes as the prior year's appropriation, unless amended herein, for the state fiscal year beginning April 1, 2014.

THE JUDICIARY

STATE OPERATIONS AND AID TO LOCALITIES - REAPPROPRIATIONS 2014-2015

SCHEDULE

COURTS OF ORIGINAL JURISDICTION

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

By chapter 51, section 2, of the laws of 2013:
For services and expenses as provided by section 94-b of
the state finance law.

Contractual Services 4,000,000(re. 4,000,000)

**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

By chapter 51, section 2, of the laws of 2013:
For services and expenses including travel
outside the state and the payment of

liabilities incurred prior to April 1, 2013 3,500,000(re. 3,500,000)

By chapter 51, section 2, of the laws of 2012, as reappropriated
by chapter 51, section 3, of the laws of 2013:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2012 5,000,000(re. 2,000,000)

By chapter 51, section 2, of the laws of 2011, as reappropriated
by chapter 51, section 3, of the laws of 2013:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2011 5,500,000(re. 600,000)

By chapter 51, section 2, of the laws of 2010, as reappropriated
by chapter 51, section 3, of the laws of 2013:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2010 6,500,000(re. 600,000)

Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services

By chapter 51, section 2, of the laws of 2013:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2012 5,500,000(re. 5,500,000)

By chapter 51, section 2, of the laws of 2012, as reappropriated
by chapter 51, section 3, laws of 2013:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2012 5,500,000(re. 3,000,000)

By chapter 51, section 2, of the laws of 2011, as reappropriated
by chapter 51, section 3, laws of 2013:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2011 5,000,000(re. 150,000)

By chapter 51, section 2, of the laws of 2009, as reappropriated
by chapter 51, section 3, of the laws of 2013:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2009 2,600,000(re. 175,000)

Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund

By chapter 51, section 2, of the laws of 2013:

Contractual Services 1,052,878(re. 1,000,000)

By chapter 51, section 2, of the laws of 2012, as reappropriated
by chapter 51, section 3, of the laws of 2013:

For services and expenses including travel
outside the state and the payment of
liabilities incurred prior to April 1, 2012 1,500,000(re. 1,000,000)

AID TO LOCALITIES

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

By chapter 51, section 2, of the laws of 2013,

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2014.

For services and expenses associated with the court facilities
incentive aid program 99,931,911(re. 10,000,000)

By chapter 51, section 2, of the laws of 2012, as reappropriated
by chapter 51, section 3, of the laws of 2013:

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$50,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2013.

For services and expenses associated with the court facilities
incentive aid program 99,198,456(re. 10,000,000)

Total reappropriations for state operations and aid to localities..... \$ 41,525,000

CAPITAL PROJECTS - REAPPROPRIATIONS 2014-2015

COURTHOUSE IMPROVEMENTS (CCP)

Capital Projects Fund

Preservation of Facilities Purpose

By chapter 51, section 2, of the laws of 2007, as reappropriated
by chapter 51, section 3, of the laws of 2013:

For expenses associated with the acquisition of and improvements
to a training academy in Kings County for the training
of court security personnel (52JT0707) 33,700,000(re. \$33,700,000)

The appropriation made by chapter 51, section 2, of the laws of 2007, as
amended by chapter 51, section 3, of the laws of 2012, as
reappropriated by chapter 51, section 3, of the laws of 2013:

For expenses associated with the acquisition of and improvements
to a training academy in Kings County for the training of court
security personnel
(52JT0707) 24,200,000(re. \$17,300,000)

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2014-15

**2014-15 JUDICIARY
BUDGET REQUEST**

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Judiciary

2014-15 Budget Request

Introduction

The Judiciary. The Judiciary is one of the three branches of New York State government. Article VI of the State Constitution establishes the Judiciary as the Unified Court System for the State, defines the organization and jurisdiction of the courts, and provides for the administrative supervision of the courts by a Chief Administrator on behalf of the Chief Judge of the State.

The objectives of the Judiciary are to: (1) provide a forum for the peaceful, fair and prompt resolution of civil and family disputes, criminal charges, disputes between citizens and the state, and challenges to government action; (2) supervise the administration of decedents' estates; (3) preside over adoptions and proceedings to protect children and the mentally-ill; and (4) regulate the admission of lawyers to the Bar and their conduct and discipline.

Administration of the Judiciary. The administrative structure of this court system is prescribed by the State Constitution, which denominates the Chief Judge of the Court of Appeals as the head of the Judiciary. The Chief Judge is authorized to adopt administrative policy for the courts after consultation with the Administrative Board of the Courts (comprised of the Chief Judge and the Presiding Justices of the four Appellate Divisions) and approval by the Court of Appeals. With the advice and consent of the Administrative Board, the Chief Judge also appoints a Chief Administrator of the Courts who is responsible for supervising the day to day administration and operation of the trial courts. The Appellate Divisions and the Court of Appeals are responsible for the administration and operation of their courts.

In discharge of his or her responsibility for managing the trial courts, the Chief Administrator designates three Deputy Chief Administrative Judges. The First Deputy Chief Administrative Judge, supervises court operations as directed by the Chief Administrator; a Deputy Chief Administrative Judge for the New York City Courts and a Deputy Chief Administrative Judge for the courts outside New York City. The latter two, on behalf of the Chief Administrator and together with a corps of Administrative Judges, supervise court operations in the State's Judicial Districts (*see* map of 13 Judicial Districts that follows). In some instances, Supervising Judges also are designated to assist the Chief Administrator, the Deputy Chief Administrative Judges and the Administrative Judges.

By statute and by direction of the Chief Judge, the Chief Administrator also establishes the administrative office of the courts. This office is bifurcated into the Office of Court Administration within the Administration and General Support Major Purpose, and the Division of Court Support Services within the Courts of Original Jurisdiction Major Purpose. The Office of Court Administration consists of offices that provide legal, policy, fiscal and human resource support to the Chief Administrator. The Division of Court Support Services assists the Chief Administrator by providing centralized management support to court operations including technology, personnel, legal information, records management, security and payroll services.

Funding of the Judiciary. By statute, and since the late 1970's, the nine major trial courts (Supreme Courts, Court of Claims, County Courts, Family Courts, Surrogate's Courts, New York City Civil and Criminal Courts, District Courts and City Courts outside New York City) and all three appellate courts (Court of Appeals, Appellate Divisions and Appellate Terms) are funded entirely by the State except that local governments, with some limited State financial assistance, bear responsibility for provision of court facilities. The Town and Village Justice Courts, by contrast, are funded by the Towns and Villages in which they sit, although they do receive limited State financial assistance as well.

Structure and Jurisdiction of the Courts

The Unified Court System is structured as follows:

APPELLATE COURTS

Court of Appeals
Appellate Divisions of the Supreme Court
Appellate Terms of the Supreme Court
County Courts (acting as appellate courts)

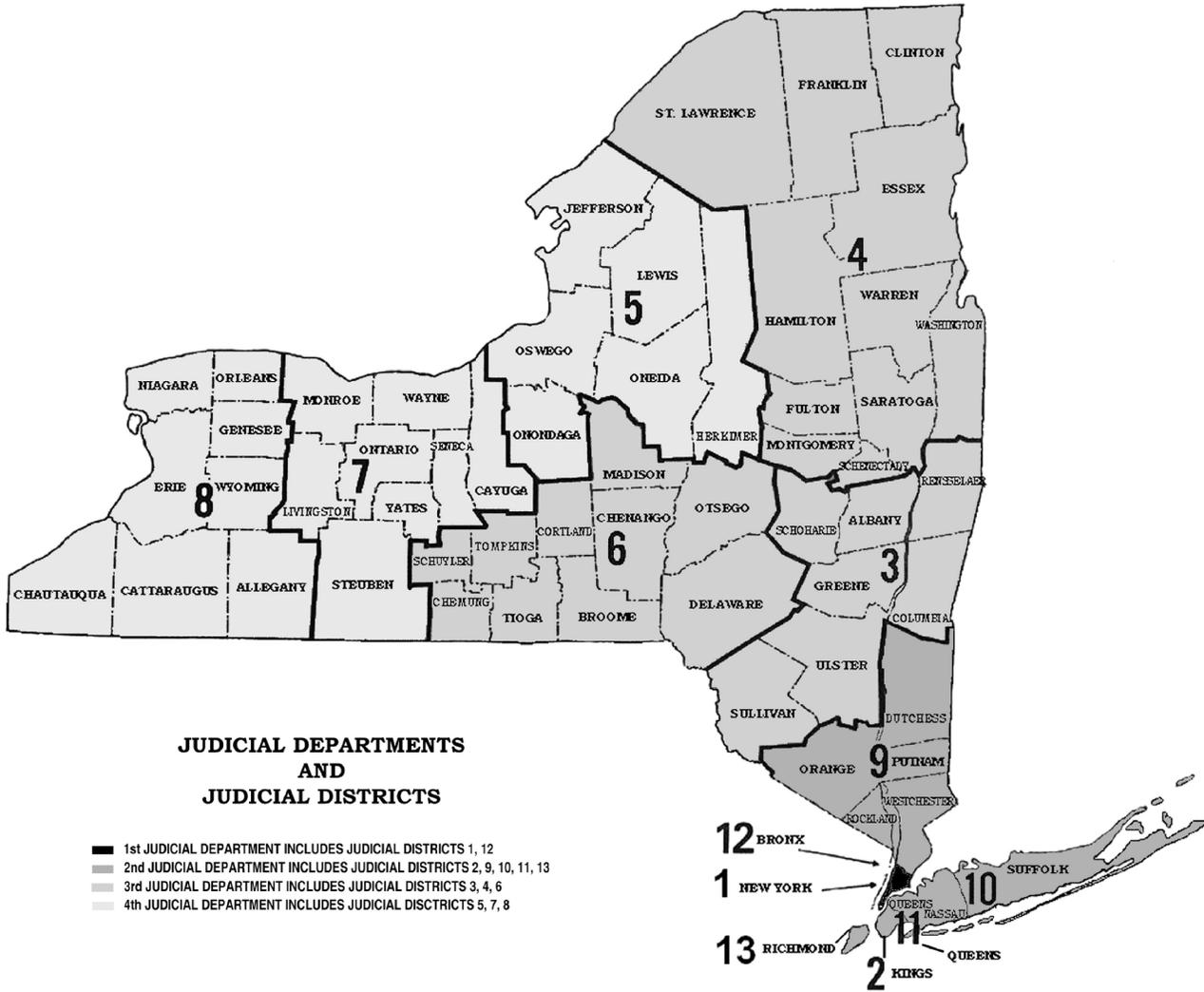
TRIAL COURTS OF SUPERIOR JURISDICTION

<i>Statewide:</i>	<i>Outside New York City:</i>
Supreme Court	County Court
Court of Claims	
Family Court	
Surrogate's Court	

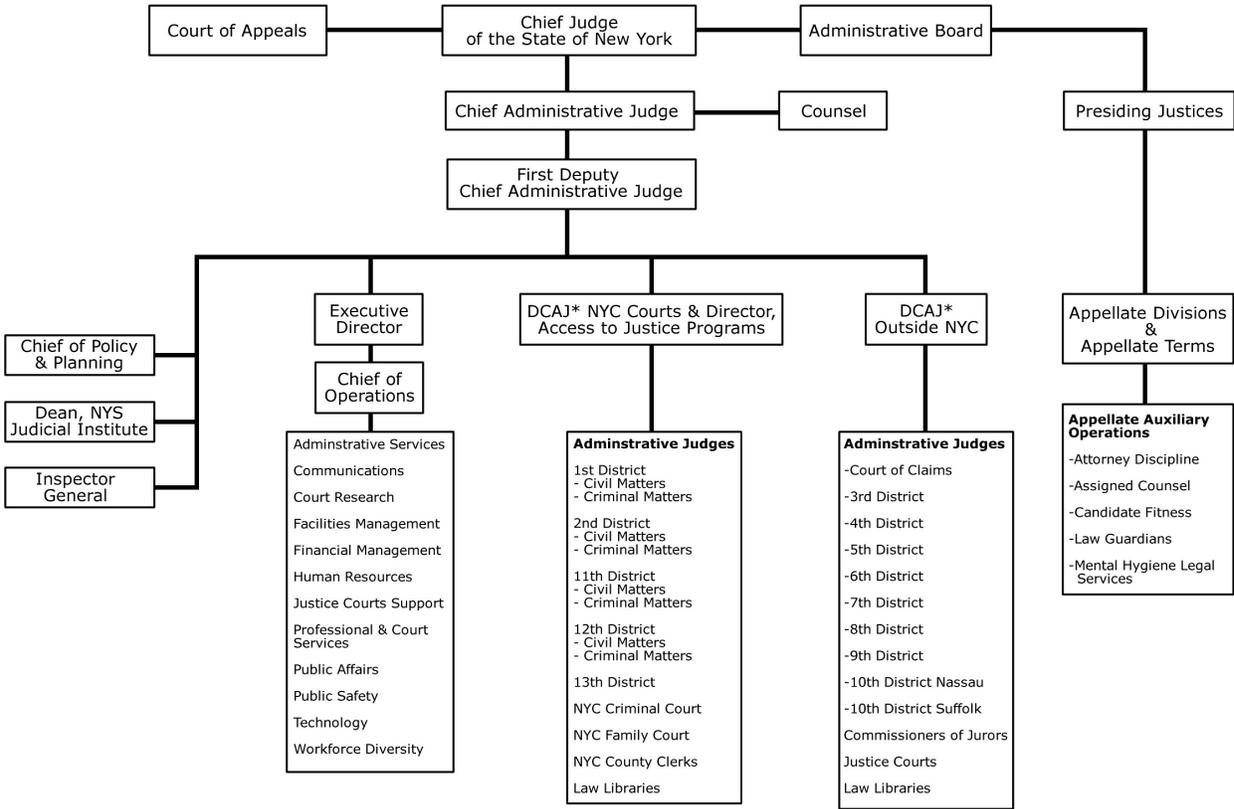
TRIAL COURTS OF LIMITED JURISDICTION

<i>New York City:</i>	<i>Outside New York City:</i>
Criminal Court:	City Courts
Civil Court	District Courts
	Town Courts*
	Village Courts*

*Locally funded courts



Unified Court System Administrative Structure



*DCAJ - Deputy Chief Administrative Judge

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2014-15

Part I

State Operations

Court and Court-Related Agency Operations

Major Purpose Summary
COURTS OF ORIGINAL
JURISDICTION

2014-15 All Funds Budget Request:	\$1,527,385,204
<i>General Fund:</i>	<i>1,471,347,549</i>
<i>State Special Revenue Funds:</i>	<i>48,537,655</i>
<i>Federal Special Revenue Funds:</i>	<i>7,500,000</i>

Major Purpose Description

The Courts of Original Jurisdiction (COJ) Major Purpose is comprised of the following program components: Supreme and County Courts, Family Courts, Surrogate's Courts, Multi-Bench Courts, City and District Courts, New York City Housing Court, Community Courts, Drug Treatment Courts, Court of Claims, Jury Operations, New York City County Clerks, Law Libraries, the Office of Alternative Dispute Resolution and Court Improvement Programs, Court Support Services, Town and Village Courts, Public Safety, COJ Maintenance Undistributed and Administration.

Summary of 2014-15 Funding: Courts of Original Jurisdiction

The COJ Major Purpose State Operations All Funds budget request is \$1.53 billion, or an increase of \$39.0 million (2.6%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$1.34 billion represents an increase of \$37.4 million (2.9%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions with adjustments for changes in certificated justices and their staff. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves as well as staffing adjustments implemented to meet operational needs.

The personal service request includes \$1.1 million for temporary service in support of ongoing operations. This includes funding for legal and clerical support in various trial courts (\$0.5 million); funding for six Commercial Division Law Clerks (\$0.5 million); and, funding for Acting City, Town and Village Justices and associated legal support (\$0.1 million). Also reflected in the personal service request is \$18.7 million for overtime, an increase of \$3.1 million over the current adjusted appropriation. This increase includes \$3 million in undistributed funding to restore traditional courtroom closing hours.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with trial court operations, support and administration. These expenses include: supplies; legal reference materials and online services; telecommunications; rentals and repairs of equipment; real estate rentals for office and training space; maintenance agreements for hardware, software and office equipment; payments for jury *per diems*, lodging and meals; *per diem* interpreter and court reporter costs; ADR contracts;

transcript payments; and contractual security services provided in some upstate courts by local law enforcement agencies. Also reflected are appropriations which provide the framework through which federal and other grants are realized.

The nonpersonal service request is \$182.9 million, or an increase of \$1.6 million (0.9%) over current year funding. Contractual increases are reflected in security services and information technology services. The increase in equipment is for critical and emergency replacement items as well as for equipment associated with facility renovations. The records management increase is for continuation of a records recovery and digitization project in Schoharie County related to damage from Hurricane Irene; and for the first phase of records retrieval and transport to a new vendor facility for the NYC County Clerks. An increase in accounting and auditing services is related to the expansion of electronic filing. The recommendation for judicial hearing officers supports a slight expansion to meet operational needs. The increase in transcripts is expenditure-based. These increases are partially offset by the continuation of cost savings measures in CALR, supplies, equipment rental and repairs, real estate rentals, conferences and training, postage and printing and telephones. A decrease in other professional services reflects the anticipation that the Judiciary will receive fewer federal grant awards.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

2014-15 Request

Locality/Program	Personal Service	Nonpersonal Service	Total
Court of Claims	\$13,544,901	\$4,404,654	\$17,949,555
New York City	\$696,367,674	\$36,223,420	\$732,591,094
3rd Judicial District	\$42,645,250	\$7,430,774	\$50,076,024
4th Judicial District	\$46,901,699	\$3,384,596	\$50,286,295
5th Judicial District	\$51,692,919	\$6,773,695	\$58,466,614
6th Judicial District	\$36,073,665	\$2,385,108	\$38,458,773
7th Judicial District	\$47,849,196	\$17,526,265	\$65,375,461
8th Judicial District	\$79,185,618	\$8,355,705	\$87,541,323
9th Judicial District	\$102,245,006	\$4,301,531	\$106,546,537
Nassau County	\$97,124,938	\$3,907,970	\$101,032,908
Suffolk County	\$95,700,427	\$3,694,679	\$99,395,106
Alternative Dispute Resolution	\$1,597,563	\$7,285,816	\$8,883,379
Court Support Services	\$46,493,282	\$59,697,674	\$106,190,956
Undistributed	(\$12,916,423)	\$17,507,602	\$4,591,179
Total:	\$1,344,505,715	\$182,879,489	\$1,527,385,204

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,290,014,543	1,324,738,281	34,723,738
PS Temporary	1,564,590	1,083,734	(480,856)
PS Overtime	15,564,640	18,683,700	3,119,060
Total Personal Service	1,307,143,773	1,344,505,715	37,361,942
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,809,596	18,779,295	(30,301)
Supplies and Materials	8,682,211	8,604,173	(78,038)
Travel	1,962,621	1,961,621	(1,000)
Equipment Rental and Repairs	7,578,801	7,529,945	(48,856)
Real Estate Rentals	18,543,186	18,133,146	(410,040)
Conferences and Training	567,854	502,304	(65,550)
Postage and Printing	9,560,467	9,365,229	(195,238)
Telecommunications	9,324,649	9,021,558	(303,091)
Information Technology Services	10,376,177	10,956,896	580,719
Accounting and Auditing Services	1,773,723	1,848,723	75,000
Records Management Services	4,122,716	5,215,945	1,093,229
Other Professional Services	20,267,009	18,919,867	(1,347,142)
In-Part Services	5,768,375	5,757,644	(10,731)
ADR/SCAR/Arbitration	6,306,864	6,252,148	(54,716)
Judicial Hearing Officers	2,012,000	2,221,000	209,000
Jury Fees	20,115,559	20,115,559	0
Security Services	26,988,251	27,581,661	593,410
Transcripts	6,080,963	6,125,877	44,914
Equipment	2,420,866	3,986,898	1,566,032
Total Nonpersonal Service	181,261,888	182,879,489	1,617,601
Grand Total	1,488,405,661	1,527,385,204	38,979,543

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,252,232,947	1,285,463,603	33,230,656
PS Temporary	1,278,663	1,083,734	(194,929)
PS Overtime	15,538,786	18,567,838	3,029,052
Total Personal Service	<u>1,269,050,396</u>	<u>1,305,115,175</u>	<u>36,064,779</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,809,596	18,779,295	(30,301)
Supplies and Materials	8,478,361	8,428,473	(49,888)
Travel	1,955,471	1,955,471	0
Equipment Rental and Repairs	7,406,409	7,362,245	(44,164)
Real Estate Rentals	18,542,686	18,133,146	(409,540)
Conferences and Training	567,854	502,304	(65,550)
Postage and Printing	9,368,289	9,199,651	(168,638)
Telecommunications	9,248,459	8,946,358	(302,101)
Information Technology Services	7,322,677	7,900,396	577,719
Accounting and Auditing Services	1,475,523	1,435,523	(40,000)
Records Management Services	1,872,629	2,135,808	263,179
Other Professional Services	9,242,659	9,412,917	170,258
In-Part Services	5,768,375	5,757,644	(10,731)
ADR/SCAR/Arbitration	6,306,864	6,252,148	(54,716)
Judicial Hearing Officers	2,012,000	2,221,000	209,000
Jury Fees	20,115,559	20,115,559	0
Security Services	26,988,251	27,581,661	593,410
Transcripts	6,080,963	6,125,877	44,914
Equipment	2,420,866	3,986,898	1,566,032
Total Nonpersonal Service	<u>163,983,491</u>	<u>166,232,374</u>	<u>2,248,883</u>
Grand Total	1,433,033,887	1,471,347,549	38,313,662

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,038,434	970,654	(67,780)
Total Personal Service	1,038,434	970,654	(67,780)
<u>Nonpersonal Service</u>			
Supplies and Materials	2,500	1,500	(1,000)
Travel	2,500	1,500	(1,000)
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Other Professional Services	1,017,500	1,000,000	(17,500)
Total Nonpersonal Service	1,060,378	1,040,878	(19,500)
 Grand Total	 2,098,812	 2,011,532	 (87,280)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	639,083	605,459	(33,624)
PS Overtime	62	62	0
Total Personal Service	<u>639,145</u>	<u>605,521</u>	<u>(33,624)</u>
Grand Total	639,145	605,521	(33,624)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,744,027	20,108,459	364,432
Total Personal Service	19,744,027	20,108,459	364,432
<u>Nonpersonal Service</u>			
Supplies and Materials	201,350	174,200	(27,150)
Travel	4,650	4,650	0
Equipment Rental and Repairs	172,392	167,700	(4,692)
Real Estate Rentals	500	0	(500)
Postage and Printing	189,300	162,700	(26,600)
Telecommunications	76,190	75,200	(990)
Information Technology Services	18,500	21,500	3,000
Accounting and Auditing Services	298,200	413,200	115,000
Records Management Services	2,250,087	3,080,137	830,050
Other Professional Services	6,850	6,950	100
Total Nonpersonal Service	3,218,019	4,106,237	888,218
 Grand Total	 22,962,046	 24,214,696	 1,252,650

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,360,052	17,590,106	1,230,054
PS Temporary	285,927	0	(285,927)
PS Overtime	25,792	115,800	90,008
Total Personal Service	<u>16,671,771</u>	<u>17,705,906</u>	<u>1,034,135</u>
<u>Nonpersonal Service</u>			
Information Technology Services	3,000,000	3,000,000	0
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	<u>4,000,000</u>	<u>4,000,000</u>	<u>0</u>
 Grand Total	 20,671,771	 21,705,906	 1,034,135

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	3,500,000	3,000,000	(500,000)
Total Nonpersonal Service	3,500,000	3,000,000	(500,000)
Grand Total	3,500,000	3,000,000	(500,000)

State of New York - Judiciary
 Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
 FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,500,000	4,500,000	(1,000,000)
Total Nonpersonal Service	5,500,000	4,500,000	(1,000,000)
Grand Total	5,500,000	4,500,000	(1,000,000)

Courts of Original Jurisdiction 2012 Statewide Workload by Court Type

COURT	Filings	Dispositions
Criminal:		
Supreme and County Courts ^a	69,552	86,786
NYC Criminal Court		
Arrest Cases	361,358	342,788
Summons Cases ^b	490,011	448,956
City and District Courts Outside NYC		
Criminal Cases	284,136	265,649
Uniform Traffic Tickets ^b	422,883	380,144
Parking Tickets ^b	125,778	113,888
Criminal Subtotal:	1,753,718	1,638,211
Civil:		
Supreme Courts		
New Cases (RJI's)	174,424	189,879
Ex Parte Applications	233,221	233,221
Uncontested Matrimonials	46,201	49,804
NYC Civil Court		
New Civil Actions	316,306	208,781 ^c
Housing Cases	270,249	274,228
Small Claims	23,530	24,333
Commercial Claims	6,112	6,210
City and District Courts Outside NYC		
New Civil Actions	138,380	173,097 ^c
Housing Cases	81,817	80,464
Small Claims	23,582	23,825
Commercial Claims	9,490	9,891
County Courts ^a	49,573 ^d	49,915 ^d
Court of Claims	1,526	1,803
Arbitration Program (CPLR 3405)	27,026 ^e	18,610
Small Claims Assessment Review	96,049	60,721
Civil Subtotal:	1,470,460	1,404,782
Family ^a	698,372 ^f	695,812 ^f
Surrogate's ^a	136,341	106,387 ^g
2012 Total:	4,058,891	3,845,192

^a Also reflects Multi-Bench matters.

^b Includes both answered and unanswered cases.

^c Does not include dispositions in the Arbitration Program.

^d Includes ex-parte applications.

^e Shown here for reference only and not included in totals. Included as intake in the civil court filings listed above.

^f Includes Permanency Hearings held.

^g Surrogate's Court dispositions include orders and decrees signed.

Program Description

This Program provides funding for operations in Supreme and County Courts. The request includes funding for Certificated Justices of the Supreme Court, and for operation of the Small Claims Assessment Review (SCAR) Program.

Supreme Court: The Supreme Court is the highest trial court of the State. It is established in all 62 counties and vested with unlimited civil and criminal jurisdiction; although, in practice, it acts primarily as a court of civil jurisdiction outside New York City. In New York City, however, all felony cases are heard in criminal terms and all major civil cases in civil terms.

County Court: There is a County Court established for each of the 57 counties outside New York City. It retains unlimited criminal jurisdiction and jurisdiction of civil claims not exceeding \$25,000. In practice, however, it is almost exclusively a criminal court, hearing all felony cases in the county in which it is established. In many smaller counties, where there is no separate Family and/or Surrogate's Court, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate as well. Where this occurs, the affected County Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Acting Justices of the Supreme Court: Under the State Constitution, the Legislature is limited in its ability to increase the number of Supreme Court Justices in a Judicial District to meet workload needs. Accordingly, pursuant to authority granted by the Constitution, the Chief Administrative Judge temporarily assigns many Court of Claims Judges, County-level Judges and New York City Civil, Criminal and Family Court Judges to serve as Acting Justices of the Supreme Court. Under the Judiciary Law, a judge whose statutory salary is less than that of a Supreme Court Justice is entitled to a pay differential for the balance. Pay differentials are funded in the program in which a given Acting Supreme Court Justice's statutory title resides.

Certificated Justices of the Supreme Court: Each Justice of the Supreme Court must retire from office on the last day of the year in which he or she reaches age 70. The position becomes vacant and must be filled by election held the preceding November. The retiring Justice may remain in service as a Certificated Justice for up to three additional two-year terms if he or she is certificated by the Administrative Board of the Courts. Each retired Justice serves full time, is paid the same salary and exercises the same jurisdiction as elected Justices of the Supreme Court. In addition, Certificated Justices are entitled to the same two nonjudicial support staff as Justices of the Supreme Court.

SCAR Program: Title 1A of Article 7 of the Real Property Tax Law establishes the SCAR Program in the Supreme Court. Operating in each county, the SCAR Program permits a homeowner to judicially challenge the real property tax assessment of his or her property, expeditiously and inexpensively, before specially-trained hearing officers.

Summary of 2014-15 Funding Request:

Supreme and County Courts

2014-15 Budget Request:	\$423,649,808
<i>Personal Service:</i>	411,030,258
<i>Nonpersonal Service:</i>	12,619,550
<i>Maintenance Undistributed:</i>	0

The Supreme and County Courts General Fund budget request is \$423.6 million, or an increase of \$15 million (3.7%) over the current year adjusted appropriation.

The personal service request of \$411 million represents an increase of \$15.2 million (3.8%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions with adjustments for changes in certificated justices and their staff. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The personal service request includes \$501,695 for temporary service. The increase in this category is attributable to a budget adjustment between programs. Also reflected in the personal service request is \$186,834 for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration. These expenses include supplies and materials, equipment rentals and repairs, postage and printing, telecommunications, in-part services and transcripts.

The nonpersonal service request is \$12.6 million, or a decrease of \$143,410 (-1.1%) from current year funding. A continuing decrease in telecommunications is attributable to the installation of IP telephones in several districts as well as new data networks which were installed in New York City as a result of Superstorm Sandy. The reduced requests for supplies and materials and in-part services are expenditure-based as are the savings reflected in the SCAR Program. These decreases are partially offset by funding to support a workload-driven increase in judicial hearing officers' days of service.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Supreme & County Courts Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
1st Supreme Civil	\$43,100,089	\$595,219	\$43,695,308
1st Supreme Criminal	\$24,218,822	\$1,589,744	\$25,808,566
Supreme Bronx	\$37,534,812	\$1,045,812	\$38,580,624
Supreme Kings	\$59,736,738	\$1,918,900	\$61,655,638
Supreme Queens	\$45,879,049	\$1,152,885	\$47,031,934
Supreme Richmond	\$8,996,022	\$257,235	\$9,253,257
NYC Subtotal:	\$219,465,532	\$6,559,795	\$226,025,327
3rd Judicial District	\$13,294,856	\$628,015	\$13,922,871
4th Judicial District	\$12,239,910	\$493,866	\$12,733,776
5th Judicial District	\$16,584,611	\$562,401	\$17,147,012
6th Judicial District	\$6,328,440	\$190,374	\$6,518,814
7th Judicial District	\$14,820,318	\$499,920	\$15,320,238
8th Judicial District	\$24,932,088	\$743,318	\$25,675,406
9th Judicial District	\$37,737,403	\$1,211,051	\$38,948,454
Nassau County	\$39,455,431	\$941,444	\$40,396,875
Suffolk County	\$34,395,629	\$789,366	\$35,184,995
Outside NYC Subtotal:	\$199,788,686	\$6,059,755	\$205,848,441
Undistributed:	(\$8,223,960)	\$0	(\$8,223,960)
Total:	\$411,030,258	\$12,619,550	\$423,649,808

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

SUPREME & COUNTY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	395,537,535	410,341,729	14,804,194
PS Temporary	87,180	501,695	414,515
PS Overtime	237,523	186,834	(50,689)
Total Personal Service	<u>395,862,238</u>	<u>411,030,258</u>	<u>15,168,020</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	8,970	4,670	(4,300)
Supplies and Materials	1,679,896	1,556,346	(123,550)
Travel	435,270	460,636	25,366
Equipment Rental and Repairs	1,068,767	1,082,322	13,555
Real Estate Rentals	7,850	500	(7,350)
Conferences and Training	4,500	3,700	(800)
Postage and Printing	900,139	865,364	(34,775)
Telecommunications	752,004	673,511	(78,493)
Information Technology Services	13,500	7,839	(5,661)
Accounting and Auditing Services	263,843	311,886	48,043
Records Management Services	123,224	150,697	27,473
Other Professional Services	469,395	467,960	(1,435)
In-Part Services	1,393,987	1,276,487	(117,500)
ADR/SCAR/Arbitration	495,500	432,500	(63,000)
Judicial Hearing Officers	534,800	716,300	181,500
Transcripts	4,611,315	4,608,832	(2,483)
Total Nonpersonal Service	<u>12,762,960</u>	<u>12,619,550</u>	<u>(143,410)</u>
Grand Total	408,625,198	423,649,808	15,024,610

COURTS OF ORIGINAL JURISDICTION
FAMILY COURTS PROGRAM

2014-15 Budget Request: \$161,252,512
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Program Description

This Program provides funding for operations in Family Courts.

Family Court: The Family Court is established as a single citywide court in New York City and as a separate court in each of the 57 counties outside New York City, except that, in many smaller counties, there is no separate Family Court Judge. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge. Where this occurs, the affected Family Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Family Court exercises jurisdiction over a broad array of matters affecting children and families, including cases involving abuse, neglect, support, paternity, family offense, Persons In Need of Supervision (PINS), juvenile delinquency (JD), guardianship, adoptions, custody and visitation matters.

Summary of 2014-15 Funding Request:

Family Courts

2014-15 Budget Request: \$161,252,512
<i>Personal Service:</i> 153,934,253
<i>Nonpersonal Service:</i> 7,318,259
<i>Maintenance Undistributed:</i> 0

The Family Courts General Fund budget request of \$161.3 million reflects an increase of \$2.9 million (1.8%) over the current year adjusted appropriation.

The personal service request of \$153.9 million represents an increase of \$3.3 million (2.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The personal service request also includes \$29,017 for overtime to support current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with Family Court operations, support and administration. These expenses include: supplies and materials, postage and printing, records management, equipment rental and repairs, telecommunications and in-part services.

The nonpersonal service request of \$7.3 million reflects a decrease of \$355,180 (-4.6%) from current year funding. The decrease is primarily due to a transfer of funding for family court justice initiatives to other budget programs for management efficiencies. Expenditure-based adjustments in supplies and materials, telecommunications and mediation services also contribute to the reduction. A program-based increase for judicial hearing officers partially offsets the overall nonpersonal service decrease for the Family Court Program.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Family Courts Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
NYC Family Court	\$68,104,783	\$3,420,139	\$71,524,922
3rd Judicial District	\$7,624,221	\$411,013	\$8,035,234
4th Judicial District	\$8,007,403	\$506,151	\$8,513,554
5th Judicial District	\$11,368,348	\$607,632	\$11,975,980
6th Judicial District	\$4,807,634	\$205,252	\$5,012,886
7th Judicial District	\$7,756,843	\$317,654	\$8,074,497
8th Judicial District	\$12,822,715	\$587,293	\$13,410,008
9th Judicial District	\$15,355,893	\$541,157	\$15,897,050
Nassau County	\$8,760,898	\$354,477	\$9,115,375
Suffolk County	\$11,692,884	\$367,491	\$12,060,375
Outside NYC Subtotal:	\$88,196,839	\$3,898,120	\$92,094,959
Undistributed:	(\$2,367,369)	\$0	(\$2,367,369)
Total:	\$153,934,253	\$7,318,259	\$161,252,512

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

FAMILY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	150,656,820	153,905,236	3,248,416
PS Overtime	25,821	29,017	3,196
Total Personal Service	<u>150,682,641</u>	<u>153,934,253</u>	<u>3,251,612</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	0	198	198
Supplies and Materials	1,031,421	911,516	(119,905)
Travel	178,405	193,675	15,270
Equipment Rental and Repairs	776,630	766,941	(9,689)
Real Estate Rentals	5,850	2,700	(3,150)
Conferences and Training	1,500	1,500	0
Postage and Printing	1,158,251	1,153,924	(4,327)
Telecommunications	323,273	288,624	(34,649)
Information Technology Services	2,200	2,200	0
Records Management Services	373,216	365,741	(7,475)
Other Professional Services	254,260	43,620	(210,640)
In-Part Services	2,074,495	2,081,745	7,250
ADR/SCAR/Arbitration	110,000	60,000	(50,000)
Judicial Hearing Officers	656,200	710,700	54,500
Transcripts	727,738	735,175	7,437
Total Nonpersonal Service	<u>7,673,439</u>	<u>7,318,259</u>	<u>(355,180)</u>
 Grand Total	 <u>158,356,080</u>	 <u>161,252,512</u>	 <u>2,896,432</u>

**COURTS OF ORIGINAL JURISDICTION
SURROGATE’S COURTS PROGRAM**

2014-15 Budget Request:	\$45,644,495
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Program Description

This Program provides funding for operations in Surrogate’s Courts.

Surrogate’s Court: There is a Surrogate’s Court established in each of the State’s 62 counties, except that, in many smaller counties, there is no separate Surrogate. For such counties, the Legislature has directed that the County Court Judge act as the Surrogate. Where this occurs, the affected Surrogate’s Court is termed a Multi-Bench Court and is funded under the Multi-Bench Courts Program.

Surrogate’s Court exercises jurisdiction over a broad array of matters affecting estates and trusts, including the granting of letters testamentary and letters of administration; the probate of wills; oversight of executors, estate administrators, trustees and guardians; the settlement of accounts of fiduciaries; concurrent jurisdiction with Family Court in the administration of infant guardianships and approval of adoptions; and concurrent jurisdiction with Supreme Court in matters pertaining to guardianships of incompetent persons.

Summary 2014-15 Funding Request:

Surrogate’s Courts

2014-15 Budget Request:	\$45,644,495
<i>Personal Service:</i>	44,097,831
<i>Nonpersonal Service:</i>	1,546,664
<i>Maintenance Undistributed:</i>	0

The Surrogate’s Courts General Fund budget request is \$45.6 million, or an increase of \$1.2 million (2.6%) over the current year adjusted appropriation.

The personal service request of \$44.1 million represents an increase of \$1.3 million (3.1%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The personal service request also includes \$44,892 for temporary service which will fund one part-time attorney who shares a position with an individual in a different title within the legal series.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Surrogate’s Court, including supplies and materials, postage and printing, equipment rental and repair, records management services and telecommunications.

The nonpersonal service request of \$1.5 million represents a decrease of \$136,637 (-8.1%) from the current year adjusted appropriation. This decrease is attributable to expenditure-based reductions in records management services, equipment rental and repairs, postage and printing and telecommunications. The decrease is partially offset by increased costs for in-part services, transcripts, and accounting and auditing services due to statutory expansion of electronic filing and increased credit card payments.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Surrogate Courts Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
New York Surrogate	\$5,751,571	\$138,999	\$5,890,570
Bronx Surrogate	\$3,245,571	\$81,713	\$3,327,284
Kings Surrogate	\$4,579,112	\$92,126	\$4,671,238
Queens Surrogate	\$3,752,436	\$97,597	\$3,850,033
Richmond Surrogate	\$2,548,739	\$65,177	\$2,613,916
NYC Subtotal:	\$19,877,429	\$475,612	\$20,353,041
3rd Judicial District	\$1,699,889	\$133,540	\$1,833,429
4th Judicial District	\$2,781,479	\$49,260	\$2,830,739
5th Judicial District	\$2,577,965	\$94,818	\$2,672,783
6th Judicial District	\$655,145	\$27,020	\$682,165
7th Judicial District	\$3,057,078	\$165,590	\$3,222,668
8th Judicial District	\$3,586,508	\$252,338	\$3,838,846
9th Judicial District	\$4,643,962	\$139,081	\$4,783,043
Nassau County	\$3,237,858	\$123,621	\$3,361,479
Suffolk County	\$2,658,140	\$85,784	\$2,743,924
Outside NYC Subtotal:	\$24,898,024	\$1,071,052	\$25,969,076
Undistributed:	(\$677,622)	\$0	(\$677,622)
Total:	\$44,097,831	\$1,546,664	\$45,644,495

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

SURROGATE COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	42,728,237	44,052,939	1,324,702
PS Temporary	57,995	44,892	(13,103)
Total Personal Service	<u>42,786,232</u>	<u>44,097,831</u>	<u>1,311,599</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,008	1,008	0
Supplies and Materials	238,182	237,715	(467)
Travel	19,397	20,054	657
Equipment Rental and Repairs	249,357	225,722	(23,635)
Real Estate Rentals	850	100	(750)
Postage and Printing	249,665	226,716	(22,949)
Telecommunications	95,285	81,621	(13,664)
Information Technology Services	2,058	808	(1,250)
Accounting and Auditing Services	92,952	97,002	4,050
Records Management Services	658,775	576,606	(82,169)
Other Professional Services	60,690	59,430	(1,260)
In-Part Services	10,682	13,682	3,000
Transcripts	4,400	6,200	1,800
Total Nonpersonal Service	<u>1,683,301</u>	<u>1,546,664</u>	<u>(136,637)</u>
 Grand Total	 44,469,533	 45,644,495	 1,174,962

**COURTS OF ORIGINAL JURISDICTION
MULTI-BENCH COURTS PROGRAM**

2014-15 Budget Request:	\$43,831,927
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Program Description

This Program provides funding for operations in the Multi-Bench Courts.

Multi-Bench Courts: In many smaller counties outside New York City, there is no separate Family Court Judge or Surrogate, or both. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate. Where this occurs, the affected County Court is termed a Multi-Bench Court. Affected counties having combined County, Family and Surrogate’s Courts include: Allegany, Cattaraugus, Chenango, Columbia, Cortland, Delaware, Essex, Franklin, Greene, Hamilton, Lewis, Livingston, Madison, Orleans, Otsego, Putnam, Schuyler, Schoharie, Seneca, Tioga, Tompkins, Washington, Wayne, Wyoming and Yates Counties. Those having combined County and Family Courts only include: Cayuga, Clinton, Ontario and Steuben Counties. Those having combined County and Surrogate’s Courts only include: Chemung, Clinton, Fulton, Genesee, Herkimer, Sullivan and Warren Counties.

Summary of 2014-15 Funding Request:

Multi-Bench Courts

2014-15 Budget Request:	\$43,831,927
<i>Personal Service:</i>	41,368,482
<i>Nonpersonal Service:</i>	2,463,445
<i>Maintenance Undistributed:</i>	0

The Multi-Bench Courts General Fund budget request is \$43.8 million, or an increase of \$1.6 million (3.8%) over the current year adjusted appropriation.

The personal service request of \$41.4 million represents an increase of \$1.5 million (3.7%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The personal service request also includes \$14,250 for temporary service. This funding supports three part-time positions in the trial courts in support of ongoing operations. Additionally, the overtime request for \$2,394 supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Multi-Bench Court, including supplies and materials, postage and printing, equipment rental and repair, records management services and telecommunications.

The nonpersonal service request of \$2.5 million represents an increase of \$113,499 (4.8%) over the current year adjusted appropriation. This is due to expenditure-based increases in transcripts and postage and printing, as well as the continuation of a records recovery and digitization project in Schoharie County related to damage from Hurricane Irene. These increases are partially offset by expenditure-based reductions in telecommunications, travel, and the rental and repair of equipment.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Multi-Bench Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$5,040,648	\$512,826	\$5,553,474
4th Judicial District	\$6,767,069	\$408,248	\$7,175,317
5th Judicial District	\$1,312,633	\$97,856	\$1,410,489
6th Judicial District	\$10,554,816	\$660,619	\$11,215,435
7th Judicial District	\$10,286,371	\$456,154	\$10,742,525
8th Judicial District	\$6,326,520	\$238,153	\$6,564,673
9th Judicial District	\$1,716,499	\$89,589	\$1,806,088
Outside NYC Subtotal:	\$42,004,556	\$2,463,445	\$44,468,001
Undistributed:	(\$636,074)	\$0	(\$636,074)
Total:	\$41,368,482	\$2,463,445	\$43,831,927

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

MULTI-BENCH COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	39,856,846	41,351,838	1,494,992
PS Temporary	15,700	14,250	(1,450)
PS Overtime	3,559	2,394	(1,165)
Total Personal Service	<u>39,876,105</u>	<u>41,368,482</u>	<u>1,492,377</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,694	3,100	(594)
Supplies and Materials	284,738	280,858	(3,880)
Travel	158,337	114,763	(43,574)
Equipment Rental and Repairs	399,235	369,654	(29,581)
Postage and Printing	347,253	357,657	10,404
Telecommunications	314,511	253,210	(61,301)
Information Technology Services	400	0	(400)
Accounting and Auditing Services	25,987	33,162	7,175
Records Management Services	117,998	311,323	193,325
Other Professional Services	49,415	46,978	(2,437)
In-Part Services	119,213	125,540	6,327
Judicial Hearing Officers	103,400	106,400	3,000
Transcripts	425,765	460,800	35,035
Total Nonpersonal Service	<u>2,349,946</u>	<u>2,463,445</u>	<u>113,499</u>
Grand Total	42,226,051	43,831,927	1,605,876

Program Description

This Program provides funding for operations in City Courts outside New York City, the Nassau and Suffolk County District Courts, the New York City Civil Court, the New York City Criminal Court, and the Arbitration Program pursuant to CPLR 3405.

City Courts Outside New York City: There are 61 City Courts outside New York City; 11 are comprised solely of full-time judges, 34 are comprised of a mix of full and part-time judges, and 16 are comprised exclusively of part-time judges. These Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Nassau and Suffolk County District Courts: The Constitution authorizes establishment of District Courts in counties and parts of counties following local initiative, State legislative enactment and local voter approval. At present, there are two such District Courts, one in Nassau County and one in Suffolk County. Like the City Courts, the District Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

New York City Civil Court: The Civil Court is a citywide court with civil jurisdiction over monetary claims of up to \$25,000 (including small claims and commercial claims of up to \$5,000), some equity matters and landlord/tenant matters. To assist in discharge of the latter, the Civil Court has a Housing Part, presided over by quasi-judicial hearing officers called Housing Judges. The Housing Part is not funded under this Program but under the New York City Housing Court Program.

New York City Criminal Court: The Criminal Court is a citywide court with criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Arbitration Program: CPLR 3405 authorizes the Chief Judge, by rule, to require that monetary claims not exceeding \$6,000 (not exceeding \$10,000 in the NYC Civil Court) brought in certain courts be subject to arbitration, provided that the affected parties are entitled to trial *de novo* if aggrieved by the award in arbitration. The Chief Judge has promulgated such a rule (22 NYCRR Part 28) and, pursuant to its terms, arbitration is required in approximately one-half the State's counties.

Summary of 2014-15 Funding Request:
City and District Courts

2014-15 Budget Request:	\$239,201,647
<i>Personal Service:</i>	231,108,808
<i>Nonpersonal Service:</i>	8,092,839
<i>Maintenance Undistributed:</i>	0

The City & District Program General Fund budget request is \$239.2 million, or an increase of \$8.9 million (3.9%) over the current year adjusted appropriation.

The personal service request of \$231.1 million represents an increase of \$9.1 million (4.1%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

Included is \$225,289 in temporary service costs for Acting City Court judges; part-time legal staff who share a position with an individual in a different title within the legal series; and, clerical positions to support ongoing court operations. The request also includes \$5.1 million in overtime.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the city & district courts, including supplies and materials, postage and printing, equipment rental and repair, records management services and in-part services for *per diem* interpreters and court reporters.

The nonpersonal service request of \$8.1 million represents a decrease of \$214,136 (-2.6%) from the current year adjusted appropriation. This decrease includes expenditure-based reductions in supplies and materials, telecommunications, employee travel costs, equipment rental and repairs and judicial hearing officers. These decreases are partially offset by an increase in records management services, primarily to address increased operational needs in Nassau District Court. Additional expenditure-based increases have been requested for in-part services including *per diem* court interpreters and court reporters, information technology services, arbitration and transcripts.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

City and District Courts Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
NYC Civil Court	\$57,602,647	\$1,226,100	\$58,828,747
NYC Criminal Court	\$80,038,927	\$2,579,487	\$82,618,414
NYC Subtotal:	\$137,641,574	\$3,805,587	\$141,447,161
3rd Judicial District	\$5,194,556	\$248,641	\$5,443,197
4th Judicial District	\$4,642,937	\$212,506	\$4,855,443
5th Judicial District	\$8,445,757	\$428,196	\$8,873,953
6th Judicial District	\$4,170,960	\$202,387	\$4,373,347
7th Judicial District	\$7,366,729	\$322,658	\$7,689,387
8th Judicial District	\$13,514,388	\$546,468	\$14,060,856
9th Judicial District	\$14,987,586	\$598,491	\$15,586,077
Nassau County	\$19,404,700	\$1,012,402	\$20,417,102
Suffolk County	\$19,213,031	\$715,503	\$19,928,534
Outside NYC Subtotal:	\$96,940,644	\$4,287,252	\$101,227,896
Undistributed:	(\$3,473,410)	\$0	(\$3,473,410)
Total:	\$231,108,808	\$8,092,839	\$239,201,647

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

CITY & DIST INC ARBITRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	216,251,989	225,810,143	9,558,154
PS Temporary	688,791	225,289	(463,502)
PS Overtime	5,043,278	5,073,376	30,098
Total Personal Service	<u>221,984,058</u>	<u>231,108,808</u>	<u>9,124,750</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,338	3,384	(954)
Supplies and Materials	1,056,868	939,494	(117,374)
Travel	278,045	216,914	(61,131)
Equipment Rental and Repairs	883,895	829,646	(54,249)
Real Estate Rentals	4,200	640	(3,560)
Conferences and Training	1,050	1,050	0
Postage and Printing	1,393,992	1,393,136	(856)
Telecommunications	478,199	415,658	(62,541)
Information Technology Services	15,600	20,000	4,400
Accounting and Auditing Services	491,181	490,973	(208)
Records Management Services	301,179	399,664	98,485
Other Professional Services	54,935	52,965	(1,970)
In-Part Services	1,891,448	1,898,745	7,297
ADR/SCAR/Arbitration	462,900	468,800	5,900
Judicial Hearing Officers	709,600	679,600	(30,000)
Transcripts	279,545	282,170	2,625
Total Nonpersonal Service	<u>8,306,975</u>	<u>8,092,839</u>	<u>(214,136)</u>
 Grand Total	 <u>230,291,033</u>	 <u>239,201,647</u>	 <u>8,910,614</u>

COURTS OF ORIGINAL JURISDICTION
NEW YORK CITY HOUSING COURT PROGRAM

2014-15 Budget Request: \$32,240,505

Program Description

This Program provides funding for the Housing Part of the New York City Civil Court.

Housing Part of the Civil Court: In 1972, the Legislature established a special part of the New York City Civil Court to handle housing code enforcement and landlord/tenant disputes relating to possession of residential property in New York City. The enabling statute (New York City Civil Court Act § 110) also established a corps of quasi-judicial hearing officers, designated by the Chief Administrative Judge and now known as Housing Judges, to preside in the Housing Part.

Summary 2014-15 Funding Request:

New York City Housing Court

2014-15 Budget Request: \$32,240,505

<i>Personal Service:</i>	31,207,280
<i>Nonpersonal Service:</i>	1,033,225
<i>Maintenance Undistributed:</i>	0

The New York City Housing Court General Fund budget request is \$32.2 million, or an increase of \$1.5 million (4.8%) over the current year adjusted appropriation.

The personal service request of \$31.2 million represents an increase of \$1.5 million (5.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The personal service request also includes \$66,067 for temporary service to support two part-time court attorneys and \$6,200 for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including supplies and materials, postage and printing and *per diem* court interpreters within in-part services. The nonpersonal service request also includes funding in other professional services for a contract that provides information and support to self-represented tenants and owners in each borough's Housing Court.

The nonpersonal service request of \$1 million is a decrease of \$59,649 (-5.5%) from current year funding. The decrease is primarily due to expenditure-based decreases in supplies and materials and postage and printing. The decrease is partially offset by funding to support a greater need for *per diem* court interpreters.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

New York City Housing Court

2014-15 Request

New York City Housing Court	Personal Service	Nonpersonal Service	Total
Housing Court	\$31,207,280	\$1,033,225	\$32,240,505
Total:	\$31,207,280	\$1,033,225	\$32,240,505

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

NYC HOUSING COURT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	29,568,079	31,135,013	1,566,934
PS Temporary	80,369	66,067	(14,302)
PS Overtime	10,170	6,200	(3,970)
Total Personal Service	<u>29,658,618</u>	<u>31,207,280</u>	<u>1,548,662</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	174,200	120,100	(54,100)
Travel	5,125	5,125	0
Equipment Rental and Repairs	99,849	109,000	9,151
Postage and Printing	232,000	202,000	(30,000)
Telecommunications	14,700	9,000	(5,700)
Records Management Services	0	6,000	6,000
Other Professional Services	405,000	385,000	(20,000)
In-Part Services	135,000	170,000	35,000
Transcripts	27,000	27,000	0
Total Nonpersonal Service	<u>1,092,874</u>	<u>1,033,225</u>	<u>(59,649)</u>
 Grand Total	 30,751,492	 32,240,505	 1,489,013

**COURTS OF ORIGINAL JURISDICTION
COMMUNITY COURTS PROGRAM**

2014-15 Budget Request:	\$5,112,462
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Program Description

This Program provides funding for the various Community Courts in New York City.

Community Courts: Community Courts adjudicate low-level and quality-of-life offenses such as prostitution, shoplifting, vandalism and drug possession. By combining conventional punishments with alternative sanctions, Community Courts emphasize accountability, community responsibility and restitution. By incorporating problem-solving policies and methods, Community Courts focus on relationships among the neighborhood, offender, and courts with the overarching goal of increasing community confidence in and access to the criminal justice system. Funding is requested for the following Community Courts: Manhattan’s Midtown Community Court and Harlem Community Justice Center; Brooklyn’s Red Hook Community Justice Center and Brownsville Community Justice Center; and the Bronx’s Community Solutions.

Summary 2014-15 Funding Request:

Community Courts

2014-15 Budget Request:	\$5,112,462
<i>Personal Service:</i>	2,121,336
<i>Nonpersonal Service:</i>	2,991,126
<i>Maintenance Undistributed:</i>	0

The Community Courts General Fund budget request is \$5.1 million, or an increase of \$143,513 (2.9%) over the current year adjusted appropriation.

The personal service request of \$2.1 million represents an increase of \$93,612 (4.6%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The personal service request also includes \$101,300 for overtime.

Nonpersonal service funding supports usual and necessary expenses associated with Community Court operations and administration including supplies and materials, postage and printing and *per diem* court interpreters within in-part services. The other professional services request supports project coordination and administration in each Community Court through contracts with the Fund for the City of New York.

The nonpersonal service request is \$3 million, or an increase of \$49,901 (1.7%) over current year funding. A greater need for *per diem* court interpreters accounts for additional funding within in-part services. This increase is partially offset by expenditure-based decreases in telecommunications, supplies and materials and postage and printing.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Community Courts Program

2014-15 Request

New York City	Personal Service	Nonpersonal Service	Total
Midtown Community Court	\$484,408	\$645,908	\$1,130,316
Red Hook Community Court	\$926,574	\$839,572	\$1,766,146
Harlem Community Justice Center	\$741,426	\$523,454	\$1,264,880
Bronx Community Solutions	\$0	\$726,533	\$726,533
Brownsville Community Court	\$0	\$255,659	\$255,659
Undistributed:	(\$31,072)	\$0	(\$31,072)
Total:	\$2,121,336	\$2,991,126	\$5,112,462

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

NYC COMMUNITY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,932,888	2,020,036	87,148
PS Overtime	94,836	101,300	6,464
Total Personal Service	<u>2,027,724</u>	<u>2,121,336</u>	<u>93,612</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	27,921	25,372	(2,549)
Equipment Rental and Repairs	25,800	25,000	(800)
Postage and Printing	6,000	4,700	(1,300)
Telecommunications	19,200	12,700	(6,500)
Other Professional Services	2,776,604	2,776,604	0
In-Part Services	85,500	146,550	61,050
Transcripts	200	200	0
Total Nonpersonal Service	<u>2,941,225</u>	<u>2,991,126</u>	<u>49,901</u>
 Grand Total	 4,968,949	 5,112,462	 143,513

**COURTS OF ORIGINAL JURISDICTION
DRUG TREATMENT COURTS PROGRAM**

2014-15 Budget Request: \$14,985,401

Program Description

This Program provides for non-grant supported funding for the operation of Drug Treatment Courts throughout the State.

Drug Treatment Courts: Drug Treatment Courts are parts that operate within certain courts that have criminal or family jurisdiction. These forums were established to help individuals and communities break the destructive cycle of repeated drug abuse and arrest. They provide nonviolent drug offenders the opportunity to participate in rehabilitation programs as alternatives to incarceration. During the 1990's when the drug treatment court model was first implemented, Drug Treatment Courts were substantially funded by federal grants. Since then, however, the State has increasingly assumed greater responsibility for funding of these programs. The Maintenance Undistributed Program within the Courts of Original Jurisdiction includes funding for anticipated federal grants in support of Drug Treatment Courts.

Summary of 2014-15 Funding Request:
Drug Treatment Courts

2014-15 Budget Request: \$14,985,401	
<i>Personal Service:</i>	<i>13,810,712</i>
<i>Nonpersonal Service:</i>	<i>1,174,689</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Drug Treatment Courts General Fund budget request is \$15 million, or a decrease of \$350,958 (-2.3%) from the current adjusted appropriation.

The personal service request of \$13.8 million represents a decrease of \$332,433 (-2.4%) from the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, location pay and uniform allowance, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves, as well as staffing adjustments implemented to meet operational needs.

Included in the personal service request is \$81,823 for overtime to support current levels of operations.

Nonpersonal service funding supports ongoing court operations including supplies and materials, conferences and training for judicial and nonjudicial employees, and other professional services.

The nonpersonal service request is \$1.2 million, or a decrease of \$18,525 (-1.6%) from current year funding. This decrease is attributable to expenditure-based reductions in supplies and materials and employee travel costs.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Drug Treatment Courts Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
New York	\$1,665,181	\$84,683	\$1,749,864
Bronx	\$1,179,908	\$22,513	\$1,202,421
Kings	\$2,159,691	\$159,822	\$2,319,513
Queens	\$1,071,223	\$49,077	\$1,120,300
Richmond	\$226,911	\$29,700	\$256,611
NYC Subtotal:	\$6,302,914	\$345,795	\$6,648,709
3rd Judicial District	\$909,066	\$68,900	\$977,966
4th Judicial District	\$939,063	\$69,652	\$1,008,715
5th Judicial District	\$993,891	\$44,060	\$1,037,951
6th Judicial District	\$494,311	\$97,350	\$591,661
7th Judicial District	\$868,256	\$69,890	\$938,146
8th Judicial District	\$1,824,696	\$109,708	\$1,934,404
9th Judicial District	\$889,778	\$81,673	\$971,451
Nassau County	\$164,781	\$99,025	\$263,806
Suffolk County	\$635,134	\$87,282	\$722,416
Outside NYC Subtotal:	\$7,718,976	\$727,540	\$8,446,516
Undistributed	(\$211,178)	\$101,354	(\$109,824)
Total:	\$13,810,712	\$1,174,689	\$14,985,401

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

DRUG TREATMENT COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	14,068,520	13,728,889	(339,631)
PS Overtime	74,625	81,823	7,198
Total Personal Service	<u>14,143,145</u>	<u>13,810,712</u>	<u>(332,433)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	25	0	(25)
Supplies and Materials	943,379	927,611	(15,768)
Travel	46,271	39,608	(6,663)
Equipment Rental and Repairs	33,256	31,912	(1,344)
Conferences and Training	102,354	102,354	0
Postage and Printing	7,940	6,413	(1,527)
Telecommunications	9,605	9,391	(214)
Information Technology Services	3,800	3,800	0
Other Professional Services	44,084	51,350	7,266
In-Part Services	2,500	2,250	(250)
Total Nonpersonal Service	<u>1,193,214</u>	<u>1,174,689</u>	<u>(18,525)</u>
 Grand Total	 15,336,359	 14,985,401	 (350,958)

COURTS OF ORIGINAL JURISDICTION
COURT OF CLAIMS PROGRAM

2014-15 Budget Request:	\$17,744,391
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Program Description

This Program provides funding for the operation of the Court of Claims.

Court of Claims: The Court of Claims is the exclusive judicial forum for actions brought against New York State and certain State entities like the Thruway Authority and the City University of New York. The Court hears a broad array of tort and contract cases and disputes over compensation awards following exercises of the State’s power of eminent domain. It exercises its jurisdiction statewide with a presence in venues across New York (including Albany, Binghamton, Buffalo, Hauppauge, New York City, Rochester, Saratoga Springs, Syracuse, Utica and White Plains). All actions in the Court of Claims are heard by a judge sitting without a jury.

Summary of 2014-15 Funding Request:
Court of Claims

2014-15 Budget Request:	\$17,744,391
<i>Personal Service:</i>	<i>13,339,737</i>
<i>Nonpersonal Service:</i>	<i>4,404,654</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Court of Claims General Fund budget request is \$17.7 million, or an increase of \$850,027 (5%) over the current year adjusted appropriation.

The personal service request of \$13.3 million represents an increase of \$821,417 (6.6%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration including: supplies and materials, equipment rental and repairs, postage and printing and telecommunications. Funding in real estate rentals supports judicial chambers and courtroom space in multiple locations throughout the State.

The nonpersonal service request is \$4.4 million, or an increase of \$28,610 (0.7%) over current year funding. The increase is primarily attributable to an increase in real estate rental costs. This increase is partially offset by expenditure-based reductions in equipment rental and repairs, postage and printing, information technology services and other professional services.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Court of Claims

2014-15 Request

Court of Claims	Personal Service	Nonpersonal Service	Total
Court of Claims	\$13,339,737	\$4,404,654	\$17,744,391
Total:	\$13,339,737	\$4,404,654	\$17,744,391

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURT OF CLAIMS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,515,453	13,337,937	822,484
PS Overtime	2,867	1,800	(1,067)
Total Personal Service	<u>12,518,320</u>	<u>13,339,737</u>	<u>821,417</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,229	2,229	0
Supplies and Materials	81,600	77,000	(4,600)
Travel	80,472	85,000	4,528
Equipment Rental and Repairs	145,310	125,000	(20,310)
Real Estate Rentals	3,753,823	3,865,730	111,907
Conferences and Training	60,000	55,000	(5,000)
Postage and Printing	65,000	51,045	(13,955)
Telecommunications	87,050	86,650	(400)
Information Technology Services	15,000	3,000	(12,000)
Accounting and Auditing Services	1,560	2,500	940
Records Management Services	14,000	14,000	0
Other Professional Services	60,000	27,000	(33,000)
In-Part Services	6,000	6,500	500
Transcripts	4,000	4,000	0
Total Nonpersonal Service	<u>4,376,044</u>	<u>4,404,654</u>	<u>28,610</u>
Grand Total	16,894,364	17,744,391	850,027

**COURTS OF ORIGINAL JURISDICTION
JURY PROGRAM**

2014-15 Budget Request: \$44,826,704

Program Description

This Program provides funding for the cost of operating the jury system for New York’s State-funded courts.

The Jury System: The State Constitution guarantees litigants before New York’s courts trial by jury in most cases. The Judiciary Law, Article 16, sets forth the procedures to be followed in making available an adequate number of jurors so that the Judiciary can meet this constitutional guarantee. The focal point for these procedures is in the office of Commissioner of Jurors. One such office is established for each of the 57 counties outside New York City, whereas, in the City, the Commissioner’s functions are discharged by the jury divisions of the County Clerk’s office in each of the City’s five boroughs. The Commissioners oversee the qualification and summoning of citizens for jury service, the maintenance of juror service records, the processing of juror payment vouchers, the operation of jury assembly rooms, and the provision of jurors to courtrooms for the jury selection portion of a trial.

Summary of 2014-15 Funding Request:

Jury System

2014-15 Budget Request:	\$44,826,704
<i>Personal Service:</i>	18,907,283
<i>Nonpersonal Service:</i>	25,919,421
<i>Maintenance Undistributed:</i>	0

The Jury Systems Operations General Fund budget request is \$44.8 million, or a decrease of \$431,959 (-1%) from the current year adjusted appropriation.

The personal service request of \$18.9 million is a decrease of \$302,710 (-1.6%) from the current year adjusted appropriation. This request includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial leaves, as well as staffing adjustments implemented to meet operational needs.

Nonpersonal service funding supports ongoing jury systems operations, including jury *per diems* at the rate of \$40 per day, fees for jurors who serve in town and village courts and, under certain circumstances, meals and lodging for jurors. Also included in this category are postage and printing for juror questionnaires and summonses, other professional services, supplies and materials, equipment rental and repairs, and telecommunications.

The nonpersonal service request of \$25.9 million represents a decrease of \$129,249 (-0.5%) from the current year adjusted appropriation. The reduction is attributable to continued cost saving measures in telecommunications and supplies and materials. In addition, an expenditure-based reduction for in-part services for *per diem* interpreters and the elimination of discretionary contractual obligations in other general services also contribute to the overall reduction.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Jury Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
New York	\$3,339,582	\$4,222,284	\$7,561,866
Bronx	\$1,659,856	\$2,632,902	\$4,292,758
Kings	\$2,392,012	\$3,374,634	\$5,766,646
Queens	\$1,589,507	\$2,394,886	\$3,984,393
Richmond	\$371,272	\$419,986	\$791,258
NYC Subtotal:	\$9,352,229	\$13,044,692	\$22,396,921
3rd Judicial District	\$1,000,026	\$796,706	\$1,796,732
4th Judicial District	\$1,195,330	\$807,836	\$2,003,166
5th Judicial District	\$1,022,176	\$767,286	\$1,789,462
6th Judicial District	\$413,356	\$564,810	\$978,166
7th Judicial District	\$1,189,092	\$1,033,202	\$2,222,294
8th Judicial District	\$1,233,960	\$1,008,097	\$2,242,057
9th Judicial District	\$1,699,598	\$1,440,187	\$3,139,785
Nassau County	\$1,021,966	\$1,184,908	\$2,206,874
Suffolk County	\$1,070,379	\$1,382,428	\$2,452,807
Outside NYC Subtotal:	\$9,845,883	\$8,985,460	\$18,831,343
Undistributed	(\$290,829)	\$3,889,269	\$3,598,440
Total:	\$18,907,283	\$25,919,421	\$44,826,704

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

JURY SYSTEMS OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,099,342	18,907,083	(192,259)
PS Temporary	107,337	0	(107,337)
PS Overtime	3,314	200	(3,114)
Total Personal Service	<u>19,209,993</u>	<u>18,907,283</u>	<u>(302,710)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,575	1,950	(625)
Supplies and Materials	586,400	573,241	(13,159)
Travel	17,983	18,308	325
Equipment Rental and Repairs	334,416	352,976	18,560
Real Estate Rentals	2,375	700	(1,675)
Postage and Printing	4,062,973	4,062,973	0
Telecommunications	205,020	176,977	(28,043)
Records Management Services	7,805	15,145	7,340
Other Professional Services	665,714	567,147	(98,567)
In-Part Services	47,850	34,445	(13,405)
Jury Fees	20,115,559	20,115,559	0
Total Nonpersonal Service	<u>26,048,670</u>	<u>25,919,421</u>	<u>(129,249)</u>
Grand Total	45,258,663	44,826,704	(431,959)

**COURTS OF ORIGINAL JURISDICTION
NEW YORK CITY COUNTY CLERKS PROGRAM**

2014-15 Budget Request: \$23,812,493

Program Description

This Program provides funding for the New York City County Clerks' offices in New York, Bronx, Kings, Queens and Richmond Counties.

New York City County Clerks: The State Constitution directs that the County Clerk in each of the five boroughs of New York City act as the Clerk of the Supreme Court and as the Commissioner of Jurors. The Richmond County Clerk also acts as the Registrar of Deeds for property transactions on Staten Island. In 1995, the Judiciary Law and the State Finance Law were amended to require New York City to assume the cost of County Clerk offices, except for expenses related to jury operations. The nonjury operations are funded by recording fees that would otherwise be payable to New York City as well as deductions from local assistance funds owed the City of New York. Jury operations are funded through the Jury System Operations Program.

Summary of 2014-15 Funding Request:
New York City County Clerks

2014-15 Budget Request:	\$23,812,493
<i>Personal Service:</i>	19,706,556
<i>Nonpersonal Service:</i>	4,105,937
<i>Maintenance Undistributed:</i>	0

The New York City County Clerks Special Revenue Fund budget request is \$23.8 million, or an increase of \$1.3 million (5.7%) over the current year adjusted appropriation.

The personal service request of \$19.7 million represents an increase of \$404,260 (2.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

Nonpersonal service funding supports usual and necessary expenses associated with county clerk operations including supplies and materials, equipment rental and repairs, postage and printing, accounting and auditing services and records management services. Accounting and auditing services consist of the fees associated with the processing of credit card payments. Records management services include records storage and digitization or microfilming of paper records.

The nonpersonal service request is \$4.1 million, or an increase of \$888,118 (27.6%) over current year funding. The increase is primarily attributable to the first phase of costs associated with a new contract for records storage for New York City courts. These costs include the retrieval of court records from the former storage vendor's facility and delivery to the new one. An increase in accounting and auditing services is associated with the expanded use of electronic filing. The nonpersonal service increases are partially offset by expenditure-based decreases in supplies and materials and postage and printing.

**Courts of Original Jurisdiction
Budget Summary - State Special Revenue Fund**

New York City County Clerks Program

2014-15 Request

New York City	Personal Service	Nonpersonal Service	Total
New York	\$5,828,719	\$1,198,500	\$7,027,219
Bronx	\$3,289,802	\$362,750	\$3,652,552
Kings	\$4,488,487	\$596,450	\$5,084,937
Queens	\$3,395,985	\$611,687	\$4,007,672
Richmond	\$2,703,563	\$399,050	\$3,102,613
Undistributed	\$0	\$937,500	\$937,500
Total:	\$19,706,556	\$4,105,937	\$23,812,493

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

NYC COUNTY CLERKS
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,302,296	19,706,556	404,260
Total Personal Service	19,302,296	19,706,556	404,260
<u>Nonpersonal Service</u>			
Supplies and Materials	201,350	174,200	(27,150)
Travel	4,650	4,650	0
Equipment Rental and Repairs	172,392	167,700	(4,692)
Real Estate Rentals	500	0	(500)
Postage and Printing	189,300	162,700	(26,600)
Telecommunications	76,190	75,200	(990)
Information Technology Services	18,500	21,500	3,000
Accounting and Auditing Services	298,200	413,200	115,000
Records Management Services	2,250,087	3,080,137	830,050
Other Professional Services	6,650	6,650	0
Total Nonpersonal Service	3,217,819	4,105,937	888,118
 Grand Total	 22,520,115	 23,812,493	 1,292,378

**COURTS OF ORIGINAL JURISDICTION
SUPREME AND COUNTY COURT
LAW LIBRARY PROGRAM**

2014-15 Budget Request: \$5,809,319
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Program Description

This Program provides funding for the Supreme and County Court Law Libraries maintained by the Judiciary at various venues throughout the State.

Supreme and County Court Law Libraries: The Judiciary Law, Article 21, requires that there be a court law library in each county of the State and that each such library be managed under the aegis of the Chief Administrative Judge with the assistance of a local board of trustees.

Summary of 2014-15 Funding Request:

**Supreme and County Court
Law Libraries**

2014-15 Budget Request:	\$5,809,319
<i>Personal Service:</i>	5,496,515
<i>Nonpersonal Service:</i>	312,804
<i>Maintenance Undistributed:</i>	0

The Supreme Court Law Libraries General Fund budget request is \$5.8 million, or a decrease of \$132,466 (-2.2%) from the current year adjusted appropriation.

The personal service request of \$5.5 million represents a decrease of \$74,154 (-1.3%) from the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, location pay and uniform allowance, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves, as well as staffing adjustments implemented to meet operational needs.

The personal service request also includes \$69,472 for temporary service. This funding includes several part-time positions to support ongoing law library operations.

Nonpersonal service funding supports ongoing law library operations and includes legal reference materials and online legal services, equipment rental and repairs, and supplies and materials. Acquisition of most legal reference materials is provided through the statewide Office of Legal Information in the Court Support Services Program.

The nonpersonal service request of \$312,804 represents a decrease of \$58,312 (-15.7%) from the current year adjusted appropriation. This decrease is attributable to continued cost saving measures in postage and printing, equipment rentals and repairs, and supplies and materials.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Law Libraries Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
New York Civil	\$101,374	\$7,300	\$108,674
New York Criminal	\$68,874	\$27,772	\$96,646
Bronx	\$81,073	\$9,915	\$90,988
Kings	\$431,452	\$12,010	\$443,462
Queens	\$343,675	\$29,060	\$372,735
Richmond	\$186,112	\$3,300	\$189,412
NYC Subtotal:	\$1,212,560	\$89,357	\$1,301,917
3rd Judicial District	\$404,737	\$27,838	\$432,575
4th Judicial District	\$386,462	\$13,440	\$399,902
5th Judicial District	\$1,087,009	\$47,545	\$1,134,554
6th Judicial District	\$216,120	\$34,500	\$250,620
7th Judicial District	\$281,167	\$22,751	\$303,918
8th Judicial District	\$429,418	\$21,709	\$451,127
9th Judicial District	\$519,760	\$9,449	\$529,209
Nassau County	\$292,108	\$17,497	\$309,605
Suffolk County	\$750,653	\$28,718	\$779,371
Outside NYC Subtotal:	\$4,367,434	\$223,447	\$4,590,881
Undistributed:	(\$83,479)	\$0	(\$83,479)
Total:	\$5,496,515	\$312,804	\$5,809,319

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

LAW LIBRARIES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	5,501,447	5,427,043	(74,404)
PS Temporary	69,222	69,472	250
Total Personal Service	<u>5,570,669</u>	<u>5,496,515</u>	<u>(74,154)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	109,529	103,134	(6,395)
Supplies and Materials	83,395	68,932	(14,463)
Travel	16,306	17,320	1,014
Equipment Rental and Repairs	88,238	73,280	(14,958)
Real Estate Rentals	450	0	(450)
Conferences and Training	100	100	0
Postage and Printing	36,155	19,030	(17,125)
Telecommunications	23,598	19,753	(3,845)
Other Professional Services	13,145	11,055	(2,090)
In-Part Services	200	200	0
Total Nonpersonal Service	<u>371,116</u>	<u>312,804</u>	<u>(58,312)</u>
 Grand Total	 5,941,785	 5,809,319	 (132,466)

**COURTS OF ORIGINAL JURISDICTION
ALTERNATIVE DISPUTE RESOLUTION
AND COURT IMPROVEMENT PROGRAMS**

2014-15 Budget Request:	\$8,883,379
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Program Description

This Program provides funding for the Community Dispute Resolution Centers, court-connected ADR programs in selected courts, Children’s Centers and Court Appointed Special Advocates.

Alternative Dispute Resolution and Court Improvement Program: The Community Dispute Resolution Centers provide financial assistance to nonprofit organizations around the State that provide neutral third-party mediators to help parties resolve their disputes outside of court. Court-connected ADR programs provide court-sponsored mediation services to aid litigants in resolving a broad array of disputes, including custody and visitation matters in Family Court, attorney fee disputes in matrimonial actions in Supreme Court, estate matters in Surrogate’s Court and housing and small claims disputes in City and District Courts. The Children’s Centers provide child care services for young children who accompany family members to court. Court Appointed Special Advocates are volunteers trained by a local network of CASA agencies and appointed by Family Court judges to advocate for children in abuse and neglect cases.

Summary of 2014-15 Funding Request:
**Alternative Dispute Resolution and
Court Improvement**

2014-15 Budget Request:	\$8,883,379
<i>Personal Service:</i>	<i>1,597,563</i>
<i>Nonpersonal Service:</i>	<i>7,285,816</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Alternative Dispute Resolution and Court Improvement Program General Fund budget request is \$8.9 million, or an increase of \$103,869 (1.2%) over the current year adjusted appropriation.

The personal service request of \$1.6 million represents an increase of \$32,441 (2.1 %) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The nonpersonal service request of \$7.3 million supports contractual services for dispute resolution programs, child-care providers and local CASA agencies. The request represents an increase of \$71,428 (1%) over the current year adjusted appropriation attributable to contractual cost of living adjustments.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Alternative Dispute Resolution and Court Improvement Programs

2014-15 Request

Alternative Dispute Resolution & Court Improvement	Personal Service	Nonpersonal Service	Total
Alternative Dispute Resolution & Court Improvement	\$1,597,563	\$7,285,816	\$8,883,379
Total:	\$1,597,563	\$7,285,816	\$8,883,379

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ADR & COURT IMPROVEMENT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,565,122	1,597,563	32,441
Total Personal Service	1,565,122	1,597,563	32,441
<u>Nonpersonal Service</u>			
Supplies and Materials	8,604	7,604	(1,000)
Travel	30,000	27,500	(2,500)
Conferences and Training	25,000	32,500	7,500
Postage and Printing	8,000	4,000	(4,000)
Other Professional Services	1,904,320	1,923,364	19,044
ADR/SCAR/Arbitration	5,238,464	5,290,848	52,384
Total Nonpersonal Service	7,214,388	7,285,816	71,428
 Grand Total	 8,779,510	 8,883,379	 103,869

**COURTS OF ORIGINAL JURISDICTION
COURT SUPPORT SERVICES PROGRAM**

2014-15 Budget Request: \$94,915,672

Program Description

This Program provides funding for the Court Support Services Program.

Court Support Services: The Chief Administrative Judge maintains an array of offices for the purpose of providing direct support to the courts and court-related agencies of the Unified Court System. This centralized support is provided in the areas of technology, human resource administration, payroll processing, court interpreter operations, legal resources, records management, workforce diversity initiatives, access to justice initiatives, continuing legal education, criminal disposition reconciliation reporting and general administrative services. Also providing support to court operations are the Office of the Inspector General, the Office of Policy and Planning, and the Division of Professional and Court Services.

Summary of 2014-15 Funding Request:

Court Support Services

2014-15 Budget Request:	\$94,915,672
<i>Personal Service:</i>	38,741,252
<i>Nonpersonal Service:</i>	56,174,420
<i>Maintenance Undistributed:</i>	0

The Court Support Services All Funds budget request is \$94.9 million, or an increase of \$834,866 (0.9%) over the current year adjusted appropriation.

The personal service request of \$38.7 million represents an increase of \$379,010 (1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The personal service request also includes \$23,270 for temporary service in support of ongoing operations. Also reflected in the personal service request is \$300,000 in overtime which supports current levels of operations.

The nonpersonal service request will fund ongoing centralized court support services, including: the provision of legal reference materials and online services, equipment rentals and repairs, rental of office and training space, CourtNet support, and information hardware and software maintenance. Funding in support of the Judiciary's partnership with the Center for Court Innovation as well as funding to provide grants for lawyer assistance services throughout New York State is also included.

The nonpersonal service request of \$56.2 million is an increase of \$455,856 (0.8%) over current year funding. The increase is attributable to the expansion of initiatives that the Judiciary

is undertaking in partnership with the Center for Court Innovation. An increase in information technology services relates to contractual obligations for hardware and software maintenance in the Division of Technology. These increases are partially offset by a decrease in real estate rentals resulting from continued efforts to control costs by consolidating rental space.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Court Support Services

2014-15 Request

Court Support Services	Personal Service	Nonpersonal Service	Total
Administrative Services	\$5,145,837	\$12,378,655	\$17,524,492
Continuing Legal Education	\$970,654	\$40,878	\$1,011,532
Court Interpreter Operations	\$3,910,926	\$9,631	\$3,920,557
Criminal Disposition Reconciliation	\$408,028	\$8,750	\$416,778
Data Processing & Network Support	\$17,705,906	\$21,419,258	\$39,125,164
Division of Professional & Court Services	\$1,456,323	\$1,732,340	\$3,188,663
Human Resources Administration	\$3,431,588	\$930,000	\$4,361,588
Office of the Inspector General	\$1,257,199	\$29,000	\$1,286,199
Legal Resources	\$524,212	\$18,722,454	\$19,246,666
NYS Courts Access to Justice	\$373,343	\$81,325	\$454,668
Office of Policy and Planning	\$911,636	\$91,000	\$1,002,636
Payroll Operations	\$2,136,444	\$208,000	\$2,344,444
Records Management	\$783,583	\$482,192	\$1,265,775
Workforce Diversity	\$316,518	\$40,937	\$357,455
Undistributed	(\$590,945)	\$0	(\$590,945)
Total:	\$38,741,252	\$56,174,420	\$94,915,672

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
COURT SUPPORT SERVICES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	37,888,245	38,417,982	529,737
PS Temporary	309,197	23,270	(285,927)
PS Overtime	164,800	300,000	135,200
Total Personal Service	<u>38,362,242</u>	<u>38,741,252</u>	<u>379,010</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,674,306	18,655,620	(18,686)
Supplies and Materials	1,339,720	1,333,970	(5,750)
Travel	266,484	287,556	21,072
Equipment Rental and Repairs	2,675,919	2,698,919	23,000
Real Estate Rentals	11,811,666	11,275,666	(536,000)
Conferences and Training	166,500	129,500	(37,000)
Postage and Printing	715,328	695,128	(20,200)
Telecommunications	6,830,500	6,830,000	(500)
Information Technology Services	10,039,419	10,605,839	566,420
Accounting and Auditing Services	150,000	100,000	(50,000)
Records Management Services	260,282	280,282	20,000
Other Professional Services	2,786,940	3,280,440	493,500
In-Part Services	1,500	1,500	0
Total Nonpersonal Service	<u>55,718,564</u>	<u>56,174,420</u>	<u>455,856</u>
 Grand Total	 94,080,806	 94,915,672	 834,866

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURT SUPPORT SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	20,489,759	19,857,222	(632,537)
PS Temporary	23,270	23,270	0
PS Overtime	139,008	184,200	45,192
Total Personal Service	<u>20,652,037</u>	<u>20,064,692</u>	<u>(587,345)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,674,306	18,655,620	(18,686)
Supplies and Materials	1,337,220	1,332,470	(4,750)
Travel	263,984	286,056	22,072
Equipment Rental and Repairs	2,675,919	2,698,919	23,000
Real Estate Rentals	11,811,666	11,275,666	(536,000)
Conferences and Training	166,500	129,500	(37,000)
Postage and Printing	712,450	692,250	(20,200)
Telecommunications	6,830,500	6,830,000	(500)
Information Technology Services	7,004,419	7,570,839	566,420
Accounting and Auditing Services	150,000	100,000	(50,000)
Records Management Services	260,282	280,282	20,000
Other Professional Services	1,769,440	2,280,440	511,000
In-Part Services	1,500	1,500	0
Total Nonpersonal Service	<u>51,658,186</u>	<u>52,133,542</u>	<u>475,356</u>
Grand Total	72,310,223	72,198,234	(111,989)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURT SUPPORT SERVICES
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,038,434	970,654	(67,780)
Total Personal Service	1,038,434	970,654	(67,780)
<u>Nonpersonal Service</u>			
Supplies and Materials	2,500	1,500	(1,000)
Travel	2,500	1,500	(1,000)
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Other Professional Services	17,500	0	(17,500)
Total Nonpersonal Service	60,378	40,878	(19,500)
 Grand Total	 1,098,812	 1,011,532	 (87,280)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURT SUPPORT SERVICES
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,360,052	17,590,106	1,230,054
PS Temporary	285,927	0	(285,927)
PS Overtime	25,792	115,800	90,008
Total Personal Service	<u>16,671,771</u>	<u>17,705,906</u>	<u>1,034,135</u>
<u>Nonpersonal Service</u>			
Information Technology Services	3,000,000	3,000,000	0
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	<u>4,000,000</u>	<u>4,000,000</u>	<u>0</u>
 Grand Total	 20,671,771	 21,705,906	 1,034,135

**COURTS OF ORIGINAL JURISDICTION
TOWN AND VILLAGE PROGRAM
STATE OPERATIONS**

2014-15 Budget Request: \$4,182,399

Program Description

This Program provides funding for the Town and Village - State Operations Program.

Town and Village Courts: There are 1,250 Town and Village Justice Courts in New York State, employing about 2,000 justices and several thousand nonjudicial personnel. These Courts have civil jurisdiction over monetary claims of up to \$3,000 (including small claims jurisdiction over matters of up to that amount), landlord/tenant matters and traffic matters. They also have criminal jurisdiction that includes authority to arraign defendants on felony charges and to try misdemeanors and violations. In the 21 counties in the State which have no city courts, the Town and Village Courts function as the only lower court tribunal.

The Town and Village Justice Courts are principally funded by the localities that they serve. They do, however, receive some State assistance from the Judiciary Budget State Operations and Aid to Localities appropriations. The Courts of Original Jurisdiction Town and Village Program includes the State Operations appropriation which provides training, technology support, fiscal guidance, legal research, and other assistance through the Office of Justice Court Support and the Town and Village Courts Resource Center. The Aid to Localities component includes funding for the Justice Court Assistance Program.

**Summary of 2014-15 Funding Request:
Town and Village - State Operations**

2014-15 Budget Request:	\$4,182,399
<i>Personal Service:</i>	3,622,950
<i>Nonpersonal Service:</i>	559,449
<i>Maintenance Undistributed:</i>	0

The Town and Village State Operations General Fund budget request is \$4.2 million, or a decrease of \$372,938 (-8.2%) from the current year adjusted appropriation.

The personal service request of \$3.6 million represents a decrease of \$330,312 (-8.4%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves as well as staffing adjustments implemented to meet operational needs.

The personal service request also includes \$45,750 in temporary service for acting town and village justices required in support of ongoing operations.

The nonpersonal service request is \$559,449, or a decrease of \$42,626 (-7.1%) from current year funding. This request primarily supports training for local justice court staff and case manage-

ment support. The decrease is attributable to the continuation of cost saving measures in supplies, printing, conferences and training, and travel. These decreases are partially offset by an increase in information technology services which supports the Office of Justice Court Support's efforts to provide enhanced online training to local justices and their staff.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Town & Village State Operations Program

2014-15 Request

Outside New York City	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$83,465	\$23,800	\$107,265
4th Judicial District	\$79,945	\$3,050	\$82,995
5th Judicial District	\$281,864	\$4,550	\$286,414
6th Judicial District	\$83,465	\$1,710	\$85,175
7th Judicial District	\$187,971	\$14,419	\$202,390
8th Judicial District	\$61,786	\$5,202	\$66,988
9th Judicial District	\$71,577	\$1,000	\$72,577
Nassau County	\$283,842	\$4,061	\$287,903
Suffolk County	\$143,014	\$840	\$143,854
Court Support Services	\$2,401,037	\$500,817	\$2,901,854
Undistributed	(\$55,016)	\$0	(\$55,016)
Total:	\$3,622,950	\$559,449	\$4,182,399

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
TOWN AND VILLAGE
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	3,894,801	3,576,625	(318,176)
PS Temporary	55,750	45,750	(10,000)
PS Overtime	2,711	575	(2,136)
Total Personal Service	<u>3,953,262</u>	<u>3,622,950</u>	<u>(330,312)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	86,091	68,589	(17,502)
Travel	129,050	123,589	(5,461)
Equipment Rental and Repairs	60,191	58,811	(1,380)
Conferences and Training	161,300	130,300	(31,000)
Postage and Printing	58,543	48,175	(10,368)
Telecommunications	1,000	1,000	0
Information Technology Services	102,000	128,210	26,210
Other Professional Services	3,900	775	(3,125)
Total Nonpersonal Service	<u>602,075</u>	<u>559,449</u>	<u>(42,626)</u>
 Grand Total	 4,555,337	 4,182,399	 (372,938)

**COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY PROGRAM**

2014-15 Budget Request: \$321,368,260

Program Description

This Program provides funding to support the security needs of the trial courts.

Public Safety: Security for the trial courts is provided in one of two ways: specially-trained Unified Court System employees perform these services in New York City and in some locations outside the City. In many upstate locations, the Unified Court System contracts with local sheriffs or police departments to provide security. The program also supports the Department of Public Safety, which develops protocols and monitors the implementation of public safety policies; the court officer training academies, which provide comprehensive training to Judiciary security staff; and the Applicant Verification Unit, which conducts background checks on potential court officer candidates.

Summary of 2014-15 Funding Request:

Public Safety

2014-15 Budget Request: \$321,368,260

<i>Personal Service:</i>	289,896,565
<i>Nonpersonal Service:</i>	31,471,695
<i>Maintenance Undistributed:</i>	0

The Public Safety All Funds budget request is \$321.4 million, or an increase of \$861,238 (0.3%) over the current year adjusted appropriation.

The personal service request of \$289.9 million represents an increase of \$200,000 (0.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

Also reflected in the personal service request is \$9.9 million for overtime, which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Public Safety Program. These expenses include real estate rentals for the court officer training academies and payments to local sheriff and police departments who provide contractual security in certain upstate localities. The request also includes funding for supplies and materials, equipment rental and repairs and travel.

The nonpersonal service request is \$31.5 million, or an increase of \$661,238 (2.1%) over current year funding. The increase is primarily attributable to collective bargaining costs in contractual security and expenditure-based increases in travel and equipment rental and repairs; it is partially offset by an expenditure-based reduction in supplies and materials.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Public Safety Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
1st Supreme Civil	\$7,042,304	\$4,200	\$7,046,504
1st Supreme Criminal	\$14,110,240	\$10,200	\$14,120,440
Supreme Bronx	\$19,088,872	\$11,700	\$19,100,572
Supreme Kings	\$20,478,618	\$17,329	\$20,495,947
Supreme Queens	\$15,114,942	\$10,817	\$15,125,759
Supreme Richmond	\$3,503,615	\$3,819	\$3,507,434
Family Court	\$26,641,246	\$34,500	\$26,675,746
Surrogate's Court	\$367,126	\$0	\$367,126
Civil Court	\$20,500,397	\$12,759	\$20,513,156
Criminal Court	\$50,160,184	\$39,869	\$50,200,053
Jury	\$503,715	\$112	\$503,827
County Clerks	\$401,903	\$300	\$402,203
NYC Subtotal:	\$177,913,162	\$145,605	\$178,058,767
3rd Judicial District	\$5,339,668	\$4,237,033	\$9,576,701
4th Judicial District	\$8,270,384	\$504,743	\$8,775,127
5th Judicial District	\$6,137,620	\$3,803,311	\$9,940,931
6th Judicial District	\$6,898,079	\$112,814	\$7,010,893
7th Judicial District	\$0	\$14,562,231	\$14,562,231
8th Judicial District	\$12,177,521	\$4,638,947	\$16,816,468
9th Judicial District	\$21,717,941	\$75,181	\$21,793,122
Nassau County	\$21,265,830	\$115,554	\$21,381,384
Suffolk County	\$23,155,764	\$114,127	\$23,269,891
Outside NYC Subtotal:	\$104,962,807	\$28,163,941	\$133,126,748
Court Support Services	\$9,375,028	\$3,032,068	\$12,407,096
Undistributed	(\$2,354,432)	\$130,081	(\$2,224,351)
Total:	\$289,896,565	\$31,471,695	\$321,368,260

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	279,797,021	279,997,021	200,000
PS Overtime	9,899,544	9,899,544	0
Total Personal Service	<u>289,696,565</u>	<u>289,896,565</u>	<u>200,000</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,000	2,000	0
Supplies and Materials	558,345	520,033	(38,312)
Travel	216,658	258,023	41,365
Equipment Rental and Repairs	289,694	332,072	42,378
Real Estate Rentals	2,047,000	2,054,948	7,948
Conferences and Training	34,000	35,500	1,500
Postage and Printing	19,269	19,666	397
Telecommunications	18,683	16,863	(1,820)
Information Technology Services	150,000	150,000	0
Other Professional Services	486,557	500,929	14,372
Security Services	26,988,251	27,581,661	593,410
Total Nonpersonal Service	<u>30,810,457</u>	<u>31,471,695</u>	<u>661,238</u>
 Grand Total	 320,507,022	 321,368,260	 861,238

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	279,355,290	279,595,118	239,828
PS Overtime	9,899,544	9,899,544	0
Total Personal Service	<u>289,254,834</u>	<u>289,494,662</u>	<u>239,828</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,000	2,000	0
Supplies and Materials	558,345	520,033	(38,312)
Travel	216,658	258,023	41,365
Equipment Rental and Repairs	289,694	332,072	42,378
Real Estate Rentals	2,047,000	2,054,948	7,948
Conferences and Training	34,000	35,500	1,500
Postage and Printing	19,269	19,666	397
Telecommunications	18,683	16,863	(1,820)
Information Technology Services	150,000	150,000	0
Other Professional Services	486,357	500,629	14,272
Security Services	26,988,251	27,581,661	593,410
Total Nonpersonal Service	<u>30,810,257</u>	<u>31,471,395</u>	<u>661,138</u>
Grand Total	320,065,091	320,966,057	900,966

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	441,731	401,903	(39,828)
Total Personal Service	441,731	401,903	(39,828)
<u>Nonpersonal Service</u>			
Other Professional Services	200	300	100
Total Nonpersonal Service	200	300	100
 Grand Total	 441,931	 402,203	 (39,728)

**COURTS OF ORIGINAL JURISDICTION
MAINTENANCE UNDISTRIBUTED PROGRAM**

2014-15 Budget Request: \$20,386,898

Program Description

This Program provides funding for the Courts of Original Jurisdiction Maintenance Undistributed Program.

Courts of Original Jurisdiction Maintenance Undistributed: This program, through special revenue funding requests, provides the framework through which grants are realized in support of problem-solving courts and other justice initiatives. Undistributed funding is also held in this program for later distribution to the Courts of Original Jurisdiction based on operational priorities and demonstrated need.

Summary of 2014-15 Funding Request:

Maintenance Undistributed

2014-15 Budget Request:	\$20,386,898
<i>Personal Service:</i>	<i>7,000,000</i>
<i>Nonpersonal Service:</i>	<i>13,386,898</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Courts of Original Jurisdiction Maintenance Undistributed Program All Funds budget request is \$20.4 million, or an increase of \$5.5 million (37.1%) over the current year adjusted appropriation.

The personal service request of \$7.0 million is an increase of \$5.0 million (250.0%) over the current year adjusted appropriation. This request reflects funding for lump sum payments associated with employees separating from service (\$4 million) and funding to restore traditional courtroom closing hours (\$3 million).

The nonpersonal service request of \$13.4 million is an increase of \$516,032 (4.0%) over the current adjusted appropriation. This request reflects funding for supplies (\$500,000) and equipment (\$4 million) associated with facility projects and operational emergencies; funding in accounting and auditing services for credit card fees associated with the expansion of e-filing (\$400,000); and funding in other general services to provide appropriation authority for anticipated federal and other grants (\$8.5 million).

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Maintenance Undistributed

2014-15 Request

Maintenance Undistributed	Personal Service	Nonpersonal Service	Total
Special Revenue - Federal Funds	\$0	\$7,500,000	\$7,500,000
Miscellaneous Special Revenue Fund	\$0	\$1,000,000	\$1,000,000
General Fund - Undistributed	\$7,000,000	\$4,886,898	\$11,886,898
Total:	\$7,000,000	\$13,386,898	\$20,386,898

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
COJ MAINTENANCE UNDISTRIBUTED

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,000,000	4,000,000	2,000,000
PS Overtime	0	3,000,000	3,000,000
Total Personal Service	<u>2,000,000</u>	<u>7,000,000</u>	<u>5,000,000</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	0	500,000	500,000
Accounting and Auditing Services	450,000	400,000	(50,000)
Other Professional Services	10,000,000	8,500,000	(1,500,000)
Equipment	2,420,866	3,986,898	1,566,032
Total Nonpersonal Service	<u>12,870,866</u>	<u>13,386,898</u>	<u>516,032</u>
 Grand Total	 14,870,866	 20,386,898	 5,516,032

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COJ MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,000,000	4,000,000	2,000,000
PS Overtime	0	3,000,000	3,000,000
Total Personal Service	<u>2,000,000</u>	<u>7,000,000</u>	<u>5,000,000</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	0	500,000	500,000
Accounting and Auditing Services	450,000	400,000	(50,000)
Equipment	2,420,866	3,986,898	1,566,032
Total Nonpersonal Service	<u>2,870,866</u>	<u>4,886,898</u>	<u>2,016,032</u>
 Grand Total	 4,870,866	 11,886,898	 7,016,032

State of New York - Judiciary
 Budget Summary for Fiscal Year 2014-2015

COJ MAINTENANCE UNDISTRIBUTED
 MISCELLANEOUS SPECIAL REVENUE
 FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	1,000,000	1,000,000	0
Grand Total	1,000,000	1,000,000	0

State of New York - Judiciary
 Budget Summary for Fiscal Year 2014-2015

COJ MAINTENANCE UNDISTRIBUTED
 FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	3,500,000	3,000,000	(500,000)
Total Nonpersonal Service	3,500,000	3,000,000	(500,000)
Grand Total	3,500,000	3,000,000	(500,000)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COJ MAINTENANCE UNDISTRIBUTED
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,500,000	4,500,000	(1,000,000)
Total Nonpersonal Service	5,500,000	4,500,000	(1,000,000)
Grand Total	5,500,000	4,500,000	(1,000,000)

Program Description

This Program provides funding for Trial Court Administration which is coordinated through the regional offices of the Administrative Judges.

Administration: Overall administration of the trial courts is coordinated through the offices of the Administrative Judges, who are designated by the Chief Administrative Judge and report either to the Deputy Chief Administrative Judge for New York City or the Deputy Chief Administrative Judge Outside New York City. These offices manage a wide array of functions including case management and court operations support, fiscal oversight and human resource administration, and facilities planning and management. Administrative Judges are appointed in each judicial district outside New York City. In New York City, there are separate Administrative Judges in each borough for civil and criminal operations except Richmond which has one Administrative Judge. In addition, there is one Family Court Administrative Judge for all five boroughs.

Summary of 2014-15 Funding Request:

Trial Court Administration

2014-15 Budget Request:	\$19,536,932
<i>Personal Service:</i>	17,518,334
<i>Nonpersonal Service:</i>	2,018,598
<i>Maintenance Undistributed:</i>	0

The Trial Courts Administration All Funds budget request is \$19.5 million, or a decrease of \$435,566 (-2.2%) from the current year adjusted appropriation.

The personal service request of \$17.5 million represents a decrease of \$426,209 (-2.4%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of salary increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial leaves as well as staffing adjustments implemented to meet operational needs.

Nonpersonal service funding supports usual and necessary expenses associated with the administration of trial court operations. These expenses include supplies and materials, equipment rental and repairs, telecommunications, postage and printing, and other general services. Also included are real estate rental costs for administrative offices in the Third, Fourth, Fifth, Sixth and Eighth Judicial Districts.

The nonpersonal service request of \$2 million represents a decrease of \$9,357 (-0.5%) from the current year adjusted appropriation. This decrease is principally attributable to cost savings and expenditure-based reductions in supplies and materials and postage and printing.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Trial Court Administration Program

2014-15 Request

Locality	Personal Service	Nonpersonal Service	Total
New York City	\$467,200	\$206,550	\$673,750
3rd Judicial District	\$1,854,077	\$339,331	\$2,193,408
4th Judicial District	\$1,591,717	\$315,844	\$1,907,561
5th Judicial District	\$1,881,045	\$316,040	\$2,197,085
6th Judicial District	\$1,451,339	\$288,272	\$1,739,611
7th Judicial District	\$1,752,895	\$61,796	\$1,814,691
8th Judicial District	\$2,059,079	\$204,472	\$2,263,551
9th Judicial District	\$2,625,631	\$111,172	\$2,736,803
Nassau County	\$2,208,054	\$51,981	\$2,260,035
Suffolk County	\$1,895,323	\$123,140	\$2,018,463
Outside NYC Subtotal:	\$17,319,160	\$1,812,048	\$19,131,208
Undistributed:	(\$268,026)	\$0	(\$268,026)
Total:	\$17,518,334	\$2,018,598	\$19,536,932

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
COJ ADMINISTRATION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,849,902	17,424,648	(425,254)
PS Temporary	93,049	93,049	0
PS Overtime	1,592	637	(955)
Total Personal Service	<u>17,944,543</u>	<u>17,518,334</u>	<u>(426,209)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	922	2,002	1,080
Supplies and Materials	300,101	281,592	(18,509)
Travel	80,168	88,900	8,732
Equipment Rental and Repairs	275,852	280,990	5,138
Real Estate Rentals	908,622	932,162	23,540
Conferences and Training	11,550	10,800	(750)
Postage and Printing	110,659	92,602	(18,057)
Telecommunications	75,831	71,400	(4,431)
Information Technology Services	13,700	13,700	0
Records Management Services	16,150	16,350	200
Other Professional Services	225,400	218,600	(6,800)
Judicial Hearing Officers	8,000	8,000	0
Transcripts	1,000	1,500	500
Total Nonpersonal Service	<u>2,027,955</u>	<u>2,018,598</u>	<u>(9,357)</u>
Grand Total	19,972,498	19,536,932	(435,566)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COJ ADMINISTRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,210,819	16,819,189	(391,630)
PS Temporary	93,049	93,049	0
PS Overtime	1,530	575	(955)
Total Personal Service	<u>17,305,398</u>	<u>16,912,813</u>	<u>(392,585)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	922	2,002	1,080
Supplies and Materials	300,101	281,592	(18,509)
Travel	80,168	88,900	8,732
Equipment Rental and Repairs	275,852	280,990	5,138
Real Estate Rentals	908,622	932,162	23,540
Conferences and Training	11,550	10,800	(750)
Postage and Printing	110,659	92,602	(18,057)
Telecommunications	75,831	71,400	(4,431)
Information Technology Services	13,700	13,700	0
Records Management Services	16,150	16,350	200
Other Professional Services	225,400	218,600	(6,800)
Judicial Hearing Officers	8,000	8,000	0
Transcripts	1,000	1,500	500
Total Nonpersonal Service	<u>2,027,955</u>	<u>2,018,598</u>	<u>(9,357)</u>
Grand Total	19,333,353	18,931,411	(401,942)

State of New York - Judiciary
 Budget Summary for Fiscal Year 2014-2015

COJ ADMINISTRATION
 COURT FACILITIES INCENTIVE AID
 FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	639,083	605,459	(33,624)
PS Overtime	62	62	0
Total Personal Service	<u>639,145</u>	<u>605,521</u>	<u>(33,624)</u>
 Grand Total	 639,145	 605,521	 (33,624)

Major Purpose Summary

COURT OF APPEALS

2014-15 All Funds Budget Request:	\$14,839,110
<i>General Fund:</i>	<i>14,839,110</i>
<i>State Special Revenue Funds:</i>	<i>0</i>

Major Purpose Description

This Major Purpose provides funding for the Court of Appeals and a component of the Public Safety Program.

Court of Appeals: The Court of Appeals, consisting of a Chief Judge and six Associate Judges, is New York’s highest Appellate Court. Except where questions of federal law are involved, its determinations are final. Beyond exercising its appellate jurisdiction, the Court also determines certain questions of State law upon request of the Federal Courts; approves standards and policies for the courts promulgated by the Chief Judge; approves the annual Judiciary Budget as prepared by the Chief Administrative Judge; and regulates the admission of attorneys to the practice of law. The Law Reporting Bureau operates under the supervision of the Court of Appeals and is responsible for publishing all Court of Appeals and Appellate Division decisions, along with selected decisions of the trial courts.

Summary of 2014-15 Funding Request: Court of Appeals

The Court of Appeals Major Purpose State Operations General Fund budget request is \$14.8 million, or an increase of \$87,412 (0.6 %) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$13 million represents an increase of \$107,412 (0.8%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

Also reflected in the personal service request is \$114,000 for overtime which is funded to support current levels of operations.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations and administration including: supplies and materials, legal reference materials and online services, real estate rentals, telecommunications, postage and printing and travel costs for judges and staff.

The nonpersonal service request is \$1.9 million, or a decrease of \$20,000 (-1.1%) from current year funding. The decrease is attributable to expenditure-based adjustments in utilities and postage, partially offset by an increase in information technology services.

**Court of Appeals
Budget Summary - General Fund**

2014-15 Request

Court of Appeals	Personal Service	Nonpersonal Service	Total
Court of Appeals	\$12,985,899	\$1,853,211	\$14,839,110
Total:	\$12,985,899	\$1,853,211	\$14,839,110

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

COURT OF APPEALS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,787,987	12,871,899	83,912
PS Overtime	90,500	114,000	23,500
Total Personal Service	<u>12,878,487</u>	<u>12,985,899</u>	<u>107,412</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	443,528	443,528	0
Supplies and Materials	159,595	154,595	(5,000)
Travel	378,610	378,610	0
Equipment Rental and Repairs	134,763	134,763	0
Real Estate Rentals	387,500	377,500	(10,000)
Conferences and Training	8,235	8,235	0
Postage and Printing	148,608	138,608	(10,000)
Telecommunications	73,414	73,414	0
Information Technology Services	17,000	22,000	5,000
Other Professional Services	121,958	121,958	0
Total Nonpersonal Service	<u>1,873,211</u>	<u>1,853,211</u>	<u>(20,000)</u>
 Grand Total	 14,751,698	 14,839,110	 87,412

**Court of Appeals
2012 Workload**

Court of Appeals	2012
Applications for Leave to Appeal in Criminal Cases Decided	2,096
Records on Appeal Filed	263
Appeals Calendared	197
Appeals Decided	240
Motions Decided	1,330
Judicial Conduct Commission Determinations Reviewed	1
Opinions Published	18,838
Motion Decisions Published	36,929

Major Purpose Summary

**APPELLATE COURT
OPERATIONS**

2014-15 All Funds Budget Request:	\$74,391,608
<i>General Fund:</i>	<i>74,391,608</i>
<i>State Special Revenue Funds:</i>	<i>0</i>

Major Purpose Description

This Major Purpose provides funding for the Appellate Divisions, the Appellate Terms and a component of the Public Safety Program.

Appellate Divisions: The Appellate Divisions are the courts of intermediate appellate resort. There is one Appellate Division established for each of the State’s four Judicial Departments. Each consists of a Presiding Justice and a varying number of Associate Justices. Currently, there are 17 Justices sitting in the First Department, 18 in the Second Department, 8 in the Third Department and 10 in the Fourth Department. The Presiding Justices and the Associate Justices are all designated to the Appellate Divisions by the Governor from among the sitting Supreme Court Justices. The Appellate Divisions hear appeals from the major trial courts and, in civil cases, from the Appellate Terms and the County Courts (when they sit as appellate courts in review of lower court cases). Beyond exercising their appellate jurisdiction, the Appellate Divisions also oversee the admission of attorneys to the practice of law and the discipline of attorneys for unprofessional conduct; and oversee the Attorney for the Child, Assigned Counsel and Mental Hygiene Legal Service Programs. These programs are funded under the Appellate Auxiliary Operations Major Purpose.

Appellate Terms: Under the State Constitution, each Appellate Division is authorized to establish Appellate Terms to hear appeals from the lower trial courts. Each Appellate Term consists of three to five Justices designated from among the sitting Supreme Court Justices. Currently, there are Appellate Terms in the First and Second Departments that handle criminal and civil appeals from New York City Criminal and Civil Courts and other lower criminal and civil courts within the jurisdiction of the Department.

Summary of 2014-15 Funding Request: Appellate Court Operations

The Appellate Court Operations State Operations General Fund budget request is \$74.4 million, or a decrease of \$1.8 million (-2.3%) from the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$69.7 million represents a decrease of \$1.5 million (-2.2%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves as well as staffing adjustments attributable to the reduction of Appellate Judgeship appointments.

The personal service request also includes \$147,834 for temporary service in the Second and Third Departments in support of ongoing operations. Also reflected in the personal service request is a total of \$138,700 for overtime to support current levels of operations in all four Departments.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with appellate court operations, support and administration including: supplies and materials, legal reference materials and online services, equipment rentals and repairs, postage and printing, telecommunications, records management, judicial hearing officers and travel costs for judges and staff. The nonpersonal service budget also supports contractual security services in the Fourth Department.

The nonpersonal service request of \$4.7 million reflects a decrease of \$219,735 (-4.4%) from current year funding. The decrease is attributable to expenditure-based reductions in all nonpersonal service categories due to fewer judgeships, reduced staffing levels and the continued implementation of cost saving measures.

**Appellate Court Operations
Budget Summary - General Fund**

Appellate Divisions and Appellate Terms

2014-15 Request

Appellate Divisions & Appellate Terms	Personal Service	Nonpersonal Service	Total
Appellate Division			
1st Department	\$19,732,260	\$762,500	\$20,494,760
2nd Department	\$23,545,865	\$1,307,131	\$24,852,996
3rd Department	\$10,521,496	\$537,207	\$11,058,703
4th Department	\$11,341,320	\$1,939,905	\$13,281,225
Appellate Division Subtotal:	\$65,140,941	\$4,546,743	\$69,687,684
Appellate Division Undistributed	(\$1,121,629)	\$0	(\$1,121,629)
Appellate Term			
1st Department	\$2,301,332	\$12,798	\$2,314,130
2nd Department	\$3,344,211	\$167,212	\$3,511,423
Appellate Term Subtotal:	\$5,645,543	\$180,010	\$5,825,553
Total:	\$69,664,855	\$4,726,753	\$74,391,608

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

APPELLATE COURT OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	70,846,563	69,378,321	(1,468,242)
PS Temporary	188,896	147,834	(41,062)
PS Overtime	163,100	138,700	(24,400)
Total Personal Service	<u>71,198,559</u>	<u>69,664,855</u>	<u>(1,533,704)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,978,824	1,978,176	(648)
Supplies and Materials	472,831	431,613	(41,218)
Travel	481,140	428,732	(52,408)
Equipment Rental and Repairs	293,513	293,417	(96)
Real Estate Rentals	24,580	12,982	(11,598)
Conferences and Training	23,500	20,000	(3,500)
Postage and Printing	356,215	328,291	(27,924)
Telecommunications	127,522	125,022	(2,500)
Information Technology Services	181,243	150,500	(30,743)
Records Management Services	141,010	132,010	(9,000)
Other Professional Services	154,960	115,210	(39,750)
In-Part Services	1,150	800	(350)
Judicial Hearing Officers	165,000	165,000	0
Security Services	545,000	545,000	0
Total Nonpersonal Service	<u>4,946,488</u>	<u>4,726,753</u>	<u>(219,735)</u>
Grand Total	76,145,047	74,391,608	(1,753,439)

Appellate Court Operations 2012 Workload

Appellate Division

Department	Records on Appeal Filed	Dispositions
1st Department	2,623	2,974
2nd Department	3,821	10,807
3rd Department	1,821	1,673
4th Department	1,428	1,396
Total:	9,693	16,850

Appellate Term

Department	Records on Appeal Filed	Dispositions
1st Department	431	301
2nd Department	2,647	2,930
Total:	3,078	3,231

Major Purpose Summary
APPELLATE AUXILIARY
OPERATIONS

2014-15 All Funds Budget Request:	\$229,521,468
<i>General Fund:</i>	<i>183,327,620</i>
<i>State Special Revenue Funds:</i>	<i>46,193,848</i>

Major Purpose Description

The Appellate Auxiliary Operations Major Purpose is comprised of the following programs: Assigned Counsel, Attorney for the Child, Mental Hygiene Legal Service (MHLS), Candidate Examination, Candidate Fitness and Attorney Discipline.

Summary of 2014-15 Funding: Appellate Auxiliary Operations

The Appellate Auxiliary Operations State Operations All Funds budget request is \$229.5 million or an increase of \$12.4 million (5.7%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$43.4 million represents a decrease of \$549,029 (-1.3%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves as well as staffing adjustments implemented to meet operational needs.

The personal service request also includes \$851,478 for temporary service to support ongoing operations for the MHLS Program (\$553,684) and the Attorney Discipline Program (\$297,794). Overtime funding is also included to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Appellate Auxiliary Programs. These expenses include: payments to legal aid providers and individual panel attorneys in the Attorney for the Child and Assigned Counsel Programs; transcript costs and forensic evaluations required for administrative hearings and court proceedings for the MHLS, Attorney for the Child and Assigned Counsel Programs; and, real estate rentals for NYS Bar Examination testing sites and the regional offices of the MHLS and Attorney Discipline Programs. The budget also includes funding for supplies and materials, legal reference materials and online services, records management, and information technology services for various programs.

The nonpersonal service request of \$186.2 million reflects an increase of \$12.9 million (7.4%) over current year funding. This increase is attributable to increased contractual payments in the Assigned Counsel Program to indigent criminal defense providers in New York City in order to meet the statutory caseload requirements. The increase also supports cost of living adjustments to

contractual providers in the Attorney for the Child Program. Expenditure-based increases are also included for expert witnesses in the MHLS and Attorney for the Child Programs; and, for attorney representation under Judiciary Law Section 35 in the Assigned Counsel Program. The overall nonpersonal service increase is partially offset by an expenditure-based reduction in panel attorney voucher payments in the Attorney for the Child Program. Expenditure-based reductions in supplies and materials, travel, other general services and transcript costs are also noted.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

2014-15 Request

Appellate Auxiliary Operations	Personal Service	Nonpersonal Service	Total
1st Department	\$10,547,016	\$4,815,800	\$15,362,816
2nd Department	\$18,794,113	\$18,756,524	\$37,550,637
3rd Department	\$5,509,600	\$15,401,011	\$20,910,611
4th Department	\$7,509,448	\$19,419,203	\$26,928,651
Attorney for the Child Contracts	\$0	\$66,967,431	\$66,967,431
Court of Appeals Assigned Counsel	\$0	\$25,000	\$25,000
Board of Law Examiners	\$1,513,990	\$3,777,000	\$5,290,990
Indigent Criminal Defense	\$0	\$57,000,000	\$57,000,000
Undistributed	(\$514,668)	\$0	(\$514,668)
Total:	\$43,359,499	\$186,161,969	\$229,521,468

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
APPELLATE AUXILIARY OPERATIONS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	42,773,951	42,491,421	(282,530)
PS Temporary	1,117,598	851,478	(266,120)
PS Overtime	16,979	16,600	(379)
Total Personal Service	<u>43,908,528</u>	<u>43,359,499</u>	<u>(549,029)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	266,640	265,158	(1,482)
Supplies and Materials	375,960	348,285	(27,675)
Travel	563,745	521,208	(42,537)
Equipment Rental and Repairs	410,188	404,255	(5,933)
Real Estate Rentals	3,240,724	3,236,724	(4,000)
Conferences and Training	123,476	138,000	14,524
Postage and Printing	396,020	374,329	(21,691)
Telecommunications	200,150	179,926	(20,224)
Information Technology Services	40,050	40,660	610
Accounting and Auditing Services	0	100,000	100,000
Records Management Services	97,535	114,750	17,215
Other Professional Services	4,797,052	4,724,707	(72,345)
Attorney for the Child Representation	120,699,392	116,644,338	(4,055,054)
Assigned Counsel	1,642,000	1,692,000	50,000
Indigent Criminal Defense	40,000,000	57,000,000	17,000,000
In-Part Services	11,710	12,960	1,250
Judicial Hearing Officers	13,000	13,000	0
Transcripts	382,169	351,669	(30,500)
Total Nonpersonal Service	<u>173,259,811</u>	<u>186,161,969</u>	<u>12,902,158</u>
Grand Total	217,168,339	229,521,468	12,353,129

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

APPELLATE AUXILIARY OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	27,633,963	27,323,233	(310,730)
PS Temporary	819,804	553,684	(266,120)
PS Overtime	879	600	(279)
Total Personal Service	<u>28,454,646</u>	<u>27,877,517</u>	<u>(577,129)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	160,732	162,600	1,868
Supplies and Materials	154,520	141,420	(13,100)
Travel	433,900	398,700	(35,200)
Equipment Rental and Repairs	106,946	107,761	815
Real Estate Rentals	1,092,613	1,124,645	32,032
Conferences and Training	104,976	121,000	16,024
Postage and Printing	116,538	97,114	(19,424)
Telecommunications	115,050	97,326	(17,724)
Information Technology Services	14,750	15,960	1,210
Records Management Services	23,685	39,300	15,615
Other Professional Services	2,583,184	2,644,060	60,876
Attorney for the Child Representation	95,699,392	91,644,338	(4,055,054)
Assigned Counsel	1,642,000	1,692,000	50,000
Indigent Criminal Defense	40,000,000	57,000,000	17,000,000
In-Part Services	1,460	1,460	0
Transcripts	184,919	162,419	(22,500)
Total Nonpersonal Service	<u>142,434,665</u>	<u>155,450,103</u>	<u>13,015,438</u>
Grand Total	170,889,311	183,327,620	12,438,309

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

APPELLATE AUXILIARY OPERATIONS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	15,139,988	15,168,188	28,200
PS Temporary	297,794	297,794	0
PS Overtime	16,100	16,000	(100)
Total Personal Service	<u>15,453,882</u>	<u>15,481,982</u>	<u>28,100</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	105,908	102,558	(3,350)
Supplies and Materials	221,440	206,865	(14,575)
Travel	129,845	122,508	(7,337)
Equipment Rental and Repairs	303,242	296,494	(6,748)
Real Estate Rentals	2,148,111	2,112,079	(36,032)
Conferences and Training	18,500	17,000	(1,500)
Postage and Printing	279,482	277,215	(2,267)
Telecommunications	85,100	82,600	(2,500)
Information Technology Services	25,300	24,700	(600)
Accounting and Auditing Services	0	100,000	100,000
Records Management Services	73,850	75,450	1,600
Other Professional Services	2,213,868	2,080,647	(133,221)
In-Part Services	10,250	11,500	1,250
Judicial Hearing Officers	13,000	13,000	0
Transcripts	197,250	189,250	(8,000)
Total Nonpersonal Service	<u>5,825,146</u>	<u>5,711,866</u>	<u>(113,280)</u>
 Grand Total	 <u>21,279,028</u>	 <u>21,193,848</u>	 <u>(85,180)</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

APPELLATE AUXILIARY OPERATIONS
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

Appellate Auxiliary Operations 2012 Workload

Attorney for the Child

Provider	Petition Intake	Appellate Briefs
Buffalo Legal Aid Bureau	6,447	27
Children's Law Center NYC	6,519	43
Children's Rights Society	3,617	0
Citizens Concerned for Children	1,192	0
Genesee County Public Defender	69	0
Lawyers For Children	4,575	28
Legal Aid Society NYC	31,342	209
Legal Aid Society Rochester	3,919	7
Legal Aid Society Rockland	1,785	5
Legal Aid Society Suffolk	9,088	25
Sullivan Trail Legal Aid	1,684	3
Clinton County	376	0
Franklin County	442	0
Fulton County	401	0
Otsego County	412	0
Schuyler County	118	0
Children's Law Center Erie	2,280	5
Total:	74,266	352

Appellate Auxiliary Operations 2012 Workload

Mental Hygiene Legal Services

Department	Client Population	Client Services
1st Department	34,357	40,548
2nd Department	101,273	297,786
3rd Department	30,479	47,492
4th Department	53,132	64,286
Total:	219,241	450,112

Candidate Examination

State Board of Law Examiners	2012
Candidates for Examination	17,692
Individuals with Disabilities Applicants	393
Applicants for Certification	949
MPRE Scores Transferred In	12,050
Foreign Evaluations	3,500

Appellate Auxiliary Operations 2012 Workload

Candidate Fitness

Department	Applications for Admissions
1st Department	2,660
2nd Department	2,535
3rd Department	4,129
4th Department	395
Total:	9,719

Attorney Discipline

Department	Matters Received	Matters Disposed
1st Department	3,812	2,899
2nd Department	5,760	5,381
3rd Department	1,443	1,351
4th Department	1,928	1,988
Total:	12,943	11,619

**APPELLATE AUXILIARY OPERATIONS
ASSIGNED COUNSEL PROGRAM**

2014-15 Budget Request: \$58,872,000

Program Description

This Program provides funding for legal services and forensic evaluations required by Judiciary Law Section 35.

Assigned Counsel: Under section 35 of the Judiciary Law, indigent persons in certain proceedings are entitled to counsel at State expense. Included among these proceedings are *habeas corpus* proceedings involving prisoners in State institutions; commitment proceedings involving persons who are mentally ill, mentally incompetent or those with narcotic addictions; commitment of a child to an authorized agency by reason of the mental illness or retardation of his or her parent; adoption or custody proceedings where counsel is constitutionally mandated; and matters heard in Supreme Court in which Family Court could have exercised jurisdiction and that are eligible for assigned counsel under section 262 of the Family Court Act. In each Judicial Department, the Appellate Division appoints panels of attorneys to serve as assigned counsel. In the Fourth Judicial Department, the Unified Court System contracts with the Wyoming County - Attica Legal Aid Bureau for representation of Attica inmates in instances where section 35 affords them assigned counsel. Section 35 also authorizes State funding for certain necessary medical or psychiatric examinations in the proceedings in which it makes State-funded assigned counsel available.

The Assigned Counsel Program also supports the implementation of caseload standards for attorneys who provide legal representation to indigent persons in criminal matters in New York City pursuant to chapter 56 of the Laws of 2009.

Summary of 2014-15 Funding Request:

Assigned Counsel

2014-15 Budget Request: \$58,872,000	
<i>Personal Service:</i>	0
<i>Nonpersonal Service:</i>	58,872,000
<i>Maintenance Undistributed:</i>	0

The Assigned Counsel General Fund budget request is \$58.9 million or an increase of \$17 million (40.7%) over the current year adjusted appropriation.

The nonpersonal service request includes funding to support contractual obligations with legal service providers in New York City to reduce indigent criminal defense caseloads and for payments to attorneys and experts appointed in various judicial proceedings pursuant to section 35 of the Judiciary Law.

The increase of \$17 million is attributable to the implementation of statutory caseload standards for indigent criminal defense providers in New York City. An expenditure-based increase of \$50,000 for attorney representation under Judiciary Law Section 35 cases is also noted.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Assigned Counsel Program

2014-15 Request

Assigned Counsel	Personal Service	Nonpersonal Service	Total
1st Department	\$0	\$262,000	\$262,000
2nd Department	\$0	\$600,000	\$600,000
3rd Department	\$0	\$75,000	\$75,000
4th Department	\$0	\$910,000	\$910,000
Court of Appeals	\$0	\$25,000	\$25,000
Indigent Criminal Defense	\$0	\$57,000,000	\$57,000,000
Total:	\$0	\$58,872,000	\$58,872,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ASSIGNED COUNSEL
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	194,000	175,000	(19,000)
Assigned Counsel	1,642,000	1,692,000	50,000
Indigent Criminal Defense	40,000,000	57,000,000	17,000,000
Transcripts	9,000	5,000	(4,000)
Total Nonpersonal Service	<u>41,845,000</u>	<u>58,872,000</u>	<u>17,027,000</u>
 Grand Total	 41,845,000	 58,872,000	 17,027,000

**APPELLATE AUXILIARY OPERATIONS
ATTORNEY FOR THE CHILD PROGRAM**

2014-15 Budget Request: \$120,105,971

Program Description

This Program provides funding for legal representation to children in Family Court matters.

Attorney for the Child: Children in Family Court matters are entitled to State-funded legal representation in a wide array of circumstances including: all delinquency and supervision proceedings under Articles 3 and 7 of the Family Court Act (FCA); all child protective proceedings under Article 10 FCA; all termination of parental rights proceedings under Article 6 FCA and Social Services Law, Section 384-b; all proceedings to place a child in protective custody under Section 158 FCA; and, in certain foster care review proceedings under Social Services Law, Section 392. This legal representation is provided through the Attorney for the Child Program with oversight by each Appellate Division. Legal representation is provided through contracts with legal service agencies and by individual attorneys assigned from county panels.

Summary of 2014-15 Funding Request:

Attorney for the Child Program

2014-15 Budget Request:	\$120,105,971
<i>Personal Service:</i>	<i>1,946,258</i>
<i>Nonpersonal Service:</i>	<i>118,159,713</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Attorney for the Child All Funds budget request is \$120.1 million or a decrease of \$4.1 million (-3.3%) from the current year adjusted appropriation.

The personal service request of \$1.9 million represents an increase of \$2,783 (0.1%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The nonpersonal service budget supports payments to legal service providers and individual panel attorneys who provide legal representation to children, and includes related expenses such as forensic evaluations and transcripts. Funding also includes continuing legal education and training, litigation support and legal reference materials for the attorneys.

The nonpersonal service request of \$118.2 million reflects a decrease of \$4.1 million (-3.4%) from current year funding. The decrease is attributable to an expenditure-based reduction in panel attorney voucher payments. The reduction is partially offset by cost of living adjustments for contractual providers and to support statutorily-required training for attorneys.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

Attorney for the Child Program

2014-15 Request

Attorney for the Child	Personal Service	Nonpersonal Service	Total
1st Department	\$227,408	\$3,895,400	\$4,122,808
2nd Department	\$685,396	\$15,863,800	\$16,549,196
3rd Department	\$561,374	\$14,415,308	\$14,976,682
4th Department	\$508,011	\$17,017,774	\$17,525,785
Attorney for the Child Contracts	\$0	\$66,967,431	\$66,967,431
Undistributed	(\$35,931)	\$0	(\$35,931)
Total:	\$1,946,258	\$118,159,713	\$120,105,971

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
ATTORNEY FOR THE CHILD

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,942,596	1,945,658	3,062
PS Overtime	879	600	(279)
Total Personal Service	<u>1,943,475</u>	<u>1,946,258</u>	<u>2,783</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,000	4,300	(700)
Supplies and Materials	26,204	23,104	(3,100)
Travel	33,700	32,700	(1,000)
Equipment Rental and Repairs	15,045	13,045	(2,000)
Real Estate Rentals	63,095	64,125	1,030
Conferences and Training	99,000	116,000	17,000
Postage and Printing	43,370	29,101	(14,269)
Telecommunications	3,600	3,700	100
Information Technology Services	1,100	1,100	0
Records Management Services	11,500	11,500	0
Other Professional Services	1,182,200	1,142,200	(40,000)
Attorney for the Child Representation	120,699,392	116,644,338	(4,055,054)
In-Part Services	0	500	500
Transcripts	91,000	74,000	(17,000)
Total Nonpersonal Service	<u>122,274,206</u>	<u>118,159,713</u>	<u>(4,114,493)</u>
Grand Total	124,217,681	120,105,971	(4,111,710)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ATTORNEY FOR THE CHILD
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,942,596	1,945,658	3,062
PS Overtime	879	600	(279)
Total Personal Service	<u>1,943,475</u>	<u>1,946,258</u>	<u>2,783</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	5,000	4,300	(700)
Supplies and Materials	26,204	23,104	(3,100)
Travel	33,700	32,700	(1,000)
Equipment Rental and Repairs	15,045	13,045	(2,000)
Real Estate Rentals	63,095	64,125	1,030
Conferences and Training	99,000	116,000	17,000
Postage and Printing	43,370	29,101	(14,269)
Telecommunications	3,600	3,700	100
Information Technology Services	1,100	1,100	0
Records Management Services	11,500	11,500	0
Other Professional Services	1,182,200	1,142,200	(40,000)
Attorney for the Child Representation	95,699,392	91,644,338	(4,055,054)
In-Part Services	0	500	500
Transcripts	91,000	74,000	(17,000)
Total Nonpersonal Service	<u>97,274,206</u>	<u>93,159,713</u>	<u>(4,114,493)</u>
Grand Total	99,217,681	95,105,971	(4,111,710)

State of New York - Judiciary
 Budget Summary for Fiscal Year 2014-2015

ATTORNEY FOR THE CHILD
 INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

**APPELLATE AUXILIARY OPERATIONS
MENTAL HYGIENE LEGAL SERVICE PROGRAM**

2014-15 Budget Request: \$29,349,649

Program Description

This Program provides funding to support the operation of Mental Hygiene Legal Service (MHLS) offices.

MHLS: Under Article 47 of the Mental Hygiene Law, the MHLS Program provides legal services, advice and assistance to persons receiving care at in-patient and community-based facilities for the mentally disabled; this ensures that persons with mental and/or developmental disabilities receive the treatment to which they are entitled, including legal counsel in judicial and administrative proceedings concerning their admission, retention, transfer, care and treatment. Originally established to act as guardian of the due process rights of institutionalized mentally-disabled persons, the MHLS has since seen its mission statutorily broadened to include responsibilities in the areas of guardianship, involuntary outpatient treatment and sex offender management.

Summary of 2014-15 Funding Request:

Mental Hygiene Legal Service

2014-15 Budget Request:	\$29,349,649
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<i>Personal Service:</i>	25,931,259
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<i>Nonpersonal Service:</i>	3,418,390
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<i>Maintenance Undistributed:</i>	0
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The MHLS General Fund budget request is \$29.3 million or a decrease of \$476,981 (-1.6%) from the current year adjusted appropriation.

The personal service request of \$25.9 million represents a decrease of \$579,912 (-2.2%) from the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves.

The personal service request also includes \$553,684 in temporary service for attorneys and support staff in the Second Department and for support staff in the MHLS offices in the Third and Fourth Departments. The request reflects a reduction of \$266,120 from the current year adjusted appropriation. This decrease is attributable to the receipt of non-State funds by MHLS offices for representation in certain MHLS Art 81 guardianship cases.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the MHLS program including: real estate rentals for office space in over 30 locations; transcripts and other professional services required for administrative hearings and court proceedings; legal reference materials and online services; supplies and materials and travel.

The nonpersonal service request of \$3.4 million reflects an increase of \$102,931 (3.1%) over current year funding. The increase is primarily attributable to a growing need for expert witnesses and forensic evaluations related to sex offender civil confinement litigation. Digitizing cost increases are reflected in records management services while lease escalation cost increases are reflected in real estate rentals. The overall increase is offset by expenditure-based reductions in travel, transcripts, supplies and materials and other general services.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Mental Hygiene Legal Service Program

2014-15 Request

Mental Hygiene Legal Service	Personal Service	Nonpersonal Service	Total
1st Department	\$5,522,729	\$331,100	\$5,853,829
2nd Department	\$11,635,958	\$1,340,974	\$12,976,932
3rd Department	\$3,974,091	\$609,780	\$4,583,871
4th Department	\$5,277,218	\$1,136,536	\$6,413,754
Undistributed:	(\$478,737)	\$0	(\$478,737)
Total:	\$25,931,259	\$3,418,390	\$29,349,649

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

MENTAL HYGIENE LEGAL SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	25,691,367	25,377,575	(313,792)
PS Temporary	819,804	553,684	(266,120)
Total Personal Service	<u>26,511,171</u>	<u>25,931,259</u>	<u>(579,912)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	155,732	158,300	2,568
Supplies and Materials	128,316	118,316	(10,000)
Travel	400,200	366,000	(34,200)
Equipment Rental and Repairs	91,901	94,716	2,815
Real Estate Rentals	1,029,518	1,060,520	31,002
Conferences and Training	5,976	5,000	(976)
Postage and Printing	73,168	68,013	(5,155)
Telecommunications	111,450	93,626	(17,824)
Information Technology Services	13,650	14,860	1,210
Records Management Services	12,185	27,800	15,615
Other Professional Services	1,206,984	1,326,860	119,876
In-Part Services	1,460	960	(500)
Transcripts	84,919	83,419	(1,500)
Total Nonpersonal Service	<u>3,315,459</u>	<u>3,418,390</u>	<u>102,931</u>
 Grand Total	 29,826,630	 29,349,649	 (476,981)

**APPELLATE AUXILIARY OPERATIONS
CANDIDATE EXAMINATION PROGRAM**

2014-15 Budget Request:	\$5,290,990
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Program Description

This Program provides funding for the operation of the State Board of Law Examiners.

State Board of Law Examiners: All applicants for admission to practice law in New York State are required by law to demonstrate their fitness by successful performance on the NYS Bar Examination and by demonstrating good moral character on a credential review. The State Board of Law Examiners develops and administers the NYS Bar Examination twice annually, and the Appellate Divisions, through their Committees on Character and Fitness, conduct the requisite character review of each candidate for admission. The Committees on Character and Fitness are funded through the Candidate Fitness program.

Summary of 2014-15 Funding Request:

Candidate Examination

2014-15 Budget Request:	\$5,290,990
<i>Personal Service:</i>	<i>1,513,990</i>
<i>Nonpersonal Service:</i>	<i>3,777,000</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Candidate Examination Miscellaneous Special Revenue Fund budget request is \$5.3 million or a decrease of \$37,647 (-0.7%) from the current year adjusted appropriation.

The personal service request of \$1.5 million represents a decrease of \$11,447 (-0.8%) from the current year adjusted appropriation. This amount includes funding for all filled nonjudicial positions and includes the payment of increments and longevity bonuses, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover.

The nonpersonal service funding supports expenses associated with the NYS Bar Examination including other professional services for fees paid to the national association for the multi-state portion of the exam, consultant fees, security costs and proctor and grader payments. The nonpersonal service funding also includes real estate rentals for testing locations.

The nonpersonal service request of \$3.8 million reflects a decrease of \$26,200 (-0.7%) from current year funding. The decrease is primarily attributable to reduced costs for the multi-state portion of the NYS Bar Examination in other general services. A budget adjustment transferring funds from other general services to accounting and auditing services for credit card payment fees is also noted.

The Candidate Examination Program collects revenue of approximately \$6.8 million annually in NYS Bar Examination fees which is deposited into the General Fund.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Candidate Examination Program

2014-15 Request

Candidate Examination	Personal Service	Nonpersonal Service	Total
Board of Law Examiners	\$1,513,990	\$3,777,000	\$5,290,990
Total:	\$1,513,990	\$3,777,000	\$5,290,990

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

BOARD OF LAW EXAMINERS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,509,437	1,497,990	(11,447)
PS Overtime	16,000	16,000	0
Total Personal Service	<u>1,525,437</u>	<u>1,513,990</u>	<u>(11,447)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	42,000	42,000	0
Travel	54,800	50,000	(4,800)
Equipment Rental and Repairs	178,000	178,000	0
Real Estate Rentals	1,300,000	1,300,000	0
Postage and Printing	130,000	137,500	7,500
Telecommunications	50,000	50,000	0
Information Technology Services	10,000	10,000	0
Accounting and Auditing Services	0	100,000	100,000
Other Professional Services	2,028,400	1,899,500	(128,900)
In-Part Services	10,000	10,000	0
Total Nonpersonal Service	<u>3,803,200</u>	<u>3,777,000</u>	<u>(26,200)</u>
 Grand Total	 5,328,637	 5,290,990	 (37,647)

**APPELLATE AUXILIARY OPERATIONS
CANDIDATE FITNESS PROGRAM**

2014-15 Budget Request:	\$1,441,506
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Program Description

This Program provides funding for operation of the Committees on Character and Fitness maintained by each of the four Appellate Divisions.

Committees on Character and Fitness: Every person who successfully completes the New York State Bar Examination and applies for admission to practice law in New York is required by law to demonstrate that he or she is of good moral character and fit to act as a lawyer. Each of the four Appellate Divisions has a Committee on Character and Fitness to conduct the necessary character review for each applicant.

Summary of 2014-15 Funding Request:

Candidate Fitness Program

2014-15 Budget Request:	\$1,441,506
<i>Personal Service:</i>	<i>1,212,151</i>
<i>Nonpersonal Service:</i>	<i>229,355</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Candidate Fitness Miscellaneous Special Revenue Fund budget request is \$1.4 million or an increase of \$45,897 (3.3%) over the current year adjusted appropriation.

The personal service request of \$1.2 million represents an increase of \$59,235 (5.1%) over the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover and nonjudicial employment leaves.

The nonpersonal service funding supports usual and necessary expenses associated with the administration of the Candidate Fitness Program including postage and printing, supplies and materials and equipment rental and repairs.

The nonpersonal service request of \$229,355 reflects a decrease of \$13,338 (-5.5%) from current year funding. The decrease is primarily attributable to expenditure-based reductions in postage, transcripts and repairs of equipment.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Candidate Fitness Program

2014-15 Request

Candidate Fitness	Personal Service	Nonpersonal Service	Total
1st Department	\$765,087	\$47,400	\$812,487
2nd Department	\$447,064	\$63,350	\$510,414
3rd Department	\$0	\$107,055	\$107,055
4th Department	\$0	\$11,550	\$11,550
Total:	\$1,212,151	\$229,355	\$1,441,506

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

CANDIDATE FITNESS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,152,916	1,212,151	59,235
Total Personal Service	1,152,916	1,212,151	59,235
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	450	100	(350)
Supplies and Materials	26,255	24,100	(2,155)
Travel	3,458	3,208	(250)
Equipment Rental and Repairs	12,650	10,150	(2,500)
Real Estate Rentals	500	0	(500)
Conferences and Training	5,200	5,200	0
Postage and Printing	68,802	60,300	(8,502)
Telecommunications	3,100	3,100	0
Information Technology Services	1,200	1,200	0
Records Management Services	38,700	38,700	0
Other Professional Services	56,128	60,047	3,919
Transcripts	26,250	23,250	(3,000)
Total Nonpersonal Service	242,693	229,355	(13,338)
 Grand Total	 1,395,609	 1,441,506	 45,897

**APPELLATE AUXILIARY OPERATIONS
ATTORNEY DISCIPLINE PROGRAM**

2014-15 Budget Request: \$14,461,352

Program Description

This Program provides funding to support the Attorney Grievance Committees and disciplinary proceedings.

Attorney Grievance Committees: Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (*e.g.*, censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

Summary of 2014-15 Funding Request:
Attorney Discipline

2014-15 Budget Request: \$14,461,352
<i>Personal Service: 12,755,841</i>
<i>Nonpersonal Service: 1,705,511</i>
<i>Maintenance Undistributed:</i>

The Attorney Discipline Special Revenue Fund budget request is \$14.5 million or a decrease of \$93,430 (-0.6%) from the current year adjusted appropriation.

The personal service request of \$12.8 million represents a decrease of \$19,688 (-0.2%) from the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves as well as staffing adjustments to meet operational needs.

The personal service request also includes \$297,794 for temporary service to support attorneys and staff in the Second and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline program including: real estate rentals for office space; transcript costs and forensic evaluations required for disciplinary hearings; legal reference materials and online services; and supplies and materials.

The nonpersonal service request of \$1.7 million reflects a decrease of \$73,742 (-4.1%) from current year funding. The decrease is attributable to reduced real estate lease costs and expenditure-based reductions in supplies and materials, travel, other general services and transcripts.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Attorney Discipline Program

2014-15 Request

Attorney Discipline	Personal Service	Nonpersonal Service	Total
1st Department	\$4,031,792	\$279,900	\$4,311,692
2nd Department	\$6,025,695	\$888,400	\$6,914,095
3rd Department	\$974,135	\$193,868	\$1,168,003
4th Department	\$1,724,219	\$343,343	\$2,067,562
Total:	\$12,755,841	\$1,705,511	\$14,461,352

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ATTORNEY DISCIPLINE
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,477,635	12,458,047	(19,588)
PS Temporary	297,794	297,794	0
PS Overtime	100	0	(100)
Total Personal Service	<u>12,775,529</u>	<u>12,755,841</u>	<u>(19,688)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	105,458	102,458	(3,000)
Supplies and Materials	153,185	140,765	(12,420)
Travel	71,587	69,300	(2,287)
Equipment Rental and Repairs	112,592	108,344	(4,248)
Real Estate Rentals	847,611	812,079	(35,532)
Conferences and Training	13,300	11,800	(1,500)
Postage and Printing	80,680	79,415	(1,265)
Telecommunications	32,000	29,500	(2,500)
Information Technology Services	14,100	13,500	(600)
Records Management Services	35,150	36,750	1,600
Other Professional Services	129,340	121,100	(8,240)
In-Part Services	250	1,500	1,250
Judicial Hearing Officers	13,000	13,000	0
Transcripts	171,000	166,000	(5,000)
Total Nonpersonal Service	<u>1,779,253</u>	<u>1,705,511</u>	<u>(73,742)</u>
Grand Total	14,554,782	14,461,352	(93,430)

Major Purpose Summary
ADMINISTRATION AND
GENERAL SUPPORT

2014-15 All Funds Budget Request:	\$20,736,745
<i>General Fund:</i>	<i>17,975,271</i>
<i>State Special Revenue Funds:</i>	<i>2,761,474</i>

Major Purpose Description

The Administration and General Support Major Purpose requests funding to provide management support for the Unified Court System. Under the Constitution, the Chief Judge of the State, with the advice and consent of the Administrative Board of the Courts, designates a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge), who bears responsibility for day-to-day supervision and operation of the courts. By statute and by direction of the Chief Judge, the Chief Administrator designates Deputy Chief Administrative Judges for the courts within and outside New York City to aid the Chief Administrator in the discharge of his or her duties. The Chief Administrator also is empowered to establish an administrative office for the courts to provide necessary staff support in a broad array of areas, including: legal and intergovernmental affairs; policy planning and executive direction; financial management and budget preparation; education and training; internal affairs; human resources, media relations and public affairs; and court research.

This Major Purpose also requests special revenue funding from the Attorney Licensing Fund (ALF) for management of the attorney registration database. Under Judiciary Law, every attorney admitted to the practice of law in New York must register biennially with the Office of Court Administration and pay a \$375 registration fee. This fee is distributed among the Lawyer's Fund for Client Protection, the Indigent Legal Services Fund, the Legal Services Assistance Fund and the Attorney Licensing Fund.

Finally, the Administration and General Support Major Purpose requests special revenue funding from the Court Facilities Incentive Aid Fund for management of the State's obligations under the Court Facilities Act of 1987, which established a State-aid program to assist counties and cities in meeting their obligations in relation to maintaining court facilities. As originally adopted, this aid program provided localities with reimbursement for a portion of the debt service and maintenance and operations costs associated with court facilities. In the mid-1990's, the Legislature modified the Court Facilities Act to provide for full State assumption of local costs incurred in the cleaning and minor repair of court facilities. This Major Purpose funds the staff who manage implementation of the Court Facilities Act. Funding for the aid program is requested from the Court Facilities Incentive Aid Fund in the Local Assistance budget.

Summary of 2014-15 Funding Request: Administration and General Support

The Administration and General Support Major Purpose State Operations All Funds budget request is \$20.7 million, or a decrease of \$0.9 million (-4.2%) from the current year adjusted appropriation. This request includes the following:

Personal Service

The personal service request of \$18.2 million represents a decrease of \$853,626 (-4.5%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves as well as staffing adjustments implemented to meet operational needs.

Nonpersonal Service

Nonpersonal service funding supports the usual and necessary expenses associated with administrative office operations including: supplies, travel, postage, printing, and conferences. Also reflected in accounting and auditing services is the payment of credit card fees associated with online payment of attorney registration fees.

The nonpersonal service request is \$2.6 million, or a decrease of \$53,382 (-2.0%) from current year funding. The decrease in other professional services reflects accounting changes which transferred fees for maintenance of the Attorney Registration database indices to the records management category and software maintenance fees to information technology services. The decrease also reflects the cost-saving elimination of discretionary contractual obligations in this Major Purpose. These decreases are partially offset by an increase in accounting and auditing services to fund the triennial outside audit of the internal controls of the Judiciary, and expenditure-based increases in postage and printing.

**Administration and General Support
Budget Summary - All Funds**

2014-15 Request

Administration & General Support	Personal Service	Nonpersonal Service	Total
UCS Commissions	\$678,400	\$131,050	\$809,450
Communications	\$468,574	\$11,805	\$480,379
Counsel Activities	\$2,717,314	\$35,965	\$2,753,279
Deputy Chief Administrative Judges	\$1,574,084	\$44,881	\$1,618,965
Division of Financial Management	\$1,876,971	\$25,300	\$1,902,271
Executive Direction	\$1,987,191	\$198,700	\$2,185,891
Internal Audit Services	\$2,137,996	\$184,500	\$2,322,496
Judicial Institute Education & Training	\$727,349	\$739,500	\$1,466,849
Jury, Court Statistics & Operations	\$1,458,623	\$57,500	\$1,516,123
HR Civil Service & Labor Administration	\$2,055,469	\$307,765	\$2,363,234
Public Affairs	\$339,233	\$33,513	\$372,746
Attorney Registration	\$867,756	\$732,209	\$1,599,965
Court Facilities Administration	\$1,150,509	\$11,000	\$1,161,509
Web Design	\$437,543	\$52,600	\$490,143
Undistributed	(\$306,555)	\$0	(\$306,555)
Total:	\$18,170,457	\$2,566,288	\$20,736,745

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
ADMINISTRATION
& GENERAL SUPPORT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,007,483	18,153,857	(853,626)
PS Overtime	16,600	16,600	0
Total Personal Service	<u>19,024,083</u>	<u>18,170,457</u>	<u>(853,626)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	19,345	18,433	(912)
Supplies and Materials	237,974	196,581	(41,393)
Travel	603,850	603,850	0
Equipment Rental and Repairs	115,709	83,709	(32,000)
Real Estate Rentals	105,500	105,500	0
Conferences and Training	257,677	251,000	(6,677)
Postage and Printing	326,650	361,450	34,800
Information Technology Services	21,500	36,900	15,400
Accounting and Auditing Services	432,000	472,000	40,000
Records Management Services	0	50,000	50,000
Other Professional Services	442,600	330,000	(112,600)
In-Part Services	15,000	15,000	0
ADR/SCAR/Arbitration	5,000	5,000	0
Transcripts	36,865	36,865	0
Total Nonpersonal Service	<u>2,619,670</u>	<u>2,566,288</u>	<u>(53,382)</u>
Grand Total	21,643,753	20,736,745	(907,008)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ADMINISTRATION
& GENERAL SUPPORT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,124,564	16,145,592	(978,972)
PS Overtime	6,600	6,600	0
Total Personal Service	<u>17,131,164</u>	<u>16,152,192</u>	<u>(978,972)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	19,345	18,433	(912)
Supplies and Materials	209,474	168,081	(41,393)
Travel	595,600	595,600	0
Equipment Rental and Repairs	112,250	80,250	(32,000)
Real Estate Rentals	105,500	105,500	0
Conferences and Training	257,677	251,000	(6,677)
Postage and Printing	136,450	133,450	(3,000)
Information Technology Services	21,500	36,900	15,400
Accounting and Auditing Services	7,000	47,000	40,000
Other Professional Services	442,600	330,000	(112,600)
In-Part Services	15,000	15,000	0
ADR/SCAR/Arbitration	5,000	5,000	0
Transcripts	36,865	36,865	0
Total Nonpersonal Service	<u>1,964,261</u>	<u>1,823,079</u>	<u>(141,182)</u>
 Grand Total	 19,095,425	 17,975,271	 (1,120,154)

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ADMINISTRATION
& GENERAL SUPPORT
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	818,728	857,756	39,028
PS Overtime	10,000	10,000	0
Total Personal Service	<u>828,728</u>	<u>867,756</u>	<u>39,028</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	25,000	25,000	0
Travel	750	750	0
Equipment Rental and Repairs	3,459	3,459	0
Postage and Printing	190,200	228,000	37,800
Accounting and Auditing Services	425,000	425,000	0
Records Management Services	0	50,000	50,000
Total Nonpersonal Service	<u>644,409</u>	<u>732,209</u>	<u>87,800</u>
 Grand Total	 1,473,137	 1,599,965	 126,828

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ADMINISTRATION
& GENERAL SUPPORT
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,064,191	1,150,509	86,318
Total Personal Service	1,064,191	1,150,509	86,318
<u>Nonpersonal Service</u>			
Supplies and Materials	3,500	3,500	0
Travel	7,500	7,500	0
Total Nonpersonal Service	11,000	11,000	0
 Grand Total	 1,075,191	 1,161,509	 86,318

Major Purpose Summary

**JUDICIARY-WIDE MAINTENANCE
UNDISTRIBUTED**

2014-15 All Funds Budget Request:	\$55,000,000
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<i>General Fund:</i>	<i>55,000,000</i>
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<i>State Special Revenue Funds:</i>	<i>0</i>
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Major Purpose Description

This Major Purpose provides funding for the Civil Legal Services Program.

Civil Legal Services Program: The availability of affordable legal representation to all who come before the courts is indispensable to assuring that the Judiciary meets its constitutional mission to ensure equal justice for all. This Program provides continuing State support for civil legal services to indigent persons through grants to civil legal service providers.

Summary of 2014-15 Funding Request: Judiciary-wide Maintenance Undistributed

The Judiciary-wide Maintenance Undistributed Major Purpose State Operations General Fund budget request is \$55 million or an increase of \$15 million (37.5%) over the current year adjusted appropriation. This request includes the following:

Maintenance Undistributed

The maintenance undistributed request is \$55 million, or an increase of \$15 million (37.5%) over current year funding. The increase will provide additional resources to nonprofit agencies in support of civil legal services for indigent persons.

**Judiciary-Wide Maintenance Undistributed
Budget Summary - General Fund**

2014-15 Request

Judiciary-Wide Maintenance Undistributed	Maintenance Undistributed
Civil Legal Services	\$55,000,000
Total:	\$55,000,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

JUDICIARY-WIDE
MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Civil Legal Services	40,000,000	55,000,000	15,000,000
Total Maintenance Undistributed	40,000,000	55,000,000	15,000,000
Grand Total	40,000,000	55,000,000	15,000,000

Major Purpose Summary
**LAWYERS' FUND FOR CLIENT
PROTECTION**

2014-15 All Funds Budget Request:	\$12,756,663
<i>General Fund:</i>	<i>0</i>
<i>Lawyers' Fund for Client Protection:</i>	<i>12,756,663</i>

Major Purpose Description

This Major Purpose provides funding for the operation of the Lawyers' Fund for Client Protection. The Judiciary submits this budget request on behalf of the Fund's Board of Trustees.

Lawyers' Fund for Client Protection: Originally known as the Client Security Fund, the Lawyers' Fund was established by the Legislature in 1981 for the purpose of providing protection to law clients from the misappropriation or willful misapplication of their money and property by their lawyers. The Fund is administered by a Board of Trustees appointed by the Court of Appeals and funded principally from a portion of the biennial attorney registration fee and augmented by appropriations from the Attorney Licensing Fund.

Summary of 2014-15 Funding Request: Lawyers' Fund for Client Protection

The Lawyers' Fund for Client Protection Special Revenue Fund budget request is \$12.8 million, or an increase of \$12,787 (0.1%) over the current year adjusted appropriation.

Personal Service

The personal service request of \$476,663 represents an increase of \$14,787 (3.2%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and for the payment of increments and longevity bonuses, as required by law, for all eligible employees.

Nonpersonal Service

The nonpersonal service funding primarily supports the payment of awards as approved by the Board of Trustees. In addition, funding for the usual and necessary expenses associated with office operations is reflected. The nonpersonal service request is \$12.3 million, or a decrease of \$2,000 (-0.02%) from current year funding.

**Lawyers' Fund for Client Protection
Budget Summary - State Special Revenue Fund**

2014-15 Request

Lawyers' Fund for Client Protection	Personal Service	Nonpersonal Service	Total
Lawyers' Fund for Client Protection	\$476,663	\$12,280,000	\$12,756,663
Total:	\$476,663	\$12,280,000	\$12,756,663

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

LAWYERS' CLIENT PROTECTION
LAWYERS' FUND FOR CLIENT
PROTECTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	461,876	476,663	14,787
Total Personal Service	461,876	476,663	14,787
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	10,000	10,000	0
Supplies and Materials	7,500	7,500	0
Travel	25,000	26,000	1,000
Equipment Rental and Repairs	14,000	14,000	0
Real Estate Rentals	42,000	42,000	0
Postage and Printing	18,000	18,000	0
Telecommunications	6,500	6,000	(500)
Other Professional Services	12,159,000	12,156,500	(2,500)
Total Nonpersonal Service	12,282,000	12,280,000	(2,000)
 Grand Total	 12,743,876	 12,756,663	 12,787

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2014-15

Part II
Aid to Localities

Major Purpose Summary

AID TO LOCALITIES

2014-15 All Funds Budget Request:	\$102,377,495
<i>General Fund:</i>	<i>2,445,584</i>
<i>Court Facilities Incentive Aid Fund:</i>	<i>99,931,911</i>

Major Purpose Description

The Aid to Localities Major Purpose is comprised of the following programs: Court Facilities Incentive Aid and Justice Court Assistance.

Summary of 2014-15 Funding: Aid to Localities

The Aid to Localities All Funds budget request is \$102.4 million. There is no change to the current year adjusted appropriation. The request includes the following:

Maintenance Undistributed

Maintenance undistributed funding supports State assistance to local governments through the Court Facilities Incentive Aid Program and the Justice Court Assistance Program.

The maintenance undistributed request is \$102.4 million. There is no change to the current adjusted appropriation.

**Aid to Localities
Budget Summary - All Funds**

2014-15 Request

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$99,931,911	\$99,931,911
Justice Court Assistance	\$2,445,584	\$2,445,584
Total:	\$102,377,495	\$102,377,495

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

ALL FUNDS
AID TO LOCALITIES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	16,551,075	16,551,075	0
State Supported Facilities	13,252,879	13,252,879	0
Cleaning and Repairs	70,127,957	70,127,957	0
Justice Court Assistance Program	2,445,584	2,445,584	0
Total Maintenance Undistributed	<u>102,377,495</u>	<u>102,377,495</u>	<u>0</u>
 Grand Total	 102,377,495	 102,377,495	 0

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID PROGRAM

2014-15 Budget Request: \$99,931,911

Program Description

This Program provides funding for State assistance to local governments for court facilities.

Court Facilities Incentive Aid Program: This program was established in 1986 to provide financial assistance to local governments for the construction and maintenance of court facilities. State support for local governments under the Court Facilities Incentive Aid Program (CFIA) includes interest aid subsidies, reimbursement of cleaning costs and full debt service for appellate facilities and the Judicial Training Institute.

Summary of 2014-15 Funding Request:

Court Facilities Incentive Aid

2014-15 Budget Request:	\$99,931,911
<i>Personal Service:</i>	<i>0</i>
<i>Nonpersonal Service:</i>	<i>0</i>
<i>Maintenance Undistributed:</i>	<i>99,931,911</i>

The Aid to Localities - Court Facilities Incentive Aid Fund budget request is \$99.9 million. There is no change from the current year appropriation.

The maintenance undistributed request includes \$16.5 million for interest aid subsidies, \$70.1 million for reimbursement of court cleaning and minor repair expenses, and \$13.2 million for reimbursement of Appellate Division facilities' expenses, including local chamber space for Court of Appeals Judges and funding to support debt service and facility operating expenses of the New York State Judicial Institute.

Aid to Localities
Budget Summary - Court Facilities Incentive Aid Fund

Court Facilities Incentive Aid Program

2014-15 Request

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$99,931,911	\$99,931,911
Total:	\$99,931,911	\$99,931,911

State of New York - Judiciary
Budget Summary for Fiscal Year 2014-2015

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	16,551,075	16,551,075	0
State Supported Facilities	13,252,879	13,252,879	0
Cleaning and Repairs	70,127,957	70,127,957	0
Total Maintenance Undistributed	<u>99,931,911</u>	<u>99,931,911</u>	<u>0</u>
 Grand Total	 99,931,911	 99,931,911	 0

**AID TO LOCALITIES
JUSTICE COURT ASSISTANCE PROGRAM**

2014-15 Budget Request: \$2,445,584

Program Description

This Program provides funding for State assistance to Town and Village Justice courts.

Justice Court Assistance Program (“JCAP”): In 1999, the State inaugurated JCAP, a State assistance program funded through the Judiciary Budget, by which limited State funding would be provided to towns and villages to help them automate their Justice Courts, improve their security, facilitate their legal capabilities, acquire necessary supplies and generally modernize their operations. JCAP funding is disbursed by the Chief Administrative Judge in awards of up to \$30,000 annually made to applicant towns and villages.

Summary of 2014-15 Funding Request:

Justice Court Assistance

2014-15 Budget Request:	\$2,445,584
<i>Personal Service:</i>	<i>0</i>
<i>Nonpersonal Service:</i>	<i>0</i>
<i>Maintenance Undistributed:</i>	<i>2,445,584</i>

The Aid to Localities Justice Court Assistance Program budget request is \$2.4 million. There is no change from the current year appropriation.

The maintenance undistributed request is \$2.4 million which will be distributed to local justice courts through this program.

Aid to Localities
Budget Summary - Local Assistance

Justice Court Assistance Program

2014-15 Request

Justice Court Assistance	Maintenance Undistributed	Total
Justice Court Assistance	\$2,445,584	\$2,445,584
Total:	\$2,445,584	\$2,445,584

State of New York - Judiciary
 Budget Summary for Fiscal Year 2014-2015

AID TO LOCALITIES
 LOCAL ASSISTANCE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Justice Court Assistance Program	2,445,584	2,445,584	0
Total Maintenance Undistributed	2,445,584	2,445,584	0
Grand Total	2,445,584	2,445,584	0

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2014-15

Part III
Capital Projects

The 2014-15 Judiciary budget request does not include any new appropriations for capital projects. It does seek to reappropriate capital funds originally appropriated in fiscal 2007-08 for the development of a Court Officer Training Academy in Kings County.

Court Officer Academy in Kings County

One of the primary recommendations of the Task Force on Court Security—convened by the Chief Judge and Chief Administrative Judge in the aftermath of September 11, 2001—was the need to expand training for court security officers by establishing appropriate residential facilities similar to those in use by the Department of Corrections and the State Police. Such facilities are necessary because of the unique security environments in which court officers operate and because of certain insufficiencies in the Judiciary’s existing nonresidential program. Residential facilities would resolve many operational difficulties and would also facilitate court officer recruitment. In addition, the creation of a residential training facility will assist the court system in meeting the newly established training requirements under chapter 491 of the Laws of 2010.

Chapter 548 of the Laws of 2006 provided for the construction or acquisition of a Court Officer Training Academy to be located on specified property within Kings County. The Judiciary, with the assistance of the Dormitory Authority of the State of New York, has entered into a 49-year lease with a nonprofit entity for the purpose of renovating a former school complex into a state-of-the-art Court Officer Training Academy in Kings County. Design and pre-construction of the project has been completed, and the project is entering the final construction phase; funds needed for this project are estimated at \$51 million.