I want to thank our State Reporter, William J. Hooks, for inviting me to submit this Foreword to the newly revised 2017 Official Style Manual of the New York State Law Reporting Bureau. Mr. Hooks and his excellent staff not only publish the Official Reports, the permanent record of the decisions and proceedings of the New York State courts, but also publish and maintain the Official Style Manual, which has long served as the authoritative reference work on legal citation for the New York courts and the lawyers who practice before them.

No judge writing an opinion or lawyer drafting a brief can do so properly without citing to pertinent legal authorities — statutes, regulations, prior court decisions, and treatises and secondary materials — to support their conclusions and arguments. This technical language of “legal citation,” which is absolutely essential to legal writing, would be impossible to master without a widely accepted guide that promotes consistency, conciseness and precision. Happily, the Bureau’s excellent Style Manual achieves all these goals — balancing the need to provide enough information about references so that readers can follow a line of argument to its legal sources with the need to keep the writing as clear and uncluttered as possible.

This year, the Bureau has revised the Style Manual to update citation formats, add citation examples, clarify existing rules and add new rules to keep pace with the rapid growth of electronic materials and legal research technologies.

Among the many notable additions designed to facilitate precision and clarity in judicial writings are new or amended rules clarifying the mandatory elements of initial citations and omitting unnecessary information in shortened citation to previously cited sources, such as the use of “supra” as an element of a short form citation. Citation formats and examples have been
provided for new sources of New York authority, including the Guide to New York Evidence being compiled by the Judicial Advisory Committee on Evidence established last year. The Style Manual has been expanded to provide enhanced guidance on citation of electronic materials and Internet sources. Finally, this edition reflects the Unified Court System’s policy of recognizing privacy and safety concerns, including guidance on redaction of personal identifying and other private information in judicial writings.

On behalf of our Judiciary and the entire legal profession, I extend my appreciation and gratitude to the Law Reporting Bureau for its always competent and diligent work, and for its responsiveness and commitment to excellence in continually updating the phenomenal resource that is the Official Style Manual.
PREFACE TO THE 2017 EDITION

For more than 60 years, the New York Law Reports Style Manual has been issued by the Law Reporting Bureau with the approval of the Court of Appeals as a guide for New York judges and their staffs in the preparation of opinions for publication in the Official Reports. It also prescribes the style applied by the Law Reporting Bureau in editing the opinions for publication in the Reports. Although not binding on them, many lawyers find the Manual useful in preparing papers for submission to New York courts. The Style Manual provides a guide for opinion writers and editors in five primary areas: citation, abbreviation, capitalization, quotation, and word style and usage. In addition, it specifies for editors the format and typographical standards for the Reports. Moreover, the Style Manual provides guidance to assist the courts with applying the Unified Court System’s policy to protect the personal privacy and safety interests that are implicated in Internet publication of judicial opinions. This Edition continues to add and adapt style rules and policies that promote modern practices emphasizing clarity, brevity and use of plain English in judicial decisions; it also facilitates broad access to the law by encouraging citation of reliable, official sources that are publicly available online at no cost.

General References

This Manual supplements general citation and style authorities, providing more detail on New York materials and a more specific focus on judicial opinions. General authorities should be consulted on matters not covered by this Manual. These authorities include:

The Bluebook: A Uniform System of Citation (20th ed 2015)

Coleen Barger, ALWD Guide to Legal Citation (6th ed 2017)


The Chicago Manual of Style (17th ed 2017)

Webster’s Third New International Dictionary (2002), and Webster’s Third New International Dictionary, Unabridged Online (http://unabridged.merriam-webster.com/)

Black’s Law Dictionary (10th ed 2014)
Notes for Use and Important Changes

The 2017 Edition updates citation formats and examples, adds new examples, clarifies some existing rules, and provides new rules where necessary. It incorporates all electronic updates since 2013. The revisions are premised on the input of the staff of the Law Reporting Bureau and various state courts and other users, and review of various writing and style authorities. In updating and revising the 2017 Edition, the Law Reporting Bureau remained committed to conforming to modern style practices, reducing unnecessary variations from standard style authorities, and compiling an extensive array of citation format examples for New York source material. The most noteworthy of the changes found in this Manual are:

1. Citation Style:

   • In accordance with standard authorities and to minimize interruption of text, revised rules clarify the information that should be omitted from subsequent references to cases previously cited in full (1.3 [a]), provide guidance on the proper use of supra and short-form references to previously cited authority (1.3 [b] [2]) and articulate the standard for use of sub nom. in case history (2.2 [a] [5]). In addition, use of short-form citations and id. are encouraged where appropriate and numerous examples of short-form references are included for cases (1.3 [b] [2]), statutes (3.1 [b] [1] [c]) and secondary authorities (1.3 [b] [2]).

   • The 2017 Edition embraces the continuing migration of legal research from print to electronic sources by providing guidance on the elements of electronic citation generally (1.5), and updating source specific rules and sample citations for electronic services (2.4 [a]), Internet material (2.4 [a] [3]; 7.1 [d]), CD-ROM material (7.3 [c]), New York Law Journal and other online decisions (2.2 [a] [8]; 2.2 [b] [2], [3]), and e-books (7.9). It adds citation formats for Internet sources that have been cached to avoid link rot (7.1 [d] [3]), sources found in commercial electronic databases (7.1 [d] [8]), and documents appearing in the New York State Courts Electronic Filing System (NYSCEF) (7.7). A new provision differentiates direct and parallel citation of Internet sources (7.1 [d] [7]).
Because treatises and similar authority published in both print and online often lack consistent page numbering and are not always updated simultaneously, the legend “[Note: online version]” is used in this Edition to distinguish examples of citation formats for the same material that is available in both print and online sources. The legend is added to facilitate the author’s choice of format for citation to the precise source referenced.

Additional or revised forms of citation have been provided for commission and agency documents and materials (2.4[b][1]); statutes (3.1-3.3; Appendix 4); regulations, court rules and jury instructions (4.1-4.2); and legal periodicals, treatises and other works (7.2-7.6).

The list of official public domain citations adopted by various jurisdictions was updated (Appendix 2[D]).

The rule for citation of out-of-state case law has been revised to direct primary citation to the official, online decisions that are freely available on the Internet (2.3[c][1]).

Citation formats have been added for the following sources, among others: the Guide to New York Evidence (New York’s first publication of its rules of evidence compiled by Chief Judge DiFiore’s Judicial Advisory Committee on Evidence) (4.1[e]); Model Colloquies for trial judges (drafted and published by the Unified Court System’s Criminal Jury Instructions and Model Colloquy Committee) (4.1[d]); the new Rules for Attorney Disciplinary Matters (4.1[b][6]); and documents available online through NYSCEF (7.7).

2. Abbreviation:

The list of abbreviations for words appearing in parenthetical citations of appellate history, statutes and legislative materials, rules and regulations, treatises and legal documents has been reorganized and rules have been added for capitalization and italicization of those words (Appendix 3).

3. Quotations:

The Style Manual’s section on omitting or altering language in quoted material has been expanded to add examples of proper ellipsis use and revised to clarify the rules governing omissions from or alterations of the source text.
• New rules illustrate the use of an ellipsis to indicate omission of a paragraph(s) from a multi-paragraph quotation (11.1 [c] [1]), and the use of an ellipsis with a period to indicate omission of a full sentence(s) between quoted sentences (11.1 [c] [2]).

• The style for indicating omission of brackets or ellipses from quoted text has been added to rule 11.1 (c) (1).

• The rules governing alterations that appear in the source of a quotation (11.1 [d]) and omission of internal quotation marks from quotations within quotations (11.2 [c]) have been clarified.

4. Word Style and Usage:

• The rule on redaction of personal identifying information has been revised to provide guidance for consistent redaction of personal identifying and other private information throughout particular writings and in related appellate decisions (12.4).

• Examples have been added to the list showing the style of particular words, with a continuing modern style emphasis on reducing excessive use of hyphens and capitalization (Appendix 5).

Other Notes:

The rules on capitalization are essentially unchanged. The general rule that use of “down style” (lowercase) is preferred has been explicitly articulated to avoid overuse of capitalization (10.1 [a]). The rules on formulation of case summaries (appeal statements) have been updated and examples have been added (Appendix 8). Guidance is now included for use of paragraph and section headings in decisions (13.2). The model citational footnote opinion has been retained (Appendix 7).

Exceptions and Changes

Deviations from the rules stated in this Manual are permitted where application of a rule would adversely affect the clarity or readability of an opinion. The Law Reporting Bureau welcomes suggestions for improvement of the Style Manual. Send them to: reporter@nycourts.gov.
Internet Version of this Manual

Changes to this Manual will be posted to the Bureau’s Internet site at http://www.nycourts.gov/reporter/styman_menu.shtml. Use of the Internet version is strongly recommended not only for updates, but also to gain the advantages of word searching, hypertext linking and coordinating use of the Manual with the New York Official Reports Citator.
RULES REQUIRING CITATION TO OFFICIAL REPORTS

“New York decisions shall be cited from the official reports, if any.” (CPLR 5529 [e].)

“Where New York authorities are cited in any submissions, New York Official Law Report citations shall be included, if available.” (Rules of Ct of Appeals [22 NYCRR] § 500.1 [g].)


“New York decisions shall be cited from the official reports, if any.” (Rules of App Div, 1st Dept [22 NYCRR] § 600.10 [a] [11].)

“New York decisions shall be cited from the official reports, if any.” (Rules of App Div, 4th Dept [22 NYCRR] § 1000.4 [f] [7].)
# TABLE OF CONTENTS

Summary of Table of Contents

<table>
<thead>
<tr>
<th>PART I: CITATION STYLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0 CITATION STYLE IN GENERAL</td>
</tr>
<tr>
<td>2.0 CASES</td>
</tr>
<tr>
<td>3.0 STATUTES AND LEGISLATIVE MATERIALS</td>
</tr>
<tr>
<td>4.0 REGULATIONS, COURT RULES, JURY INSTRUCTIONS, COLLOQUIES AND NEW YORK EVIDENCE GUIDE</td>
</tr>
<tr>
<td>5.0 CONSTITUTIONS</td>
</tr>
<tr>
<td>6.0 TREATIES AND INTERNATIONAL AGREEMENTS</td>
</tr>
<tr>
<td>7.0 LEGAL PERIODICALS, TREATISES AND OTHER WORKS AND DOCUMENTS</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PART II: OTHER STYLE ISSUES</th>
</tr>
</thead>
<tbody>
<tr>
<td>8.0 TITLES OF ACTIONS AND PROCEEDINGS</td>
</tr>
<tr>
<td>9.0 APPEARANCES OF COUNSEL</td>
</tr>
<tr>
<td>10.0 CAPITALIZATION, NUMERALS AND NUMBERS, DATES AND TIME, AND NAMES</td>
</tr>
<tr>
<td>11.0 QUOTATIONS AND QUOTATION MARKS</td>
</tr>
<tr>
<td>12.0 WORD STYLE IN GENERAL</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PART III: TYPOGRAPHY AND SPACING</th>
</tr>
</thead>
<tbody>
<tr>
<td>13.0 TYPOGRAPHY</td>
</tr>
<tr>
<td>14.0 SPACING</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PART IV: APPENDIXES</th>
</tr>
</thead>
<tbody>
<tr>
<td>APPENDIX 1 — COMMON CASE NAME ABBREVIATIONS</td>
</tr>
<tr>
<td>APPENDIX 2 — ABBREVIATION OF CASE LAW REPORTS</td>
</tr>
<tr>
<td>APPENDIX 3 — APPELLATE HISTORY AND OTHER ABBREVIATIONS USED IN CITATIONS</td>
</tr>
<tr>
<td>APPENDIX 4 — STYLE AND ABBREVIATION OF PARTICULAR STATUTES</td>
</tr>
<tr>
<td>APPENDIX 5 — STYLE OF PARTICULAR WORDS</td>
</tr>
<tr>
<td>APPENDIX 6 — TITLES IN VARIOUS ACTIONS AND PROCEEDINGS, WITH CASE NAMES</td>
</tr>
<tr>
<td>APPENDIX 7 — CITATIONAL FOOTNOTE STYLE (MODEL OPINION)</td>
</tr>
<tr>
<td>APPENDIX 8 — FORMULATION OF SUMMARIES (APPEAL STATEMENTS)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PART V: INDEX</th>
</tr>
</thead>
</table>

xiii
PART I: CITATION STYLE

1.0 CITATION STYLE IN GENERAL

1.1 ELEMENTS OF A CITATION

1.1 (a) Case Citation Elements

1.1 (b) Statutory Citation Elements

1.1 (c) Citation to Range of Pages, Sections or Similar Elements

1.2 PLACEMENT OF CITATIONS

1.2 (a) Where to Place

1.2 (b) Citations in Running Text

1.2 (c) Citations within Parentheses

1.2 (d) Citations in Footnotes

1.2 (e) Citational Footnote Style

1.2 (f) Footnote Numbers in Relation to Punctuation

1.3 REFERENCE TO PREVIOUSLY CITED AUTHORITY

1.3 (a) Options for Referencing Previously Cited Authority

1.3 (b) Short-Form References

1.3 (c) Subsequent Reference to Immediately Preceding Authority

1.3 (d) Subsequent Reference to Parallel Citations

1.4 INTRODUCTORY SIGNALS

1.4 (a) Citations Introduced by Signals

1.4 (b) Signal Word Serving as a Verb

1.5 ELECTRONIC SOURCES IN GENERAL

1.5 (a) Electronic Services

1.5 (b) Internet Material

1.5 (c) CD-ROM Material

1.5 (d) New York Slip Opinions

1.5 (e) Unreported and Unofficially Reported New York Opinions Published Online

1.5 (f) Unreported New York Appellate Motion Decisions Published Online

1.5 (g) E-Books
2.0 CASES

2.1 CASE NAMES

2.1 (a) New York Cases

(1) Cases Officially Reported

(2) Cases Not Officially Reported

2.1 (b) Supreme Court of the United States Cases

2.1 (c) Other Cases

2.2 NEW YORK COURT DECISIONS

2.2 (a) Decisions Officially Reported

(1) Basic Citation Style

(2) Pinpoint Page Citation

(3) Case Citation Containing Footnotes

(4) Citation Referencing Multiple-Page Quotation

(5) Citation Including Appellate History

(6) Multiple Citations; Citation Quoting or Citing Another

(7) Jurisdiction and Date; Optional Information

(8) Citation to Slip Opinions

2.2 (b) Unofficially Reported or Unreported Decisions

(1) New York Parallel Unofficial Citations

(2) Citation to Unreported Cases

(3) Citation to the New York Law Journal

(4) Discontinued Unofficial Report

2.3 FEDERAL AND OUT-OF-STATE DECISIONS

2.3 (a) Supreme Court of the United States

(1) Citation to Official Reports

(2) Citation to Unofficial Reports

2.3 (b) Other Federal Courts

(1) Reported Federal Cases

(2) Unreported Federal Cases

2.3 (c) Out-of-State and Unofficial Case Citations

(1) Where Official Reports Available

(2) Where Official Reports Unavailable

(3) Citing Reports Known by Name of Reporter

(4) Public Domain (Vendor or Medium Neutral) Citation

2.4 OTHER SOURCES OF DECISIONS

2.4 (a) Electronic Case Citations

(1) Online Services
3.0 STATUTES AND LEGISLATIVE MATERIALS
3.1 NEW YORK STATUTES AND LEGISLATIVE MATERIALS
3.1 (a) Statutory Abbreviation Style in General
3.1 (b) Statutory Citation Style
   (1) Basic Citation Form
   (2) Citation Strings and Multiple Statutory Citations
   (3) Statutory Amendments, Additions and Renumbering
   (4) Former Statutes
3.1 (c) Nonstatutory Material in Statutory Compilations
3.1 (d) Session Laws and Unconsolidated Laws
3.1 (e) Model Codes, Proposed Codes and Uniform Laws
3.1 (f) Legislative and Other Materials
3.2 FEDERAL STATUTES AND LEGISLATIVE MATERIALS
3.2 (a) Federal Statutory Abbreviations
3.2 (b) Federal Statutory Citation Style
3.2 (c) Federal Legislative Materials
3.3 OUT-OF-STATE STATUTES
4.0 REGULATIONS, COURT RULES, JURY INSTRUCTIONS, COLLOQUIES AND NEW YORK EVIDENCE GUIDE
4.1 NEW YORK RULES, REGULATIONS, INSTRUCTIONS, COLLOQUIES AND NEW YORK EVIDENCE GUIDE
<table>
<thead>
<tr>
<th>TABLE OF CONTENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>(7) Rent Statutes and Regulations................................. 48</td>
</tr>
<tr>
<td>(8) New York State Building Code .................................. 49</td>
</tr>
<tr>
<td>(9) International Property Maintenance Code and International Fire Code ............................................. 49</td>
</tr>
<tr>
<td>(10) New York City Building Code .................................. 50</td>
</tr>
<tr>
<td>(11) New York State Agency Regulations ........................ 50</td>
</tr>
<tr>
<td>4.1 (c) Pattern Jury and Criminal Jury Instructions ........... 51</td>
</tr>
<tr>
<td>(1) Pattern Jury Instructions ........................................... 51</td>
</tr>
<tr>
<td>(2) Criminal Jury Instructions ........................................... 51</td>
</tr>
<tr>
<td>4.1 (d) Model Colloquies.................................................. 52</td>
</tr>
<tr>
<td>4.1 (e) Guide to New York Evidence ................................... 53</td>
</tr>
<tr>
<td>4.2 FEDERAL RULES AND REGULATIONS ......................... 53</td>
</tr>
<tr>
<td>4.2 (a) Basic Citation Form ........................................... 53</td>
</tr>
<tr>
<td>4.2 (b) Particular Rules and Regulations .......................... 54</td>
</tr>
<tr>
<td>(1) Federal Rules of Civil Procedure ............................... 54</td>
</tr>
<tr>
<td>(2) Federal Rules of Criminal Procedure ........................... 54</td>
</tr>
<tr>
<td>(3) Federal Rules of Evidence ........................................... 54</td>
</tr>
<tr>
<td>(4) Federal Rules of Bankruptcy Procedure ....................... 54</td>
</tr>
<tr>
<td>(5) Federal Rules of Appellate Procedure ......................... 54</td>
</tr>
<tr>
<td>(6) Local Rules of the United States Court of Appeals .. 54</td>
</tr>
<tr>
<td>5.0 CONSTITUTIONS</td>
</tr>
<tr>
<td>5.1 GENERAL RULE ........................................................... 57</td>
</tr>
<tr>
<td>5.2 EXAMPLES .................................................................. 57</td>
</tr>
<tr>
<td>5.3 HISTORICAL CONSTITUTIONAL MATERIAL................. 58</td>
</tr>
<tr>
<td>6.0 TREATIES AND INTERNATIONAL AGREEMENTS</td>
</tr>
<tr>
<td>6.1 GENERAL RULE ........................................................... 59</td>
</tr>
<tr>
<td>6.2 EXAMPLES .................................................................. 59</td>
</tr>
<tr>
<td>7.0 LEGAL PERIODICALS, TREATISES AND OTHER WORKS AND DOCUMENTS</td>
</tr>
<tr>
<td>7.1 GENERAL RULE ........................................................... 62</td>
</tr>
<tr>
<td>7.1 (a) Page References ................................................... 62</td>
</tr>
<tr>
<td>7.1 (b) Names of Authors .................................................. 62</td>
</tr>
<tr>
<td>7.1 (c) Titles .................................................................. 62</td>
</tr>
<tr>
<td>7.1 (d) Internet Material ................................................... 62</td>
</tr>
<tr>
<td>(1) Generally ................................................................. 62</td>
</tr>
<tr>
<td>(2) Citation Style to Website ............................................ 62</td>
</tr>
<tr>
<td>(3) Citation to a Document or Page on a Website ........... 62</td>
</tr>
<tr>
<td>(4) Weblog Citation ......................................................... 63</td>
</tr>
<tr>
<td>(5) Pinpoint Citation ......................................................... 63</td>
</tr>
</tbody>
</table>
PART II: OTHER STYLE ISSUES

8.0 TITLES OF ACTIONS AND PROCEEDINGS

8.1 GENERAL RULES OF TITLE FORMULATION ............... 73
  8.1 (a) Parties at Trial Level .................................. 73
  8.1 (b) Parties at Appellate Level ........................... 74
  8.1 (c) Parties with Same Status .............................. 74
  8.1 (d) Full Names and Initials ................................ 74
  8.1 (e) Representative or Official Capacity .................. 74
  8.1 (f) Terms Omitted ........................................... 74
  8.1 (g) Parties in Transferred Proceedings, etc. .......... 74
  8.1 (h) Nonappealing Parties .................................. 75

8.2 COMMON TITLE STYLES ........................................... 75
  8.2 (a) Action with Party Suing in a Representative Capacity ........................................ 75
  8.2 (b) Proceedings against an Unnamed Public Official .... 75
  8.2 (c) Criminal Action against Multiple Defendants .... 75
  8.2 (d) Appellate Action with Some Parties Not Participating in Appeal .............................. 75
  8.2 (e) Appellate Proceedings with Cross-Appealing Parties. 75
9.0 APPEARANCES OF COUNSEL

9.1 GENERAL STYLE ................................................................. 77
9.2 AMICUS CURIAE ............................................................... 78
9.3 APPEARANCES ON OWN BEHALF ................................. 78
   9.3 (a) Non-Attorney Appearing on Own Behalf ................ 78
   9.3 (b) Non-Attorney Appearing on Own Behalf and by Attorney ......................................................... 79
   9.3 (c) Attorney Appearing on Own Behalf ......................... 79
   9.3 (d) Attorney Appearing on Own Behalf and for Client ... 79
   9.3 (e) Attorney Appearing on Own Behalf and by Attorney ............................................................... 79
   9.3 (f) Attorney Appearing on Own Behalf and by Attorney, and for Client ........................................ 79
   9.3 (g) Law Firm Appearing on Own Behalf ......................... 79
9.4 APPEARING SPECIALY ................................................. 79
9.5 NAME AND TITLE OF PUBLIC OFFICIALS .................... 79
9.6 ATTORNEY GENERAL APPEARING IN CASES INVOLVING CONSTITUTIONALITY OF STATUTE ..... 80
9.7 OUT-OF-STATE ATTORNEY ............................................... 80
9.8 ATTORNEY APPEARING AS COURT EVALUATOR ......... 80
9.9 ATTORNEY FOR CHILD ................................................. 80

10.0 CAPITALIZATION, NUMERALS AND NUMBERS, DATES AND TIME, AND NAMES

10.1 CAPITALIZATION ............................................................ 82
   10.1 (a) Generally ................................................................. 82
   10.1 (b) Government Bodies and Officials ............................ 82
   10.1 (c) States and Political Subdivisions .............................. 83
   10.1 (d) Branches of Government ........................................ 83
   10.1 (e) Government ............................................................ 83
   10.1 (f) “Federal” ................................................................. 83
   10.1 (g) “Capital” and “Capitol” ........................................... 83
   10.1 (h) Courts ................................................................. 85
   10.1 (i) Judicial Officers ....................................................... 85
   10.1 (j) Acts, Bills, Codes, Constitutions, etc. ..................... 87
   10.1 (k) Crimes ................................................................. 88
   10.1 (l) Parties ................................................................. 88
   10.1 (m) Legal Documents ................................................. 88
11.1 (e) Using “[sic]” ................................................................. 97  
11.1 (f) Material Emphasized ................................................. 97  
11.1 (g) Statutory and Regulatory Material .......................... 97  
11.2 QUOTATION MARKS .......................................................... 97  
11.2 (a) Single-Paragraph Quotations ................................... 97  
11.2 (b) Multiple-Paragraph Quotations ............................... 97  
11.2 (c) Multiple Quotation Marks ................................. 98  
11.2 (d) Using Quotation Marks for Short-Form References ...................................................... 98  
12.0 WORD STYLE IN GENERAL  
12.1 GENDER NEUTRAL WRITING ......................................... 99  
12.1 (a) Using Inclusive Terms .............................................. 99  
12.1 (b) Using “He” or “She” as Generic Pronoun .............. 100  
12.1 (c) Additional Background ............................................ 101  
12.2 HYPHENATED WORDS AND PHRASES ....................... 101  
12.2 (a) Compound Words ..................................................... 101  
12.2 (b) Hyphenated Adjectival Phrase ............................... 101  
12.2 (c) Hyphenated Prefix ................................................... 101  
12.3 AVOIDANCE OF LATINISMS AND LEGALISMS .......... 101  
12.3 (a) Using English Language Words and Phrases ....... 101  
12.3 (b) Exceptions to General Rule .................................... 103  
12.3 (c) Typography ............................................................... 103  
12.4 PERSONAL IDENTIFYING INFORMATION ................. 103  
12.4 (a) Personal Names ....................................................... 103  
12.4 (b) Numerical Identifiers .............................................. 104  
12.4 (c) Other Identifying Information ............................... 104  
12.4 (d) Consistent Application of Omissions and Redactions ................................................................ 104  
12.5 DESCRIBING PERSONS WITH DISABILITIES ........... 105  
12.6 USING SUPRA AND INFRA ............................................ 105  

PART III: TYPOGRAPHY AND SPACING  
13.0 TYPOGRAPHY  
13.1 TITLES OF DECISIONS ................................................... 107  
13.2 PARAGRAPH AND SECTION HEADINGS ........................ 107  
13.3 TABLES .............................................................................. 108  
13.4 JUDGE NAME IN OPINION OPENING AND VOTE LINE ...................................................... 108  
13.5 SMALL CAPITALS ............................................................ 108  
13.6 ADDED EMPHASIS .......................................................... 108  

xxi
13.7 FOREIGN WORDS AND PHRASES ................................. 108
13.8 NAMES OF NEWSPAPERS, MAGAZINES, BOOKS, ETC. .......................... 109

14.0 SPACING
   14.1 ABBREVIATION SPACING ........................................... 111
   14.2 STATUTORY SPACING ................................................. 111

PART IV: APPENDIXES

APPENDIX 1 — COMMON CASE NAME ABBREVIATIONS ... 113
APPENDIX 2 — ABBREVIATION OF CASE LAW REPORTS ........................................... 125
APPENDIX 3 — APPELLATE HISTORY AND OTHER ABBREVIATIONS USED IN CITATIONS ...... 142
APPENDIX 4 — STYLE AND ABBREVIATION OF PARTICULAR STATUTES ............................. 145
APPENDIX 5 — STYLE OF PARTICULAR WORDS ...................................... 152
APPENDIX 6 — TITLES IN VARIOUS ACTIONS AND PROCEEDINGS, WITH CASE NAMES .......... 162
APPENDIX 7 — CITATIONAL FOOTNOTE STYLE (MODEL OPINION) ..................................... 178
APPENDIX 8 — FORMULATION OF SUMMARIES (APPEAL STATEMENTS) .............................. 181

PART V: INDEX
PART I: CITATION STYLE

1.0 CITATION STYLE IN GENERAL

Contents of Section

1.1 ELEMENTS OF A CITATION
   1.1 (a) Case Citation Elements
   1.1 (b) Statutory Citation Elements
   1.1 (c) Citation to Range of Pages, Sections or Similar Elements

1.2 PLACEMENT OF CITATIONS
   1.2 (a) Where to Place
   1.2 (b) Citations in Running Text
   1.2 (c) Citations within Parentheses
      (1) How to Reference
      (2) Punctuation
   1.2 (d) Citations in Footnotes
   1.2 (e) Citational Footnote Style
   1.2 (f) Footnote Numbers in Relation to Punctuation

1.3 REFERENCE TO PREVIOUSLY CITED AUTHORITY
   1.3 (a) Options for Referencing Previously Cited Authority
   1.3 (b) Short-Form References
      (1) Shortened Case Names and Popular Names
      (2) Shortened Citations
   1.3 (c) Subsequent Reference to Immediately Preceding Authority
   1.3 (d) Subsequent Reference to Parallel Citations

1.4 INTRODUCTORY SIGNALS
   1.4 (a) Citations Introduced by Signals
   1.4 (b) Signal Word Serving as a Verb

1.5 ELECTRONIC SOURCES IN GENERAL
   1.5 (a) Electronic Services
   1.5 (b) Internet Material
   1.5 (c) CD-ROM Material
   1.5 (d) New York Slip Opinions
   1.5 (e) Unreported and Unofficially Reported New York Opinions Published Online
1.1 ELEMENTS OF A CITATION

1.1 (a) Case Citation Elements

Include the court, omitting any information made redundant by the citation itself, pertinent jurisdictional information and year of decision for all full case references, including references to appellate history.

1.1 (b) Statutory Citation Elements

Separate numerals with a hyphen and no spaces. Do not truncate the second numeral in the range.

Example:

Penal Law §§ 125.21-125.25, *not* §§ 125.21-25

*Jiannaras v Alfant*, 27 NY3d 349, 351-352, *not* 27 NY3d 349, 351-52

1.2 PLACEMENT OF CITATIONS

1.2 (a) Where to Place

Citations in the traditional format of the Official Reports are embedded in the text of the opinion using citations in running
text (§ 1.2 [b]) or within parentheses (§ 1.2 [c]). In this format, citations in footnotes, if any, are styled as provided in section 1.2 (d).

As an alternative to the traditional format, citations may be placed exclusively in footnotes using the citational footnote style (§ 1.2 [e]).

Unless otherwise indicated, the examples in this Manual are shown as citations within parentheses.

1.2 (b) Citations in Running Text

The term “citation in running text” indicates an authority referred to in the text of a sentence, as in the examples below:

The situation in Rogers v Rogers (63 NY2d 582 [1984], revg 98 AD2d 999 [2d Dept 1983]) mirrors the situation in this decision.

The clear and convincing evidence standard discussed in Solomon v State of New York (146 AD2d 439, 440 [1st Dept 1989], quoting Addington v Texas, 441 US 418, 427 [1979]) was not met here.

Plaintiff did not sustain a serious injury within the meaning of Insurance Law § 5102 (d).

1.2 (c) Citations within Parentheses

The term “citation within parentheses” refers to any citation that appears entirely within parentheses.

(1) How to Reference

Citations within parentheses may be referenced as in the examples below:

The clear and convincing evidence standard was not met here (see Solomon v State of New York, 146 AD2d 439, 440 [1st Dept 1989], quoting Addington v Texas, 441 US 418, 427 [1979]).

The facts in this decision are not unusual (see George C. Miller Brick Co., Inc. v Stark Ceramics, Inc., 9 Misc 3d 151 [Sup Ct, Monroe County 2005, Fisher, J.]).

Plaintiff did not sustain a serious injury (Insurance Law § 5102 [d]).

(2) Punctuation

Place the final period in a sentence ending with a parenthetical as follows:
Such is the law (People v Moran, 2 AD3d 216 [1st Dept 2003]).

or

Such is the law. (People v Moran, 2 AD3d 216 [1st Dept 2003].)

not

Such is the law (People v Moran, 2 AD3d 216 [1st Dept 2003].)

Place the parenthetical within the sentence (as in first example above) if it relates to the sentence alone. Place it outside the sentence (as in second example above) if it relates to more than one preceding sentence.

1.2 (d) Citations in Footnotes

In a footnote containing text, citations in running text or within parentheses may be used. Some examples are:

* The holding in Solomon v State of New York (146 AD2d 439, 440 [1st Dept 1989]) remains good law. [Note: running text]

* The issue was last visited in 1989. (Solomon v State of New York, 146 AD2d 439, 440 [1st Dept 1989].) [Note: parenthetical]

In a footnote containing only a citation, use the parenthetical citation style, but omit the opening and closing parentheses and change the internal brackets to parentheses. Some examples are:


* See e.g. Penal Law § 125.25 (1) (a).

1.2 (e) Citational Footnote Style

The citational footnote style is an alternative to the traditional placement of citations, using footnotes only for the citational content that would otherwise appear in the body of an opinion if either the running text citation style (§ 1.2 [b]) or the citations within parentheses style (§ 1.2 [c]) were used. If used, the citational footnote style should be used for all citations in the opinion. See Appendix 7 for a model opinion formatted in the citational footnote style. Apply the following rules based upon the location where citational content would be placed in the traditional format.
(1) Running Text Style

Place the case name in running text and the volume—
report—page or other bibliographic information in the foot-
note and eliminate the parentheses enclosing the citation.
The footnote number should be placed at the point in the
text where the citation would appear if the citation were
placed in the text.

Example:
The situation in Rogers v Rogers\textsuperscript{1} mirrors the situation in
this decision.

\begin{itemize}
\item \textsuperscript{1} 63 NY2d 582 (1984), revg 98 AD2d 999 (2d Dept 1983).
\end{itemize}

(2) Citations within Parentheses Style

Place the footnote number at the point where the paren-
thetical citation would appear if the parenthetical citation
were placed in the body of the opinion. Place the citation in
the footnote and eliminate the parentheses enclosing the
citation.

Example:
The facts in this decision are not unusual.\textsuperscript{1}

\begin{itemize}
\item \textsuperscript{1} See George C. Miller Brick Co., Inc. v Stark Ceramics,
Inc., 9 Misc 3d 151 (Sup Ct, Monroe County 2005, Fisher,
J.).
\end{itemize}

(3) Textual Footnotes

When using the citational footnote style, citations that
appear within textual footnotes should not be placed within
parentheses.

Example:

\begin{itemize}
\item \textsuperscript{2} The effect of the deregulation on the structure and
organization of the natural gas industry is detailed in
General Motors Corp. v Tracy, 519 US 278, 283-297 (1997).
\end{itemize}

1.2 (f) Footnote Numbers in Relation to Punctuation

Place footnote numbers wherever appropriate to indicate the
subject of the reference and after any punctuation marks
appearing at that location.
Example:

County Court denied defendant’s motion, the Appellate Division reversed, vacated the judgment, restored the indictment to the preplea stage and reinstated the prosecution’s notice of intent to seek the death penalty.

1.3 REFERENCE TO PREVIOUSLY CITED AUTHORITY

1.3 (a) Options for Referencing Previously Cited Authority

To reference previously cited authority use a short-form reference or “id.” where appropriate. A full citation may be repeated if a short form or id. is unsuitable. The subsequent citation should omit any reference to jurisdiction, date and optional information (§ 2.2 [a] [7]), and history. A short-form reference should provide sufficient information to avoid confusion with distinct previous citations.

1.3 (b) Short-Form References

(1) Shortened Case Names and Popular Names

Subsequent references to a case in running text or within parentheses may use a shortened case name. The shortened form of the case name is usually the name of the first nongovernmental party (for example, “Krom” for “People v Krom” and “Albouyeh” for “Albouyeh v County of Suffolk”). Popular names for cases (for example, “the Central Park Jogger case”) may be used when desired.

(2) Shortened Citations

Do not use “supra” to shorten a subsequent citation. Do not add “supra” to a full citation of a source that has been previously cited in full. See section 12.6 for use of “supra” and “infra” as internal cross-references. Subsequent references to cases and statutes may be shortened as follows:

(Matter of Murphy, 6 NY3d at 43)

(Murphy, 6 NY3d 36) [Note: shortened case name with citation to initial page of decision]

(Murphy, 6 NY3d at 43)

(Murphy at 43)

(6 NY3d at 43)
Subsequent references to periodicals, treatises and similar works may be shortened by omitting the author’s name or the title, in whole or in part, as follows:

(Harper Lee, To Kill a Mockingbird 49-50 [1982]) [Initial]

(Harper Lee [or Lee] at 53) [Subsequent]

(David H. Kaye et al., The New Wigmore: Expert Evidence § 4.5 at 148 [2004]) [Initial]

(Expert Evidence § 4.7) [Subsequent]

1.3 (c) Subsequent Reference to Immediately Preceding Authority

When a subsequent reference is made to an immediately preceding authority, “id.” may be used:

(id.) [Note: identical reference to an immediately preceding authority]

(id. at 495) [Note: reference to an immediately preceding authority at a different page]

(id. § 468-a) [Note: reference to an immediately preceding authority at a different section]

Capitalize “Id.” when it is the first term in a separate citational sentence (§ 1.2 [c] [2]).

1.3 (d) Subsequent Reference to Parallel Citations

Where parallel citations are provided in the first reference, subsequent references that include a pinpoint page should supply the pinpoint page for each parallel citation. Thus, (Newbold v Arvidson, 105 Idaho 663, 672 P2d 231 [1983]) becomes (Newbold, 105 Idaho at 667, 672 P2d at 235).

1.4 INTRODUCTORY SIGNALS

1.4 (a) Citations Introduced by Signals

Citations may be introduced by signals that indicate the purpose for which the citations are made and their degree of support or contradiction concerning a proposition. Do not place a comma between the signal and citation. Consult standard citation authorities for information regarding the use of signals, their order when using two or more and the order of authorities after each signal.
The following examples illustrate the use of introductory signals:

(e.g. Dalton v Pataki, 5 NY3d 243 [2005])

(see Dalton v Pataki, 5 NY3d 243 [2005])

(but see Dalton v Pataki, 5 NY3d 243 [2005])

(cf. Matter of Oglesby v McKinney, 28 AD3d 153 [4th Dept 2006])

(but cf. Matter of Oglesby v McKinney, 28 AD3d 153 [4th Dept 2006])

(accord Matter of Oglesby v McKinney, 28 AD3d 153 [4th Dept 2006])

(see also Penal Law § 20.00)

(compare Penal Law § 210.40, with CPL 320.10)

(see e.g. CPL 40.50)

(but see e.g. People v McConnell, 11 Misc 3d 57 [App Term, 2d Dept, 9th & 10th Jud Dists 2006])

(see generally People v McConnell, 11 Misc 3d 57 [App Term, 2d Dept, 9th & 10th Jud Dists 2006])

(compare Klein v Eubank, 87 NY2d 459 [1996], with Shapiro v McNeill, 92 NY2d 91 [1998])

(compare Klein v Eubank, 87 NY2d 459 [1996], and D'Amico v Crosson, 93 NY2d 29 [1999], with Shapiro v McNeill, 92 NY2d 91 [1998])

(contra Koehler v Koehler, 182 Misc 2d 436 [Sup Ct, Suffolk County 1999])

1.4 (b) Signal Word Serving as a Verb

Do not italicize a signal word that serves as a verb of a sentence:

For a discussion of Executive Law § 63 (2), see Matter of Johnson v Pataki (91 NY2d 214 [1997]).

1.5 ELECTRONIC SOURCES IN GENERAL

Cite an electronic source if it is the sole source of material referenced or if the print version is not readily available. A
citation to an electronic source requires information identifying the particular material referenced, and is likely also to require information about the location where the source of that material may be accessed (e.g. a website or an online service). Where the location or content of an electronic source is subject to change, a “last updated” or “last accessed” date should be included. If the format of an electronic source prevents precise citation to particular material referenced, add the necessary navigation instructions to the citation. Pinpoint citation is not possible if the electronic source is in a format (e.g. HTML) that does not contain fixed reference points, but may be included if the source is in a format (e.g. PDF) that contains fixed pagination, paragraph numbering or location numbers. Electronic government sources designated “official” or authenticated by some method involving encryption should be cited when available. The rules for citing specific types of electronic sources appear in the sections listed below. In addition, the legend “[Note: online version]” is used in this Manual to distinguish examples of citation formats for the same material that is available in both print and online sources.

1.5 (a) Electronic Services

Electronic services (e.g. Westlaw, Lexis) are cited as indicated in section 2.4 (a) (1) and (2).

1.5 (b) Internet Material

Internet material is cited as indicated in section 2.4 (a) (3) and section 7.1 (d).

1.5 (c) CD-ROM Material

CD-ROM material is cited as indicated in section 7.3 (c).

1.5 (d) New York Slip Opinions

Slip opinions scheduled for publication in the print Official Reports are cited as indicated in section 2.2 (a) (8).

1.5 (e) Unreported and Unofficially Reported New York Opinions Published Online

Trial court and Appellate Term opinions published online only with or without abstracts published in the print Official Reports are cited as indicated in section 2.2 (b) (2). Opinions published in the online version of the New York Law Journal are cited as indicated in section 2.2 (b) (3).
1.5 (f) Unreported New York Appellate Motion Decisions Published Online

Appellate motion decisions published online but not in the print Official Reports are cited as indicated in section 2.2 (b) (2).

1.5 (g) E-Books

E-books are cited as indicated in section 7.9.
2.0 CASES

### Contents of Section

<table>
<thead>
<tr>
<th>2.1 CASE NAMES</th>
<th>2.2 NEW YORK COURT DECISIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.1 (a) New York Cases</td>
<td>2.2 (a) Decisions Officially Reported</td>
</tr>
<tr>
<td>(1) Cases Officially Reported</td>
<td>(1) Basic Citation Style</td>
</tr>
<tr>
<td>(2) Cases Not Officially Reported</td>
<td>(2) Pinpoint Page Citation</td>
</tr>
<tr>
<td>2.1 (b) Supreme Court of the United States Cases</td>
<td>(3) Case Citation Containing Footnotes</td>
</tr>
<tr>
<td>2.1 (c) Other Cases</td>
<td>(4) Citation Referencing Multiple-Page Quotation</td>
</tr>
</tbody>
</table>

2.2 NEW YORK COURT DECISIONS

<table>
<thead>
<tr>
<th>2.2 (a) Decisions Officially Reported</th>
<th>2.2 (b) Unofficially Reported or Unreported Decisions</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Basic Citation Style</td>
<td>(1) New York Parallel Unofficial Citations</td>
</tr>
<tr>
<td>(2) Pinpoint Page Citation</td>
<td>(2) Citation to Unreported Cases</td>
</tr>
<tr>
<td>(3) Case Citation Containing Footnotes</td>
<td>(3) Citation to the New York Law Journal</td>
</tr>
<tr>
<td>(4) Citation Referencing Multiple-Page Quotation</td>
<td>(4) Discontinued Unofficial Report</td>
</tr>
<tr>
<td>(5) Citation Including Appellate History</td>
<td></td>
</tr>
<tr>
<td>(6) Multiple Citations; Citation Quoting or Citing Another</td>
<td></td>
</tr>
<tr>
<td>(7) Jurisdiction and Date; Optional Information</td>
<td></td>
</tr>
<tr>
<td>(8) Citation to Slip Opinions</td>
<td></td>
</tr>
</tbody>
</table>

2.3 FEDERAL AND OUT-OF-STATE DECISIONS

<table>
<thead>
<tr>
<th>2.3 (a) Supreme Court of the United States</th>
<th>2.3 (b) Other Federal Courts</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Citation to Official Reports</td>
<td>(1) Reported Federal Cases</td>
</tr>
<tr>
<td>(2) Citation to Unofficial Reports</td>
<td>(2) Unreported Federal Cases</td>
</tr>
<tr>
<td>2.3 (c) Out-of-State and Unofficial Case Citations</td>
<td></td>
</tr>
<tr>
<td>(2) Where Official Reports Unavailable</td>
<td>(2) Where Official Reports Unavailable</td>
</tr>
<tr>
<td>(3) Citing Reports Known by Name of Reporter</td>
<td>(3) Citing Reports Known by Name of Reporter</td>
</tr>
<tr>
<td>(4) Public Domain (Vendor or Medium Neutral) Citation</td>
<td>(4) Public Domain (Vendor or Medium Neutral) Citation</td>
</tr>
</tbody>
</table>

2.4 OTHER SOURCES OF DECISIONS

| 2.4 (a) Electronic Case Citations |
2.1 CASE NAMES

2.1 (a) New York Cases

(1) Cases Officially Reported

First, Second and Third Series Cases

Case names for New York decisions reported in the first, second and third series of the New York Official Reports can be found in the Official Case Name and Citation Locator at http://www.nycourts.gov/reporter/Citator_Menu.shtml. The case name for a decision is also provided in the “Cite Title As” field in the online Official Reports. Case names found in the Table of Cases in the printed Official Reports should not be used when they differ from the electronic version. To cite a companion case whose title is different than the official case name, formulate a case name as described in section 2.1 (a) (2).

(2) Cases Not Officially Reported

If a case has not been officially reported, formulate a case name using the citation naming conventions found in standard citation manuals and apply the abbreviations listed in Appendix 1. Also see examples of case names in Appendix 6.

2.1 (b) Supreme Court of the United States Cases

Case names for the Supreme Court of the United States cases are found on the Supreme Court website at http://www.supremecourt.gov/opinions/casefinder.aspx. Retain the abbreviations provided. If the case does not appear in the Supreme Court’s listing, formulate a case name using the citation naming conventions found in standard citation manuals and apply the abbreviations listed in Appendix 1.

2.1 (c) Other Cases

For any other case, use the case name found in standard citation services, or formulate a name by applying citation
naming conventions found in standard citation manuals. In either event, use the abbreviations listed in Appendix 1.

2.2 NEW YORK COURT DECISIONS

2.2 (a) Decisions Officially Reported

(1) Basic Citation Style

Cite to the Official Reports as follows:

(O’Connell v Corcoran, 1 NY3d 179 [2003])

(Matter of Cornell Univ. v Beer, 16 AD3d 890 [3d Dept 2005])

(Matter of Gernold, 9 Misc 3d 427 [Sur Ct, Erie County 2005])

(2) Pinpoint Page Citation

To refer to a pinpoint page in a decision:

(People v Ramos, 90 NY2d 490, 495 [1997])

(Matter of Cornell Univ. v Beer, 16 AD3d 890, 894 [3d Dept 2005])

Where the pinpoint page is the same as the initial page or where the decision comprises one page, repeat the initial page for a pinpoint citation as follows:

(Matter of Allen v Black, 275 AD2d 207, 207 [1st Dept 2000])

(3) Case Citation Containing Footnotes

Cases Containing Single Footnote

Citation to the sole footnote in a decision is designated by a lowercase “n” as follows:

(People v Wilson, 93 NY2d 222, 226 n [1999])

Cases Containing More Than One Footnote

Where a case contains more than one footnote, the citation should indicate the number of the footnote being cited as follows:

(Desiderio v Ochs, 100 NY2d 159, 168 n 3 [2003])
Case Citation Containing Multiple Footnotes

Cite multiple footnotes appearing on the same page as follows:

(Matter of Black Radio Network v Public Serv. Commn. of State of N.Y., 253 AD2d 22, 25 nn 2, 3 [3d Dept 1999])

Citation Referencing Pinpoint Page and Footnote

Cite to both a pinpoint page and a footnote on the same page as follows:

(City of New York v 330 Cont. LLC, 60 AD3d 226, 229-230, 230 n 3 [1st Dept 2009])

(People v Kozlowski, 11 NY3d 223, 242 and n 10 [2008])

(4) Citation Referencing Multiple-Page Quotation

In citing a single quotation that runs over two or more pages, give the pages at which it begins and ends, separated by a hyphen, rather than a comma:

(Matter of Sayeh R., 91 NY2d 306, 316-317 [1997])

(5) Citation Including Appellate History

Show appellate history as follows:

(Flores v Lower E. Side Serv. Ctr., 3 AD3d 459 [1st Dept 2004], revd 4 NY3d 363 [2005])

(D’Angelo v Cole, 108 AD2d 541 [4th Dept 1985], mod 67 NY2d 65 [1986])

(National City Bank v Gelfert, 257 App Div 465 [2d Dept 1939], revd 284 NY 13 [1940], revd 313 US 221 [1941])

(Garden Homes Woodlands Co. v Town of Dover, 95 NY2d 516 [2000], revg 266 AD2d 187 [2d Dept 1999])

(Matter of Rosenblum v New York State Workers’ Compensation Bd., 309 AD2d 120 [1st Dept 2003], affg 190 Misc 2d 588 [Sup Ct, NY County 2002])

(Gross v Sandow, 5 AD3d 901 [3d Dept 2004], lv dismissed and denied 3 NY3d 735 [2004])

(People v Ferber, 96 Misc 2d 669 [Sup Ct, NY County 1978], affd 74 AD2d 558 [1st Dept 1980], revd 52 NY2d 674 [1981], revd 458 US 747 [1982])

14
(Kaufman v Eli Lilly & Co., 65 NY2d 449 [1985], modifg 99 AD2d 695 [1st Dept 1984], which affd 116 Misc 2d 351 [Sup Ct, NY County 1982])

(Ferres v City of New Rochelle, 112 AD2d 918 [2d Dept 1985], lv granted 67 NY2d 603 [1986])

(Marco v Sachs, 10 NY2d 542 [1962], rearg denied 11 NY2d 766 [1962])

(People v Rowe, 152 AD2d 907 [4th Dept 1989], affd for reasons stated below 75 NY2d 948 [1990])


(Henderson v Manhattan & Bronx Surface Tr. Operating Auth., 74 AD3d 654 [1st Dept 2010], appeal dismissed 15 NY3d 951 [2010])

When the case name changes in a material aspect in subsequent proceedings, include the different case name in appellate history and precede it with the abbreviation sub nom. Generally, use sub nom. where one party name changes on appeal (e.g. Eric Schneiderman is substituted for Andrew Cuomo as Attorney General). However, do not use sub nom. where the name “People” or “State” changes to “New York.” It is unnecessary to use a sub nom. reference for denials of certiorari, including instances where multiple criminal defendants are named in a single decision and separate denials of certiorari are reported.

Examples:


(People v Payton, 45 NY2d 300 [1978], revd 445 US 573 [1980]) (Sub nom. unnecessary as 445 US 573 case name is Payton v New York)

(People v Pepper, 53 NY2d 213 [1981], cert denied 454 US 967 [1981], 454 US 1162 [1982]) [Note: decision involving multiple defendants and certiorari denials]
For a listing of appellate history abbreviations, see Appendix 3.

(6) Multiple Citations; Citation Quoting or Citing Another

Where multiple citations are given, the style is:

(cf. Edkins v Board of Educ. of City of N.Y., 261 App Div 1096 [2d Dept 1941], revd 287 NY 505 [1942]; Brown v Rosenbaum, 262 App Div 136 [1st Dept 1941], affd 287 NY 510 [1942]; Broderick v Aaron, 264 NY 368 [1934])


(People v Alonzo, 16 NY3d 267, 270 [2011], quoting People v Moffitt, 20 AD3d 687, 690 [3d Dept 2005])

(People v Alonzo, 16 NY3d 267, 269 [2011], citing People v Bauman, 12 NY3d 152 [2009])

(7) Jurisdiction and Date; Optional Information

Add the specific court, if not apparent from the citation, and the year of decision in brackets following the citation. The precise date of decision and judge’s name are optional, but may also be supplied in brackets. Do not include jurisdiction, date and optional information in references to previously cited authority. See section 1.3.

Examples:

(Iazzetti v City of New York, 94 NY2d 183 [Dec. 2, 1999, Kaye, Ch. J.])

(Ponce v St. John’s Cemetery, 222 AD2d 361, 364 [1st Dept 1995, Rubin, J., dissenting])

(LaManna v Carrigan, 196 Misc 2d 98 [Civ Ct, Richmond County 2003, Vitaliano, J.])

(Anderson v Scannapieco, 54 Misc 3d 242 [Sup Ct, Putnam County, Aug. 9, 2016])

Decision Type

Using decision type indicators is optional:

(Hernandez v Robles, 7 NY3d 338 [2006 plurality])
(Arbanil v Flannery, 31 AD3d 588 [2d Dept 2006 mem])

(Matter of Anonymous, 37 AD3d 970 [3d Dept 2007 per curiam])

**Court Abbreviations**

References to courts within citations should be abbreviated as follows:

<table>
<thead>
<tr>
<th>Court Name</th>
<th>Abbreviation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appellate Division</td>
<td>App Div</td>
</tr>
<tr>
<td>Chancery Court</td>
<td>Ch Ct</td>
</tr>
<tr>
<td>City Court</td>
<td>[city name] City Ct</td>
</tr>
<tr>
<td>Civil Court of the City of New York</td>
<td>Civ Ct, [county name] County</td>
</tr>
<tr>
<td>County Court</td>
<td>[county name] County Ct</td>
</tr>
<tr>
<td>Court of Appeals (Federal)</td>
<td>[circuit No.] Cir</td>
</tr>
<tr>
<td>Court of Appeals (State)</td>
<td>Ct App</td>
</tr>
<tr>
<td>Court of Claims</td>
<td>Ct Cl</td>
</tr>
<tr>
<td>Criminal Court of the City of New York</td>
<td>Crim Ct, [county name] County</td>
</tr>
<tr>
<td>District Court (Federal)</td>
<td>D [forum]</td>
</tr>
<tr>
<td>District Court (State)</td>
<td>[Nassau or Suffolk] Dist Ct</td>
</tr>
<tr>
<td>Drug Treatment Court</td>
<td>Drug Treatment Ct</td>
</tr>
<tr>
<td>Family Court</td>
<td>Fam Ct</td>
</tr>
<tr>
<td>Housing Part</td>
<td>Hous Part</td>
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<tr>
<td>Judicial District</td>
<td>Jud Dist</td>
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<tr>
<td>Justice Court</td>
<td>[town/village name] Just Ct</td>
</tr>
<tr>
<td>Police Court</td>
<td>Police Ct</td>
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<td>Superior Court</td>
<td>Super Ct</td>
</tr>
<tr>
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<td>US</td>
</tr>
<tr>
<td>Supreme Court (State)</td>
<td>Sup Ct</td>
</tr>
<tr>
<td>Supreme Court, Appellate Term</td>
<td>App Term</td>
</tr>
<tr>
<td>Surrogate's Court</td>
<td>Sur Ct</td>
</tr>
</tbody>
</table>

(8) Citation to Slip Opinions

Opinions scheduled for publication in the Official Reports are cited as follows:

(People v Daly, — Misc 3d —, 2011 NY Slip Op 21371 [Crim Ct, NY County 2011])

17
2.2 (b) Unofficially Reported or Unreported Decisions

(1) New York Parallel Unofficial Citations

Parallel unofficial citations are not used for officially reported New York State cases.

(2) Citation to Unreported Cases

Unreported New York Slip Opinions with Published Abstracts

A number of opinions not selected for full publication in the Miscellaneous Reports are published in abstract form in the printed Miscellaneous 3d Reports and in full text in the Slip Opinion Service and online Official Reports. Each opinion is assigned a Miscellaneous 3d citation as well as a unique Slip Opinion citation that is paginated to permit pinpoint page references.

Cite as follows:

(Matter of Lee v Chin, 1 Misc 3d 901[A], 2003 NY Slip Op 51455[U] [Sup Ct, NY County 2003])

Pinpoint page reference:

(Matter of Lee v Chin, 1 Misc 3d 901[A], 2003 NY Slip Op 51455[U], *9 [Sup Ct, NY County 2003])

(Matter of Lee v Chin, 1 Misc 3d 901[A], 2003 NY Slip Op 51455[U], *1-3 [Sup Ct, NY County 2003])

(Matter of Lee v Chin, 1 Misc 3d 901[A], 2003 NY Slip Op 51455[U], *1, *3 [Sup Ct, NY County 2003])

Subsequent short-form citation:

(Lee, 2003 NY Slip Op 51455[U], *7), not (Lee at *7)

Unreported New York Slip Opinions without Published Abstracts

Unreported slip opinions not abstracted in the Miscellaneous Reports are cited as follows:
Unreported Appellate Motion Decisions

Most Appellate Division and Appellate Term motion decisions are not published in print. They are cited as follows:

(Blair v Pierre, 2006 NY Slip Op 78812[U] [2d Dept 2006])

Other Unreported Cases

Cite unreported cases not published in the New York Slip Opinion Service using citation naming conventions found in standard citation manuals and include any information that would be useful in identifying the case:

(Keenan v Dayton Beach Park No. 1 Corp., Sup Ct, Queens County, June 5, 1990, Hentel, J., index No. 10302/84)

(Sinha v Sinha, Sup Ct, NY County, Oct. 3, 2003, Hoahng, Special Ref.)

(People v Moody, Sup Ct, NY County, Oct. 17, 1985, Neco, J., indictment No. 84-201)


(People v Boss, Sup Ct, Albany County, Feb. 17, 2000, Teresi, J., slip op at 4)

(3) Citation to the New York Law Journal

Where a case is not officially reported or published as an unreported case in the New York Slip Opinion Service, but appears in the New York Law Journal, cite as follows:

(Matter of Lutz, NYLJ, Mar. 28, 1986 at 15, col 5 [Sur Ct, NY County 1986])

(People v Shulman, NYLJ, Apr. 2, 1999 at 35, col 6, at 36, col 1 [Suffolk County Ct 1999])

(Tryon v Westermann, NYLJ, Oct. 6, 2000 at 30, col 5 [Sup Ct, Nassau County 2000, Austin, J.])

For online version of the New York Law Journal:

(Matter of Parisi, NYLJ 1202538693000 [Sur Ct, Queens County 2011])
2.3 FEDERAL AND OUT-OF-STATE DECISIONS

2.3 (a) Supreme Court of the United States

(1) Citation to Official Reports

Supreme Court of the United States cases are cited from the United States Reports where available:

(Ohralic v Ohio State Bar Assn., 436 US 447 [1978])

Include whatever optional information is desired:

(Sandin v Conner, 515 US 472 [1995, Rehnquist, Ch. J.])

(2) Citation to Unofficial Reports

When the citation to the United States Reports is unavailable, supply a blank citation to the United States Reports with a parallel citation to an unofficial report as follows:

(Greene v Fisher, 565 US —, —, 132 S Ct 38, 42-43 [2011])

or


2.3 (b) Other Federal Courts

(1) Reported Federal Cases

Cite other federal court decisions as follows:
(United States v Seltzer, 227 F3d 36 [2d Cir 2000])

(Dennis v Warren, 779 F2d 245 [5th Cir 1985]; Schultz v Frisby, 619 F Supp 792 [ED Wis 1985])

(Mavrovich v Vanderpool, 427 F Supp 2d 1084 [D Kan 2006])

(United States v Gridley, 725 F Supp 398 [ND Ind 1989])

Include whatever optional information is desired:

(Jean v Collins, 221 F3d 656 [4th Cir 2000 en banc])

(2) Unreported Federal Cases

(Long v Apfel, 1 Fed Appx 326 [6th Cir 2001])

(Packer v City of Toledo, 1 Fed Appx 430 [6th Cir 2001])


(Govic v New York City Tr. Auth., US Dist Ct, SD NY, 89 Civ 7062, DiCarlo, J., 1989)

2.3 (c) Out-of-State and Unofficial Case Citations

(1) Where Official Reports Available

Out-of-state cases are cited to the state official reports where available, including online versions for jurisdictions that publish cases in electronic format only, followed by the parallel National Reporter System citation:

(Newbold v Arvidson, 105 Idaho 663, 672 P2d 231 [1983])

(State v Nichols, 2016-NMSC-001, 363 P3d 1187 [2015])

(2) Where Official Reports Unavailable

Where an out-of-state case is cited only to the National Reporter System because no official citation is available, the name of the jurisdiction should be added in abbreviated form in brackets:

(Brinker v First Natl. Bank, 37 SW2d 136 [Tex Commn App 1931])
(3) Citing Reports Known by Name of Reporter

When citing reports known by name of the reporter, except New York and English reports, the jurisdiction should be added in abbreviated form in brackets after the name of the reporter:

(Meade v M'Dowell, 5 Binn [Pa] 195 [Sup Ct 1812])

(4) Public Domain (Vendor or Medium Neutral) Citation

When a public domain citation is provided, supply a parallel citation to a published source:

(Alberte v Anew Health Care Servs., 232 Wis 2d 587, 595, 605 NW2d 515, 519, 2000 WI 7, ¶ 12 [2000])

If the only source is a website, supply additional information using section 2.4 (a) (3). Appendix 2 (D) contains a list of jurisdictions that have adopted a public domain citation.

2.4 OTHER SOURCES OF DECISIONS

2.4 (a) Electronic Case Citations

(1) Online Services

Citation to a case contained in an electronic service (e.g. Westlaw or Lexis) is permissible only when the case is not published in book form. Provide the case name, citation, court, decision date and docket or index number. If the source is Westlaw or Lexis, and access to both is available, cite both services:


(2) Citing Tabular Cases

Citation for tabular cases where the full text is published only on Westlaw and Lexis:
Citation to decisions posted on the Internet is permitted where the material is not readily available in print. Provide the uniform resource locator (URL) precisely as it appears in the Internet browser; the case name or document title; the precise identifier, such as case citation or number; and the date of the decision, adding if applicable the date that the decision was updated or corrected. Add pinpoint citations, if any, after the precise identifier. The name of the author may be added if desired:


(Application of Red & White Ferries, Inc., http://docs.cpuc.ca.gov/PUBLISHED/FINAL_DECISION/3598.htm [Cal Pub Util Commn Decision No. D0011040 (Nov. 21, 2000, as corrected through Nov. 27, 2000)])


2.4 (b) Commission, Agency and Ethics Opinions

(1) Commission and Agency Documents and Materials

Cite as follows:

(31 PERB ¶ 3050 [1998])

(11 Ops Counsel SBRPS No. 37 [2002])

(9 Ops Counsel SBEA No. 84 at 153 [1991]) [Note: pre-1994]
(1937 Ops Atty Gen 113) [Note: pre-1983]
(1999 Ops Atty Gen No. 99-F3 at 1011) [Note: formal opinion]
(2006 Ops Atty Gen No. 2006-F4) [Note: formal opinion]
(1932 Atty Gen [Inf Ops] 206) [Note: pre-1983]
(1999 Ops Atty Gen No. 99-5) [Note: informal opinion]
(12 Ops St Comp No. 8208 at 276 [1956]) [Note: pre-1978]
(2001 Ops St Comp No. 2001-3)
(1998 Ops St Comp No. 98-10 at 26)
(39 US Atty Gen 132)
(NY Dept of Social Servs Admin Directive 96 ADM-8 at 20)
(Dept of Corr Servs Directive No. 4911 § III-A-2)
(NY City Campaign Fin Bd Advisory Op No. 2007-2)
(NY City Dept of Bldgs Operations Policy and Procedure Notice No. 4/98)
(NY St Div of Hous & Community Renewal Advisory Op No. 92-1)
(34 NY PSC 1524 [Op No. 94-24])
(2000 NY PSC Op No. 96-12 at 31) [Note: online opinions]
(Ruling on Confidential Trade Secret Status of Testimony and Exhibits, NY PSC Case No. 02-C-1425 [Oct. 8, 2004]) [Note: online documents]
(36 Ed Dept Rep 508 [Decision No. 13,787]) [Note: decisions in volumes 1-49]
(51 Ed Dept Rep, Decision No. 16,256) [Note: decisions in volume 50 and above]
(Comm on Open Govt OML-AO-3899 [2004]) [Note: Open Meetings Law Advisory Opinion]
(Comm on Open Govt FOIL-AO-13559 [2002]) [Note: FOIL Advisory Opinion]
(Ops Gen Counsel NY Ins Dept No. 02-07-23 [July 2002])
[Note: online opinions]


(Dept of Corr & Community Supervision Directive No. 6922 § II)

(NY St Off of Children & Family Servs Admin Directive 11-OCFS-ADM-01)

Some suggested forms of commission and agency document citations in running text are as follows:

New York State Division of Housing and Community Renewal Advisory Opinion No. 92-1 provides . . .

2001 Opinions of the State Comptroller No. 2001-3 provides . . .

Supply case name information where applicable. For example:


(Matter of Monroe County Civ. Serv. Empls. Assn., Inc., Local 1000, AFSCME, AFL-CIO, Monroe County Part-Time Empl. Unit, Local 828 [County of Monroe], 44 PERB ¶ 4576 [2011, Fitzgerald, ALJ]) [Note: Acceptable PERB administrative law judge opinion; use of the ALJ’s name is optional]

(AmBase Corp. v Commissioner of Internal Revenue, TC Memo 2001-122 [2001])

(Matter of Kyte, 2012 WL 2992105, 2012 NY Tax LEXIS 64 [NY St Div of Tax Appeals DTA No. 824871, July 12, 2012])


(2) Ethics Opinions

Cite as follows:

(11 Advisory Comm on Jud Ethics Op 91-68 [1991])
(Advisory Comm on Jud Ethics Op 06-82 [2006]) [Note: online opinions]

(NY St Ethics Commn Advisory Op 94-21 [1994])

(NY St Bar Assn Comm on Prof Ethics Op 656 [1993])

(Bar Assn of Nassau County Comm on Prof Ethics Op 2-89 [1989])

(ABA Comm on Ethics and Prof Responsibility Formal Op 342 [1975])

(NY City Bar Assn Comm on Prof Ethics Formal Op 2011-2 [2011])

(AMA Code of Med Ethics, Ops on Prac Matters E-8.081)

(2005 Ann Rep of NY Commn on Jud Conduct at 155)

(53 Rec of Assn of Bar of City of NY at 450 [1998])

(Matter of Gomez, NY City Conflicts of Interest Bd Case No. 2012-095)

Some suggested forms of ethics opinions in running text are as follows:

New York State Bar Association Committee on Professional Ethics Opinion 656 (1993) provides . . .

3.0 STATUTES AND LEGISLATIVE MATERIALS

Contents of Section

3.1 NEW YORK STATUTES AND LEGISLATIVE MATERIALS
   3.1 (a) Statutory Abbreviation Style in General
   3.1 (b) Statutory Citation Style
      (1) Basic Citation Form
      (2) Citation Strings and Multiple Statutory Citations
      (3) Statutory Amendments, Additions and Renumbering
      (4) Former Statutes
   3.1 (c) Nonstatutory Material in Statutory Compilations
   3.1 (d) Session Laws and Unconsolidated Laws
   3.1 (e) Model Codes, Proposed Codes and Uniform Laws
   3.1 (f) Legislative and Other Materials

3.2 FEDERAL STATUTES AND LEGISLATIVE MATERIALS
   3.2 (a) Federal Statutory Abbreviations
   3.2 (b) Federal Statutory Citation Style
   3.2 (c) Federal Legislative Materials

3.3 OUT-OF-STATE STATUTES

3.1 NEW YORK STATUTES AND LEGISLATIVE MATERIALS

3.1 (a) Statutory Abbreviation Style in General

The statute name abbreviations listed in Appendix 4 should be used for statutory citations within parentheses. Either the full name or the abbreviated name may be used in running text.

3.1 (b) Statutory Citation Style

Use the basic citation form below for the initial citation to a statute.

(1) Basic Citation Form

   (a) Citations within Parentheses

   Citations should appear within parentheses as follows:

   (Penal Law art 80)
   (Town Law § 199 [1] [a])

27
(ECL 11-0703 [4] [b])

(General Municipal Law § 50-e [3] [dl])

(CPLR 5602 [b] [2] [iii])

(Domestic Relations Law § 236 [B] [6] [a] [3])

(b) Citations in Running Text

Use the statute’s terminology when specifying its divisions. For example, if the statute refers to its “subdivisions,” “subsections,” “paragraphs,” “subparagraphs,” etc., use that terminology. If the statute does not use any such terminology, use “subdivision,” “paragraph,” “subparagraph,” “clause” in descending order.

Some suggested forms of statutory citations in running text are as follows:

Town Law § 199 provides . . .

Section 199 of the Town Law provides . . .

Penal Law article 80 provides . . .

Article 80 of the Penal Law provides . . .

Town Law § 199 (1) (a) provides . . .

Subdivision (1) of Town Law § 199 provides . . .

Paragraph (a) of Town Law § 199 (1) provides . . .

Subdivision (1) (a) of Town Law § 199 provides . . .

Subdivision (1) of section 199 of the Town Law provides . . .

Subparagraph (iii) of CPLR 5602 (b) (2) provides . . .

Civil Practice Law and Rules § 5602 (b) (2) (iii) provides . . .

CPLR 5602 (b) (2) (iii) provides . . .

Title 1 of article 3 of the RPTL provides . . .

Under article 23, title 27 of the Environmental Conservation Law . . .
(c) **Short-Form References**

A short-form reference may be used for subsequent citations to the same statute. See section 1.3.

(§ 205.05)

(id. § 468-a)

Defendant moved pursuant to CPL 440.10 to vacate the judgment of conviction. A 440.10 motion may be denied without a hearing when . . .

(2) **Citation Strings and Multiple Statutory Citations**

(a) **Parallel Hierarchy**

**Citations within Parentheses**

References to parts, subdivisions, paragraphs, sub-paragraphs, clauses, etc., of sections of statutes cited in parallel hierarchy (divisions of sections of the same rank or hierarchy) should appear within parentheses as follows:

(Town Law § 199 [1], [3])

(CPLR 5602 [a], [b])

(Mental Hygiene Law § 9.27 [b] [1]-[10])

(Penal Law § 125.25 [1] [a], [b])

The comma is inserted between divisions of the same rank.

**Citations in Running Text**

Some suggested forms of citation of parallel hierarchy in running text are as follows:

Town Law § 199 (1), (3) provide . . .

Subdivisions (1) and (3) of Town Law § 199 provide . . .

Penal Law § 125.25 (1) (a) and (b) provide . . .

Recovery under Labor Law § 200 or § 240 is conditioned upon . . .

Recovery under Labor Law §§ 200 and 240 is conditioned upon . . .
(b) Ascending Hierarchy

Citations within Parentheses

References to divisions of sections of statutes cited in ascending hierarchy (divisions followed by more inclusive divisions of the section) should appear within parentheses as follows:

(Town Law § 199 [1] [a]; [3])

(CPLR 5602 [a] [1] [ii]; [b] [2])

(Vehicle and Traffic Law § 1193 [2] [b] [12]; [c] [1])

The semicolon is inserted at the point where a following division is of a more inclusive character than the preceding one.

Citations in Running Text

Some suggested forms of ascending hierarchy citations in running text are as follows:

Town Law § 199 (1) (a) and (3) provide . . .

Subdivisions (1) (a) and (3) of Town Law § 199 provide . . .

(c) Multiple Sections of Statute with Section Symbol

Citations within Parentheses

In citations of multiple sections of a statute, place two section symbols before the first section cited. The form is:

(Town Law §§ 199 [1]; 200)

(Town Law §§ 198, 199 [1] [a], [b]; [3]; 200)

(Labor Law §§ 200 [1], [4]; 220-a [2]; see also 220-c, 220-d)

Citations in Running Text

In citing multiple sections of a statute in running text, the form is:

Town Law §§ 198, 199 (1) (a), (b) and (3) and 200 provide . . .
Tax Law §§ 1132 (e) and 1139 (a) and 20 NYCRR 534.7 provide . . .

(d) Multiple Sections of Statute without Section Symbol

Citations within Parentheses

Where the form of statutory citation omits the section symbol (e.g. CPL, CPLR, ECL, EPTL, PRHPL, RPAPL, SCPA), citations of multiple sections of the statute appear as follows when cited within parentheses:

(CPL 30.20 [2]; 100.05)

(CPLR 5601 [a], [b] [2]; 5602 [a] [1] [iii])

(CPLR 3211 [a] [7]; 3212; see also 1003)

Citations in Running Text

When citations omitting the section symbol appear in running text, the form is:

CPLR 5601 (a), (b) (2) and 5602 (a) (1) (ii) provide . . .

(3) Statutory Amendments, Additions and Renumbering

(a) Basic Statutory Amendment

Citations within Parentheses

Cite as follows:

(Abandoned Property Law § 103 [a], as amended by L 1944, ch 498)

Citations in Running Text

Cite as follows:

Abandoned Property Law § 103 (a) (as amended by L 1944, ch 498) provides . . .

(b) Statutory Addition and Amendment

Citations within Parentheses

Cite as follows:
(Energy Law § 12-103, as added by L 1978, ch 649, § 1, as amended by L 1980, ch 556, § 1)

Citations in Running Text
Cite as follows:

Energy Law § 12-103 (as added by L 1978, ch 649, § 1, as amended by L 1980, ch 556, § 1) provides . . .

(c) Renumbering of Statute

Citations within Parentheses
Cite as follows:

(UCCA 1812, as renum by L 1976, ch 156, § 8)

Citations in Running Text
Cite as follows:

UCCA 1812 (as renum by L 1976, ch 156, § 8) provides . . .

(4) Former Statutes

(a) Basic Form
Cite statutes referred to in a historical context as:

(former Penal Law § 210 [5] [a])

(Court of Claims Act former § 12-a, now § 8)

When cited in running text, internal brackets are changed to parentheses:

Former Penal Law § 210 (5) (a) provided . . .

(b) More Precise Form
When greater precision is desired, the following forms may be used:

Citations within Parentheses

(Judiciary Law former § 434)

(Judiciary Law § 434 [former (6)])

(Education Law § 2518 [1] [former (a)])
Citations in Running Text

RPTL former 306 stated . . .

Judiciary Law former § 434 provided . . .

Former section 434 of the Judiciary Law provided . . .

Judiciary Law § 434 (former [6]) provided . . .

Education Law § 2518 (1) (former [a]) provided . . .

3.1 (c) Nonstatutory Material in Statutory Compilations

(1) In General

Commercial statutory compilations often provide commentaries, statutory histories, reviser's notes, etc., following a statute or in an appendix. They are cited as follows:

(2) Citations within Parentheses

Cite as follows:

(McKinney’s Cons Laws of NY, Book 1, Statutes § 51)

(McKinney’s Cons Laws of NY, Book 62½, UCC 2-716, NY Annotations at 258 [2002 ed])

(McKinney’s Cons Laws of NY, Book 2, Constitution, Constitutional Interpretation § 43 [1969 ed])

(McKinney’s Cons Laws of NY, Book 1, Statutes § 94, Comment at 190 [1971 ed])

(Reviser’s Notes, McKinney’s Cons Laws of NY, Book 58A, SCPA 2226 [now 2227] at 292 [1967 ed])

(David D. Siegel, Practice Commentaries, McKinney’s Cons Laws of NY, Book 7B, CPLR C3219:1)

(David D. Siegel, Practice Commentaries, McKinney’s Cons Laws of NY, CPLR C3219:1) [Note: online version]


(Advisory Comm Notes, reprinted following NY CLS, Book 4A, CPLR 105 at 48 [1999 ed])

(William C. Donnino, Practice Commentary, McKinney’s Cons Laws of NY, 2005 Electronic Update, Penal Law § 250.45)

(Law Rev Commn Comments, reprinted in McKinney’s Cons Laws of NY, Book 34A, Mental Hygiene Law § 81.10 at 130 [2006 ed])

(Brett S. Ward, Practice Insights, NY CLS, Book 44, Family Ct Act § 1051, 2008 Cum Supp at 224)

(Bruce A. Rich, Practice Commentaries, McKinney’s Cons Laws of NY, 2011 Electronic Update, Limited Liability Company Law at part 3.8)

(Patrick M. Connors, 2013 Practice Commentaries, McKinney’s Cons Laws of NY, Book 7B, CPLR 3012-b, 2014 Pocket Part at 18)

(see Vincent C. Alexander, Practice Commentaries, McKinney’s Cons Laws of NY, Book 7B, CPLR 6501 at 464 [2010 ed])

(3) Citations in Running Text

Cite as follows:

McKinney’s Consolidated Laws of NY, Book 1, Statutes § 51 provides . . .

According to Constitutional Interpretation § 43 (McKinney’s Cons Laws of NY, Book 2, Constitution [1969 ed]) . . .

David D. Siegel, Practice Commentaries (McKinney’s Cons Laws of NY, Book 7B, CPLR C3219:1) explains . . .

David D. Siegel, Practice Commentaries (McKinney’s Cons Laws of NY, CPLR C3219:1) explains . . . [Note: online version]


As explained in Richard A. Givens, Supplementary Practice Commentaries (McKinney’s Cons Laws of NY, Book 23A, General Obligations Law § 5-701, 1991 Pocket Part at 8-9) . . .
The Advisory Committee Notes following CPLR 105 (reprinted in NY CLS, Book 4A at 48 [1999 ed]) provide background . . .


As explained in Brett S. Ward, Practice Insights (NY CLS, Book 44, Family Ct Act § 1051, 2008 Cum Supp at 224) . . .

Law Revision Commission Comments (reprinted in McKinney’s Cons Laws of NY, Book 34A, Mental Hygiene Law § 81.10 at 130 [2006 ed]) state . . .

According to Patrick M. Connors in his 2013 Practice Commentaries (McKinney’s Cons Laws of NY, Book 7B, CPLR 3012-b, 2014 Pocket Part at 18) . . .

Vincent C. Alexander (Practice Commentaries, McKinney’s Cons Laws of NY, Book 7B, CPLR 6501 at 464 [2010 ed]) has indicated . . .

3.1 (d) Session Laws and Unconsolidated Laws

(1) In General

New York session laws may be cited when referring to a statutory enactment not contained in the consolidated laws or to indicate the addition, amendment, renumbering or repeal of a consolidated law or division thereof. Citation may be made to the appropriate session and chapter as well as to the act’s popular name or short title, if any. In addition, if the enactment is contained in McKinney’s Unconsolidated Laws of New York or New York Consolidated Laws Service Unconsolidated Laws, a citation to these compilations may be included. Subsequent references to an unconsolidated law’s popular name or short title may appear in an abbreviated form (e.g. Emergency Tenant Protection Act of 1974 [ETPA] or Rent Stabilization Law of 1969 [RSL]), using a section sign (ETPA § 5).

(2) Citations within Parentheses

Cite as follows:

(L 1962, ch 21, as amended)

(Abandoned Property Law § 103 [a], as amended by L 1944, ch 498)

35
(Nassau County Administrative Code § 5-14.0 [L 1939, chs 272, 701-709, as amended])

(McKinney's Uncons Laws of NY § 8605 [Local Emergency Housing Rent Control Act § 5, as added by L 1962, ch 21, § 1, as amended])

(CLS Uncons Laws of NY ch 249-A, § 1 [5] [Local Emergency Housing Rent Control Act § 5, as added by L 1962, ch 21, § 1, as amended])


(1813 Rev L of NY, 36th Session, ch IV, § VI [1 Van Ness and Woodworth rev at 326])

(McKinney's Uncons Laws of NY § 6266 [3] [Urban Development Corporation Act (UDCA) § 16 (3), as added by L 1968, ch 174, § 1, as amended])

Subsequent Citation:

(Uncons Laws § 6266 [3] [UDCA § 16 (3)]) or (UDCA § 16 [3]) or (Uncons Laws § 6266 [3])


Subsequent citation:

(ETPA § 5 [Uncons Laws § 8625]) or (ETPA § 5) or (Uncons Laws § 8625)

(3) Citations in Running Text

Cite as follows:

Laws of 1962 (ch 21, as amended) or chapter 21 of the Laws of 1962 (as amended)

Abandoned Property Law § 103 (a) (as amended by L 1944, ch 498)

Nassau County Administrative Code § 5-14.0 (L 1939, chs 272, 701-709, as amended)

McKinney’s Unconsolidated Laws of NY § 8605 (Local Emergency Housing Rent Control Act § 5, as added by L 1962, ch 21, § 1, as amended)
Consolidated Laws Service Unconsolidated Laws of NY chapter 249-A, § 1 (5) (Local Emergency Housing Rent Control Act § 5, as added by L 1962, ch 21, § 1, as amended)

Emergency Tenant Protection Act of 1974 (ETPA) § 5 (McKinney's Uncons Laws of NY § 8625 [L 1974, ch 576, sec 4, § 5, as amended])

Urban Development Corporation Act (UDCA) (L 1968, ch 174, § 1, as amended) § 31-a (McKinney's Uncons Laws of NY § 6281-a)

McKinney's Unconsolidated Laws of NY § 6266 (3) (Urban Development Corporation Act [UDCA] § 16 [3], as added by L 1968, ch 174, § 1, as amended)

3.1 (e) Model Codes, Proposed Codes and Uniform Laws

Cite as follows:

(Model Penal Code § 210.2 [Proposed Official Draft 1962])

(Model Code of Evidence rule 502 [1942])


(Proposed NY Code of Evidence § 506 [a] [1982])

When citing in running text, convert internal brackets to parentheses.

3.1 (f) Legislative and Other Materials

Cite as follows:

(1964 NY Legis Doc No. 12 at 10)

(21st Ann Rep of Chief Admin of Cts at 15)

(21st Ann Rep of NY Jud Conf at 403)

(14th Ann Rep of Jud Conf on CPLR, reprinted in 21st Ann Rep of NY Jud Conf, ch 6 at 278, 293)

(Governor's Mem approving L 1989, ch 750, 1989 NY Legis Ann at 326)
(Mem of Off of Ct Admin, 1990 McKinney’s Session Laws of NY at 2937)

(Simplification of Discovery and the Use of Non-Party Business Records, 2002 Rep of Advisory Comm on Civ Prac to Chief Admin Judge of Cts of St of NY, reprinted in 2002 McKinney’s Session Laws of NY at 2164)


(Rep of Law Rev Commn, 1984 McKinney’s Session Laws of NY at 2933-2934)

(1994 Rep of NY Law Rev Commn at 27)

(1995 Rep of Advisory Comm on Crim Law and Pro at 43)

(Harmonizing Inconsistent Distributions, 2006 Rep of Sur Ct Advisory Comm at 25)

(Staff Notes of Temp St Commn on Rev of Penal Law and Crim Code, 1964 Proposed NY Penal Law [Study Bill, 1964 Senate Intro 3918, Assembly Intro 5376] § 25.05 at 264)

(Staff Comment of Temp St Commn on Rev of Penal Law and Crim Code, 1967 Proposed NY CPL 205.40 at 274)

(Interim Rep of Temp St Commn on Rev of Penal Law and Crim Code, 1962 NY Legis Doc No. 41 at 8)

(1968 Study Bill and Commn Rep of Temp Commn on Rev of Penal Law and Crim Code, Introductory Comments at xviii)

(2004 Extraordinary Session NY Senate Bill S1-A § 1 [July 20, 2004])

(1981 NY Assembly Bill A2566)

(1982 NY Senate-Assembly Bill S9566, A12451)

(Mem of Assembly Rules Comm, Bill Jacket, L 1989, ch 659)


(4th Rep of Temp St Commn on Estates, 1965 NY Legis Doc No. 19 at 24)

(3 Rev Rec, 1938 NY Constitutional Convention at 2204)

(Governor’s Approval Mem, Bill Jacket, L 1996, ch 635 at 54, 1996 NY Legis Ann at 459) [Note: provide a parallel citation to McKinney’s Session Laws or New York Legislative Annual if available]

(Sponsor’s Mem, Bill Jacket, L 1994, ch 222)

(Senate Introducer’s Mem in Support, Bill Jacket, L 1996, ch 600 at 11) [Note: Bill Jacket cumulatively paginated]

(Letter from St Ins Dept, June 30, 1980 at 3, Bill Jacket, L 1998, ch 586) [Note: reference to pagination of document]

(NY Reg, Sept. 28, 1994 at 37-41)

(City Rec, June 30, 1986 at 1700)

(Executive Order [Pataki] No. 39 [9 NYCRR 5.39])

(NY City Executive Order No. 52 of 1967 § 5 [a] [2])

(NY Senate Debate on Senate Bill S2850, Mar. 6, 1995 at 1912)

(Council of City of NY Intro No. 1266, § 2, proposing amendment to Administrative Code § 8-502 [a] [June 13, 1989])

(Civ Ct of City of NY, Legal/Statutory Mem 152A [eff June 21, 2004])

(Proposed Legislation to amend Domestic Relations Law [“DRL”] § 117 and Estates, Powers and Trusts Law [“EPTL”] §§ 2-1.3 and 3-3.3, Veto Jacket, Veto 106 of 1984 at 50)

(L 2002, ch 11, Governor’s Program Bill Mem No. 102, 2002 NY Legis Ann at 7)

(Rep of Comm on Gen Welfare, 2005 NY City Legis Ann at 536)

(Dept of Audit and Control Mem in Opposition, Bill Jacket, L 1967, ch 193)

(Admin Order of Chief Admin Judge of Cts AO/245/08)

When citing in running text, convert internal brackets to parentheses.
3.2 FEDERAL STATUTES AND LEGISLATIVE MATERIALS

3.2 (a) Federal Statutory Abbreviations

Use the abbreviations listed in Appendix 4 as applicable.

3.2 (b) Federal Statutory Citation Style

(1) In General
Cite the United States Code if therein. Federal Public Laws or United States Statutes at Large may be cited to refer to an enactment not contained in the United States Code or to indicate the addition, amendment, renumbering or repeal of a law contained in the United States Code.

(2) Citations within Parentheses
Cite as follows:


(Social Security Act § 208 [42 USC § 408])

(Pub L 105-298, 112 US Stat 2827 [105th Cong, 2d Sess, Oct. 27, 1998] [termed the “Sonny Bono Copyright Term Extension Act"], amending 17 USC § 301 [c])


(Act of May 31, 1790 § 1 [1st Cong, 2d Sess, ch 15], 1 US Stat 124, reprinted in Lib of Cong, Copyright Enactments, 1783-1900 at 30-32)

(Jones Act, 46 USC Appendix § 688)

(50 USC Appendix § 525)

(3) Citations in Running Text
Cite as follows:


Title VII of the Civil Rights Act of 1964 (42 USC, ch 21, § 2000e et seq.)

Section 208 of the Social Security Act (42 USC § 408)
Jones Act (46 USC Appendix § 688)

50 USC Appendix § 525

This principle is contained in title VII of the Civil Rights Act of 1964 (42 USC, ch 21, § 2000e et seq.) . . .

3.2 (c) Federal Legislative Materials

Cite as follows:

(57 Fed Reg 48451 [1992], codified at 15 CFR 1150.1 et seq.)

(HR Rep 730, 95th Cong, 2d Sess at 25, reprinted in 1978 US Code Cong & Admin News at 9130, 9134)

(S Rep 86-658, 86th Cong, 1st Sess, reprinted in 1959 US Code Cong & Admin News at 2548)

(151 Cong Rec H3052-01 [May 5, 2005])

(Rep of Senate Judiciary Commn at 4, S Rep 103-361, 103rd Cong, 2d Sess, reprinted in 1994 US Code Cong & Admin News at 3259, 3260)

(HR Rep No. 103-392, 103rd Cong, 1st Sess, republished at 1993 WL 484758)

When citing in running text, convert internal brackets to parentheses.

3.3 OUT-OF-STATE STATUTES

3.3 (a) Generally

The general rules of parenthetical and running text citation should be observed when referring to out-of-state statutes. Within parentheses the name of the statutory compilation should be abbreviated, e.g. “(NJ Stat Ann)”; however, in running text, either the abbreviation or the full name, e.g. “New Jersey Statutes Annotated,” may be used. In addition, the relevant jurisdiction’s designation of statutory divisions should be used. For example, some states refer to the first division of a section as a subsection or the first division of an article as a paragraph. Furthermore, use any abbreviations provided in an out-of-state compilation’s prescribed form of statutory citation. Citation to either the annotated or unannotated compilation is acceptable.
3.3 (b) Citations within Parentheses

Cite as follows:

(Fla Stat § 733.710)
(Cal Penal Code § 1324.1)
(Tex Fam Code Ann § 102.003 [a] [9])

3.3 (c) Citations in Running Text

Cite as follows:

Florida Statutes § 733.710
California Penal Code § 1324.1
Texas Family Code Annotated § 102.003 (a) (9)
### Contents of Section

**4.1 NEW YORK RULES, REGULATIONS, INSTRUCTIONS, COLLOQUIES AND NEW YORK EVIDENCE GUIDE**

### 4.1 (a) Basic Citation Form

### 4.1 (b) Particular Rules and Regulations

1. Rules of the City of New York
2. Rules of the Court of Appeals
3. Rules of the Appellate Division
4. Uniform Rules for the New York State Trial Courts
5. Rules of the Chief Administrator of the Courts
7. Rent Statutes and Regulations
8. New York State Building Code
10. New York City Building Code
11. New York State Agency Regulations

### 4.1 (c) Pattern Jury and Criminal Jury Instructions

1. Pattern Jury Instructions
2. Criminal Jury Instructions

### 4.1 (d) Model Colloquies

### 4.1 (e) Guide to New York Evidence

**4.2 FEDERAL RULES AND REGULATIONS**

### 4.2 (a) Basic Citation Form

### 4.2 (b) Particular Rules and Regulations

1. Federal Rules of Civil Procedure
2. Federal Rules of Criminal Procedure
3. Federal Rules of Evidence
4. Federal Rules of Bankruptcy Procedure
5. Federal Rules of Appellate Procedure
6. Local Rules of the Untied States Court of Appeals
4.1 NEW YORK RULES, REGULATIONS, INSTRUCTIONS, COLLOQUIES AND NEW YORK EVIDENCE GUIDE

4.1 (a) Basic Citation Form

(1) Citations within Parentheses

The Official Compilation of Codes, Rules and Regulations of the State of New York is cited within parentheses as follows:

<table>
<thead>
<tr>
<th>Unit Cited</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title</td>
<td>(12 NYCRR [Department of Labor])</td>
</tr>
<tr>
<td>Subtitle</td>
<td>(9 NYCRR subtit A)</td>
</tr>
<tr>
<td>Chapter</td>
<td>(12 NYCRR ch IV)</td>
</tr>
<tr>
<td>Part</td>
<td>(12 NYCRR part 39)</td>
</tr>
<tr>
<td>Subpart</td>
<td>(12 NYCRR subpart 23-1)</td>
</tr>
<tr>
<td>Section</td>
<td>(12 NYCRR 39.8)</td>
</tr>
<tr>
<td></td>
<td>(12 NYCRR 23-1.7)</td>
</tr>
<tr>
<td>Subdivision</td>
<td>(12 NYCRR 39.8 [c])</td>
</tr>
<tr>
<td>Subdivision and paragraph</td>
<td>(12 NYCRR 23-1.7 [b] [1])</td>
</tr>
<tr>
<td>Appendix material</td>
<td>(12 NYCRR Appendix A-10, table 2)</td>
</tr>
<tr>
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<td>(12 NYCRR 39.4 [d]; 39.5, 39.8 [c] [1])</td>
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<td>(12 NYCRR 23-1.7 [b] [1]; 23-1.8, 23-1.0)</td>
</tr>
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<td></td>
<td>(12 NYCRR parts 23, 27, 32)</td>
</tr>
<tr>
<td></td>
<td>(12 NYCRR parts 23-27)</td>
</tr>
<tr>
<td>Range of sections</td>
<td>(6 NYCRR 248-1.1—248-11.1)</td>
</tr>
</tbody>
</table>

(2) Citations in Running Text

When cited in running text, internal brackets are changed to parentheses as follows:

12 NYCRR 23-1.7 (b) (1)

(3) Using Name of State Agency

To incorporate the name of a specific agency in the citation, see section 4.1 (b) (11).
4.1 (b) Particular Rules and Regulations

(1) Rules of the City of New York

(a) Citations within Parentheses

Cite as follows:

(Personnel Rules and Regs of City of NY [55 RCNY Appendix A] ¶ 4.7.1)

(Rules of City of NY Dept of Parks and Recreation [56 RCNY] § 1-01)

Also may be cited as:

(56 RCNY 1-01)

(b) Citations in Running Text

Cite as follows:

Personnel Rules and Regulations of City of New York (55 RCNY Appendix A) ¶ 4.7.1

Rules of City of New York Department of Parks and Recreation (56 RCNY) § 1-01

Also may be cited as:

56 RCNY 1-01

(2) Rules of the Court of Appeals

(a) Citations within Parentheses

Cite as follows:

(Rules of Ct of Appeals [22 NYCRR] § 500.11)

(b) Citations in Running Text

Cite as follows:

Rules of the Court of Appeals (22 NYCRR) § 500.11

(3) Rules of the Appellate Division

(a) Citations within Parentheses

Cite as follows:
(Rules of App Div, 2d Dept [22 NYCRR] § 670.3)
(Rules of App Div, All Depts [22 NYCRR] § 1215.1)

(b) Citations in Running Text

Cite as follows:

Rules of the Appellate Division, Second Department (22 NYCRR) § 670.3

Rules of the Appellate Division, All Departments (22 NYCRR) § 1215.1

or

Pursuant to section 670.3 of the Rules of the Appellate Division, Second Department (22 NYCRR) . . .

(4) Uniform Rules for the New York State Trial Courts

(a) Citations within Parentheses

Cite as follows:

(Uniform Rules for Trial Cts [22 NYCRR] § 202.5)

(b) Citations in Running Text

Cite as follows:

Uniform Rules for Trial Courts (22 NYCRR) § 202.5

(c) Particular Uniform Rules

Citations within Parentheses

Cite as follows:

(Uniform Rules for Sur Ct [22 NYCRR] § 207.6)

Citations in Running Text

Cite as follows:

Uniform Rules for Surrogate’s Court (22 NYCRR) § 207.6

(5) Rules of the Chief Administrator of the Courts

(a) Citations within Parentheses

Cite as follows:
(Rules of Chief Admin of Cts [22 NYCRR] § 130-1.1)

(b) Citations in Running Text

Cite as follows:

Rules of the Chief Administrator of the Courts
(22 NYCRR) § 130-1.1


(a) Generally

Effective April 1, 2009, the Rules of Professional Conduct (22 NYCRR 1200.0) replaced the Code of Professional Responsibility as the governing rules for attorney conduct in New York. Matters occurring before April 1, 2009, are governed by the Code of Professional Responsibility and should cite that code and include references to both the Disciplinary Rule and its companion NYCRR provision.

(b) Citations within Parentheses

Cite as follows:

(Rules of Professional Conduct [22 NYCRR 1200.0] rules 1.2 [d]; 3.4 [a] [6]; 8.4 [c], [d], [h])

(Rules of Professional Conduct [22 NYCRR 1200.0] rules 1.1 Comment [2]; 1.8 Comment [8] [rev Jan. 2017])

(Rules for Attorney Disciplinary Matters [22 NYCRR] § 1240.1)

(Code of Professional Responsibility DR 1-102 [a] [7] [22 NYCRR 1200.3 (a) (7)])

(Code of Professional Responsibility DR 9-101 [a]; DR 9-102 [22 NYCRR 1200.45 (a); 1200.46])

(Code of Professional Responsibility Canons 3, 4)

(Rules Governing Judicial Conduct [22 NYCRR] §§ 100.2 [A], [B]; 100.3 [B] [1])

(Code of Judicial Conduct Canon 2)
(c) Citations in Running Text

Cite as follows:

Rules of Professional Conduct (22 NYCRR 1200.0) rule 1.4 (a) (3)

*or*

rule 1.4 (a) (3) of the Rules of Professional Conduct (22 NYCRR 1200.0)

Comment (8) of Rules of Professional Conduct (22 NYCRR 1200.0) rule 1.8 (rev Jan. 2017)

Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.1

Code of Professional Responsibility EC 2-19, EC 7-23

Code of Professional Responsibility DR 1-102 (a) (7) (22 NYCRR 1200.3 [a] [7])

Code of Professional Responsibility DR 9-101 (a) and DR 9-102 (22 NYCRR 1200.45 [a]; 1200.46)

Code of Professional Responsibility Canons 3, 4

Rules Governing Judicial Conduct (22 NYCRR) §§ 100.2 (A) and (B) and 100.3 (B) (1)

Code of Judicial Conduct Canon 2

(7) Rent Statutes and Regulations

(a) Citations within Parentheses

Cite as follows:

(Emergency Housing Rent Control Law § —, as added by L 1946, ch 274)


(Emergency Tenant Protection Regulations [9 NYCRR] § —)

(Local Emergency Housing Rent Control Act § —, as added by L 1962, ch 21, § 1)

(Loft Law [Multiple Dwelling Law art 7-C] § —)
(NY City Loft Board Regulations [29 RCNY] § —)

(NY City Rent and Eviction Regulations [9 NYCRR] § —)

(NY City Rent and Rehabilitation Law [Administrative Code of City of NY] § —)

(NY St Rent and Eviction Regulations [9 NYCRR] § —)

(Rent Stabilization Code [9 NYCRR] § —)


(b) Citations in Running Text

Either abbreviations or the full names may be used in running text. Internal brackets are changed to parentheses as follows:

New York City Loft Board Regulations (29 RCNY) § —

(8) New York State Building Code

(a) Citations within Parentheses

Cite as follows:

(Building Code of NY St § 101.4.1 [2002])

(b) Citations in Running Text

Cite as follows:


(9) International Property Maintenance Code and International Fire Code

(a) Citations within Parentheses

Cite as follows:

(International Property Maintenance Code § 301.1 [2015])

(International Fire Code § 5102.1 [2015])

(b) Citations in Running Text

Cite as follows:
(10) New York City Building Code

(a) Citations within Parentheses

Cite as follows:

(NY City Building Code [Administrative Code of City of NY, tit 28, ch 7] § BC 105.6.1)


(b) Citations in Running Text

Cite as follows:

New York City Building Code (Administrative Code of City of NY, tit 28, ch 7) § BC 105.6.1


(11) New York State Agency Regulations

(a) Citations within Parentheses

Cite as follows:

(Department of Health Regulations [10 NYCRR] § 59.2)

(Department of Financial Services Regulations [11 NYCRR] § 65-1.1)

(11 NYCRR 60-2.3 [f] [INSURING AGREEMENTS] [I] [c])

(b) Citations in Running Text

Cite as follows:

Department of Health Regulations (10 NYCRR) § 59.2

Department of Financial Services Regulations (11 NYCRR) § 65-1.1
(c) Pattern Jury and Criminal Jury Instructions

(1) Pattern Jury Instructions

Citation to charge:

(PJI 2:225)

Citation to comment:

(1 NY PJI3d 2:225 at 1225 [2007])

(1B NY PJI3d 2:150 at 841-843 [2010])

(NY PJI 2:225, Comment, Caveat 2) [Note: online treatise]

(2 NY PJI2d 3:45 at 425 [2009])

Citation to requests to charge:

(1A NY PJI3d, General Principles—Requests to Charge at 9 [2016])

In running text, convert internal brackets to parentheses.

(2) Criminal Jury Instructions

Citation to online revised material

Citation to charge:

(CJI2d[NY] Penal Law § 125.27)

(CJI2d[NY] Culpable Mental States—Intent)

(CJI2d[NY] Penal Law art 265, Intent to Use Unlawfully and Justification)

(CJI2d[NY] Statements [Admissions, Confessions]—Custodial Statements)

Citation to charge and endnote:

(CJI2d[NY] Accessorial Liability n 8)

Citation including revision date:
(CJI2d[NY] Accessorial Liability [last rev July 29, 2002])

(CJI2d[NY] Accessorial Liability [as rev July 29, 2002])
[Note: to emphasize specific revision]

Charge to former crimes:

(CJI2d[NY] Penal Law former § 130.35 [1])

Capital charges:

(CJI2d[NY] Capital Sentencing; Preliminary Instructions and Voir Dire)

**Citation to unrevised material**

Citation to charge and text:

(1 CJI[NY] 8.01 at 377)

Citation to charge:

(1 CJI[NY] 8.03)

(CJI2d[NY] Defense, Justification: Use of Deadly Physical Force in Defense of a Person) [Note: replaces Penal Law § 35.15]

In running text, convert internal brackets to parentheses.

4.1 (d) **Model Colloquies**

Model colloquy scripts prepared by the Unified Court System Committee for Criminal Jury Instructions and Model Colloquies are cited as follows.

Citations within parentheses:

(NY Model Colloquies, Bail Admonitions)

Citations in running text:

New York Model Colloquies, Bail Admonitions states . . .

or

NY Model Colloquies, Bail Admonitions provides . . .
4.1 (e) Guide to New York Evidence

Provisions of the Guide to New York Evidence prepared by the Chief Judge’s Judicial Advisory Committee on Evidence are cited as follows.

Citations within parentheses:

(Guide to NY Evid rule 8.03, Admissibility of Hearsay)

(Guide to NY Evid rule 8.03, Admissibility of Hearsay, Note at 3)

Citations in running text:

Guide to New York Evidence rule 8.03 (Admissibility of Hearsay) . . .

or

As explained in rule 8.03 of the Guide to New York Evidence (Admissibility of Hearsay), . . .

or

The Guide to New York Evidence (rule 8.03, Admissibility of Hearsay, Note at 3) explains . . .

Citation of revised provisions:

Use the appropriate citation format above and add a parenthetical specifying the revision date.

(Guide to NY Evid rule 8.03, Admissibility of Hearsay [rev July 29, 2017])

4.2 FEDERAL RULES AND REGULATIONS

4.2 (a) Basic Citation Form

(1) Citations within Parentheses

The Code of Federal Regulations is cited within parentheticals as follows:

<table>
<thead>
<tr>
<th>Unit Cited</th>
<th>Example</th>
</tr>
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<tr>
<td>Title</td>
<td>(7 CFR [Agriculture])</td>
</tr>
<tr>
<td>Subtitle</td>
<td>(7 CFR subtit A)</td>
</tr>
<tr>
<td>Part</td>
<td>(7 CFR part 8)</td>
</tr>
<tr>
<td>Chapter</td>
<td>(42 CFR ch IV)</td>
</tr>
</tbody>
</table>
(2) Citations within Parentheses Including Date

Include date if greater precision is required, as follows:

(7 CFR 8.6 [2000])

(3) Citations in Running Text

When cited in running text, internal brackets are changed to parentheses as follows:

7 CFR 8.6 (2000)

4.2 (b) Particular Rules and Regulations

(1) Federal Rules of Civil Procedure

(a) Citations within Parentheses

Cite as follows:

(Fed Rules Civ Pro rule 4 [b])

(b) Citations in Running Text

Cite as follows:

Federal Rules of Civil Procedure rule 4 (b)

(2) Federal Rules of Criminal Procedure

(a) Citations within Parentheses

Cite as follows:

(Fed Rules Crim Pro rule 8 [a])

(b) Citations in Running Text

Cite as follows:

Federal Rules of Criminal Procedure rule 8 (a)
(3) Federal Rules of Evidence
   (a) Citations within Parentheses
   Cite as follows:
   (Fed Rules Evid rule 804 [b] [6])

   (b) Citations in Running Text
   Cite as follows:
   Federal Rules of Evidence rule 804 (b) (6)

(4) Federal Rules of Bankruptcy Procedure
   (a) Citations within Parentheses
   Cite as follows:
   (Fed Rules Bankr Pro rule 9007)

   (b) Citations in Running Text
   Cite as follows:
   Federal Rules of Bankruptcy Procedure rule 9007

(5) Federal Rules of Appellate Procedure
   (a) Citations within Parentheses
   Cite as follows:
   (Fed Rules App Pro rule 10)

   (b) Citations in Running Text
   Cite as follows:
   Federal Rules of Appellate Procedure rule 10

(6) Local Rules of the United States Court of Appeals
   (a) Citations within Parentheses
   Cite as follows:
   (Second Cir Local Rule 46.2)
(b) Citations in Running Text

Cite as follows:

Second Circuit Local Rule 46.2
5.0 CONSTITUTIONS

Contents of Section

| 5.1 GENERAL RULE |
| 5.2 EXAMPLES |
| 5.3 HISTORICAL CONSTITUTIONAL MATERIAL |

5.1 GENERAL RULE

Cite English language constitutions by country or state.

5.2 EXAMPLES

5.2 (a) Citations within Parentheses

When cited within parentheses, both the name of the country or state and the word “Constitution” should be abbreviated, as in the following examples:

(NY Const art VI)

(NY Const, art VI, § 35)

(US Const, art III, § 3)

(US Const, art VI, cl 2)

(US Const, art I, § 8 [3])

(US Const, 14th Amend, § 1)

(US Const 14th, 15th Amends)

(US Const Fourteenth Amend)

(US Const Amend XIV)

(1821 NY Const, art I, § 1)

(US Const, art I, § 8, cl 3)

5.2 (b) Citations in Running Text

When constitutional citations appear in running text, either abbreviations or full names may be used. Some suggested forms are as follows:
NY Constitution article VI
New York Constitution article VI
NY Constitution, article VI, § 35
US Constitution, article III, § 3
United States Constitution, article III, § 3
US Constitution, article VI, clause 2
article VI (cl 2) of the US Constitution
US Constitution, 14th Amendment, § 1
article I (§ 8 [3]) of the US Constitution
article I, § 8 (3) of the US Constitution
US Constitution 14th Amendment
US Constitution Fourteenth Amendment
or
US Constitution Amendment XIV
or
Fourteenth Amendment of the United States Constitution
or
Fourteenth Amendment to the United States Constitution
1821 New York Constitution, article VII, § 6
US Constitution, article I, § 8, clause 3
New York State Constitution, article XVI, § 3

5.3 HISTORICAL CONSTITUTIONAL MATERIAL

Cite historical constitutional material as follows:

(Madison, Federalist No. 43)
6.0 TREATIES AND INTERNATIONAL AGREEMENTS

Contents of Section

6.1 GENERAL RULE
6.2 EXAMPLES

6.1 GENERAL RULE

Treaties signed before 1949 are contained in and cited to the United States Statutes at Large. Treaties signed since 1949 are contained in and may be cited to United States Treaties and Other International Agreements (UST) (the official source). Those treaties signed since 1945 are also published in and may be cited to the Treaties and Other International Acts Series (TIAS) (the unofficial source).

6.2 EXAMPLES

6.2 (a) Citations within Parentheses

Cite as follows:

(Treaty of Ghent, 8 US Stat 218 [1814])

(Bermuda Multilateral Telecommunications Agreement, 60 US Stat 1636, TIAS No. 1518 [1945])

(Hague Convention on the Taking of Evidence Abroad in Civil or Commercial Matters, 23 UST 2555, TIAS No. 7444 [1970])

(Warsaw Convention art 17, 49 US Stat 3000, 3018, reprinted following 49 USCA § 40105)


(Convention on the Recognition and Enforcement of Foreign Arbitral Awards, art II, § 2, reprinted following 9 USCA § 201)

6.2 (b) Citations in Running Text

Cite as follows:

Treaty of Ghent (8 US Stat 218 [1814])
Bermuda Multilateral Telecommunications Agreement (60 US Stat 1636, TIAS No. 1518 [1945])

Hague Convention on the Taking of Evidence Abroad in Civil or Commercial Matters (23 UST 2555, TIAS No. 7444 [1970])

Warsaw Convention article 17 (49 US Stat 3000, 3018, reprinted following 49 USCA § 40105)


Article II, § 2 of the Convention on the Recognition and Enforcement of Foreign Arbitral Awards (reprinted following 9 USCA § 201)
# 7.0 LEGAL PERIODICALS, TREATISES AND OTHER WORKS AND DOCUMENTS

## Contents of Section

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.1</td>
<td>GENERAL RULES</td>
</tr>
<tr>
<td></td>
<td>(a) Page References</td>
</tr>
<tr>
<td></td>
<td>(b) Names of Authors</td>
</tr>
<tr>
<td></td>
<td>(c) Titles</td>
</tr>
<tr>
<td></td>
<td>(d) Internet Material</td>
</tr>
<tr>
<td></td>
<td>(1) Generally</td>
</tr>
<tr>
<td></td>
<td>(2) Citation Style to Website</td>
</tr>
<tr>
<td></td>
<td>(3) Citation to a Document or Page on a Website</td>
</tr>
<tr>
<td></td>
<td>(4) Weblog Citation</td>
</tr>
<tr>
<td></td>
<td>(5) Pinpoint Citation</td>
</tr>
<tr>
<td></td>
<td>(6) Navigation Instructions</td>
</tr>
<tr>
<td></td>
<td>(7) Direct or Parallel Citation</td>
</tr>
<tr>
<td></td>
<td>(8) Commercial Electronic Databases</td>
</tr>
<tr>
<td>7.2</td>
<td>PERIODICALS, NEWSPAPERS AND BOOKS</td>
</tr>
<tr>
<td></td>
<td>(a) General Style</td>
</tr>
<tr>
<td></td>
<td>(b) Student Authored Works</td>
</tr>
<tr>
<td>7.3</td>
<td>TREATISES</td>
</tr>
<tr>
<td></td>
<td>(a) General Style</td>
</tr>
<tr>
<td></td>
<td>(b) Omitted Title Material</td>
</tr>
<tr>
<td></td>
<td>(c) CD-ROM Material</td>
</tr>
<tr>
<td>7.4</td>
<td>DICTIONARIES AND ENCYCLOPEDIAS</td>
</tr>
<tr>
<td>7.5</td>
<td>AMERICAN LAW REPORTS (ALR) ANNOTATIONS</td>
</tr>
<tr>
<td></td>
<td>(a) General Style</td>
</tr>
<tr>
<td></td>
<td>(b) Author's Name</td>
</tr>
<tr>
<td>7.6</td>
<td>RESTATEMENTS</td>
</tr>
<tr>
<td>7.7</td>
<td>LEGAL DOCUMENTS</td>
</tr>
<tr>
<td>7.8</td>
<td>MANUALS, HANDBOOKS, GUIDELINES AND REPORTS</td>
</tr>
<tr>
<td>7.9</td>
<td>E-BOOKS</td>
</tr>
</tbody>
</table>
7.1 GENERAL RULES

7.1 (a) Page References

Some electronic sources do not contain pagination; therefore, page references are not required when citing those sources. Where the source contains pagination, a page reference may be included if greater precision is desired.

7.1 (b) Names of Authors

Use of the full names of authors is preferred, except for certain treatises noted in section 7.3 (a). Separate two authors’ names with an ampersand. Separate more than two with commas and an ampersand before the final name or place the phrase “et al.” after the first author’s name.

7.1 (c) Titles

Titles of legal periodicals, treatises and other works and documents are set in roman. Titles of articles and chapters within those sources are set in italics.

7.1 (d) Internet Material

(1) Generally

A website itself or specific content on a website may be cited. To cite a website, provide the name of the website, the uniform resource locator (URL) precisely as it appears in the Internet browser and the date the website was accessed. To cite specific content, provide the name of the author, if any; a description of the content, such as a heading or document title; the publication date; the precise URL; and, in order of preference, the date that the content was last updated or accessed. Add a page reference or other pinpoint citation if desired after the description. If the particular document or page referenced cannot be directly accessed using the URL, add the necessary navigation instructions to the citation.

(2) Citation Style to Website


(3) Citation to a Document or Page on a Website

(Gerald Lebovits, Judgment Writing in Kenya and the Common-Law World, 2 Kenya L Rev 218 [2008-2010],
available at https://works.bepress.com/gerald_lebovits/169/ [last accessed Apr. 19, 2017])


If an Internet document or page is no longer available at the original URL, but has been preserved through caching, cite as follows:


(4) Weblog Citation

For citations to weblogs (blogs), follow the general citation style above, including the author's name, the name of the weblog, the title of the article or entry, the URL and the date of the posting, as follows:


(5) Pinpoint Citation


(6) Navigation Instructions

(NY St Cts Electronic Filing [NYSCEF] Doc. No. 38, Westreich aff, exhibit 1, at 1, https://iapps.courts.state.ny.us/nyscef/CaseSearch [complete CAPTCHA, search by case index No. 650793/2014, click on index No. hyperlink])
(7) Direct or Parallel Citation

Precede the URL with the term “available at” when the Internet material is also available in print, but omit the term when the Internet is the sole source of the material.

(8) Commercial Electronic Databases

For citations to a document contained in a commercial electronic database (e.g. Thomson Reuters Westlaw or Lexis), provide a complete citation to the document in accordance with general citation style, and also provide the name of the database if not apparent from the citation. If the database assigns an identifier (a unique series of letters or numbers) to the document, include that information. For guidance as to the specific use of commercial electronic databases in case citations, see section 2.4 (a).

7.2 PERIODICALS, NEWSPAPERS AND BOOKS

7.2 (a) General Style

Generally, cite as follows:

(Trevor W. Morrison, Constitutional Avoidance in the Executive Branch, 106 Colum L Rev 1189, 1221-1222 [2006])


(Recent Case, Constitutional Law—Free Speech Clause—Sixth Circuit Classifies Computer Source Code as Protected Speech, 114 Harv L Rev 1813, 1813 n 1 [2001])

(23 Siegel’s Practice Review, New Filing System at 3 [Sept. 1994])

(Joseph T. McCann, Standards for Expert Testimony in New York Death Penalty Cases, 68 NY St BJ 30 [July/Aug. 1996])

(Tom Perrotta, Panel Upholds Sanctions Against Union Over Strike, NYLJ, Oct. 6, 2006 at 1, col 3)

(Daniel J. Tucker, Outside Counsel, No-Fault Independent Medical Examination, NYLJ, Nov. 4, 2005 at 4, col 2)

(Virginia R. Richard, Hey, You, Get Off Of My Trademark!, NYLJ, Jan. 24, 2005 at S10, col 1) [Note: citation for special section]

(Gail E. Cutter, The Dreaded “NO OFFER” Decision, A first-aid kit for prevention, treatment and cure, NYLJ Mag, May 2005 at 30)
(Zoning Variances, “Practical Difficulties” No Longer Need be Shown by Applicant Seeking Area Variances, David D. Siegel, NY St L Dig No. 432 at 3-4 [Dec. 1995])

(Trivial Pursuit: Don’t Judge a Defect by Its Size, David L. Ferstendig, NY St L Dig No. 661 at 3 [Dec. 2015])

(Harper Lee, To Kill a Mockingbird 49-50 [1982])

(Nicholas Confessore, No-Name, Brand-Name or Phony: It’s All Here, NY Times, Oct. 9, 2006, § B at 1, col 1)


7.2 (b) Student-Authored Works

Both “Note” or “Comment” and the author’s name are used in a law review citation, as follows:

(Jake Seligman, Comment, Electric Vehicles and Time-of-Use Rates: The Impending Role of the New York State Public Service Commission in Regulating Our Transportation Future, 28 Pace Envtl L Rev 568 [2011])

(Chuck Fenn, Note, Supreme Court Justices: Arguing before the Court after Resigning from the Bench, 84 Geo LJ 2473 [1996])

7.3 TREATISES

7.3 (a) General Style

Generally, cite as follows:


(Prosser & Keeton, Torts § 44 at 309-310 [5th ed 1984])

(Jerome Prince, Richardson on Evidence § 8-254 et seq. [Farrell 11th ed 1995])

(Jerome Prince, Richardson on Evidence § 4-501 [Farrell 11th ed 1995, 2008 Supp])

(2 Dan B. Dobbs et al., Torts § 359 at 436-437 [2d ed 2011])


65
(8 Warren’s Weed, New York Real Property § 92.16 [5th ed 2004])

(8-92 Warren’s Weed, New York Real Property § 92.16 [2006])
[Note: online treatise]


(3-80 Warren’s Negligence in New York Courts § 80.01 [2] [2005]) [Note: online treatise]

(11 Warren’s Heaton, Surrogate’s Court Practice § 194.01 [5] at 194-14 [7th ed 2006])

(11-194 Warren’s Heaton, Surrogate’s Court Practice § 194.01 [5] [2006]) [Note: online treatise]

(3A Doris Jonas Freed et al., Law and the Family New York § 11:11 at 98 [2d ed rev 1993])


(4 James J. White & Robert S. Summers, Uniform Commercial Code § 30-3 at 17 [Practitioner’s 6th ed 2010])

(David H. Kaye et al., The New Wigmore: Expert Evidence § 4.3.1 at 148 [2d ed 2011])

(9 John Henry Wigmore, Evidence § 2450 at 163 [James H. Chadbourn rev 1981])

(17 Steven Plitt et al., Couch on Insurance 3d § 240:1 [1995])

(ABA/BNA Lawyer’s Manual on Professional Conduct 51:217 [2002])

(6 Eric M. Holmes & Mark S. Rhodes, Holmes’ Appleman on Insurance 2d § 34.1 [1996])

(1 Wayne R. LaFave, Search and Seizure § 1.4 [d] at 119-120 [4th ed 2004])


(Daniel Finkelstein & Lucas A. Ferrara, Landlord and Tenant Practice in New York § 4:149 at 4-63 [West’s NY Prac Series, vol F, 2006])
7.3 (b) Omitted Title Material

Where the title of a legal treatise begins with language such as “Law of,” “Handbook on” or “A Treatise on,” that prefatory material is omitted from the citation title.

7.3 (c) CD-ROM Material

If a CD-ROM is the sole source of material referenced, cite it as in the example below. Add the date of the version being cited, and provide the word “CD-ROM” in a parenthetical if “CD-ROM” is not mentioned in the title.


(School Law [33rd ed 2010] [CD-ROM])

7.4 DICTIONARIES AND ENCYCLOPEDIAS

Cite as follows:

(Black’s Law Dictionary 712 [10th ed 2014])
(Black’s Law Dictionary [10th ed 2014], mens rea) [Note: online version]

(1 Am Jur 2d, Accession and Confusion § 2)

(12 NY Jur 2d, Buildings, Zoning, and Land Controls § 60)

(8 Fletcher, Cyclopedia of Corporations § 3890 [2006]) [Note: online version]

(8 Fletcher, Cyclopedia of Corporations § 3890 at 171 [Perm ed])

(1A CJS, Actions § 75)

(10A Carmody-Wait 2d § 70:461 at 448)

(Webster’s Third New International Dictionary, Unabridged [Merriam-Webster 2002], contaminant [http://unabridged.merriam-webster.com/unabridged/contaminant]) [Note: online subscription version]

(Merriam-Webster Online Dictionary, contaminant [https://www.merriam-webster.com/dictionary/contaminant]) [Note: online free version]

7.5 AMERICAN LAW REPORTS (ALR) ANNOTATIONS

7.5 (a) General Style

Generally, cite as follows:

(Ann K. Wooster, Comment Note, Application of Supreme Court’s Apprendi Doctrine to Drug Quantity Element in Federal Narcotics Prosecutions, 14 ALR Fed 2d 1, § 12)

(Marjorie A. Shields, Annotation, Liability of Clinical Laboratories for Negligence, 19 ALR6th 793, 824, § 16)

(Carolyn Kelly MacWilliam, Annotation, Individual and Corporate Liability for Libel and Slander in Electronic Communications, Including E-mail, Internet and Websites, 3 ALR6th 153)

(Marjorie A. Shields, Annotation, Constitutionality of Legislative Prayer Practices, 2006 ALR6th 3)
7.5 (b) Author's Name

An author's name should be used in the citation if provided. Otherwise, cite as follows:

(Annotation, Hospital’s Liability for Injury or Death to Patient Resulting from or Connected with Administration of Anesthetic, 31 ALR3d 1114, § 7)

7.6 RESTATMENTS

Cite as follows:

(Restatement [Second] of Conflict of Laws § 305, Comment b, Illustration 1)

(Restatement [Second] of Agency § 20)

(Restatement of Restitution § 104 [a], [b])

(Restatement [Third] of Torts: Products Liability § 5)

(Restatement [Third] of Foreign Relations Law § 1)

(Restatement [Third] of Property [Mortgages] § 5.2)

(Restatement [Second] of Judgments [Tent Draft No. 5] § 61, Comment c)

(Restatement [Third] of Property [Mortgages] § 5.4, Reporter’s Note, Comment c)

7.7 LEGAL DOCUMENTS

Cite as follows:

Transcript: (tr at 3); (Pargament tr at 42, lines 18-25; at 43, lines 4-6)

Affidavit: (aff of defendant at 6)

Affirmation: (affirmation of defendant’s counsel at 3)

Testimony: (testimony of John Smith, May 14, 2002, ¶ 6)

Pleadings: (Smith complaint at 2); (petition ¶ 7)

Exhibits: (plaintiff’s exhibits 3, 15, 18); (Nagle aff, exhibit E, ¶ 4)
Briefs: (brief for respondents-appellants, available at 2005 WL 4013583, *2); (brief for defendants-respondents in Davenport v County of Nassau, 245 AD2d 331 [1997], available at 1997 WL 34663982)

New York State Courts Electronic Filing Documents (Same case as that which is subject of decision):

(NY St Cts Elec Filing [NYSCEF] Doc No. 548 at 12, 13)
(NY St Cts Elec Filing [NYSCEF] Doc No. 548, complaint)
(NY St Cts Elec Filing [NYSCEF] Doc No. 548, complaint at 15)

Subsequent short-form citation:

(NYSCEF Doc No. 548, complaint)
(NYSCEF Doc No. 548 at 14)

New York State Courts Electronic Filing Documents (Different case than that which is subject of decision):


Subsequent short-form citation:

(NYSCEF Doc No. 13, protective order, in McMahon)

7.8 MANUALS, HANDBOOKS, GUIDELINES AND REPORTS
Cite as follows:

(American Arbitration Association Rules for Arbitration of Supplementary Uninsured/Underinsured Motorist Insurance Disputes and Uninsured Motorist Insurance Disputes in the State of New York § 16)

(Centers for Medicare and Medicaid Services, State Medicaid Manual § 3259.6 [C])

(Financial Industry Regulatory Authority [FINRA] Manual rule 13804) [Note: replaces NASD Manual]
(National Arbitration Forum Code of Procedure rule 5)

(New York Automobile Insurance Plan Manual § 18 [2] [9] [b])

(New York City Housing Authority [NYCHA] Management Manual, ch V, § E [1] [a])

(2004 New York State Department of Health, Medicaid Update, vol 19, No. 3 [Mar. 2004])

(New York State Department of Health MEVS Provider Manual § 13.1)

(Official Staff Interpretations of Federal Reserve System Board of Governors, 12 CFR part 226, Supp I, ¶ 28 [d] [1] [eff Jan. 14, 2008])

(Sex Offender Registration Act: Risk Assessment Guidelines and Commentary at 4 [Nov. 1997 or 2006]) [Note: paginated Commentary]

(Sex Offender Registration Act: Risk Assessment Guidelines and Commentary, risk factor 8 [Nov. 1997 or 2006]) [Note: unpaginated Risk Factor Guidelines]

(United States Department of Housing and Urban Development Handbook 4350.3 REV-1, ch 5, ¶ 5-5 [A] [1])


(New York Law Reports Style Manual § 1.2 [e] [2017])


7.9 E-BOOKS

Cite an e-book as in the example below. Include the e-book edition and the type of e-reader used. To provide a pinpoint citation, use a fixed reference point such as a location number.

(Charles M. Scanlan, The Clergyman’s Hand-book of Law [Kindle ed])

(Gary Shaw, Canudo on Evidence Laws of New York [OverDrive ed 2016])
PART II: OTHER STYLE ISSUES

8.0 TITLES OF ACTIONS AND PROCEEDINGS

Contents of Section

8.1 GENERAL RULES OF TITLE FORMULATION
  8.1 (a) Parties at Trial Level
  8.1 (b) Parties at Appellate Level
  8.1 (c) Parties with Same Status
  8.1 (d) Full Names and Initials
  8.1 (e) Representative or Official Capacity
  8.1 (f) Terms Omitted
  8.1 (g) Parties in Transferred Proceedings, etc.
  8.1 (h) Nonappealing Parties

8.2 COMMON TITLE STYLES
  8.2 (a) Action with Party Suing in a Representative Capacity
  8.2 (b) Proceedings against an Unnamed Public Official
  8.2 (c) Criminal Action against Multiple Defendants
  8.2 (d) Appellate Action with Some Parties Not Participating in Appeal
  8.2 (e) Appellate Proceedings with Cross-Appealing Parties

8.3 TITLES IN VARIOUS ACTIONS AND PROCEEDINGS

8.4 PERSONAL IDENTIFYING INFORMATION

8.1 GENERAL RULES OF TITLE FORMULATION

8.1 (a) Parties at Trial Level

Typically, the parties in an action are referred to, at the trial level, as Plaintiff and Defendant (in the event of impleader one might find Third-Party or Fourth-Party Plaintiffs and Defendants); in a proceeding the parties are referred to as Petitioner and Respondent. In criminal actions, the prosecuting authority is usually described as “The People of the State of New York” and is referred to as Plaintiff. In habeas corpus proceedings and other proceedings brought in the name and on behalf of the People of the State of New York, the caption should begin with the words “The People of the State of New York ex rel.” and the petitioning party is referred to as Petitioner and the opposing
party as Respondent. In claims against the State, the prosecuting party is referred to as Claimant and the State as Defendant.

8.1 (b) Parties at Appellate Level

On the appellate level, parties are referred to according to their status on appeal, e.g. Appellant or Respondent. Cross-appealing parties are designated Appellant-Respondent and Respondent-Appellant, the first party to appeal being Appellant-Respondent. In impleader situations, Third-Party Plaintiff-Appellant, Third-Party Defendant-Respondent and the like should be used.

8.1 (c) Parties with Same Status

Generally, where there is more than one party sharing the same status (i.e. Defendants, Appellants), only the name of the first named party of that status should appear in the title followed by “et al.” However, in a criminal action with multiple defendants, up to five defendants may be listed in the title followed by an “et al.” in the event of more than five criminal defendants. In addition, where the person or entity omitted is not a party to the action or proceeding (most commonly the children in child neglect, abuse or custody proceedings) “and Another” or “and Others” should be used instead of et al. (e.g. In the Matter of Kaitlyn S. and Another, Children Alleged to be Abused).

8.1 (d) Full Names and Initials

The full names of parties may be used; middle names need not be abbreviated.

8.1 (e) Representative or Official Capacity

If a party is sued or suing in a representative or official capacity, that capacity should be set forth in the title. In addition, if a suit is brought “on Behalf” of an entity or “by” a representative, official or guardian, this should be so designated. Also, where a party is identified solely by a person’s governmental office (e.g. “Attorney General of the State of New York”), the name of the officeholder need not be supplied.

8.1 (f) Terms Omitted

Omit the words “the Application of” and “for a judgment under CPLR article 78” in CPLR article 78 proceeding titles.

8.1 (g) Parties in Transferred Proceedings, etc.

Where a proceeding commenced in Supreme Court is transferred to the Appellate Division, the parties are designated Petitioner and Respondent, not Appellant and Respondent.
However, in unemployment insurance and workers’ compensation proceedings that are appealed directly to the Appellate Division from the Unemployment Insurance Appeal Board or Workers’ Compensation Board, the parties are referred to by their status on appeal, i.e. Appellant and Respondent.

8.1 (h) Nonappealing Parties

In appellate titles, nonappealing parties who do not participate in the appeal as appellants or respondents are not named unless they are the first listed party (Plaintiff/Defendant or Petitioner/Respondent), e.g. Jan Wojtowicz, Jr., Respondent, et al., Plaintiffs, v Agnes Sweeney, Defendant, and Sol Zigman, Appellant. In an appellate action or proceeding title, omit captions of adjunct actions or proceedings (e.g. third-party or consolidated actions or proceedings) that are not part of the appeal. Use a suitable notation, for example (And Two Other Proceedings.) or (And a Third-Party Action.).

8.2 COMMON TITLE STYLES

8.2 (a) Action with Party Suing in a Representative Capacity

Kathleen M. Rice, as District Attorney of the County of Nassau, Plaintiff, v Milton Bialostok, Defendant.

8.2 (b) Proceedings against an Unnamed Public Official


8.2 (c) Criminal Action against Multiple Defendants

The People of the State of New York, Plaintiff, v Dennis Charles and Fritz DePass, Defendants.

8.2 (d) Appellate Action with Some Parties Not Participating in Appeal

Republic National Bank, Appellant, v Sylvia Greenwald et al., Defendants, and Public Equities Corp. et al., Respondents.

8.2 (e) Appellate Proceedings with Cross-Appealing Parties

[Note: The Respondents in the above example are separately listed because the first Respondents are parties to the appeal and consequently are named, whereas the second Respondent is not participating in the appeal and thus is unnamed. Although each is a “Respondent,” their statuses are different (the first being Respondents in an Appellant/Respondent context, the second a Respondent in the Petitioner/Respondent context) and accordingly they are not combined in the title.]

8.3 TITLES IN VARIOUS ACTIONS AND PROCEEDINGS

See Appendix 6.

8.4 PERSONAL IDENTIFYING INFORMATION

See section 12.4.
9.0 APPEARANCES OF COUNSEL

Contents of Section

9.1 GENERAL STYLE
9.2 AMICUS CURIAE
9.3 APPEARANCES ON OWN BEHALF
  9.3 (a) Non-Attorney Appearing on Own Behalf
  9.3 (b) Non-Attorney Appearing on Own Behalf and by Attorney
  9.3 (c) Attorney Appearing on Own Behalf
  9.3 (d) Attorney Appearing on Own Behalf and for Client
  9.3 (e) Attorney Appearing on Own Behalf and by Attorney
  9.3 (f) Attorney Appearing on Own Behalf and by Attorney, and for Client
  9.3 (g) Law Firm Appearing on Own Behalf
9.4 APPEARING SPECIALLY
9.5 NAME AND TITLE OF PUBLIC OFFICIALS
9.6 ATTORNEY GENERAL APPEARING IN CASES INVOLVING CONSTITUTIONALITY OF STATUTE
9.7 OUT-OF-STATE ATTORNEY
9.8 ATTORNEY APPEARING AS COURT EVALUATOR
9.9 ATTORNEY FOR CHILD

9.1 GENERAL STYLE

Use the firm or legal organization name and individual names of appearing attorneys, unless only the firm or legal organization name(s) or attorney(s) name(s) is available. Where the middle name or names of an attorney are given, use them. Include the city, village or town of the firm, legal organization or attorney(s) appearing for each party, when available. The following examples are illustrative:
Thompson, Evans & Burns, New York City (Robert V. Levy of counsel), for Smithtown Teachers Association, appellant.

Arthur J. Stone, Watertown (Dennis D. Linden of counsel), for respondent.

P. David Soares, District Attorney, Albany (Kenneth C. Weafer of counsel), for respondent.

Richard McDowell, P.C., Mineola, for defendant.

Law Offices of John Smith, Albany, for plaintiff.

Law Offices of John Smith, Albany (Albert James of counsel) and New York City (Alice Hastings of counsel), for plaintiff.

Legal Aid Society, New York City (David A. Crow of counsel), for appellant.

Charles J. Hynes, District Attorney, Brooklyn (Keith Dolan and Leonard Joblove of counsel), for respondent.

Attorney or Firm Representing Multiple Parties

Harwood Lloyd, LLC, New York City (Gregg A. Ilardi and Levi W. Barrett of counsel), for Bowe-Permac, Inc. and another, appellants-respondents.

Fitzgerald, Morris, Baker & Firth, Glens Falls (Thomas A. Ulasewicz of counsel), for Preserve Associates, LLC and others, respondents-respondents.

9.2 AMICUS CURIAE

John Jones, Saratoga, for State Industrial Board, amicus curiae.

Do not use “as” before amicus curiae.

9.3 APPEARANCES ON OWN BEHALF

9.3 (a) Non-Attorney Appearing on Own Behalf

Edmund B. Bellinger, defendant pro se.
9.3 (b) Non-Attorney Appearing on Own Behalf and by Attorney

Hong Jang Tsai, pro se, and Stanley H. Schindler, Rome, for Hong Jang Tsai, defendant.

9.3 (c) Attorney Appearing on Own Behalf

John Gerdes, Troy, appellant pro se.

9.3 (d) Attorney Appearing on Own Behalf and for Client

Nancy Boochever, Yonkers, respondent pro se, and for Eugene A. Hegy, respondent.

9.3 (e) Attorney Appearing on Own Behalf and by Attorney

John Gerdes, Troy, pro se, and Jose A. Ortiz, Albany, for John Gerdes, appellant.

9.3 (f) Attorney Appearing on Own Behalf and by Attorney, and for Client

John Jones, Tonawanda, pro se, and Richard Roe, Tonawanda, for John Jones and another, appellants.

9.3 (g) Law Firm Appearing on Own Behalf

Napoli, Bern, Ripka, LLP, New York City (Denise A. Rubin of counsel), for respondent.

9.4 APPEARING SPECIALLY

Thomas Harold Matters, White Plains, for respondents appearing specially.

9.5 NAME AND TITLE OF PUBLIC OFFICIALS

Use the name and title of the following officials (with name of counsel but not counsel’s title within parentheses):

Attorney General, United States Attorneys, District Attorneys, County Attorneys, Corporation Counsel, Town Attorneys, Village Attorneys and Public Defenders.

The proper form is:

John Doe, County Attorney, Niagara Falls (Richard Roe of counsel), for appellant.
Bridget G. Brennan, Special Narcotics Prosecutor, New York City (Christine M. Kelly of counsel), for plaintiff.

Shane A. Zoni, Special Prosecutor, Valatie, for respondent.

9.6 ATTORNEY GENERAL APPEARING IN CASES INVOLVING CONSTITUTIONALITY OF STATUTE

Eric T. Schneiderman, Attorney General, New York City (Barbara D. Underwood of counsel), in his statutory capacity under Executive Law § 71.

9.7 OUT-OF-STATE ATTORNEY

Barbara H. Scott, of the Illinois bar, admitted pro hac vice, for appellant.

9.8 ATTORNEY APPEARING AS COURT EVALUATOR

Mental Hygiene Legal Service, Poughkeepsie (Laura Burns of counsel), Court Evaluator.

James White, New Paltz, Court Evaluator.

9.9 ATTORNEY FOR CHILD

Lawyers for Children, Inc., New York City (Brenda Soloff of counsel), Attorney for the Child.

Frederic P. Schneider, New York City, Attorney for the Children.
10.0 CAPITALIZATION, NUMERALS AND NUMBERS, DATES AND TIME, AND NAMES

Contents of Section

10.1 CAPITALIZATION
10.1 (a) Generally
10.1 (b) Government Bodies and Officials
10.1 (c) States and Political Subdivisions
10.1 (d) Branches of Government
10.1 (e) Government
10.1 (f) "Federal"
10.1 (g) "Capital" and "Capitol"
10.1 (h) Courts
10.1 (i) Judicial Officers
10.1 (j) Acts, Bills, Codes, Constitutions, etc.
10.1 (k) Crimes
10.1 (l) Parties
10.1 (m) Legal Documents
10.1 (n) Regional Names
10.1 (o) Animal Breeds
10.1 (p) Numbered Items

10.2 NUMERALS, NUMBERS AND SYMBOLS
10.2 (a) Numerals and Numbers
   (1) Spelling Out
   (2) Dollar Amounts
   (3) Fractions
   (4) Roman Numerals
   (5) Criminal Sentences
   (6) Firearms
   (7) Sex Offender Risk Levels, Prisoner Disciplinary Hearings, Attorney Disciplinary Charges and State Retirement Tiers
   (8) Ages
   (9) Numbered Lists
10.2 (b) Symbols
   (1) General Rule
   (2) Distances and Measurements
   (3) Percentage

10.3 DATES AND TIME
10.3 (a) Month, Day and Year
10.3 (b) Month and Year
10.3 (c) Day and Year
10.3 (d) Year Only
10.3 (e) Decades
10.3 (f) Centuries
10.3 (g) Abbreviation of Months
10.3 (h) Time
10.3 (i) Seasons

10.4 NAMES
10.4 (a) Names of Judges
10.4 (b) Personal Names
10.4 (c) Corporate Names

10.1 CAPITALIZATION

10.1 (a) Generally

Capitalize in accordance with standard authorities, except as noted below. In the absence of clear authority, use lowercase. Be consistent within each document.

10.1 (b) Government Bodies and Officials

(1) Specific References

Full names of specific government bodies and officials are capitalized. Short-form references also are capitalized:

the Ways and Means Committee, or

the Committee

the Social Security Administrator, or

the Administrator

the Zoning Board of Appeals of the Town of Saugerties, or

the Board

the New York City Water Board Treasurer, or

the Treasurer

the Chair of the Public Service Commission, or

the Chair
the New York County Coroner, or
the Coroner
Assistant District Attorney Smith, or
the Assistant District Attorney, or
the Assistant
District Attorney Murphy, or
the District Attorney
Attorney for the Child Felber, or
the Attorney for the Child
Mayor Lewis, or
the Mayor
James Baker, Public Defender, or
the Public Defender
the New York State Police, or
the State Police
the People of the State of New York, or
the People

(2) **General References**

General references to government bodies and officials should not be capitalized:

a district rent administrator

a zoning board of appeals

treasurers

a mayor

10.1 **(c) States and Political Subdivisions**

The full names of states and their political subdivisions should be capitalized:
State of New York

The word “state” standing alone should be capitalized only when the word it modifies is capitalized, when referring to a state as a party, or when referring to a state acting in its governmental capacity. In addition, the words “county,” “city,” “town,” “village” and the like standing alone should be capitalized only when the word they modify is capitalized, when referring to a political subdivision as a party or when referring to a political subdivision acting in its governmental capacity.

Capitalize “district” when naming a district in full, such as First Assembly District, Second Congressional District, but lowercase “district” when used as a general term, such as “one of the congressional districts.”

10.1 (d) Branches of Government

the legislature

the legislative branch, but

New York State Legislature, Monroe County Legislature

the executive

the executive branch

the judiciary

the judicial branch

10.1 (e) Government

The following terms are always lowercased:

federal government

state government

10.1 (f) “Federal”

Federal is capitalized only when modifying a capitalized word:

the Federal District Court

the Federal Constitution, but

federal budget

10.1 (g) “Capital” and “Capitol”

Lowercase “capital”; capitalize “Capitol.”
10.1 (h) Courts

The full names of courts (“City Court of Albany”) or their parts (“Housing Part”) should be capitalized.

Capitalize the word “court” when standing alone only when referring to the Supreme Court of the United States, the New York Court of Appeals or the Appellate Division of the Supreme Court.

General references to courts or their parts are not capitalized. For example:

- a county court
- a justice court
- appellate courts
- a supreme court
- a family court
- a surrogate’s court

Do not capitalize:

- court below
- hearing court
- IAS court
- lower court
- motion court
- suppression court
- sentencing court
- trial court

10.1 (i) Judicial Officers

(1) Judge or Justice

Capitalize “Judge” or “Justice” when part of a personal name (Judge White).

Short-form references to a specific judge or justice are also capitalized. For example:
The decision was written by Justice Jones of the New York Supreme Court. The Justice reasoned . . .

General references to “judge” or “justice” are not capitalized, except when referring to a judge or justice of a named court. For example:

Many judges have written . . .

but

Many Judges of the New York Court of Appeals have written . . .

(2) Other Judicial Officers

Capitalize the following when part of a personal name or when used as a short-form reference to a specific individual:

Administrative Law Judge
Referee
Special Referee
Surrogate
Workers’ Compensation Law Judge
Judicial Hearing Officer
Hearing Examiner
Magistrate
Support Magistrate

General references to these judicial officers are not capitalized:

an administrative law judge
the referees
the judicial hearing officers

Do not capitalize:

trial judge
trial justice
10.1 (j) Acts, Bills, Codes, Constitutions, etc.

(1) Titles of Acts, Ordinances, Regulations, etc.

Capitalize the titles of acts, ordinances, regulations, etc.: Urban Development Corporation Act, Emergency Tenant Protection Regulations, Zoning Ordinance of the Town of Bedford.

But lowercase the words “act,” “statute,” “ordinance,” “regulation,” “code,” etc., when standing alone.

Lowercase general references to federal, state and municipal codes, such as housing regulations, steel code, oil code and building code.

Lowercase statute of limitations, statute of frauds and rule against perpetuities.

(2) Popular Names of Acts and Constitutional Clauses

Capitalize the popular names of federal and state acts and constitutional clauses, for example: Dead Man’s Statute, No-Fault Law, Federal Clean Water Act, Due Process Clause, Equal Protection Clause, Gift or Loan Clause, Speech or Debate Clause.

When multiple constitutional clauses are discussed, the word “Clauses” is capitalized: Takings and Due Process Clauses

(3) Constitutions

Capitalize constitution when referring to the specific constitution of any nation or state, but lowercase it as a general term.

New York State Constitution

the State Constitution

a state constitution

United States Constitution or Federal Constitution

Capitalize amendments to the constitution when referred to by number, such as the Fifteenth Amendment. When referred to by name, capitalize if full title is given, such as the Child Labor Amendment; but lowercase “amendment” as a general term—“a constitutional amendment.”
10.1 (k) Crimes

Lowercase names of crimes:

class D felony

petit larceny

10.1 (l) Parties

Lowercase “plaintiff,” “defendant,” “appellant,” “respondent,” etc.

10.1 (m) Legal Documents

Lowercase complaint, answer, bill of particulars, interrogatories, separation agreement, opinion, qualified domestic relations order, temporary restraining order, will, trust and similar terms.

10.1 (n) Regional Names

Capitalize commonly used regional names:

Lower Manhattan

South Bronx

Historic District

Capital District

10.1 (o) Animal Breeds

Capitalize only proper nouns and adjectives:

English setter

King Charles spaniel

golden retriever

10.1 (p) Numbered Items

Lowercase references to numbered items, such as indictments, interrogatories, apartments, indexes, etc.:

indictment No. 3587-83

apartment 6B

license No. 137 ACH
damage parcel No. 6
exhibit B

10.2 NUMERALS, NUMBERS AND SYMBOLS

10.2 (a) Numerals and Numbers

(1) Spelling Out

In general, numbers up to and including nine should be spelled out and numbers above nine should be denoted by figures. However, the style of the larger numbers controls the style of the smaller ones, when used in the same context (e.g. “The victim was uncertain whether the gunman used an 8-, 10-, or 20-shot handgun”). Ordinarily, spell out numbers that begin a sentence (e.g. “Forty-five men were injured in the battle”).

(2) Dollar Amounts

Figures may be used for dollar amounts of any size: $1, $50, $1 million.

(3) Fractions

Fractions standing alone should be spelled out as follows:

two-thirds share

one-third-inch pipe

one half the farm

Fractions accompanied by whole numbers should appear in numerical form as follows:

3¾ shares

10½ barrels

(4) Roman Numerals

Retain roman numerals that are used in articles of federal and state constitutions and statutes, proper names, names of events and otherwise in accordance with standard authorities. Roman numerals may be used alone or with text as a heading to delineate paragraphs or sections of an opinion.
(5) Criminal Sentences

(a) Determinate Term Sentences

For determinate term sentences, apply the rule in section 10.2 (a) (1) (numbers up to and including nine should be spelled out and numbers above nine should be denoted by figures) as follows:

Defendant’s term of probation was reduced to four years.

Defendant was sentenced to a prison term of 15 years.

or

Defendant was sentenced to 15 years' imprisonment.

and, in accordance with section 10.2 (a) (3):

Defendant was sentenced to a determinate prison term of 3\(\frac{3}{4}\) years.

(b) Indeterminate Term Sentences

For indeterminate term sentences, numerical figures are used as follows:

Defendant’s sentence was reduced to a prison term of 3 to 6 years.

(6) Firearms

Reference to specific types of firearms should appear in the form that follows:

9 millimeter

.38 caliber

12 gauge

(7) Sex Offender Risk Levels, Prisoner Disciplinary Hearings, Attorney Disciplinary Charges and State Retirement Tiers

Reference to sex offender risk levels, prison disciplinary hearings, attorney disciplinary charges and state retirement tiers should appear as follows:

charge one

tier III disciplinary hearing
level three sex offender
risk factor 8
tier 3 [Note: New York State retirement level]

(8) Ages
four year old, but
four-year-old child

(9) Numbered Lists
When using numbers to identify items in a list that is interwoven in a sentence, place the numbers within parentheses. If the list is in columnar format, omit the parentheses and add a period after each number. For example:

Two principal issues were addressed: (1) whether section 6-2 was inconsistent with state law; and (2) whether parts of the subject code were inconsistent.

Three officers comprised the board:
1. president
2. vice-president
3. secretary-treasurer

10.2 (b) Symbols

(1) General Rule
Use symbols with figures (5¢, $3, 10%) and words with words (nine dollars, fifteen percent).

(2) Distances and Measurements
Distances and measurements should be treated as follows:
100 feet by 100 feet, not 100' x 100'
10 inches, not 10”
90 degrees, not 90°

(3) Percentage
.21% blood alcohol content
.21 of one percent blood alcohol content

10.3 DATES AND TIME

10.3 (a) Month, Day and Year

Place a comma after the day and after the year. Omit the comma after the year if the date is used as an adjective.

The parties were married on June 11, 1993, in Schenectady.

The March 14, 2017 blizzard caused widespread business closings.

10.3 (b) Month and Year

The transactions took place in October 1989 at the Chicago Board of Trade.

During July of 2014, the parties entered into a contract.

10.3 (c) Day and Year

The parties were married on Thanksgiving Day 1993.

10.3 (d) Year Only

The document was signed in 2000.

10.3 (e) Decades

1920s

10.3 (f) Centuries

twentieth century

twenty-first century

10.3 (g) Abbreviation of Months

All the months of the year, with the exception of May, June and July, should be abbreviated when used in parentheses or footnote citations: (Sept. 1). Months should be spelled out when part of a textual sentence in footnotes.

10.3 (h) Time

Use figures to denote time as follows:
8:00 p.m.
12:15 a.m.
From 7:00 a.m. to 9:00 a.m., or 7:00 to 9:00 a.m.
4 o’clock

10.3 (i) Seasons
spring
summer
fall
winter

10.4 NAMES

10.4 (a) Names of Judges

(1) Names in Appeal Statements
In appeal statements, use the full name of the judge.

(2) Names in Running Text
The name of the judge in running text may, but need not, include the full name:
The decision of Mr. Justice Pound (later Chief Judge of the Court of Appeals) at Special Term . . .
Chancellor Kent’s opinion pointed the way . . .
Judge Edward T. Bartlett of the Court of Appeals said . . .

(3) Name at Opinion Opening
At the opening of each opinion the name of the judge appears as follows:
Chief Judge DiFIORE; STARK, J.
First names may be added to avoid ambiguity:
PATRICIA D. MARKS, J.

(4) Names within Parentheses
(Smith, J.) [for Judge/Justice]
(Smith, Ch. J.) [for Chief Judge]
(Smith, P.J.) [for Presiding Justice]
(Smith, J.P.) [for Justice Presiding]
(Smith, S.M.) [for Support Magistrate]
(Smith, H.E.) [for Hearing Examiner]
(Smith, Special Ref.) [for Special Referee]
(Smith, J.H.O.) [for Judicial Hearing Officer]
(Smith, S.) [for Surrogate]
(Smith, A.J.) [for Acting Judge/Justice]

10.4 (b) Personal Names
Use the style of personal names as given in the record or briefs. In the event of conflicting styles, follow the personal name style used in papers submitted by or on behalf of that individual.

10.4 (c) Corporate Names
Capitalize the word “the” if it is part of the formal name of an entity and the full formal name is written; do not capitalize “the” if a familiar or short form of the name is used.

The New York Times Company,
*but*

the New York Times

The Salvation Army USA,
*but*

the Salvation Army

The Legal Aid Society
11.0 QUOTATIONS AND QUOTATION MARKS

Contents of Section

11.1 QUOTATIONS
   11.1 (a) General Rule
   11.1 (b) Punctuation of Quotations
   11.1 (c) Ellipsis; Omitted Material
   11.1 (d) Brackets
   11.1 (e) Using “[sic]”
   11.1 (f) Material Emphasized
   11.1 (g) Statutory and Regulatory Material

11.2 QUOTATION MARKS
   11.2 (a) Single-Paragraph Quotations
   11.2 (b) Multiple-Paragraph Quotations
   11.2 (c) Multiple Quotation Marks
   11.2 (d) Using Quotation Marks for Short-Form References

11.1 QUOTATIONS

11.1 (a) General Rule

Quotations should be verbatim as to word style, citation style and punctuation. All quotations, including blocked quotations, must be enclosed within quotation marks.

Block quotations of 50 words or more in opinions. For counting purposes, words include articles, symbols and numbers. Quotations in Appellate Division memorandum decisions are not blocked. Multiple-paragraph quotations in Appellate Division memorandum decisions should be set out as tabbed paragraphs.

11.1 (b) Punctuation of Quotations

Commas and periods are placed within the ending quotation mark; colons and semicolons are placed outside. Other punctuation, such as question marks and exclamation marks, is placed within the ending quotation mark only if part of the quoted material.

11.1 (c) Ellipsis; Omitted Material

(1) Generally

An ellipsis is three points, with spaces before each and after the third ( . . . ).
Do not use an ellipsis at the beginning of a quotation.

The omission of punctuation or one or more words from the middle of a quotation is indicated by an ellipsis.

The omission of a paragraph(s) from a multiple-paragraph quotation is indicated by an ellipsis placed at the end of the line of text preceding the omission.

The omission of internal quotation marks or case citations from a quotation is indicated by a parenthetical, such as (internal quotation marks and citation omitted), in which case ellipses are not necessary.

The omission of brackets or ellipses from a quotation is indicated by a parenthetical such as (alterations omitted).

(2) Using Ellipsis with a Period

Use an ellipsis followed by a period to indicate one or more words omitted at the end of a quoted sentence if the quoted portion that remains is an independent clause (last quoted word . . . ). Otherwise, use only a period.

Indicate an omission between quoted sentences as follows if retention of the period is desired:

If the end of the preceding sentence is omitted, insert an ellipsis followed by a period (last quoted word of preceding sentence . . . First word of next sentence).

If the beginning of the succeeding sentence is omitted, insert an ellipsis after the period that concludes the preceding sentence (last word of preceding sentence . . . [F]irst quoted word of next sentence).

Indicate omission of a full sentence(s) between quoted sentences by retaining the period at the end of the last word of the sentence preceding the omission and inserting an ellipsis between the period and the first word of the next quoted sentence (last word of preceding sentence . . . First word of next sentence).

(3) Using Ellipsis with Other Punctuation

Include other punctuation (comma, semicolon, etc.) from the source if required for the sense or grammar of the quoted sentence. Place the ellipsis before the punctuation if the omitted material precedes the punctuation (word . . . , next word). Place the ellipsis after the punctuation if the omitted material follows the punctuation (word, . . . next word).
11.1 (d) Brackets

Use brackets to indicate that language has been added or modified, including the omission or alteration of one or more characters of a word. Alterations in the source of a quotation do not require an explanatory parenthetical, such as (brackets in original). If bracketed language replaces language omitted, do not indicate the omission with an ellipsis. If the end of a word is omitted or altered and the immediately succeeding language is omitted, use brackets and an ellipsis to indicate those changes.

11.1 (e) Using “[sic]”

When the quoted material contains mistakes that the author does not wish to correct by substituting bracketed language, the author may indicate that the mistake appeared in the original by inserting “[sic]” after the mistaken language.

11.1 (f) Material Emphasized

Do not use: (emphasis in original).

To add emphasis to a quotation, use italics and add a parenthetical: (emphasis added). However, when the source document in which a quotation is found uses a different style of emphasis (e.g. underscoring, boldface), retain that style. When emphasis in the source document is retained in a quotation and the author wishes to add further emphasis, use italics and add a parenthetical, such as (additional emphasis added). When emphasis in a source document is omitted from a quotation, add a parenthetical: (emphasis omitted).

11.1 (g) Statutory and Regulatory Material

Although some material in statutes and regulations is bold-faced, quotations of that material should be in normal type.

11.2 QUOTATION MARKS

11.2 (a) Single-Paragraph Quotations

Single-paragraph quotations have quotation marks at the beginning and the end of the quoted language.

11.2 (b) Multiple-Paragraph Quotations

Multiple-paragraph quotations have quotation marks only at the beginning of each paragraph and at the end of the last paragraph.
11.2 (c) Multiple Quotation Marks

If the quotation contains language that is already quoted (a quotation within a quotation), the previously quoted language may be enclosed within single quotation marks (‘). Likewise, a quotation within a quotation within a quotation may be enclosed within double quotation marks (“). For example: The court reviews “whether counsel's performance 'viewed in totality' amounts to 'meaningful representation'” (People v Grey, 34 AD3d 832, 833 [2d Dept 2006]).

Alternatively, a quotation of language already containing quotations may omit internal quotation marks in the following manner: The court reviews “whether counsel’s performance viewed in totality amounts to meaningful representation” (People v Grey, 34 AD3d 832, 833 [2d Dept 2006] [internal quotation marks omitted]).

11.2 (d) Using Quotation Marks for Short-Form References

To shorten a name, do not use quotation marks within parentheses, e.g. American Red Cross of Greater New York (Red Cross), not American Red Cross of Greater New York (“Red Cross”).
12.0 WORD STYLE IN GENERAL

Contents of Section

12.1 GENDER NEUTRAL WRITING
  12.1 (a) Using Inclusive Terms
  12.1 (b) Using “He” or “She” as Generic Pronoun
  12.1 (c) Additional Background

12.2 HYPHENATED WORDS AND PHRASES
  12.2 (a) Compound Words
  12.2 (b) Hyphenated Adjectival Phrase
  12.2 (c) Hyphenated Prefix

12.3 AVOIDANCE OF LATINISMS AND LEGALISMS
  12.3 (a) Using English Language Words and Phrases
  12.3 (b) Exceptions to General Rule
  12.3 (c) Typography

12.4 PERSONAL IDENTIFYING INFORMATION
  12.4 (a) Personal Names
  12.4 (b) Numerical Identifiers
  12.4 (c) Other Identifying Information
  12.4 (d) Consistent Application of Omissions and Redactions

12.5 DESCRIBING PERSONS WITH DISABILITIES

12.6 USING SUPRA AND INFRA

12.1 GENDER NEUTRAL WRITING

  12.1 (a) Using Inclusive Terms

  Use inclusive terms, rather than masculine or feminine forms.

<table>
<thead>
<tr>
<th>Use</th>
<th>Avoid</th>
</tr>
</thead>
<tbody>
<tr>
<td>administrator</td>
<td>administratrix</td>
</tr>
<tr>
<td>a one-person operation</td>
<td>a one-man operation</td>
</tr>
<tr>
<td>artificial</td>
<td>man-made</td>
</tr>
<tr>
<td>Assembly Member;</td>
<td>Assemblyman</td>
</tr>
<tr>
<td>Member of the Assembly</td>
<td></td>
</tr>
<tr>
<td>battered syndrome or</td>
<td>battered woman syndrome</td>
</tr>
<tr>
<td>battered person syndrome</td>
<td></td>
</tr>
</tbody>
</table>
12.1 (b) Using “He” or “She” as Generic Pronoun

Avoid using “he” or “she” as a generic pronoun. “He” or “she” should not be used to refer to a group of people that may include men and women or an individual whose gender is not known. Instead you might:

1. Eliminate the pronoun altogether. For example, “A court clerk can give you her advice on that form,” can be changed to “A court clerk can give you advice on that form.”

2. Find a neutral article or pronoun, such as “a,” “the,” or “this.” “A judge can always make his ruling orally,” might be replaced by “A judge can always make the ruling orally.”

3. Rearrange the sentence to use “who” as the pronoun. “If someone wants an adjournment, he should ask for it during
the calendar call,” can be altered to “A person who wants an adjournment should ask for it during the calendar call.”

(4) Replace the pronoun with a synonym. “You should find a court officer, He is the one who can help you,” can be changed to “You should find a court officer. That is the officer who can help you.”

(5) Use a plural pronoun. Instead of writing, “A juror must make his own assessment of the credibility of each witness,” you can write, “Jurors must make their own assessments of the credibility of each witness.”

12.1 (c) Additional Background

This section is based upon New York State Judicial Committee on Women in the Courts, Fair Speech: Gender-Neutral Language in the Courts (NY St Unified Ct Sys [2017]), https://www.nycourts.gov/ noop/womeninthecourts/pdfs/fair-broch2.pdf), which may be consulted for additional background.

12.2 HYPHENATED WORDS AND PHRASES

12.2 (a) Compound Words

Compound words may be open (separate words, no hyphen), closed (spelled as one word) or hyphenated.

See the word list at Appendix 5. For words not on the list, consult Webster’s Third New International Dictionary (2002).

12.2 (b) Hyphenated Adjectival Phrase

Hyphenate an adjectival phrase formed of two or more words preceding the noun modified only where ambiguity might otherwise result.

12.2 (c) Hyphenated Prefix

Follow the word style in Webster’s Third New International Dictionary (2002), except as modified by Appendix 5. Otherwise, hyphenate a prefix to a root word only where ambiguity might otherwise result (e.g. re-present, not represent; re-serve, not reserve).

12.3 AVOIDANCE OF LATINISMS AND LEGALISMS

12.3 (a) Using English Language Words and Phrases

The use of Latin and other foreign language words and phrases generally is discouraged where an English language equivalent is available. Legalisms are also discouraged. For example, consider these substitutes:
<table>
<thead>
<tr>
<th>Instead of</th>
<th>Consider Using</th>
</tr>
</thead>
<tbody>
<tr>
<td>ab initio</td>
<td>from the beginning; from the inception</td>
</tr>
<tr>
<td>ad infinitum</td>
<td>forever; without end</td>
</tr>
<tr>
<td>a fortiori</td>
<td>for an even stronger reason</td>
</tr>
<tr>
<td>arguendo</td>
<td>for the sake of argument; hypothetically; assuming</td>
</tr>
<tr>
<td>cestui que trust</td>
<td>beneficiary</td>
</tr>
<tr>
<td>circa</td>
<td>about</td>
</tr>
<tr>
<td>dehors</td>
<td>out of; beyond; outside</td>
</tr>
<tr>
<td>ex contractu</td>
<td>from a contract; contractual; in contract</td>
</tr>
<tr>
<td>indices</td>
<td>indexes</td>
</tr>
<tr>
<td>in loco delicti</td>
<td>in the place of the offense</td>
</tr>
<tr>
<td>in praesenti</td>
<td>in the present; at the present time</td>
</tr>
<tr>
<td>in statu quo</td>
<td>in the present condition</td>
</tr>
<tr>
<td>inter alia</td>
<td>among others; among other things</td>
</tr>
<tr>
<td>inter se</td>
<td>among themselves; between themselves</td>
</tr>
<tr>
<td>in toto</td>
<td>completely; in all; totally; on the whole</td>
</tr>
<tr>
<td>make a motion</td>
<td>move</td>
</tr>
<tr>
<td>nisi prius</td>
<td>trial court</td>
</tr>
<tr>
<td>opinion per</td>
<td>opinion by</td>
</tr>
<tr>
<td>or, in the alternative</td>
<td>or</td>
</tr>
<tr>
<td>pro rata</td>
<td>proportional; proportionate</td>
</tr>
<tr>
<td>pro tanto</td>
<td>partial; as far as it goes</td>
</tr>
<tr>
<td>qua</td>
<td>in the capacity of; as</td>
</tr>
<tr>
<td>quantum</td>
<td>amount</td>
</tr>
<tr>
<td>quondam</td>
<td>former</td>
</tr>
<tr>
<td>said</td>
<td>the</td>
</tr>
<tr>
<td>same</td>
<td>it; them</td>
</tr>
<tr>
<td>sans</td>
<td>without</td>
</tr>
<tr>
<td>sub silentio</td>
<td>silently; under silence</td>
</tr>
<tr>
<td>such</td>
<td>the; this or that</td>
</tr>
<tr>
<td>to wit</td>
<td>namely</td>
</tr>
<tr>
<td>viz.</td>
<td>namely</td>
</tr>
</tbody>
</table>
12.3 (b) Exceptions to General Rule

The use of Latin and other foreign words and phrases is appropriate where the word or phrase has become part of standard English or is a legal term of art.

12.3 (c) Typography

Commonly used foreign words and phrases are not italicized. See section 13.7.

12.4 PERSONAL IDENTIFYING INFORMATION

Privacy interests of individuals should be protected by omitting irrelevant references to personal identifying information and redacting necessary references.

12.4 (a) Personal Names

(1) Children. The name of any person younger than 18 years old should not appear in any published opinion. This includes the surname of an adoptive child (Domestic Relations Law § 112 [4]) and the name of a subject of a youthful offender proceeding (see CPL 720.35 [2]). Nor should any opinion contain the surname of any person, such as a parent, who shares a surname with the child.

(2) Other Persons. The names of affected persons should not appear in any published opinion where court records are made confidential by law or where the sensitivity or circumstances of the case raise privacy concerns. For example:

(a) The name of any victim of a sex offense or of an offense involving the alleged transmission of HIV should not be published (Civil Rights Law § 50-b).

(b) In Family Court proceedings, the names of the individual parties should not be published. This includes juvenile delinquency and PINS proceedings, foster care proceedings, child abuse and neglect proceedings and support proceedings. (See Family Ct Act § 166.)

(c) In proceedings under Mental Hygiene Law article 9 (hospitalization of individuals with mental illness), the name of the subject individual should not be published (see Mental Hygiene Law §§ 9.11, 33.13).
(d) In matrimonial actions, the parties’ names should not be published where access to the matrimonial files has been limited pursuant to Domestic Relations Law § 235.

Special consideration should be given to the possibility that, under the circumstances of a case, the identification of a person in a published decision may raise concerns for that person’s privacy or safety, even if that person’s role in the case is already a matter of public record. This rule may require redaction of the names of witnesses or other nonparties who are referenced in text.

(3) **How to Redact.** If reference to protected personal names is necessary, use real or fictitious initials or other formats that shield the person from identification. For example, George Jones may be replaced by George J., or G.J. or George RR, or Anonymous.

### 12.4 (b) Numerical Identifiers

(1) **Account Numbers.** Numerical identifiers such as Social Security numbers; bank, credit and debit card numbers, insurance policy numbers and other financial account numbers; and driver’s license numbers should not appear in any published opinion.

(2) **Birth Dates.** The exact date of birth of any individual should not appear in any published opinion.

(3) **How to Redact.** If reference to numerical identifiers is necessary, only the last three or four digits should be used (e.g. xxx/xx/1234). If reference to date of birth is necessary, use only the year (e.g. xx/xx/1975).

### 12.4 (c) Other Identifying Information

Other identifying detail, such as an exact street address, email address, home or work telephone number, name of a child’s school or name of a person’s employer, should be redacted in whole or in part where publication of that information would tend to identify a person whose identity requires protection under section 12.4 (a) or is not essential to the opinion.

### 12.4 (d) Consistent Application of Omissions and Redactions

Omissions and redactions should be applied consistently within a decision and to all subsequent decisions in the same action or proceeding, whether they issue out of the trial court or appellate courts. When the name of a party is redacted in
the title of a decision, the names of family members sharing the party’s surname should also be redacted.

12.5 DESCRIBING PERSONS WITH DISABILITIES
Avoid language that implies that a person as a whole is disabled (e.g. the mentally ill or the learning disabled); equates persons with their condition (e.g. epileptics, autistics or quadriplegics); has negative overtones (e.g. afflicted with cerebral palsy, suffering from multiple sclerosis, confined to a wheelchair or wheelchair bound); or is regarded as derogatory or demeaning (e.g. handicapped or mentally deficient).

Use terminology that places the person before the disability (e.g. individuals with disabilities, individuals with developmental disabilities, individuals with mental illness, individuals with autism or individuals with mental retardation).

12.6 USING SUPRA AND INFRA
If desired, supra and infra may be used to cross-reference text or a footnote in an earlier or later portion of an opinion. Include the specific page or footnote number that is being cross-referenced.

For example:

(See n 12, infra)

(Discussion of burden of proof, supra at 3-4)

(See Summary Judgment Standard, supra at 5)
PART III: TYPOGRAPHY AND SPACING

13.0 TYPOGRAPHY

<table>
<thead>
<tr>
<th>Contents of Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>13.1 TITLES OF DECISIONS</td>
</tr>
<tr>
<td>13.2 PARAGRAPH AND SECTION HEADINGS</td>
</tr>
<tr>
<td>13.3 TABLES</td>
</tr>
<tr>
<td>13.4 JUDGE NAME IN OPINION OPENING AND VOTE LINE</td>
</tr>
<tr>
<td>13.5 SMALL CAPITALS</td>
</tr>
<tr>
<td>13.6 ADDED EMPHASIS</td>
</tr>
<tr>
<td>13.7 FOREIGN WORDS AND PHRASES</td>
</tr>
<tr>
<td>13.8 NAMES OF NEWSPAPERS, MAGAZINES, BOOKS, ETC.</td>
</tr>
</tbody>
</table>

13.1 TITLES OF DECISIONS

Name portions of a title are set in large and small capitals:

JOHN J. MURPHY et al., as Administrators C.T.A. of the Estate of MARTIN T. MURPHY, Deceased, Plaintiffs, v GEORGE SMITH, as Administrator D.B.N. of the Estate of THOMAS SMITH, Deceased, Defendant.

13.2 PARAGRAPH AND SECTION HEADINGS

Main headings and their component subheadings may comprise phrases or sentences. Capitalize words appearing in phrases with preference to an uppercase style; lowercase articles, short conjunctions and prepositions. Underscore the heading; do not use small capitals. Capitalize words appearing in sentences in accordance with section 10.1 (a). For example:

Background Information and Procedural History

Plaintiff’s Motion for Summary Judgment

109
The Parties' Contentions and the Law

Defendant's motion to suppress his statements to the police is granted.

The heading may be centered or flush left depending on the author's preference, but placement within an opinion should be consistent. Both flush left and centered headings may be used in a single decision to clarify the hierarchy of main headings and subheadings.

13.3 TABLES

Create a data table in an opinion by using a word processor's table formatting features rather than manually inserting spaces or tabs. In WordPerfect, select “Table” from the menu at the top of the page; in Word, select “Insert” from the menu at the top of the page. Assistance is available in WordPerfect by selecting “Help” from the menu at the top of the page and in Word by pressing the F1 key on the keyboard.

13.4 JUDGE NAME IN OPINION OPENING AND VOTE LINE

The names of the judges at the opening of the opinion in the majority, dissent, etc., and in the vote line at the end of the opinion are set in large and small capitals, e.g. Chief Judge DiFlore.

13.5 SMALL CAPITALS

Do not use small capitals in the body of an opinion or in footnotes.

13.6 ADDED EMPHASIS

To add emphasis to a word or phrase, italicize it.

13.7 FOREIGN WORDS AND PHRASES

See the word list at Appendix 5. If not on the list, italicize foreign words and phrases only if they are italicized in Black's Law Dictionary (10th ed 2014).
13.8 NAMES OF NEWSPAPERS, MAGAZINES, BOOKS, ETC.

Names of newspapers, magazines, books, etc., appearing in text should not be italicized.

New York Times
Saturday Review of Literature
New York Law Journal
Black’s Law Dictionary
Newsweek
To Kill a Mockingbird
14.0 SPACING

Contents of Section

14.1 ABBREVIATION SPACING

14.2 STATUTORY SPACING

14.1 ABBREVIATION SPACING

There is no space between adjacent single-letter abbreviations used in either case names or titles of actions and proceedings. For example:

*Erie R.R. v St. Mark’s R.C. Church*

THOMAS MOORE, M.D., P.C., Respondent, v EVANS & LEE, LLP, Appellant.


14.2 STATUTORY SPACING

Spaces are inserted between the section number and each subsequent subdivision cited as follows:

(Town Law § 199,[1],[2],[3],[4])

(Domestic Relations Law § 236,[B],[6],[a])
PART IV: APPENDIXES

Contents of Section

APPENDIX 1 — COMMON CASE NAME ABBREVIATIONS
APPENDIX 2 — ABBREVIATION OF CASE LAW REPORTS
APPENDIX 3 — APPELLATE HISTORY AND OTHER ABBREVIATIONS USED IN CITATIONS
APPENDIX 4 — STYLE AND ABBREVIATION OF PARTICULAR STATUTES
APPENDIX 5 — STYLE OF PARTICULAR WORDS
APPENDIX 6 — TITLES IN VARIOUS ACTIONS AND PROCEEDINGS, WITH CASE NAMES
APPENDIX 7 — CITATIONAL FOOTNOTE STYLE (MODEL OPINION)
APPENDIX 8 — FORMULATION OF SUMMARIES (APPEAL STATEMENTS)

APPENDIX 1

COMMON CASE NAME ABBREVIATIONS

(Add “s” inside the period for plural use, unless otherwise indicated. Do not abbreviate terms used as a possessive [Employers’ not Empls.].)

Accident                     Acc.
Adjustment                   Adj.
Administrat[ion, ive]        Admin.
Administrat[or, rix]         Adm’[r, x]
Advertise, Advertising       Adv.
Agricult[ural, ure]          Agric.
Air Conditioning             A.C.
Aktiengesellschaft           AG.

115
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### COMMON CASE NAME ABBREVIATIONS

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117
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Invest[or, ment, ing] Inv.
Island[s] Is.
Judicial Jud.
Junction Junc.
Junior Jr.
Kommanditgesellschaft auf Aktien KGaA
Laboratory Lab.
Liability Liab.
Library Lib.
Lighting Light.
Limited Ltd.
Limited Liability Company L.L.C. or LLC [as used by party]
Limited Liability Partnership L.L.P. or LLP [as used by party]
Limited Partnership L.P. or LP [as used by party]
Liquor Liq.
Litigation Litig.
Lumber Lbr.
Magazine Mag.
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</table>
APPENDIX 2

ABBREVIATION OF CASE LAW REPORTS

Contents

A. New York
B. Federal
C. Other Reports
D. Public Domain Citation

A. NEW YORK

A

Abbott’s New Cases                     Abb NC
Abbott’s Court of Appeals Decisions    Abb Ct App
Abbott’s Practice Reports             Abb Prac
Abbott’s Practice Reports, New Series Abb Prac [NS]
Anthon’s Nisi Prius Cases             Anth NP,
                                        Anth NP2d
Appellate Division Reports 1st Series App Div
Appellate Division Reports 2d Series  AD2d
Appellate Division Reports 3d Series  AD3d

B

Barbour’s Supreme Court Reports       Barb
Barbour’s Chancery Reports            Barb Ch
Bosworth’s Superior Court Reports     Bosw
Bradbury’s Pleading and Practice Reports Bradb

127
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134
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**US Supreme Court Reporter**

- S Ct

**US Supreme Court Reports, Lawyers’ Edition**

- L Ed, L Ed 2d

**US Tax Court**

- TC

### C. OTHER REPORTS

#### A and B

- Alabama Appellate Court Reports [1910-1976]
- Ala App
- Alabama Reports [1840-1976]
- Ala
- Alaska Reports [1884-1959]
- Alaska
- American Maritime Cases
- AMC
- American Reports
- Am Rep
- American State Reports
- Am St Rep
- Arizona Reports [1866-date]
- Ariz
- Arizona Court of Appeals Reports [1965-1976]
- Ariz App
- Arkansas Appellate Reports [1981-2009]
- Ark App
- Arkansas Reports [1837-2009]
- Ark
- Atlantic Reporter
- A, A2d, A3d
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136
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New Jersey Reports [1948-date]  NJ
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New Jersey Tax Court Reports [1979-date]  NJ Tax
New Mexico Reports [1852-2012]  NM
North Carolina Reports [1868-date]  NC
North Carolina Court of Appeals Reports [1968-date]  NC App
North Dakota Reports [1890-1953]  ND
Northeastern Reporter  NE, NE2d
Northwestern Reporter  NW, NW2d
Ohio Appellate Reports [1913-date]  Ohio App, Ohio App 2d, Ohio App 3d
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140
Southeastern Reporter  SE, SE2d
Southern Reporter  So, So 2d, So 3d
Southwestern Reporter  SW, SW2d, SW3d

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Tennessee Court of Appeals Reports  Tenn App [1925-1971]
Tennessee Reports [1870-1971]  Tenn
Texas Criminal Reports [1876-1963]  Tex Crim
Texas Reports [1846-1962]  Tex

U
Utah Reports [1851-1974]  Utah, Utah 2d

V
Vermont Reports [1826-date]  Vt
Virginia Reports [1881-date]  Va
Virginia Court of Appeals Reports [1985-date]  Va App

W, X, Y and Z
Washington Court of Appeals Reports [1969-date]  Wash App
Washington Reports [1889-date]  Wash, Wash 2d

141
Several jurisdictions have implemented public domain citation for all or some of their courts. A public domain citation is assigned by the court or the Reporter of Decisions and is not associated with a particular vendor or a particular medium of publication. The citation formats adopted by these jurisdictions are listed below and the style rule governing these citations is found in section 2.3 (c) (4).

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<td>Oklahoma [1890-date]</td>
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APPENDIX 3

APPELLATE HISTORY AND OTHER ABBREVIATIONS USED IN CITATIONS

Use the table below to abbreviate words in all citations within parentheses. Italicize in accordance with the Style Manual rule for specific citation types, including cases (ch 2.0), statutes and legislative materials (ch 3.0), rules and regulations (ch 4.0), treatises (§ 7.3) and legal documents (§ 7.7). Capitalize as illustrated in the table, or in accordance with the Style Manual rule for specific citation types, or if the word or abbreviation begins a separate citational sentence. Examples:

To signal that certiorari has been denied, the table instructs that the word “certiorari” is abbreviated “cert” and the word “denied” is not abbreviated. The term “cert denied” is then italicized as illustrated in section 2.2 (a) (5) of the Style Manual.

The table instructs that “op” is the abbreviation for the word “opinion.” However, “op” is italicized and lowercased in “affid on concurring op of Suozzi, J.” under section 2.2 (a) (5); “op” is unitalicized and capitalized in “NY City Campaign Fin Bd Advisory Op No. 2007-2” under section 2.4 (b) (1).

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APPENDIX 4

STYLE AND ABBREVIATION
OF PARTICULAR STATUTES

Contents

A. Current New York Statutes
B. Repealed or Superseded New York Statutes
C. Federal Statutes

Use abbreviated form within parentheses. Either full or abbreviated form may be used in running text.

A. CURRENT NEW YORK STATUTES

A

Abandoned Property Law § __

Administrative Code of the City of New York § __, or Administrative Code of City of NY § __ (when repeated may be shortened to Administrative Code § __)

Agriculture and Markets Law § __

Alcoholic Beverage Control Law § __

Alternative County Government Law § __

Arts and Cultural Affairs Law § __

B

Banking Law § __

Benevolent Orders Law § __

Business Corporation Law § __

C

Canal Law § __

Civil Practice Law and Rules § 3211 (a), or CPLR 3211 (a)
Civil Rights Law § __

Civil Service Law § __

CLS Unconsolidated Laws of NY § __, or CLS Uncons Laws of NY § __

Cooperative Corporations Law § __

Correction Law § __

County Law § __

Court of Claims Act § __

Criminal Procedure Law § 540.10, or CPL 540.10

Debtor and Creditor Law § __

Domestic Relations Law § __

Economic Development Law § __

Education Law § __

Elder Law § __

Election Law § __

Eminent Domain Procedure Law § 512, or EDPL 512

Employers’ Liability Law § __

Energy Law § __

Environmental Conservation Law § 11-0529, or ECL 11-0529

Estate, Powers and Trusts Law § 2-1.9, or EPTL 2-1.9

Executive Law § __
Family Court Act § __, or Family Ct Act § __
Financial Services Law § ___

General Associations Law § __
General Business Law § __
General City Law § __
General Construction Law § __
General Municipal Law § __
General Obligations Law § __

Highway Law § __

Indian Law § __
Insurance Law § ___

Judiciary Law § __

Labor Law § __
Legislative Law § __
Lien Law § __
Limited Liability Company Law § __
Local Finance Law § __
Local Law No. 5 (1940) of City of New York § __, or Local Law No. 5 (1940) of City of NY § __ (when repeated may be shortened to Local Law No. 5 or Local Law 5)

Local Law No. 3-2011 of the County of Nassau
[Note: use the numbering format used by the municipality. When the date is clearly included in the local law number, do not repeat the date in parentheses]

M

McKinney’s Unconsolidated Laws of NY § __, or McKinney’s Uncons Laws of NY § __ (when repeated may be shortened to Uncons Laws § __)

Mental Hygiene Law § __

Military Law § __

Multiple Dwelling Law § __

Multiple Residence Law § __

Municipal Home Rule Law § __

N and O

Navigation Law § __

New York City Charter § __, or NY City Charter § __

New York City Civil Court Act § 1609, or NY City Civ Ct Act § 1609, or CCA 1609
[In parentheses: CCA 1609, or NY City Civ Ct Act § 1609]

New York City Criminal Court Act § __, or NY City Crim Ct Act § __

New York City Health Code (24 RCNY) § __, or NY City Health Code (24 RCNY) § __

New York City Zoning Resolution § __, or NY City Zoning Resolution § __ (when repeated may be shortened to Zoning Resolution § __ or ZR § __)

Not-For-Profit Corporation Law § 201, or N-PCL 201
P and Q

Parks, Recreation and Historic Preservation Law § 14.01, or PRHPL 14.01

Partnership Law § __

Penal Law § __

Personal Property Law § __

Private Housing Finance Law § __

Public Authorities Law § __

Public Buildings Law § __

Public Health Law § __

Public Housing Law § __

Public Lands Law § __

Public Officers Law § __

Public Service Law § __

R

Racing, Pari-Mutuel Wagering and Breeding Law § __

Railroad Law § __

Rapid Transit Law § __

Real Property Actions and Proceedings Law § 1361, or RPAPL 1361

Real Property Law § __

Real Property Tax Law § 402, or RPTL 402

Religious Corporations Law § __

Retirement and Social Security Law § __

Rural Electric Cooperative Law § __
S
Second Class Cities Law § __
Social Services Law § __
Soil and Water Conservation Districts Law § __
State Administrative Procedure Act § __
State Finance Law § __
State Law § __
State Printing and Public Documents Law § __
State Technology Law § __
Statute of Local Governments § __
Surrogate’s Court Procedure Act § 201, or SCPA 201

T
Tax Law § __
Town Law § __
Transportation Corporations Law § __
Transportation Law § __

U
Uniform City Court Act § 1403, or Uniform City Ct Act § 1403, or UCCA 1403 [in parentheses: UCCA 1403, or Uniform City Ct Act § 1403]
Uniform Commercial Code § 3-305, or UCC 3-305
Uniform Commercial Code § 3-305, Comment 6, or UCC 3-305, Comment 6
Uniform District Court Act § 1508, or Uniform Dist Ct Act § 1508, or UDCA 1508 [in parentheses: UDCA 1508, or Uniform Dist Ct Act § 1508]
Uniform Justice Court Act § 1904, or Uniform Justice Ct Act § 1904, or UJCA 1904 [in parentheses: UJCA 1904, or Uniform Justice Ct Act § 1904]
Vehicular and Traffic Law § __
Village Law § __
Volunteer Ambulance Workers’ Benefit Law § __
Volunteer Firefighters’ Benefit Law § __

W, X, Y and Z
Workers’ Compensation Law § __

B. REPEALED OR SUPERSEDED
NEW YORK STATUTES

Civil Practice Act § __, or Civ Prac Act § __
Code of Civil Procedure § __, or Code Civ Pro § __
Code of Criminal Procedure § __, or Code Crim Pro § __
Decedent Estate Law § __
Penal Code of 1881 § __
Penal Law of 1909 § __

2 Revised Statutes of New York, part IV, ch I, tit I, § 5 (3) at 657 (1st ed 1829), or 2 Rev Stat of NY, part IV, ch I, tit I, § 5 (3) at 657 (1st ed 1829)

C. FEDERAL STATUTES

Bankruptcy Act of 1898 (11 USC) § __
1978 Bankruptcy Code (11 USC) § __
Internal Revenue Code (26 USC) § __
Kidnapping Act (18 USC) § __
28 USC § __
US Revised Statutes § __, or US Rev Stat § __
APPENDIX 5

STYLE OF PARTICULAR WORDS

A

ab initio  
above-cited  
account receivable (s.)  
accounts receivable (pl.)  
ad damnum  
ad hoc  
ad infinitum  
ad valorem  
a fortiori  
AIDS  
Alco-Sensor  
also known as  
(do not abbreviate)  
amici curiae  
amicus curiae  
a posteriori  
a priori  
arguing  
arm’s length  
Associate’s degree  
attorney-at-law  
Attorney General  
attorney-in-fact  
at-will employee  
audiotape  
autopen  

B

baby-sit(ter, ting)  
backlog  
backup (adj.)  
backseat  
bar  
bench  
biannual (twice a year)  
biennial (every two years)  
biweekly  
blue sky law  
bona fide  
bona fides  
breathalyzer  
bright-line (adj.)  
buyout  
bylaw  
by-product
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<td>Cestui Que Trust</td>
<td>Counterclaim</td>
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<td>Cross-Appeal (V.)</td>
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D

damage (n.) (referring to injury to person or property)
damages (n.) (referring to compensation for injury)
database

decedent (lowercase)
de facto
defendant-appellant
defendant Smith
defendant trustee
dehors
de jure

de minimis
de novo
dicta
dictum

dicta
dictum

doing business as
(do not abbreviate)

E

ejusdem generis

election day
@email

en banc
evidence-in-chief
ex contractu
ex-husband

ex officio
ex parte
ex post facto
ex-wife
executor de son tort
eyewitness

F

factfinder

farfetched
far-reaching

father-in-law
fellow-servant rule
firefighter
fire marshal
firsthand (adj.)
forego (to go before)
forgo (to waive)
Form U4

four year old

G

Golub notice

grand jury
good faith (adj. and n.)
guardian ad litem
goodwill

H

habeas corpus
half brother
Hawaii; Hawai’i
health care

HIV-positive (adj.)

I

impleaded
defendant-respondent
in absentia
inasmuch
in camera
indicia
in forma pauperis
in futuro
injury-in-fact
in limine
in loco delicti

in loco parentis
in pais
in pari delicto
in pari materia
inpatient
in personam
in praesenti
in rem
insofar
in statu quo
inter alia
Internet
in terrorem
inter se
interstate
intervenor-defendant-respondent

J
job site
judgment

K
kidnap
kidnapping

L
lawsuit
lineup
layperson
lis pendens
lesser included offense
long-arm (adj.)
Lexis (but LEXIS in citations)
long-standing (adj.)
lienholder
lump-sum settlement
limited liability company (do not hyphenate)

M
mandamus
memoranda or
memorandums (pl.)
marihuana; marijuana
mens rea
Master’s degree
MetroCard
mechanic’s lien
Mirandized (not ital.)
Medicaid
mother-in-law
Medicare
multifamily
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plead (present tense)  preplea
pleaded (past tense)  presentence report
plexiglas  pretrial
policyholder  prima facie
policy-making (adj.)  primary day
policymaking (n.)  pro bono
postjudgment  pro forma
post-note of issue  pro hac vice
postplea  pro rata
postrelease supervision  pro se
posttermination  pro tanto
posttrial  pro tem
pre-answer  pro tempore
prearraignment delay  proved
preexisting  proven (adj.)
prejudgment  purchase-money mortgage
premises (always takes plural verb)

Q
qua  quasi-judicial
quantum  quitclaim
quantum meruit  quondam
quasi  quo warranto
quasi contract
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<td>rent-controlled (adj.)</td>
<td>secondhand (adj.)</td>
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<td>rent-stabilized (adj.)</td>
<td>self-defense</td>
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<td>re-present (present again)</td>
<td>self-evident</td>
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<td>res</td>
<td>self-incrimination</td>
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<td>re-serve (serve again)</td>
<td>setoff (n.)</td>
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<td>res gestae</td>
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<td>res ipsa loquitur</td>
<td>set-off (adj.)</td>
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<td>(not res adjudicata)</td>
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<td>shutoff (v. and adj.)</td>
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<td>work force</td>
<td>World Wide Web</td>
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162
X, Y and Z

X-ray (n.)  X-ray (v.)
APPENDIX 6

TITLES IN VARIOUS ACTIONS
AND PROCEEDINGS, WITH CASE NAMES

The following model titles are merely illustrative. Variations may be required in certain titles.

ABUSED CHILDREN

In the Matter of Tina Marie H., a Child Alleged to be Abused. COMMISSIONER OF THE NEW YORK CITY DEPARTMENT OF SOCIAL SERVICES, Respondent; Cindy L., Appellant.

case name: Matter of Tina Marie H. (Cindy L.)

ACCOUNTING

In the Matter of the Accounting of James W. Osborne, as Executor and Trustee under the Will of Eugene La Grove, Deceased, Appellant. Ivy L. La Grove, Respondent.

case name: Matter of Osborne (La Grove)

ADMINISTRATORS

Helen Immediate, as Administrator of the Estate of Louis J. Immediate, Deceased, Appellant, v St. John’s Queens Hospital, Defendant, and Joseph S. Spindler, Respondent.

case name: Immediate v St. John’s Queens Hosp.

Ethel Kornblut, Individually and as Administrator of the Estate of Fred Kornblut, Deceased, Appellant, v Chevron Oil Company et al., Respondents, et al., Defendants.

case name: Kornblut v Chevron Oil Co.

ADOPTION AND TERMINATION OF PARENTAL RIGHTS

In the Matter of the Adoption of Jessica Marie R., an Infant.

case name: Matter of Jessica Marie R.


case name: Matter of Jessica M. (Karen M.—Daniel F.)
In the Matter of the Custody of Judy G. and Another, Infants. Jewish Child Care Association, Petitioner; Benjamin G. et al., Respondents.

case name: Matter of Judy G. (Benjamin G.)

ARBITRATION

In the Matter of the Arbitration between Acting Superintendent of Schools of Liverpool Central School District, Appellant, and United Liverpool Faculty Association et al., Respondents. [Note: This is the preferred form for arbitration titles.]

case name: Matter of Acting Supt. of Schs. of Liverpool Cent. Sch. Dist. (United Liverpool Faculty Assn.)

In the Matter of Wyandanch Union Free School District, Respondent-Appellant, v Wyandanch Teachers Association, by Wanda Williams, as President, Appellant-Respondent. [Note: This is an acceptable form for arbitration titles.]


ARTICLE 78 PROCEEDINGS

In the Matter of Aaron Chervin, Petitioner, v Thomas A. Duffy et al., Constituting the State Liquor Authority, Respondents.

case name: Matter of Chervin v Duffy

In the Matter of Roberts Real Estate, Inc., et al., Petitioners, v New York State Department of State, Division of Licensing Services, Respondent.

case name: Matter of Roberts Real Estate, Inc. v New York State Dept. of State, Div. of Licensing Servs.

ATTORNEY GENERAL PROCEEDINGS

case name: Matter of People v Senior Citizens Assistance Group, Inc.

BANKRUPTCY TRUSTEE

ROBERT J. MUSSO, as Trustee of the Bankruptcy Estate of TONG LIN WU, Debtor, Respondent-Appellant, v HSING WEI CHIEN et al., Respondents, and DANIEL FERNANDEZ, Appellant.

case name: Musso v Hsing Wei Chien

NANJING USA, INC., Appellant, v SALVATORE LAMONICA, as Chapter 7 Trustee of BLOCK CORPORATION, Debtor, Respondent.

case name: Nanjing USA, Inc. v LaMonica

BOARDS, COMMISSIONS, ETC.

In the Matter of DAVID K. WONG, Respondent, v EDWARD J. MAHONEY et al., Constituting the BOARD OF ELECTIONS OF ERIE COUNTY, Respondents, and WILLIAM L. MARCY, JR., Appellant.

case name: Matter of Wong v Mahoney

CIVIL FORFEITURE

CHRISTINE MALAFI, County Attorney for the County of Suffolk, Plaintiff v A 2000 VOLKSWAGEN, VIN No. WVWMA23B3YP226270

case name: Malafi v A 2000 Volkswagen, VIN No. WVWMA23B3YP226270

CLASS ACTIONS

ANTHONY S. VOTTA, on Behalf of Himself and All Others Similarly Situated, Respondent, v JANET SELLECK, Appellant.

case name: Votta v Selleck


case name: Chiarella v City of Rochester

166
CONDEMNATION

In the Matter of CITY OF NEW YORK, Appellant, Relative to Acquiring Title in Fee Simple for the MILL CREEK PHASE 1, STATEN ISLAND BLUEBELT SYSTEM.

case name: Matter of City of New York (Mill Cr. Phase 1, Staten Is. Bluebelt Sys.)

In the Matter of VILLAGE OF NEWARK URBAN RENEWAL AGENCY, Appellant, Relative to Acquiring Title to Real Property for an Urban Renewal Project Known as Newark Midtown Project in the Village of Newark. NEWARK GRANGE NO. 366 et al., Respondents.

case name: Matter of Village of Newark Urban Renewal Agency (Newark Grange No. 366)

In the Matter of the Acquisition of Real Property by the COUNTY OF BROOME, Appellant. MILLER FACILITIES CORPORATION et al., Respondents.

case name: Matter of County of Broome (Miller Facilities Corp.)

In the Matter of CITY OF NEW YORK Relative to Acquiring Title in Fee Simple Absolute to Certain Real Property Where Not Heretofore Acquired for the GRANTWOOD RETENTION BASIN Located in the Area Generally Bounded by Shotwell Avenue to the East, Tyron Avenue to the South, Grantwood Avenue to the West, and Woodrow Road to the North, in the Borough of Staten Island, City and State of New York. CASSINO CONTRACTING CORP. (Fee Claimant with Respect to Damage Parcel 1, Block 5676, Lot 1), Claimant v CITY OF NEW YORK, Condemnor.

case name: Matter of City of New York (Grantwood Retention Basin—Cassino Contr. Corp.)

CONSERVATORSHIP

In the Matter of the Conservatorship of SUSAN E. WARGOLD, Also Known as SUSAN SERLIN.

case name: Matter of Wargold
CORPORATE DISSOLUTION (REHABILITATION OR LIQUIDATION)


case name: Matter of Jones Co. (White)


case name: Matter of Frontier Ins. Co.


case name: Matter of Gupta

COURT OF CLAIMS ACTIONS

William J. Wilson, III, Claimant, v State of New York, Defendant. (Claim No. 63044.)

case name: Wilson v State of New York

CRIMINAL ACTIONS

The People of the State of New York, Respondent, v Harry E. Wenzel, Also Known as Harry Edward Wenzel, Jr., Appellant.

case name: People v Wenzel

The People of the State of New York, Plaintiff, v George Ioannidis, Daniel H. Nassif, Christopher Somalis and John Rodriguez, Defendants.

case name: People v Ioannidis

168
DISCIPLINARY PROCEEDINGS

In the Matter of Lawrence M. Rosenberg (Admitted as Lawrence Matthew Rosenberg), a Suspended Attorney, Respondent. Departmental Disciplinary Committee for the First Judicial Department, Petitioner.

case name: Matter of Rosenberg


case name: Matter of Attorneys in Violation of Judiciary Law § 468-a (Jones)

DOING BUSINESS AS

Central Trust Company, Respondent, v Arnold J. Goldman et al., Individually and Doing Business as Goldman & Goldman, Appellants.

case name: Central Trust Co. v Goldman

ESTATES AND PROBATE PROCEEDINGS


case name: Matter of Frazier

In the Matter of the Estate of Philip Mandelbaum, Deceased, Appellant, v Five Ivy Corp., Respondent.

case name: Matter of Estate of Mandelbaum v Five Ivy Corp.

FORECLOSURE

In the Matter of the Foreclosure of Tax Liens by the County of Rensselaer, Respondent. Riverside Avenue Corporation, Appellant.

case name: Matter of County of Rensselaer (Riverside Ave. Corp.)

In Rem Tax Foreclosure Action No. 47. City of New York, Appellant; Max Melamed et al., Respondents.

169
case name: *In Rem Tax Foreclosure Action No. 47*

In the Matter of Tax Foreclosure of 2000 and Prior Liens by Proceeding in Rem Pursuant to Article 11 of the Real Property Tax Law. Town of Greenburgh, Respondent; Route 9A Realty Corporation, Appellant.

case name: *Matter of Tax Foreclosure of 2000 & Prior Liens*

**GRAND JURY REPORTS**


**GROUP LITIGATION (STOCK, ASBESTOS, LEAD PAINT, ETC.)**


**GUARDIANSHIP**

In the Matter of the Guardianship of Daniel Aaron D., an Infant. Louise Wise Services, as Guardian and Custodian of Daniel Aaron D., Respondent; Phoebe D., Appellant.

case name: *Matter of Daniel Aaron D. (Phoebe D.)*

In the Matter of Ester Chachkers, as Director of Social Services of New York University Medical Center, Petitioner, for the Appointment of a Guardian of the Person and Property of Shirley W.

case name: *Matter of Chachkers (Shirley W.)*

**HABEAS CORPUS**

The People of the State of New York ex rel. Nasar Abdul Aziz, Also Known as Raymond Gilliard, Petitioner, v Eugene LeFevre, as Superintendent of Clinton Correctional Facility, Respondent.
case name: People ex rel. Aziz v LeFevre

The People of the State of New York ex rel. Eric Travis, on Behalf of Jack Jones, Appellant, v Samuel Tweed, as Commissioner of the Fishkill Correctional Facility, Respondent.

case name: People ex rel. Travis v Tweed

In the Matter of Mental Hygiene Legal Service, on Behalf of Camille H., Appellant, v Dennis Dubey, Respondent.

case name: Matter of Mental Hygiene Legal Serv. v Dubey

INCAPACITATED PERSONS

In the Matter of Arnold O., a Person Alleged to be Incapacitated. James T. Towne, Jr., as Guardian of Arnold O., Appellant; John T. Biscone, Respondent.

case name: Matter of Arnold O. (Biscone)

In the Matter of Michael V. Jones, Petitioner, for the Appointment of a Guardian of the Property of John B. DeSantis, Sr., an Alleged Incapacitated Person.

case name: Matter of Jones (DeSantis)


case name: Matter of Stephen G. (Commissioner of N.Y. State Off. of Mental Health)

INFANTS

Norman B., as Parent and Natural Guardian of Philip B., an Infant, Appellant, v Sara Levitt, Respondent.

case name: Norman B. v Levitt

Lawrence R., an Infant, by Frederick H.R., Jr., His Father and Natural Guardian, et al., Respondents, v Louise Snyder et al., Appellants.

case name: Lawrence R. v Snyder

case name: Julie A.J. v King

In the Matter of Commissioner of Social Services, on Behalf of Krista A.S., Appellant, v John M. Jones, Respondent.

case name: Matter of Commissioner of Social Servs. v Jones

INFANTS (Parents named as both representative and individual parties)

Krystal G., an Infant, by Her Parents and Natural Guardians, Vivian G. et al., et al., Plaintiffs, v Roman Catholic Diocese of Brooklyn et al., Defendants.

case name: Krystal G. v Roman Catholic Diocese of Brooklyn

INTERVENORS


case name: Matter of Rochester Gas Corp. v Public Serv. Commn. of the State of N.Y.

IN VOLUNTARY TREATMENT

In the Matter of Scott H. Perra, Petitioner, for an Order Authorizing the Involuntary Treatment of Theresa Doe, a Patient in the Psychiatric Unit at Albany Medical Center, Respondent.

case name: Matter of Perra (Doe)

JOINT VENTURES


case name: Thomas Crimmins Contr. Co., Inc. v City of New York
JUDGES AND JUSTICES

In the Matter of Gilbery Wiley, Petitioner, v Herbert Altman, as Justice of the Supreme Court of the State of New York, Respondent.

case name: Matter of Wiley v Altman

In the Matter of Francis W. Benjamin, a Justice of the Jewett Town Court, Petitioner. State Commission on Judicial Conduct, Respondent.

case name: Matter of Benjamin (State Commn. on Jud. Conduct)

JUVENILE DELINQUENTS

In the Matter of Cleve C., a Person Alleged to be a Juvenile Delinquent, Appellant. Warren County Attorney, Respondent.

case name: Matter of Cleve C.

LIEN DISCHARGE

In the Matter of Benson Park Associates LLC, Petitioner, for an Order Surrendering Money Paid into Court to Discharge the Notice of Mechanic’s Lien Filed by Mega Construction Corp., Respondent.

case name: Matter of Benson Park Assoc. LLC (Mega Constr. Corp.)

MULTIPLE APPEALS WITH COUNTERCLAIMS


Greenstone/Fontana Corporation, Formerly Known as Topline Advertising, Inc., Counterclaim Defendant-Respondent, v
NEIL FELDSTEIN, Defendant/Counterclaim Plaintiff, and NEIL BUICK CORPORATION et al., Defendants/Counterclaim-Plaintiffs-Appellants. TOP LINE ADVERTISING, INC., et al., Additional Counterclaim Defendants-Respondents. (Appeal No. 3.)

case name: Greenstone/Fontana Corp. v Feldstein

NEGLECTED CHILDREN

In the Matter of MICHAEL A., a Child Alleged to be Permanently Neglected. AZILDA A. et al., Respondents.

case name: Matter of Michael A. (Azilda A.)

In the Matter of DEPARTMENT OF SOCIAL SERVICES, on Behalf of JENNIFER M. and Another, Children Alleged to be Abused and/or Neglected, Appellant. SANDY G., Respondent.

case name: Matter of Department of Social Servs. (Sandy G.)

PERSONAL REPRESENTATIVES

RONALD RORIE et al., as Personal Representatives of the Estate of LEONARD SEGAL, Deceased, Appellants, v JOSEPH ROSS, Respondent, et al., Defendants.

case name: Rorie v Ross

PERSON IN NEED OF SUPERVISION

In the Matter of KRISTIAN CC., Alleged to be a Person in Need of Supervision, Appellant. JOHN SIMONS, as Director of Pupil Personnel Services at Salmon River Central School, Respondent.

case name: Matter of Kristian CC.

PROFESSIONAL CORPORATIONS


RECEIVERSHIP

In the Matter of the Ancillary Receivership of RELIANCE INSURANCE COMPANY. ENVIRO EXPRESS, INC., Appellant; GREGORY V. SERIO, as Superintendent of the New York State Insurance Department, and as Ancillary Receiver of Reliance Insurance Company, Respondent.


SETTLEMENTS

In the Matter of the Judicial Settlement of the Final Account of Proceedings of THE CHASE MANHATTAN BANK, as Trustee of the INTERMEDIATE TERM TAXABLE BOND FUND OF CHEMICAL BANK.

case name: Matter of Chase Manhattan Bank

In the Matter of the Petition of SETTLEMENT FUNDING OF NEW YORK, LLC, for Approval of Transfer of Structured Settlement Payment Rights of MARK ASPROULES in Accordance with General Obligations Law § 5-1701.

case name: Matter of Settlement Funding of N.Y., LLC

In the Matter of 321 HENDERSON RECEIVABLES LIMITED PARTNERSHIP, Petitioner, for Approval of a Transfer of Structured Settlement Proceed Rights of JASON DEMILLIE.

case name: Matter of 321 Henderson Receivables Ltd. Partnership

In the Matter of the Judicial Settlement of the First Intermediate Accounts of Proceedings of CENTRAL HANOVER BANK AND TRUST COMPANY, as Trustee under Those Six Agreements of Trust dated September 16, 1927 and under That Certain Agreement of Trust dated October 5, 1927 made by ELIZABETH L. DE SANCHEZ.

case name: Matter of Central Hanover Bank & Trust Co. (De Sanchez)

In the Matter of the Petition of SETTLEMENT FUNDING OF NEW YORK, LLC, for Judicial Approval of Absolute Assignment and UCC Article 9 Security Agreement with Calloway Johnson pursuant to Article 5, Title 17 of the New York General Obligations Law, Petitioner, v SUN LIFE ASSURANCE COMPANY OF CANADA et al., Respondents.
case name: Matter of Settlement Funding of N.Y., LLC v Sun Life Assur. Co. of Can.

In the Matter of the Judicial Settlement of the Account of Salem Tamer et al., as Trustees of the O. Winston Link Revocable Trust Dated January 18, 2001.

case name: Matter of O. Winston Link Revocable Trust

STATE DIVISION OF HUMAN RIGHTS ACTIONS

State Division of Human Rights, on Complaint of Charles W. Ghee, Appellant, v County of Monroe et al., Respondents.

case name: State Div. of Human Rights v County of Monroe

STOCKHOLDERS’ DERivative AND REPRESENTATIVE ACTIONS


case name: Gross v Neuman

Henry Miller, on Behalf of Himself and All Other Stockholders of Central Tobacco Company, Inc., Respondent, v Arnold Kastner et al., Appellants.

case name: Miller v Kastner

SUBPOENA


case name: Matter of Nassau County Grand Jury Subpoena Duces Tecum Dated June 24, 2003

In the Matter of Subpoena Issued by the State Tax Commission to Samuel J. Weiss, as President of the Welco Dress Co., Inc.

case name: Matter of State Tax Commn. (Weiss)
In the Matter of the Application of ANTHONY MASSAR, as Chairman of the Municipal and Public Affairs Committee of Binghamton City Council, Petitioner, to Compel Compliance with Subpoenas Duly Served on FAMILY AND CHILDREN’S SOCIETY OF BROOME COUNTY et al., Respondents.

case name: Matter of Massar (Family & Children’s Soc'y of Broome County)

SUCCESSORS IN INTEREST

HEARST CORPORATION, as Successor in Interest to HEARST CONSOLIDATED PUBLICATIONS, INC., Respondent, v HERTZ CORPORATION, Appellant, and HARTFORD ACCIDENT AND INDEMNITY COMPANY et al., Respondents. (And Another Action.)

case name: Hearst Corp. v Hertz Corp.

TAX LAW PROCEEDINGS

In the Matter of HOOPER HOLMES, INC., Petitioner, v JAMES W. WETZLER, as Commissioner of Taxation and Finance of the State of New York, et al., Respondents.

case name: Matter of Hooper Holmes, Inc. v Wetzler

In the Matter of EQUIFAX SERVICES, INC., Petitioner, v TAX APPEALS TRIBUNAL OF THE STATE OF NEW YORK et al., Respondents.

case name: Matter of Equifax Servs., Inc v Tax Appeals Trib. of the State of N.Y.

THIRD-PARTY ACTIONS

DESIGNCRAFT JEWEL INDUSTRIES, INC., et al., Plaintiffs, v RAMPART BROKERAGE CORP., Defendant and Third-Party Plaintiff-Appellant. FRANK FEIT & CO., INC., et al., Third-Party Defendants-Respondents, et al., Third-Party Defendant. [Note: This is the suggested style when the defendant(s) and third-party plaintiff(s) are identical.]

case name: Designcraft Jewel Indus., Inc. v Rampart Brokerage Corp.


177

case name: *Heller v Encore of Hicksville, Inc.*


case name: *Chemical Bank v National Union Fire Ins. Co.*

TRUSTEES

In the Matter of ROTAUT L. U. BEINY, as Trustee of the Trust Created by ELIZABETH N. F. WEINBERG, as Grantor.

case name: *Matter of Beiny (Weinberg)*

DAVID J. SMITH et al., as Cotrustees of a Trust Created by JANET ROTH, Appellants, v GULF AND WESTERN INDUSTRIES, INC., Respondent.

case name: *Smith v Gulf & W. Indus., Inc.*

In the Matter of H. EARL FULLILOVE et al., as Trustees of the New York Building and Construction Industry Board of Urban Affairs, Respondents, v MARIO CUOMO, as Governor and Chief Executive Officer of the State of New York, et al., Appellants.

case name: *Matter of Fullilove v Cuomo*

DAVID MORGULAS, as Testamentary Trustee of Trusts Created by I. ROY PSATY, Deceased, et al., Appellants, v J. YUDELL REALTY, INC., Respondent.

case name: *Morgulas v J. Yudell Realty, Inc.*

UNEMPLOYMENT INSURANCE

In the Matter of the Claim of JAMES GLASS, Appellant. MARQUETTE CEMENT COMPANY, Respondent; THOMAS F. HARNETT, as Commissioner of Labor, Respondent.

case name: *Matter of Glass (Marquette Cement Co.—Harnett)*

178
In the Matter of Mitchell D. Posner, Appellant. HF Management Services, LLC, Respondent; Commissioner of Labor, Respondent.

case name: Matter of Posner (HF Mgt. Serus., LLC—Commissioner of Labor)

WARDEN

The People of the State of New York ex rel. Clyde Jones, Appellant, v Thomas Smith, as Warden of the Penitentiary of the City of New York, Rikers Island, Respondent.

case name: People ex rel. Jones v Smith

The People of the State of New York ex rel. Malik Howard, Petitioner, v Warden of Rikers Island Correctional Facility et al., Respondents.


WORKERS' COMPENSATION


YOUTHFUL OFFENDER


case name: People v Casey R.B.
ORTIZ v VARSITY HOLDINGS LLC [18 NY3d 335]
   Opinion by Pigott, J.

[960 N.E.2d 948, 937 N.Y.S.2d 157]

Luis F. Ortiz, Appellant, v Varsity Holdings, LLC, et al.,
Respondents.

Argued November 14, 2011; decided December 20, 2011

OPINION OF THE COURT

Pigott, J.

Plaintiff Luis F. Ortiz was injured while engaged in demolition work at an apartment building being renovated in Brooklyn. The property was owned by defendant Varsity Holdings, LLC and managed by defendant Mag Realty Corp. Ortiz and his coworkers were taking debris from the building and placing it in a dumpster outside. According to Ortiz, the dumpster was about six feet high, eight feet wide, and 14 feet long. The ledge at the top of the dumpster was about eight inches in width.

After several hours of work, the dumpster was filling up, and Ortiz and his colleagues climbed up it, using footholds built into the side, and began to rearrange the debris inside to make more room. It started to rain, making the surface of the dumpster slippery. Ortiz was injured when, while holding a wooden beam and standing at the top of the dumpster, with at least one foot on the narrow ledge, he lost his balance and fell to the ground.\(^1\)

Ortiz commenced this action, claiming violations of Labor Law §§ 200, 240 (1), and 241 (6). Defendants moved for summary judgment as to all of plaintiff's Labor Law claims. Ortiz cross-moved for summary judgment on his Labor Law § 240 (1) claim, insisting that defendants should have provided a scaffold to prevent his fall. In his affidavit in support of his cross motion and in opposition to defendants' motion, Ortiz stated that the task he was instructed to carry out required him to stand on the eight-inch ledge while placing heavy debris in open areas of the dumpster.

\(^1\) In his deposition testimony, Ortiz recalled that he had one foot on the ledge and one foot on the garbage in the dumpster. In his affidavit in opposition to defendants' motion and in support of his cross motion, Ortiz stated that both feet were on the ledge.
Opinion by Pigott, J.

Ortiz challenged the dismissal of his section 240 (1) cause of action, and the denial of his cross motion on that claim. The Appellate Division affirmed, simultaneously granting Ortiz leave to appeal to this Court and certifying the question whether its order was properly made.\(^2\) We now modify.

Defendants and amicus the Defense Association of New York argue that, as a matter of law, the task Ortiz was performing—loading a dumpster and rearranging the debris therein—did not create an elevation-related risk of the kind that the safety devices listed in Labor Law § 240 (1) protect against. Defendants cite Toefler v Long Is. R.R.\(^3\), noting our holding that “[a] four-to-five-foot descent from a flatbed trailer or similar surface does not present the sort of elevation-related risk that triggers Labor Law § 240 (1)’s coverage.”\(^4\)

It is true that courts must take into account the practical differences between “the usual and ordinary dangers of a construction site, and . . . the extraordinary elevation risks envisioned by Labor Law § 240 (1).”\(^5\) A worker may reasonably be expected to protect himself by exercising due care in stepping down from a flatbed truck. However, the present case, with the facts considered in the light most favorable to the non-moving party, is distinguishable from Toefler. Ortiz’s particular task of rearranging the demolition debris and placing additional debris in the dumpster, as he describes it, required him to stand at the top of the dumpster, six feet above the ground, with at least one foot perched on an eight-inch ledge. Moreover, defendants failed to adduce any evidence demonstrating that being in a precarious position such as this was not necessary to the task. Nor do defendants demonstrate that no safety device of the kind enumerated in section 240 (1) would have prevented his fall.

On this record, therefore, we cannot say as a matter of law that equipment of the kind enumerated in section 240 (1) was not necessary to guard plaintiff from the risk of falling from the top of the dumpster. Consequently, defendants have not demonstrated entitlement to summary judgment.

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2. 75 AD3d 538 (2d Dept 2010).
3. 4 NY3d 399 (2005).
4. Id. at 408.
5. Id. at 407, quoting Rodriguez v Margaret Tietz Ctr. for Nursing Care, 84 NY2d 841, 843 (1994).
ORTIZ v VARSITY HOLDINGS LLC [18 NY3d 335]

Opinion by PIGOTT, J.

However, we agree with defendants that Ortiz’s cross motion for summary judgment was properly denied. To recover under section 240 (1), Ortiz must establish that he stood on or near the ledge at the top of the dumpster because it was necessary to do so in order to carry out the task he had been given. Ortiz failed to adduce evidence, through testimony or other means, to establish what he asserted in his affidavit—that he was required to stand on or near the ledge. While that assertion is enough, in the context of this case and without contradictory evidence from defendants, for plaintiff to ward off summary judgment, it is not sufficient by itself for plaintiff to win summary judgment.

Moreover, to prevail on summary judgment, plaintiff must establish that there is a safety device of the kind enumerated in section 240 (1) that could have prevented his fall, because “liability is contingent upon . . . the failure to use, or the inadequacy of” such a device. Because this too is a triable issue of fact, plaintiff is not entitled to summary judgment.

Viewing the facts in the light most favorable to defendants, as we must when we consider plaintiff’s summary judgment motion, a question of fact remains regarding whether the task Ortiz was expected to perform created an elevation-related risk of the kind that the safety devices listed in section 240 (1) shield workers from.

Accordingly, the order of the Appellate Division should be modified, without costs, by denying defendants’ motion for summary judgment as to plaintiff’s Labor Law § 240 (1) cause of action, and, as so modified, affirmed, and the certified question should not be answered as unnecessary.

Chief Judge LIPPMAN and Judges CIPARICK, GRAFFEO, READ, SMITH and JONES concur.

Order modified, etc. 8

8. This decision is not the official report of the opinion in Ortiz v Varsity Holdings, LLC, 18 NY3d 335 (2011). It is a model intended only to illustrate the rules for drafting an opinion in the citational footnote style. New York Law Reports Style Manual § 1.2 (e) (2017).
A. General Rules for Formulating Summaries

1. Accuracy—A summary of an appellate case should be factually and legally accurate. It should faithfully track the jurisdictional predicate and procedural posture of the appeal. It will typically comprise more than one sentence and should be formulated with an emphasis on concision and clarity.

2. Tense—Summaries of appeals should be written in the past tense. In Court of Appeals summaries, use the past perfect tense to describe the disposition of a court/administrative body that occurred prior to the disposition that is being appealed to the Court of Appeals.

3. Verification—A summary should be verified against the record on appeal whenever possible. Certain courts provide jurisdictional statements that resemble summaries. These jurisdictional statements are not part of the opinion itself and are not published as part of the opinion. They may be used as a basis for formulating a summary. A summary should, however, be composed in accordance with the Law Reporting Bureau’s formulation rules.

4. Consistency—A summary should be consistent with the description of the appeal contained in the opinion and with the court’s decertal or ordering paragraph. Verify the accuracy of the information in a summary against the record and/or opinion. If the information conflicts, and conformity cannot be achieved by reference to the record and/or opinion, the matter should be resolved through consultation with the appropriate court, clerk or decision department.

5. Names of Courts—The name of the court should conform to the following examples: Court of Appeals; Appellate Division of the Supreme Court in the First Judicial Department; Appellate Term of the Supreme Court in the First Judicial Department (add appropriate judicial districts for the Second Department); Supreme Court, Kings County; Court of Claims; Albany County Court; Family Court of Onondaga County; Surrogate’s Court of Broome County; City Court of Buffalo, Erie County; Civil (Criminal) Court of the City of New York, Queens County; District Court of Nassau County, First District; Justice Court of the Town (Village) of Colonie, Albany County.

6. Names of Judges—The full name of the judge or justice who presided at the hearing or trial below should be included whenever
available (see Judiciary Law § 433) and should conform to the name as listed in the judges' list contained in the front of bound volumes of the Miscellaneous Reports. Place the name of the judge or justice in parentheses following the name of the court.

7. **Style**—A summary should be styled in accordance with the Official Reports Style Manual.

8. **Structure**—The first sentence of a summary contains the type of cause (appeal, proceeding, cross appeals, etc.); the jurisdictional predicate (by permission, on constitutional grounds, etc.), including whether an appeal or a proceeding has been transferred; the appealable paper, followed by the name of the court and the judge's name where appropriate; and the entry date. When the opinion involves an original proceeding in that court, the first sentence may also contain a statement of the nature of the proceeding. The second and any other sentences contain the balance of the information that summaries traditionally have contained, including relevant decretal portions of the appealable paper and of any orders or judgments brought up for review.

9. **Criminal Cases in General**—Summaries in criminal cases generally should be formulated in accordance with the following templates:

**Court of Appeals Cases (appeal from an intermediate appellate court):**

“[First sentence. See Appendix 8 (A) (8).] The Appellate Division [affirmed, reversed, etc.] a judgment of the [court and judge's name], which had convicted defendant, [upon a jury verdict] [upon a plea of guilty] [after a nonjury trial], of [name of crime(s), but omit the words 'the crime(s) of'] and sentenced defendant [description of sentence].” The “sentence” clause should be included only when the sentence itself is the subject of the appeal.

**Appellate Division and Appellate Term Cases and Direct Appeals to the Court of Appeals:**

“[First sentence. See Appendix 8 (A) (8).] The judgment convicted defendant, [upon a jury verdict] [upon a plea of guilty] [after a nonjury trial], of [name of crime(s), but omit the words 'the crime(s) of'] and sentenced defendant [description of sentence].” The “sentence” clause should be included only when the sentence itself is the subject of the appeal.
10. Samples—Whenever possible use the Sample Forms of Summaries as a template for summaries. If none of the samples squarely addresses the procedural posture of an opinion, adapt the closest sample to the posture presented. The drafter may also use summaries found in prior Official Reports 2d or 3d series volumes for guidance, but should adapt the format of a prior summary to conform to these General Rules and Sample Forms.

B. Sample Forms of Summaries: Court of Appeals

1. Appeal as of Right—CPLR 5601

CPLR 5601 (a)—Two Justice Dissent

Appeal from an order of the Appellate Division of the Supreme Court in the Third Judicial Department, entered June 4, 1998. The Appellate Division, with two Justices dissenting, affirmed a judgment of the Supreme Court, Schenectady County (Robert E. Lynch, J.), entered in a proceeding pursuant to CPLR article 78, which had denied petitions to review respondents' denial of petitioners' separate Freedom of Information Law requests for access to records identifying 18 City of Schenectady police officers who allegedly were disciplined for engaging in an off-duty incident, and dismissed the consolidated proceeding.

CPLR 5601 (b) (1)—Constitutional Grounds—Appeal from Appellate Division

Appeal, on constitutional grounds, from an order of the Appellate Division of the Supreme Court in the First Judicial Department, entered February 22, 1996. The Appellate Division affirmed an order of the Supreme Court, New York County (Charles E. Ramos, J.), which had granted defendants' motion for summary judgment dismissing the complaint and denied plaintiffs' cross motion to dismiss defendants' affirmative defenses and for summary judgment in plaintiffs' favor.

CPLR 5601 (d)—Based upon Nonfinal Determination of Appellate Division—Final Judgment of Administrative Agency

Appeals from a final determination of the Workers' Compensation Board, filed September 20, 1983, bringing up for review an order of the Appellate Division of the Supreme Court in the Third Judicial Department, entered May 5, 1983. The Appellate Division, with two Justices dissenting, had (1) reversed a decision of the Board which (a) reversed a Workers' Compensation Law Judge's decision reinstating claimant to her former position and awarding her back pay, and (b) found that there was no discrimination by the employer pursuant to Workers'
Compensation Law § 120, (2) ordered claimant reinstated to her former position, and (3) remitted the matter to the Board for determination of the effective date of reinstatement and an award of benefits.

2. Appeal by Permission—CPLR 5602

Permission of Court of Appeals

Appeal, by permission of the Court of Appeals, from an order of the Appellate Division of the Supreme Court in the First Judicial Department, entered December 28, 1995. The Appellate Division (1) reversed, on the law, a judgment of the Supreme Court, New York County (Harold Tompkins, J.), entered after a nonjury trial, to the extent that it had awarded third-party defendant judgment on its counterclaim against third-party plaintiff in the sum of $531,168, and (2) dismissed the counterclaim.

Permission of the Appellate Division

(a) Appeal by Permission of Appellate Division—Certified Question—Nonfinal Order/Judgment

Appeal, by permission of the Appellate Division of the Supreme Court in the First Judicial Department, from an order of that Court, entered August 10, 1995. The Appellate Division modified, on the law, an order of the Supreme Court, New York County (Beatrice Shainswit, J.), which had granted defendant’s motion to dismiss the complaint. The modification consisted of denying that portion of defendant’s motion seeking dismissal on federal preemption and primary jurisdiction grounds. The Appellate Division remanded the matter to Supreme Court for determination of the remaining grounds for defendant’s motion. The following question was certified by the Appellate Division: “Was the order of this Court, which modified the order of the Supreme Court, properly made?”

(b) Appeal by Permission of Appellate Division—Final Order/Judgment

Appeal, by permission of the Appellate Division of the Supreme Court in the First Judicial Department, from an order of that Court, entered September 14, 1995. The Appellate Division affirmed a judgment of the Supreme Court, New York County (Stuart C. Cohen, J.), which had directed that defendant City of New York account to plaintiff as to the full amount of certain insurance proceeds and that plaintiff recover against the proceeds to the extent of its claim of nonpayment under a crane repair agreement, plus interest.
3. Certified Question from United States Court of Appeals

PROCEDING, pursuant to NY Constitution, article VI, § 3 (b) (9) and Rules of the Court of Appeals (22 NYCRR) § 500.27, to review a question certified to the New York State Court of Appeals by the United States Court of Appeals for the Second Circuit. The following question was certified by the United States Court of Appeals and accepted by the New York State Court of Appeals: “Does Connecticut General Statutes § 52-577a bar Tanges’s claim brought in the Southern District of New York?”

4. Determination of State Commission on Judicial Conduct

PROCEDING, pursuant to NY Constitution, article VI, § 22 and Judiciary Law § 44, to review a determination of respondent State Commission on Judicial Conduct, dated August 7, 1998. The Commission determined that petitioner should be removed from the office of Justice of the Haverstraw Town Court and Acting Justice of the Village Court of West Haverstraw, Rockland County.

5. Criminal Cases

Permission of Appellate Division Justice

APPEAL, by permission of a Justice of the Appellate Division of the Supreme Court in the Third Judicial Department, from an order of that Court, entered May 14, 1998. The Appellate Division (1) reversed, on the law, a judgment of the Tompkins County Court (M. John Sherman, J.), which had convicted defendant, upon a jury verdict, of sodomy in the second degree (two counts), rape in the second degree (two counts), and endangering the welfare of a child, and (2) remitted the matter to Tompkins County Court for a new trial.

Permission of Associate Judge of Court of Appeals

APPEAL, by permission of an Associate Judge of the Court of Appeals, from an order of the Appellate Division of the Supreme Court in the First Judicial Department, entered January 6, 1998. The Appellate Division (1) reversed, on the law, an order of the Supreme Court, New York County (Rena K. Uviller, J.), which had granted defendant’s motion to set aside the jury verdict finding defendant guilty of robbery in the first degree and burglary in the first degree based on legal insufficiency, (2) reinstated the jury verdict, and (3) remanded to Supreme Court for further proceedings.
Appeal from Sentence

APPEAL, by permission of an Associate Judge of the Court of Appeals, from an order of the Appellate Division of the Supreme Court in the Second Judicial Department, entered February 6, 1995. The Appellate Division affirmed a sentence of the Dutchess County Court (George D. Marlow, J.), imposed following defendant’s conviction upon his plea of guilty of driving while under the influence of alcohol as a felony. The County Court had sentenced defendant to a term of five years’ probation, to include six months of incarceration in the Dutchess County Jail, directed defendant to pay a fine and administrative fees and to attend a victim impact panel, and required that defendant be placed on an electronic monitor for a period up to one year following his release from jail.

6. Reargument of Appeal

REARGUMENT of an appeal, taken by permission of the Appellate Division of the Supreme Court in the First Judicial Department, from an order of that Court, entered April 5, 2011. The Appellate Division (1) reversed, on the law, so much of an order of the Supreme Court, New York County (Carol R. Edmead, J.; op 2009 NY Slip Op 32302[U] [2009]), as had granted defendants’ motion to preclude plaintiffs from litigating the issue of plaintiff Jose Verdugo’s accident-related disability beyond January 24, 2006, and (2) denied the motion. The following question was certified by the Appellate Division: “Was the order of this Court, which reversed the order of the Supreme Court, properly made?” Following the reversal by the Court of Appeals upon the original appeal (20NY3d 1035 [2013]), the Court of Appeals granted motions for reargument (21 NY3d 995, 998 [2013]).

C. Sample Forms of Summaries: Appellate Division

1. Civil Cases

Order

APPEAL from an order of the Supreme Court, Suffolk County (William J. Kent, J.), entered December 20, 2000. The order denied plaintiff’s motion for summary judgment granting him a conversion divorce and granted defendant’s cross motion for summary judgment dismissing the complaint on the ground that the parties’ prenuptial agreement may not serve as a predicate for a conversion divorce.

188
Part of an Order

Appeal from an order of the Supreme Court, Suffolk County (Elizabeth H. Emerson, J.), entered June 9, 2000, in an action to recover damages for medical malpractice. The order, insofar as appealed from, granted (1) the motion of defendant County of Suffolk for partial summary judgment dismissing so much of the complaint, insofar as asserted against it, as sought to recover damages based upon alleged events which occurred before January 17, 1995, and (2) that branch of the cross motion of defendant Brunswick Hospital Center which was for summary judgment dismissing the complaint insofar as asserted against it.

Order and Judgment (one paper)

Appeal from an order and judgment (one paper) of the Supreme Court, New York County (Ira Gammerman, J.), entered January 16, 2001. The order and judgment granted plaintiffs' motion for summary judgment recognizing and docketing certain foreign country judgments entered in their favor.

Order and Judgment Entered Thereon

Appeal from (1) an order of the Supreme Court, New York County (Ira Gammerman, J.), entered January 16, 2001, and (2) the judgment entered upon the order. The order granted defendant's motion for summary judgment and denied plaintiff's cross motion for further discovery. Judgment was entered dismissing the complaint.

Judgment

Appeal from a judgment of the Supreme Court, New York County (Ira Gammerman, J.), in favor of defendant, entered January 16, 2001. The judgment was entered upon an order of that court (Bruce Wright, J.), which granted defendant’s motion to dismiss the complaint pursuant to CPLR 3211 (a) (2).

Appeal by Permission

Appeal, by permission of the Appellate Term of the Supreme Court in the First Judicial Department, from an order of that court, entered December 28, 2007. The order affirmed (1) an order of the Civil Court of the City of New York, New York County (Kevin C. McClanahan, J.), entered December 22, 2005, after a nonjury trial, which had dismissed the petition in a holdover summary proceeding, and (2) an order of that court, entered on or about March 27, 2006, which had denied petition-
er’s motion to vacate the attorneys’ fees award, and modified a judgment of that court, entered March 2, 2006, to the extent of reducing those fees.

2. Unemployment Insurance Decisions

Appeal from a decision of the Unemployment Insurance Appeal Board, filed June 1, 2001. The decision ruled that claimant was disqualified from receiving unemployment insurance benefits because her employment was terminated due to misconduct.

3. Workers’ Compensation Decisions

Appeal from a decision of the Workers’ Compensation Board, filed June 1, 2001. The decision ruled that claimant sustained a compensable injury and awarded workers’ compensation benefits.

4. Family Court Proceedings

Appeal from an order of the Family Court of Richmond County (Ralph J. Porzio, J.), entered May 2, 2001, in a support proceeding pursuant to Family Court Act article 4. The order denied objections of the New York City Department of Social Services to an order of that court (Michael J. Fondacaro, H.E.), dated January 4, 2001, which, after a hearing, set Enzo Lanzi’s basic child support obligation at $785 per month and required him to pay $452 per month for his children’s educational expenses and 77% of his children’s unreimbursed medical expenses.

Appeal from an order of disposition of the Family Court of Queens County (Fran L. Lubow, J.), entered January 28, 2009. The order adjudicated appellant a juvenile delinquent, upon a fact-finding determination that appellant had committed acts which, if committed by an adult, would have constituted the crimes of conspiracy in the sixth degree and attempted hazing in the first degree, and placed him in the custody of the New York State Office of Children and Family Services for a period of 12 months, with credit for time spent in detention pending disposition. The appeal brought up for review a fact-finding order dated January 8, 2009.

5. CPLR Article 78 Proceeding

Appeal from a judgment of the Supreme Court, Bronx County (Norma Ruiz, J.), entered January 7, 2002, in a proceeding pursuant to CPLR article 78. The judgment granted the petition and directed that petitioner’s sentences be served concurrently.
6. Attorney Disciplinary Proceedings

Disciplinary proceedings instituted by the Grievance Committee for the Second, Eleventh and Thirteenth Judicial Districts. Respondent was admitted to the bar on March 16, 1988, at a term of the Appellate Division of the Supreme Court in the Second Judicial Department. By decision and order on motion of this Court, dated November 12, 2009, the Grievance Committee for the Second, Eleventh and Thirteenth Judicial Districts was authorized to institute and prosecute a disciplinary proceeding against the respondent and the issues raised were referred to the Honorable Herbert Altman, as Special Referee, to hear and report.

Application by petitioner pursuant to 22 NYCRR 691.3 to impose discipline on respondent based upon disciplinary action taken against him by the Supreme Court of New Jersey. Respondent was admitted to the bar on April 24, 1974, at a term of the Appellate Division of the Supreme Court in the Second Judicial Department.

7. Criminal Cases

Jury Trial

Appeal from a judgment of the Supreme Court, New York County (Laura Drager, J.), rendered January 31, 2000. The judgment convicted defendant, upon a jury verdict, of attempted kidnapping in the second degree.

Bench (nonjury) Trial

Appeal from a judgment of the Supreme Court, New York County (Laura Drager, J.), rendered January 31, 2000. The judgment convicted defendant, after a nonjury trial, of attempted kidnapping in the second degree.

Guilty Plea

Appeal from a judgment of the Onondaga County Court (Laura Maher, J.), rendered January 31, 2000. The judgment convicted defendant, upon his plea of guilty, of attempted kidnapping in the second degree.

Order

Appeal from an order of the Onondaga County Court (Laura Maher, J.), entered January 31, 2000. The order granted defendant’s motion to
dismiss the counts of the indictment charging him with kidnapping in the second degree.

Sentence

Appeal from an order of the Supreme Court, Westchester County (Steven Pagones, J.), entered January 31, 2000. The order granted defendant’s motion to set aside the sentence imposed upon his conviction of kidnapping in the second degree.

Vacatur of Judgment

Appeal from an order of the Onondaga County Court (Laura Maher, J.), entered January 31, 2000. The order granted defendant’s motion pursuant to CPL 440.10 to vacate the judgment that convicted her of two counts of kidnapping in the second degree.

8. Appeal Bringing Up for Review a Prior Order

Appeal from a judgment of the Supreme Court, New York County (Louis York, J.), entered April 11, 2001, in favor of the nonparty assignee reinsurer. The appeal brings up for review an order of that court, entered March 3, 2000, which granted plaintiffs’ motion for summary judgment, denied defendant’s cross motion for summary judgment dismissing the complaint and granted third-party defendant’s cross motion for summary judgment dismissing the third-party complaint.

9. Appeals Transferred to the Appellate Division

Appeal (transferred to the Appellate Division of the Supreme Court in the Third Judicial Department, by order of the Appellate Division, First Department) from a judgment of the Supreme Court, Bronx County (Norma Ruiz, J.), entered January 7, 2002, in a proceeding pursuant to CPLR article 78. The judgment granted the petition and directed that petitioner’s sentences be served concurrently.

10. Proceedings Transferred to the Appellate Division

Proceeding pursuant to CPLR article 78 (transferred to the Appellate Division of the Supreme Court in the Third Judicial Department by order of the Supreme Court, entered in Albany County) to review a determination of respondent Public Employment Relations Board. The determination found that the Unified Court System had committed an improper employer practice.
11. Proceedings Commenced in the Appellate Division

*Proceeding* pursuant to CPLR article 78 (initiated in the Appellate Division of the Supreme Court in the Fourth Judicial Department pursuant to CPLR 506 [b] [1]) to prohibit respondents from trying petitioner in the Erie County Court on an indictment charging him with murder in the first degree.

12. Reargument of Appellate Division Decision

*Reargument* of a decision of the Appellate Division of the Supreme Court in the Third Judicial Department, dated May 22, 2002. The decision reversed a judgment of the Supreme Court, Albany County (John Conner, J.), entered July 29, 2001, which granted plaintiff’s motion for summary judgment on the issue of liability.

D. Sample Forms of Summaries: Appellate Term

1. Civil Cases

Order

*Appeal* from an order of the Civil Court of the City of New York, New York County (Debra A. James, J.), entered September 25, 2000. The order denied defendant’s motion for summary judgment and granted plaintiff’s cross motion for summary judgment on the fifth cause of action and for an order extending his time to file a notice of trial.

Multiple Orders (same judge)

*Appeal* from orders of the Civil Court of the City of New York, New York County (Eileen A. Rakower, J.), dated February 28, 2002, and March 14, 2002. The orders denied nonparty tenant’s motion to vacate a default judgment in a nonpayment summary proceeding.

Multiple Orders (different judges)

*Appeal* from (1) an order of the Civil Court of the City of New York, New York County (Rolando T. Acosta, J.), dated December 20, 1999, (2) an order of that court (George Young, J.; op 183 Misc 2d 294 [1999]), entered December 27, 1999, and (3) an order of that court (Norman Ryp, J.), entered January 21, 2000. The order dated December 20, 1999, denied defendant’s motion to hold plaintiff and its counsel in contempt. The order dated December 27, 1999, granted plaintiff's motion to impose sanctions against nonparty appellant for frivolous conduct as defense counsel. The order dated January 21, 2000, directed
nonparty appellant to pay sanctions of $7,500 to the Lawyer’s Fund for
Client Protection and attorney’s fees of $2,100 to plaintiff’s counsel.

**Appeal from Order Deemed Appeal from Judgment**

Appeal from an order of the Civil Court of the City of New York,
Queens County (Cheree A. Buggs, J.), entered January 8, 2010,
deemed from a judgment of that court entered January 26, 2010 (see
CPLR 5501 [c]). The judgment, entered pursuant to the January 8,
2010 order granting defendant’s motion for summary judgment to the
extent of dismissing plaintiff’s claim for services rendered on October
30, 2007, and November 13, 2007, in the total sum of $1,026.51,
dismissed that claim.

**Judgment**

Appeal from a judgment of the Civil Court of the City of New York,
New York County (Larry S. Schachner, J.), entered on or about June
17, 2002. The judgment, after a nonjury trial, awarded possession to
petitioner in a nonprimary residence holdover summary proceeding.

**Appeal by Permission**

Appeal, by permission of the Appellate Term of the Supreme Court in
the Second Judicial Department, Second, Eleventh and Thirteenth
Judicial Districts, from an order of the Civil Court of the City of New
York, Queens County (Jeremy S. Weinstein, J.), entered August 21,
2006. The order denied a petition for an order changing petitioner’s
name from “Peter Cortes” to “Zea.”

2. Criminal Cases

**Order**

Appeal from two orders of the Criminal Court of the City of New
York, Bronx County (Larry R.C. Stephen, J.), entered September 7,
2001. The orders, upon reargument, granted defendants’ motions to
dismiss the informations in the interest of justice.

**Judgment (nonjury trial)**

Appeal from a judgment of the Criminal Court of the City of New
York, New York County (Neil E. Ross, J., on dismissal motion; A. Kirke
Bartley, Jr., J., at trial and sentencing), rendered August 2, 2000. The
judgment convicted defendant, after a nonjury trial, of stalking in the
fourth degree.
Judgment (jury trial)

Appeal from a judgment of the Criminal Court of the City of New York, New York County (Eileen A. Rakower, J.), rendered June 24, 1999. The judgment convicted defendant, upon a jury verdict, of sexual abuse in the third degree.

Judgment (guilty plea)

Appeal from an amended judgment of the Justice Court of the Village of Red Hook, Dutchess County (Richard D. Griffiths, J.), rendered September 26, 2001. The amended judgment convicted defendant, upon his plea of guilty, of criminal contempt in the second degree and resentenced him to a three-year term of probation.

Appeal by Permission

Appeal, by permission of the Appellate Term of the Supreme Court in the Second Judicial Department, Second, Eleventh and Thirteenth Judicial Districts, from an order of the Criminal Court of the City of New York, Queens County (Barry Kron, J.), dated November 12, 2009. The order denied defendant’s motion, pursuant to CPL 440.10, to vacate the judgment of conviction, without a hearing.

3. Appeal from Part of an Order

Appeal from orders of the Civil Court of the City of New York, Queens County (Marguerite Grays, J.), entered July 10, 2001, and April 30, 2002. The order entered July 10, 2001, insofar as appealed from, denied those branches of plaintiff’s motion that sought (1) to dismiss defendant’s counterclaim alleging prima facie tort and her affirmative defenses of statute of limitations and frivolousness, and (2) an award of costs and the imposition of sanctions. The order entered April 30, 2002, insofar as appealed from, denied the branch of plaintiff’s motion that sought renewal.

4. Various Courts: New York City, District, City, Justice Courts

Civil Court of the City of New York

Appeal from an order of the Civil Court of the City of New York, New York County (Jerald R. Klein, J.), entered April 12, 2002. The order granted respondent’s motion to dismiss the petition in a holdover summary proceeding.
Criminal Court of the City of New York

Appeal from a judgment of the Criminal Court of the City of New York, New York County (Eileen A. Rakower, J.), rendered June 24, 1999. The judgment convicted defendant, upon a jury verdict, of sexual abuse in the third degree.

District Court

Appeal from an order of the District Court of Nassau County, First District (Howard S. Miller, J.), entered August 2, 2002. The order granted respondent’s motion to dismiss the petition in a holdover summary proceeding and denied petitioner’s cross motion for summary judgment.

City Court

Appeal from a judgment of the City Court of Newburgh, Orange County (Richard Farina, J.), rendered July 27, 1999. The judgment convicted defendant, upon a jury verdict, of aggravated unlicensed operation of a motor vehicle in the second degree.

Justice Courts (Sometimes referred to as a Town or Village Court, these are properly stated as Justice Court of the respective town or village.)

Appeal from judgments of the Justice Court of the Village of Wesley Hills, Rockland County (P. Schnelwar, J.), rendered February 23, 1999. The judgments convicted defendant, after a nonjury trial, of depositing debris and/or waste materials on a village lot (Local Law No. 4 [1984] of Village of Wesley Hills § 2) and storing more than one unregistered vehicle on a residential lot (Local Law No. 14 [1984] of Village of Wesley Hills § 4.4.18).

Appeal from a judgment of the Justice Court of the Town of Wallkill, Orange County (Raymond Shoemaker, J.), entered September 15, 2000, in favor of defendant. The judgment dismissed the action.
PART V: INDEX

(References are to sections unless otherwise indicated.)

Abbreviations

appellate history used in, Appendix 3
business firms, Appendix 1
case history, 2.2 (a) (5); Appendix 3
case law reports, Appendix 2
case names, 2.1; Appendix 1
codes, 3.0; Appendix 4
commonly used, Appendixes 1, 3
constitutions, 5.0
corporations, Appendix 1
digests, Appendix 2
encyclopedias, 7.4
foreign words, Appendix 1
judges, 10.4 (a)
middle names in titles, 8.1 (d)
months, 10.3 (g)
periodicals, 7.2
personal names, 10.4 (b)
pluralization, Appendix 1
prior case history, 2.2 (a) (5); Appendix 3
reports, Appendix 2

199
NEW YORK LAW REPORTS STYLE MANUAL

spacing, 14.1

statutes, 3.1 (a); 3.2 (a); Appendix 4

statutory history, 3.1 (b) (3); 3.2; Appendix 4

subsequent case history, 2.2 (a) (5); Appendix 3

time, 10.3 (h)

Abstracts, 2.2 (b) (2)

“Accord,” as signal, 1.4 (a)

Actions, titles of, 8.0; Appendix 6

Acts

capitalization, 10.1 (j)

citation of, 3.2 (b)

Additions to statutes, 3.1 (b) (3) (b)

Administrative codes, 4.0

Advisory committee notes, statutory, 3.1 (c)

Affidavits, citation of, 7.7

Affirmations, citation of, 7.7

“Affirmed,” in case history, 2.2 (a) (5); 2.2 (a) (6); Appendix 3

Agency documents and materials, 2.4 (b)

Ages, 10.2 (a) (8)

ALR annotations, 7.5

Amended statutes, citation of, 3.1 (b) (3)

Amendments

abbreviation of, 5.2; Appendix 3

capitalization, 10.1 (j) (3)
INDEX

constitutional, 5.2; Appendix 3

statutory, 3.1 (b) (3)

American Law Reports (ALR) annotations, 7.5

Amicus Curiae, appearance of counsel as, 9.2

Animal breeds, capitalization of, 10.1 (o)

Annotations (ALR), 7.5

Appeal statements

formulation of, Appendix 8

judge name in, 10.4 (a) (1)

Appearances of counsel

multiple appearances, 9.3

pro hac vice appearances, 9.7

pro se appearances, 9.3

punctuation of appearances, 9.0

style of appearances, 9.0

Appellate Division, rules of, 4.1 (b) (3)

Appellate history

abbreviations, Appendix 3

citations including, 2.2 (a) (5)

Appendixes, citation of, 3.2 (b)

Attorney disciplinary charges, numerals used, 10.2 (a) (7)

Attorney General, appearance of counsel by, 9.6

Author, use of name of

ALR annotations, 7.5 (b)

201
legal periodicals, treatises and other works, 7.1 (b)

Bill jacket, 3.1 (f)

Bills, capitalization of, 10.1 (j)

Blocked quotations, 11.1 (a)

Bloomberg Law, 2.4 (a) (1)

Blood alcohol content, 10.2 (b) (3)

Books

citation of, 7.0

typography of, 13.8

Branches of government, capitalization of, 10.1 (d)

Briefs, citation of, 7.7

Building Code, New York City, 4.1 (b) (9)

Building Code, New York State, 4.1 (b) (8)

Business firms, abbreviation of in case names, Appendix 1

“But cf.,” as signal, 1.4 (a)

“But see,” as signal, 1.4 (a)

“Capital,” capitalization of, 10.1 (g)

Capitalization

generally, 10.0

acts, 10.1 (j)

amendments, 5.2; 10.1 (j)

animal breeds, 10.1 (o)

bills, 10.1 (j)

branches of government, 10.1 (d)
INDEX

codes, 10.1 (j)

congressional, 10.1 (c)

constitutions, 5.2; 10.1 (j)

courts, 10.1 (h)

government bodies and officials, 10.1 (b)

governments, 10.1

judges, 10.1 (i); 10.4 (a); 13.4

legal documents, 10.1 (m)

numbered items, 10.1 (p)

organized bodies, 10.1

parties, 10.1 (l); 13.1

public officers, 10.1 (b)

regional names, 10.1 (n)

states, 10.1 (c)

statutes, 10.1 (j); Appendix 4

titles of individuals, 10.1

“Capitol,” capitalization of, 10.1 (g)

Case history

abbreviations, 2.2 (a) (5); Appendix 3

citation of, 2.2 (a) (5)

date of decision, 1.1 (a)

explanatory words, 2.2 (a) (5); Appendix 3

prior and subsequent, 2.2 (a) (5); Appendix 3

sub nom., 2.2 (a) (5)

203
Case law reports, abbreviation of, Appendix 2

Case names

  generally, 2.1
  abbreviations, Appendix 1

  sub nom., 2.2 (a) (5)

Cases

  generally, 2.0
  case history, 2.2 (a) (5); Appendix 3
  citation of, 1.0; 2.0
  companion cases, 2.1 (a)
  court of decision, 1.1 (a); 2.2; 2.3
  date of decision, 1.1 (a)
  elements of citation, 1.1 (a)
  names, 2.1
  official reports, 2.0; Appendix 2
  reports, 2.2; 2.3; Appendix 2
  titles, 8.0; 13.1; Appendix 6
  unofficially reported cases, 2.1; 2.2 (b); 2.3; 2.4
  unreported cases, 2.1; 2.2 (b); 2.3 (b); 2.4

CD-ROM, treatises, 7.3 (c)

Centuries, 10.3 (f)

Certiorari, Appendix 3

“Cf.,” as signal, 1.4 (a)

CFR, 4.2 (a)
INDEX

Chapters
abbreviations, 3.1 (b) (3); 3.1 (d); 4.1; Appendix 4
Session Laws, 3.1 (d); 3.1 (f)
statutes, 3.1 (b) (3); 4.1; Appendix 4

Circuit
abbreviation of, Appendix 3
in citations, 2.3 (b)

Citational footnote style
model opinion, Appendix 7
placement of citations, 1.2 (e)

Citations
abbreviations used in, Appendixes 1-4
acts, 3.2 (b)
affidavits, 7.7
affirmations, 7.7
amended statutes, 3.1 (b) (3)
annotated reports, 7.5
ascending statutory hierarchy, 3.1 (b) (2) (b)
basic form, 1.0-7.0; Appendixes 1-4
briefs, 7.7
cached material, 7.1 (d) (3)
cases, 1.0; 2.0
cases, quoting or citing another, 2.2 (a) (6)
CD-ROM, 1.5; 7.3 (d)

205
codes, 4.0

commercial electronic databases, 7.1 (d) (8)

dates, 1.1 (a)

descending statutory hierarchy, 3.1 (b) (1)

electronic, 1.5; 2.2 (a) (8); 2.4 (a)

elements of, 1.1

encyclopedias, 7.4

exhibits, 7.7

federal rules and regulations, 4.2

former statutes, 3.1 (b) (4)

hierarchy, statutory, ascending, 3.1 (b) (2) (b)

hierarchy, statutory, descending, 3.1 (b) (1)

hierarchy, statutory, parallel, 3.1 (b) (2) (a)

introductory signals, 1.4

judicial departments and circuits, 2.2; 2.3

jump pages, 2.2 (a) (2)

legal periodicals, 7.2

multiple citations, 2.2 (a) (6)

newspapers, 2.2 (b) (3); 7.2; 13.8

New York Law Journal, 2.2 (b) (3)

not officially reported cases, 2.2 (b); 2.3; 2.4

official reports, 2.0; Appendix 2

parallel statutory hierarchy, 3.1 (b) (2) (a)

parallel, subsequent reference to, 1.3 (d)
INDEX

parenthetical citations, 1.2 (c)
placement of, 1.2
pleadings, 7.7
previously cited authority, 1.3
punctuation, 2.2; 2.3; 2.4
range of pages, sections, etc., 1.1 (c)
regulations, 4.0
repeated citations, 1.3
reports, 2.2; 2.3; Appendix 2
rules, 4.0
running text, 1.2-6.2
Session Laws, 3.1 (d)
short form, 1.3 (b)
signals, 1.4
statutes, 1.1; 3.0; Appendix 4
string citations, 3.1 (b) (2)
successive citations, short forms, 1.3; 3.1 (d) (1)
tabular cases, 2.4 (a) (2)
testimony, 7.7
textbooks, 7.3; 7.4
transcripts, 7.7
treatises, 7.3
Unconsolidated Laws, 3.1 (d); Appendix 4
United States Supreme Court, 2.3 (a)
207
unofficial reports, 2.1; 2.2 (b); 2.3; 2.4
unreported cases, 2.1; 2.2 (b)
within parentheses, 1.2; 1.3; 2.0-7.0
within running text, 1.2; 1.3; 3.0-7.0

Citation strings, statutory, 3.1 (b) (2)

City and county ordinances, 10.1 (j)

“City,” capitalization of, 10.1 (c)

Code of Federal Regulations, 4.2 (a)

Code of Professional Responsibility, citation of, 4.1 (b) (6)

Codes

abbreviations, 4.0
capitalization of, 4.0; 10.1 (j)
citation of, 4.0
federal, 4.2
judicial conduct, code of, 4.1 (b) (6)
model codes, proposed codes and uniform laws, 3.1 (e)
Professional Responsibility, Code of, 4.1 (b) (6)
State of New York, 4.1

Commas

appearances, 9.0
case citations, 1.0; 2.0
citations, 1.4; 2.2; 2.3; 2.4; 3.0-7.0
dates, 10.3
punctuation of citations, 1.4; 2.2; 3.0-7.0
INDEX

quotations, 11.1 (b); 11.1 (c) (3)

statutory citations, 3.0

Commentaries, practice, 3.1 (c)

Commission and agency documents and materials, 2.4 (b)

“Compare,” as signal, 1.4 (a)

Compilations

administrative regulations, 4.0

Official Compilation of Codes, Rules and Regulations of State of New York, 4.1

Compound words, 12.2 (a)

Consecutive sections of statute, 3.1 (b) (2)

Consolidated statutes, forms of, Appendix 4

Constitutions

generally, 5.0

abbreviations, 5.2

capitalization, 5.2; 10.1 (j) (3)

clauses, 10.1 (j) (2)

federal and state, 5.0

foreign, 5.1

historical material, 5.3

“Contra,” as signal, 1.4 (a)

Corporate names, 10.4 (c)

Counsel, appearances of, 9.0

“County,” capitalization of, 10.1 (c)
“Court,” capitalization of, 10.1 (h)

Court of Appeals, rules of, 4.1 (b) (2)

Court of decision, abbreviations, 2.2 (a) (7)

Crimes, capitalization of, 10.1 (k)

Criminal Jury Instructions, 4.1 (c) (2)

Dates

abbreviations, 10.3
amended statutes, 3.1 (b) (3)
case history, 1.1 (a)
cases, 1.1 (a)
cases, in law journals and newspapers, 2.2 (b)
cases, unreported, 2.2 (b); 2.3 (b); 2.4 (a)
centuries, 10.3 (f)
citations, 1.2; 2.2; 2.3; 2.4; 3.0; 4.0; 6.2; 7.0
commas, use of, 10.3
decades, 10.3 (e)
months, 10.3 (g)
punctuation of, 10.3
renumbered statutes, 3.1 (b) (3)
seasons, 10.3 (i)
statutes, 3.1; 6.2
style of, 10.3
unreported cases, 2.2 (b); 2.3 (b); 2.4 (a)

Decades, 10.3 (e)
INDEX

Degrees, 10.2 (b) (2)

Department of Financial Services Regulations, 4.1 (b) (11)

Dictionaries, 7.4

Disabilities, describing persons with, 12.5

Discontinued reports, 2.2 (b) (4)

Distances, use of symbols for, 10.2 (b) (2)

“District,” capitalization of, 10.1 (c)

Dollar amounts, numerals used, 10.2 (a) (2)

Dual citations

New York State cases, 2.2 (b) (1)

rules, 2.2 (b) (1); 2.3; 2.4

style, 2.2 (b) (1); 2.3; 2.4

when prohibited, 2.2 (b) (1)

when required, 2.3; 2.4 (a)

“E.g.,” use of, 1.4 (a)

Electronic sources

Appellate motion decisions, 1.5 (f); 2.2 (b) (2)

CD-ROM material, 7.3 (c)

E-books, 7.9

general rules, 1.5

Internet material, 1.5 (e); 2.4 (a) (3); 7.1 (d)

New York slip opinions, 2.2 (a) (8)

online opinions, unofficial, 2.2 (b) (2)

online opinions, unreported, 2.2 (b) (2)
online services, 2.4 (a) (1); 7.1 (d) (8)

Ellipsis, omitted material in quotations, 11.1 (c)

Emphasis

emphasized material in quotations, 11.1 (f)
typography, 13.6

Encyclopedias

abbreviations, 7.4
citation of, 7.4

Ethics opinions, 2.4 (b) (2)

Exhibits, citation to, 7.7

“Federal,” capitalization of, 10.1 (f)

Federal cases, citation of, 2.3 (a); 2.3 (b)

“Federal Government,” capitalization of, 10.1

Federal Register, 3.2 (c)

Federal Regulations, Code of, 4.2

Federal Reporter

abbreviation, Appendix 2
citation of, 2.3 (b)

Federal rules and regulations, 4.2

Federal Rules Decisions, Appendix 2

Federal Rules of Appellate Procedure, 4.2 (b) (5)

Federal Rules of Bankruptcy Procedure, 4.2 (b) (4)

Federal Rules of Civil Procedure, 4.2 (b) (1)

Federal Rules of Criminal Procedure, 4.2 (b) (2)

212
INDEX

Federal Rules of Evidence, 4.2 (b) (3)

Federal statutes
  generally, 3.2
  particular statutes, Appendix 4

Federal Statutes-at-Large, 3.2

Firearms, 10.2 (a) (6)

Footnotes
  case citation containing, 2.2 (a) (3)
  citational footnote style, 1.2 (a); 1.2 (e); Appendix 7
  citations in, 1.2 (d)
  numbers, placement of, 1.2 (f)

Foreign constitutions, 5.1

Foreign names, 13.7; Appendix 5

Foreign words and phrases,
  Latinisms, avoidance of, 12.3
  typography of, 12.3 (c); 13.7; Appendix 5

Former statutes, 3.1 (b) (4)

Fractions, 10.2 (a) (3)

Gender neutral writing, 12.1

Given names and initials, individuals, 8.1 (d); 10.4 (b)

Government
  bodies and officials, capitalization of, 10.1 (b)
  federal, capitalization of, 10.1 (f)
  state and local, capitalization of, 10.1

213
Guide to New York Evidence, 4.1 (e)

Guidelines, citation of, 7.8

Handbooks, citation of, 7.8

Hierarchy

- ascending statutory hierarchy, 3.1 (b) (2)
- descending statutory hierarchy, 3.1 (b) (1)
- parallel statutory hierarchy, 3.1 (b) (2)

Historical constitutional material, 5.3

History of cases

- citation of, 2.2 (a) (5); Appendix 3
- dates of decision, 1.1 (a)
- explanatory words, abbreviations, 2.2 (a) (5); Appendix 3
- explanatory words, italicized, 2.2 (a) (5); Appendix 3
- prior and subsequent, 2.2 (a) (5); Appendix 3
- style of, 2.2 (a) (5)

History of statutes, 3.1 (b) (3)

Hyphen

- use of in quotations, 2.2 (a) (4)
- words styles, 12.2; Appendix 5

“Id.,” use of, 1.3 (c)

“Infra,” use of, 12.6

Initials, use of in titles, 8.1 (d)

Internal Revenue Code, Appendix 4

International agreements, 6.0
INDEX

International Fire Code, 4.1 (b) (9)

International Property Maintenance Code, 4.1 (b) (9)

Internet material, 2.4 (a) (3); 7.1 (d)

Introductory signals, 1.4 (a)

Italicization, 1.4; 2.0; 7.0; 13.7; Appendix 5

Judges and Justices
  abbreviation of, 10.4 (a)
  capitalization of, 10.1 (i); 10.4 (a); 13.4
  style of names, 10.1 (i); 10.4 (a); 13.4

Judicial history of cases (see History of cases)

Jump cites (see Pinpoint page citations)

Jurisdiction, indication of in citations, 1.1 (a); 2.2

Jury Instructions
  Criminal Jury Instructions, 4.1 (c) (2)
  Pattern Jury Instructions, 4.1 (c) (1)

Latinisms, avoidance of, 12.3

Latin words, italicization of, 12.3 (c); 13.7; Appendix 5

Law journals and reviews, 7.2

Legal documents
  capitalization of, 7.4
  citation of, 7.7

Legal encyclopedias, 7.4

Legal newspapers, 7.2

Legalisms, avoidance of, 12.3

215
Legislation (see Session Laws and Un consolidated Laws, Statutes)

Legislative and other materials, 3.1 (f); 3.2 (c)

Lexis, 2.4 (a) (1); 2.4 (a) (2)

Local laws, Appendix 4

Magazines, 7.2; 13.8

Manuals, citation of, 7.8

Measurements, use of symbols for, 10.2 (b) (2)

Medium neutral citations, 2.3 (e) (4)

Model codes, 3.1 (e)

Model Colloquies, 4.1 (d)

“Modified,” in case history, 2.2 (a) (5); 2.2 (a) (6); Appendix 3

Months, abbreviation of, 10.3 (g)

Motions

abbreviations, Appendix 3

citations, 2.2 (a) (5); 2.2 (b) (2); Appendix 3

in case history, 2.2 (a) (5); 2.2 (a) (6); Appendix 3

Multiple attorneys, appearances of, 9.3

Multiple citations

case citations, 2.2 (a) (6)

statutory citations, 3.1 (b) (2)

Multiple pages, citation of, 2.2 (a)

Multiple statutes, citation of, 3.1 (b) (2)

Municipal ordinances, 10.1 (j)
INDEX

Names

generally, 10.4
authors, 7.1 (b); 7.5 (b)
case names, 2.1; Appendix 1
corporate names, 10.4 (c)
foreign names, 13.7; Appendix 5
judge name in opinion opening, typography of, 13.4
newspapers and magazines, typography of names of, 13.8
reporter, citing out-of-state cases by name of, 2.3 (c) (3)
reports, Appendix 2
statutes, Appendix 4
style of judges names, 10.1 (i); 10.4 (a); 13.4
style of personal names, 10.4 (b)
titles, 8.0; 9.5; 10.1 (b); Appendix 6

Names of cases

abbreviations, Appendix 1
style, 2.1; Appendixes 1, 6

National Reporter System (see Unofficial reports)

Newspapers

general style, 7.2 (a)
typography of names of, 13.8

New York City

Charter, Appendix 4
Personnel Rules and Regulations, 4.1 (b) (1)
Rules of City of New York, 4.1 (b) (1)

New York City Record, 3.1 (f)

New York Law Journal, citation to, 2.2 (b) (3)

New York State

Building Code, 4.1 (b) (8)

Codes, Rules and Regulations, 4.1 (a); 4.1 (b)

court decisions, 2.2; Appendix 2

Session Laws, 3.1 (d)

statutes, Appendix 4

New York State Courts Electronic Filing Documents, 7.7

New York State Register, 3.1 (f)

Non-attorney, appearance of counsel by, 9.3 (a); 9.3 (b)

Nonstatutory material in statutory compilations, 3.1 (c)

Nouns, capitalization of, 10.1

Numbered items, capitalization of, 10.1 (p)

Numbers

ages, 10.2 (a) (8)

attorney disciplinary charges, 10.2 (a) (7)

criminal sentences, 10.2 (a) (5)

dollar amounts, 10.2 (a) (2)

first word of sentence, 10.2 (a) (1)

fractions, 10.2 (a) (3)

lists, 10.2 (a) (9)

prisoner disciplinary hearings, 10.2 (a) (7)
INDEX

sex offender risk levels, 10.2 (a) (7)
state retirement tiers, 10.2 (a) (7)

Numerals
in constitutions, 5.2; 10.2 (a) (4)
in statutes, 3.1 (b); 10.2 (a) (4)
roman, 10.2 (a) (4)

Official Compilation of Codes, Rules and Regulations of State of New York, 4.1 (a)

Official reports
abbreviations, Appendix 2
citation of, 2.2; 2.3

Online services, 2.4 (a) (1)

Opposing citations, introductory signals to, 1.4

Out-of-state cases, 2.3 (c)

Out-of-state statutes, 3.3

Ordinances, capitalization of, 10.1 (j) (1)

Page references
“At,” use in citation to particular page, 1.3
legal periodicals, treatises and other works, 7.1 (a)
pinpoint page citations, 1.3; 2.2 (a) (2); 2.2 (a) (3)

Paragraph headings, typography of, 13.2

Parallel citations
cases, official and unofficial reports, 1.3; 2.2 (b) (1); 2.3 (a); 2.4 (a)
Internet material, 7.1 (d) (7)
New York cases, 2.2 (b) (1)

219
official citation not available, 2.2 (b); 2.3; 2.4
officially reported case, 2.2; 2.3
subsequent reference to, 1.3 (d)
unofficial reports, 2.2 (b) (1); 2.3; 2.4

Parentheses

citation of cases and reports within parentheses, 1.2 (a); 1.2 (c); 2.2; 2.3; 2.4
citation of codes, rules and regulations within parentheses, 1.2 (a); 1.2 (c); 4.0
citation of statutes within parentheses, 1.2 (a); 1.2 (c); 3.0; Appendix 4
constitutional citations within parentheses, 5.2 (a)
punctuation of parenthetical citations, 1.2 (c); 2.0-7.0

Parenthetical indications

court of decision, 2.2; 2.3; 2.4
dates, 1.1 (a); 2.2; 2.3; 2.4
jurisdiction, 2.2; 2.3; 2.4
popular name of statute, 3.1 (d)

Parties, capitalization of, 10.1 (l)

Pattern Jury Instructions, 4.1 (c) (1)

Percentage, 10.2 (b) (3)

Periodicals

general style, 7.2 (a)
student-authored works, 7.2 (b)
titles, 7.1 (c)
INDEX

**Periods**, use of to punctuate quotations, 11.1 (b); 11.1 (c) (2)

**Personal identifying information**

  titles of actions and proceedings, 8.4

  word style, 12.4

**Personal names**, 10.4 (b)

**Personnel Rules and Regulations, New York City**, 4.1 (b) (1)

**Pinpoint page citations**, 1.3; 2.2 (a) (2); 2.2 (a) (3)

**Placement of citations**, 1.2

**Pleadings, citation of**, 7.7

**Pluralization of case name abbreviations**, Appendix 1

**Point page citations**, (see Pinpoint page citations)

**Popular names of acts and constitutional clauses**, capitalization of, 10.1 (j) (2)

**Practice commentaries**, 3.1 (c)

**Previously cited authority**, reference to, 1.3

**Prisoner disciplinary hearings**, numerals used, 10.2 (a) (7)

**Proceedings**, titles of, 8.0; Appendix 6

**Pro hac vice appearances**, 9.7

**Publications**, citation of, 7.0

**Public domain citations**, 2.3 (c) (4); Appendix 2 (D)

**Punctuation**

  ascending statutory hierarchy, 3.1 (b) (2)

  citations, 1.0-7.0

  citations within parentheses, 1.2 (c) (2)
descending statutory hierarchy, 3.1 (b) (1)

history of case, 2.2 (a) (5); 2.2 (a) (6)

introductory signals, 1.4

parallel statutory hierarchy, 3.1 (b) (2)

quotations, 2.2 (a) (4); 11.1 (a); 11.1 (b)

statutes, 3.0

Quotation marks, 11.2

Quotations

generally, 11.1 (a)

citation, 2.2 (a) (4)

emphasis in, 11.1 (f)

marks, 11.2

multiple page, 2.2 (a) (4)

periods, use of, 11.1 (b)

punctuation of, 11.1 (a); 11.1 (b); 11.1 (c) (2)

style of, 11.1 (a)

References to previously cited cases, 1.3

References to statutes, 3.0

Regional names, capitalization of, 10.1 (n)

Regulations

generally, 4.0

capitalization of, 10.1 (j) (1)

citation using agency name, 4.1 (a) (3); 4.1 (b) (11)
federal, 4.2
INDEX

New York, 4.1
   quotation of, 11.1 (g)

Rent statutes and regulations, 4.1 (b) (7)

Renumbering of statutes, 3.1 (b) (3) (c)

Repealed New York statutes, style and abbreviation of, Appendix 4

Repeating citations, 1.3

Reporter, citing out-of-state cases by name of, 2.3 (c) (3)

Reports
   abbreviations, Appendix 2
   citations, 2.2; 2.3
   dual citations, 2.2 (b); 2.3 (a); 2.3 (c); 2.4 (a)
   manuals, handbooks, guidelines and reports, citation of, 7.8
   official, 2.2; 2.3; 2.4
   unofficial, 2.2 (b); 2.3; 2.4

Restatements, 7.6

“Reversed,” in case history, 2.2 (a) (5); 2.2 (a) (6); Appendix 3

“Reversing,” in case history, 2.2 (a) (5); Appendix 3

Roman numerals, 10.2 (a) (4)

Rules of Professional Conduct, citation of, 4.1 (b) (6)

Rules
   Attorney Disciplinary Matters, Rules for, 4.1 (b) (6)
   capitalization of, 10.1 (j) (1)
   federal, 4.2
   New York, 4.1

223
Professional Conduct, Rules of, 4.1 (b) (6)

quotation of, 11.1 (g)

Seasons, 10.3 (i)

Section headings, typography of, 13.2

Section numbers
  citations, 3.0; 4.0; 6.2; 7.3-7.6
  Session Laws, 3.1 (d)
  statutes, 3.0

Section symbol, use of, 3.1

“See,” as signal, 1.4 (a)

“See also,” as signal, 1.4 (a)

“See generally,” as signal, 1.4 (a)

Session Laws and Unconsolidated Laws, 3.1 (d)

Sex offender risk levels, numerals used, 10.2 (a) (7)

Short citation forms
  cases, 1.3
  repeating citations, 1.3
  secondary authority, 1.3
  Session Laws, 3.1 (d)
  statutes, 1.3
  Unconsolidated Laws, 3.1 (d)

“[sic],” use of, 11.1 (e)

Signals, 1.4 (a)
INDEX

Slip opinions

New York slip opinions, 2.2 (a) (8)

unofficially reported New York slip opinions, 2.2 (b)

Small capitals, 13.5

Spacing, 14.0

“State,” capitalization of, 10.1 (c)

State cases

citation, 1.1-1.3; 2.0

official reports, 1.1-1.3; 2.0

parallel citations, 1.3 (d); 2.2 (b) (1); 2.3; 2.4

reports, 1.1-1.3; 2.0; Appendix 2

unofficial reports, 2.2 (b); 2.3; 2.4

“State of,” inclusion in titles, 10.1 (c)

State retirement tiers, 10.2 (a) (7)

Statutes

generally, 3.0

abbreviation style, 3.1 (a); Appendix 4

amended, 3.1 (b) (3)

ascending statutory hierarchy, 3.1 (b) (2)

capitalization of, 10.1 (j); Appendix 4

citation of, 1.0; 3.0; Appendix 4

clauses in cited material, 3.1 (b) (1); 10.1 (j) (2)

consolidated statutes, 3.1

descending statutory hierarchy, 3.1 (b) (1)

225
divisions, 1.1; 3.0; 3.1 (b) (1) (b)
elements of citation, 1.1 (b)
federal statutes, 3.2; Appendix 4
former statutes, 3.1 (b) (4)
forms within parentheses, 1.2 (c); 3.0; Appendix 4
forms within running text, 1.2 (b); 3.0; Appendix 4
New York statutes, 3.1; Appendix 4
out-of-state statutes, 3.3
paragraphs in cited material, 3.0; 4.1 (a); 4.2 (a)
parallel statutory hierarchy, 3.1 (b) (2)
punctuation, 1.1; 3.0
quotation of, 11.1 (g)
rent, 4.1 (b) (7)
renumbered, 3.1 (b) (3)
Session Laws, 3.1 (d)
short citation forms, 1.3; 3.1 (b) (1) (c)
spacing, 14.2
subdivisions in cited material, 3.0
Unconsolidated Laws, 3.1 (d); Appendix 4

Statutes at Large, federal, 3.2

Statutory references, 3.0

String citations, 2.2 (a) (6); 3.1 (b) (2)

Student-Authored works, 7.2 (b)
INDEX

Style

abbreviations, Appendixes 1-4
appearances of counsel, 9.0
capitalization, 10.1
case names, 2.1; Appendix 1
citations, 1.0-7.0
dates, 1.1 (a); 10.3
judges' names, 10.1 (i); 10.4 (a); 13.4
personal names, 10.4 (b)
quotations, 2.2 (a) (4); 11.0
titles, 8.0; 13.1; Appendix 6
typography, particular styles of, 13.0
word styles, 12.0; Appendix 5

Subsequent case history, 2.2 (a) (5); Appendix 3

Successive citations, short forms, 1.3

Summaries, Appendix 8

Superseded New York statutes, style and abbreviation, Appendix 4

Supporting citations, introductory signals to, 1.4

“Supra,” use of, 12.6

Supreme Court of the United States cases, 2.3 (a)

Symbols, 10.2 (b)

Tables, in opinions, 13.3

Tabular cases, citation of, 2.4 (a) (2)

Testimony, citation of, 7.7
Text

citation of cases in, 1.2; 1.3 (b) (1)
citation of codes, rules and regulations in, 4.0
citation of constitutions in, 5.0
citation of statutes in, 1.2; 3.0

Time

generally, 10.3 (h)
abbreviation of, 10.3 (h)

Titles

actions and proceedings, 8.0; Appendix 6
decisions, typography of titles of, 13.1
legal periodicals, 7.1 (c); 7.2
middle names of parties, 8.1 (d)
parties, 8.0; Appendix 6
public bodies and officials, 8.1 (e); Appendix 6
treatises, 7.1 (c); 7.3 (b)
use of initials, 8.1 (d)

“Town,” capitalization of, 10.1 (c)

Transcripts, citation of, 7.7

Treaties and international agreements, 6.0

Treatises, citation of, 7.3

Typography

books, 13.8
case titles, 13.1
INDEX

italicization, 1.4; 2.0; 7.0; 9.0; 12.3 (c); 13.0; Appendix 5
judges' names, 13.4
magazines, 13.8
names of cases, 2.1
newspapers, 13.8

**Unconsolidated Laws**

citation of, 3.1 (d); Appendix 4
short-form citation, 3.1 (d); Appendix 4

**Uniform laws,** 3.1 (e)

**Uniform Rules for New York State Trial Courts, 4.1 (b) (5)**

**United States Code**

abbreviation of, Appendix 4
citation of, 3.2; Appendix 4

**United States Public Laws, 3.2 (b)**

**United States Reports**

abbreviation of, Appendix 2
citation of, 2.3 (a)

**United States statutes, 3.2; Appendix 4**

**United States Supreme Court cases, 2.3 (a)**

**Unofficially reported cases**

New York cases, 2.2 (b)
United States Supreme Court, 2.3 (a)

**Unofficial reports, citation of, 2.2 (b); 2.3; 2.4**

229
Unreported cases
  abstracts of, 2.2 (b) (2)
  New York cases, 2.1 (a) (2); 2.2 (b)
Vendor neutral citations, 2.3 (c) (4); Appendix 2 (D)
“Village,” capitalization of, 10.1 (c)
Weight of citations
  introductory signals, 1.4 (a)
  decision type, 2.2 (a) (7)
Westlaw, 2.4 (a)
Words, omitted in case titles, 8.1 (f)
Word styles, 12.0; Appendixes 1, 5
Years
  case citations, 1.1 (a); 2.2; 2.3; 2.4
  dates, 10.3
  enactment, Session Laws, 3.1 (d)
  Session Laws, 3.1 (d)
  statutory citations, 3.1; 4.0; 6.2
1.0 CITATION STYLE IN GENERAL

1.3 REFERENCE TO PREVIOUSLY CITED AUTHORITY

page 7

1.3 (c) Subsequent Reference to Immediately Preceding Authority

Add the following example after the (id. § 468-a) citation:

(id. § 130-1.2) [Note: reference to an immediately preceding NYCRR citation at a different section. Use section symbol with id.]

2.0 CASES

2.2 NEW YORK COURT DECISIONS

page 15

2.2 (a) Decisions Officially Reported

(5) Citation Including Appellate History

Add the following examples after the People v Rowe citation:

(Matter of Social Serv. Empls. Union, Local 371 v Cunningham, 109 Misc 2d 331 [Sup Ct, NY County 1981], affd without op 90 AD2d 696 [1st Dept 1982])

(Anonymous v City of Rochester, 56 AD3d 139 [4th Dept 2008], affd on other grounds 13 NY3d 35 [2009])

page 17

(7) Jurisdiction and Date; Optional Information
Decision Type

*Add the following example after the Matter of Anonymous citation:*

(*People v Nash*, 179 AD3d 982 [2d Dept 2020, Scheinkman, P.J., individual-Justice review]) [Note: decision on expedited review under CPL 245.70]

**2.2 (b) Unofficially Reported or Unreported Decisions**

(2) Citation to Unreported Cases

**Unreported New York Slip Opinions with Published Abstracts**

*Add the following after the short-form citation to Lee:*

Use of *id.* with a pinpoint star page is permitted to refer to an immediately preceding short-form abstracted case citation that contains only the Slip Opinion citation.

**2.4 OTHER SOURCES OF DECISIONS**

**2.4 (b) Commission, Agency and Ethics Opinions**

(1) Commission and Agency Documents and Materials

*Add the following example after the NY St Off of Children & Family Servs citation:*

3.0 STATUTES AND LEGISLATIVE MATERIALS

3.1 NEW YORK STATUTES AND LEGISLATIVE MATERIALS

page 30

3.1 (b) Statutory Citation Style

(2) Citation Strings and Multiple Statutory Citations

(c) Multiple Sections of Statute with Section Symbol

Citations within Parentheses

*Add the following example after the existing Labor Law citation:*

(Labor Law § 200; *see also* § 220-c) [Note: where only one section is cited before another cite to a different section of the same law preceded by a signal, include only one section symbol in the initial citation and include a section symbol after the signal]

page 33

3.1 (c) Nonstatutory Material in Statutory Compilations

(2) Citations within Parentheses

*Add the following examples:*

(McKinney’s Cons Laws of NY, Book 1, Statutes § 51; *see* § 74) [Note: where only one section is cited before another cite to a different section of the same authority preceded by a signal, include only one section symbol in the initial citation and include a section symbol after the signal]

(McKinney’s Cons Laws of NY, Book 1, Statutes §§ 92, 94; *see also* 97) [Note: citation to an additional section following a signal where multiple sections are cited initially]

*(see Margaret Valentine Turano, 2018 Supp Practice Commentaries, McKinney’s Cons Laws of NY, EPTL 5-1.1-A) [Note: online version]*
3.1 (d) Session Laws and Unconsolidated Laws

(2) Citations within Parentheses

Add the following examples after the (L 1962, ch 21, as amended) citation:

(L 2017, ch 59, § 1, part WWW)

(Housing Stability and Tenant Protection Act of 2019, L 2019, ch 36, § 1, part F, § 7)

(3) Citations in Running Text

Add the following examples after the Laws of 1962 (ch 21, as amended) citation:

Laws of 2017, chapter 59, § 1, part WWW

Section 7 of part F of the Housing Stability and Tenant Protection Act of 2019 (L 2019, ch 36, § 1, part F, § 7)

3.1 (f) Legislative and Other Materials

Add the following example after the Letter from St Ins Dept citation:

(NY St Bar Assn, Trusts and Estates Section, Comm on Estate Planning Mem in Support, Bill Jacket, L 1992, ch 591 at 16)

Add the following examples after the Executive Order (Pataki) citation:

(Executive Order [A. Cuomo] No. 202.28 [9 NYCRR 8.202.28]) [Note: use first initial where multiple Governors have the same last name]

(NY City Executive Order [de Blasio] No. 39) [Note: orders numbered from the beginning of the Mayor’s term rather than by year]

Add the following example after the NY Senate Debate citation:

(NY Senate Actions on 2019-2020 Senate Bill S2071-B)
4.0 REGULATIONS, COURT RULES, JURY INSTRUCTIONS, COLLOQUIES AND NEW YORK EVIDENCE GUIDE

4.1 NEW YORK RULES, REGULATIONS, INSTRUCTIONS, COLLOQUIES AND NEW YORK EVIDENCE GUIDE

page 44

4.1 (a) Basic Citation Form

(1) Citations within Parentheses

Add the following example to the chart after the Chapter entry:

<table>
<thead>
<tr>
<th>Unit Cited</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subchapter</td>
<td>(12 NYCRR ch I, subch A)</td>
</tr>
</tbody>
</table>

Update the following portion of the chart:

<table>
<thead>
<tr>
<th>Unit Cited</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Range of sections</td>
<td>(12 NYCRR 39.1-39.5)</td>
</tr>
<tr>
<td></td>
<td>(6 NYCRR 248-1.1–248-11.1) [Note: use en dash between hyphenated section numbers]</td>
</tr>
</tbody>
</table>

page 45

4.1 (b) Particular Rules and Regulations

Add the following after the heading:

Subsequent citations to particular rules that include a parallel reference to the NYCRR, Administrative Code of the City of New York or RCNY in the initial full citation need not include both sources.

Examples:

First citation: (Uniform Rules for Trial Cts [22 NYCRR] § 202.5)

Acceptable subsequent citations: (Uniform Rules for Trial Cts § 202.5) or (22 NYCRR 202.5)

First citation: Rent Stabilization Law of 1969 (RSL) (Administrative Code of City of NY) § 26-516 . . . [Note: use of a short-form name or abbreviation for a rule (e.g. RSL) is
appropriate if included with the first full citation as here; however, use of abbreviations or short forms is not required]

Acceptable subsequent citations: RSL § 26-516 . . . or Rent Stabilization Law of 1969 § 26-516 . . . or Administrative Code of City of NY § 26-516 . . .

page 47


(b) Citations within Parentheses

Update the following citation example:

(Rules of Professional Conduct [22 NYCRR 1200.0] rules 1.1 Comment [2]; 1.8 Comment [8] [NY St Bar Assn rev June 2018]) [Note: citation to rules and commentary]

Add the following examples after the above citation:

(NY Rules of Professional Conduct with Commentary, Preamble [1] [NY St Bar Assn rev June 2018]) [Note: citation to commentary only]

(NY Rules of Professional Conduct with Commentary rule 2.4 Comment [2] [NY St Bar Assn rev June 2018]) [Note: citation to commentary only]

(Rules of Professional Conduct rule 1.8) [Note: subsequent citation to rules]

(ABA Standards for Imposing Lawyer Sanctions standard 9.22 [i])

(ABA Annotated Standards for Imposing Lawyer Sanctions standard 9.22 [i], Annotation at 442 [2015])

(ABA Standards for Imposing Lawyer Sanctions standard 9.22 [i], Commentary [1992])

page 48

(c) Citations in Running Text

Update the following citation example:

Comment (8) of Rules of Professional Conduct (22 NYCRR 1200.0) rule 1.8 (NY St Bar Assn rev June 2018)
(7) Rent Statutes and Regulations

(a) Citations within Parentheses

Update the following citation example:


page 49

Add the following after the Rent Stabilization Code citation:

Subsequent citation without NYCRR: (Rent Stabilization Code § —)

page 50

(10) New York City Building Code

Add the following after the examples in section 4.1 (b) (10) (b):

While subsequent short-form references to the 1968 Building Code can be cited using either Administrative Code or 1968 Building Code alone with the section number, short-form references to the current New York City Building Code should not be cited with only Administrative Code and the section number.

Examples:


First citation: (NY City Building Code [Administrative Code of City of NY, tit 28, ch 7] § BC 105.6.1)

Acceptable subsequent citation: (NY City Building Code § BC 105.6.1)

NOT: (Administrative Code § BC 105.6.1)
6.0 TREATIES AND INTERNATIONAL AGREEMENTS

6.2 EXAMPLES

6.2 (a) Citations within Parentheses

Update the following citation example:

(Convention on the Recognition and Enforcement of Foreign Arbitral Awards art II [2], 21 UST 2517, TIAS No. 6997 [1958])

Add the following example after the above citation:

(Vienna Convention on Diplomatic Relations art 31 [1], 23 UST 3227, TIAS No. 7502 [1961])

6.2 (b) Citations in Running Text

Update the following citation example:

Article II (2) of the Convention on the Recognition and Enforcement of Foreign Arbitral Awards (21 UST 2517, TIAS No. 6997 [1958])

Add the following new example after the above citation:

Vienna Convention on Diplomatic Relations art 31 (1) (23 UST 3227, TIAS No. 7502 [1961])

7.0 LEGAL PERIODICALS, TREATISES AND OTHER WORKS AND DOCUMENTS

7.1 GENERAL RULES

7.1 (d) Internet Material

(6) Navigation Instructions

Update the following citation example:
7.3 TREATISES

7.3 (a) General Style

Update the following citation examples:

(8 Warren’s Weed New York Real Property § 92.16 [2019]) [Note: online treatise]

(3 Warren’s Negligence in the New York Courts § 80.01 [2] [2019]) [Note: online treatise]

(11 Warren's Heaton on Surrogate's Court Practice § 194.01 [5] [2019]) [Note: online treatise]

Add the following new citation examples:

(1 Bruce J. Bergman, Bergman on New York Mortgage Foreclosures § 4.07 [1] at 4-46 [1990 & 2018 Update])

(4 Bergman on New York Mortgage Foreclosures § 34.04 [4] [a] [2018]) [Note: online treatise]

page 67

Update the following citation example:

(7 Weinstein-Korn-Miller, NY Civ Prac: CPLR ¶ 5232.23 [2019]) [Note: online treatise]

Add the following new citation examples:

(David D. Siegel & Patrick M. Connors, New York Practice § 78 at 162 [6th ed 2018])

(Siegel & Connors, NY Prac § 78 at 162 [6th ed 2018]) [Note: acceptable print version citation]

(Siegel & Connors, NY Prac § 78 [6th ed 2018]) [Note: online treatise]
7.4 DICTIONARIES AND ENCYCLOPEDIAS

page 67-68

Update the following citation examples:

(Black’s Law Dictionary 712 [11th ed 2019])

(Black’s Law Dictionary [11th ed 2019], mens rea) [Note: online version]

7.7 LEGAL DOCUMENTS

page 69

Add the following new example after the existing affidavit citation example:

(Marshall aff para 12)

Add the following new examples after the existing affirmation citation examples:

(Kelly affirmation, exhibit 7, David Gold deposition tr at 120); (affirmation of plaintiff’s counsel in support of cross mot, exhibit A); (affirmation of counsel for defendant Smith in opp to mot ¶ 9); (affirmation of Jane Baker in support of defendant's mot to vacate, exhibit H at 4)

Add the following new category and example after the affirmation citation examples:

Affidavit as exhibit to affirmation: (affirmation of defendant’s counsel, exhibit D, aff of John Smith ¶ 14)
PART II: OTHER STYLE ISSUES

10.0 CAPITALIZATION, NUMERALS AND NUMBERS, DATES AND TIME, AND NAMES

10.2 NUMERALS, NUMBERS AND SYMBOLS

page 91

10.2 (a) Numerals and Numbers

(1) Spelling Out

Add the following after the last sentence of the existing paragraph:

As an exception to the general rule, counts of an indictment should appear as numerals (e.g. “Defendant contends that his conviction under count 3 must be dismissed”).

PART III: TYPOGRAPHY AND SPACING

13.0 TYPOGRAPHY

13.7 FOREIGN WORDS AND PHRASES

page 110

Update the second sentence of the rule to read as follows:

If not on the list, italicize foreign words and phrases only if they are italicized in Black’s Law Dictionary (11th ed 2019).
APPENDIX 3

APPELLATE HISTORY AND OTHER ABBREVIATIONS USED IN CITATIONS

page 146

Add the following word and abbreviation to the table:

sequence seq

APPENDIX 5

STYLE OF PARTICULAR WORDS

page 156

E

Add the following new term:

e-file

page 162

V

Add the following new term:

venireperson
APPENDIX 6

TITLES IN VARIOUS ACTIONS AND PROCEEDINGS, WITH CASE NAMES

page 164

Add the following new category and example:

adolescent offenders

the people of the state of New York, plaintiff, v Y.L., defendant.

or

the people of the state of New York, plaintiff, v Y.L., Adolescent Offender.

case name: People v Y.L.

page 166

Update the following example:

Civil Forfeiture

Christine Malafi, County Attorney for the County of Suffolk, Plaintiff v A 2000 Volkswagen, VIN No. WVWMA23B3YP226270, et al., Defendants.

case name: Malafi v A 2000 Volkswagen, VIN No. WVWMA23B3YP226270

page 170

Add the following new category and examples:

government officials

In the Matter of Deborah Soule, Appellant, v Tina Stanford, in Her Official Capacity as Chairperson of Board of Parole, Respondent.

case name: Matter of Soule v Stanford

In the Matter of Harvey Goldberg, Appellant, v MaryEllen Elia, as Commissioner of Education, Respondent.

case name: Matter of Goldberg v Elia