1	COURT OF APPEALS
2	STATE OF NEW YORK
3	
4	PEOPLE,
5	Respondent,
6	-against-
7	No. 158 ANDREW BLAKE,
8	Appellant.
9	
LO	20 Eagle Street Albany, New York 12207
L1	September 11, 2014
L2	Before:
L3	CHIEF JUDGE JONATHAN LIPPMAN ASSOCIATE JUDGE VICTORIA A. GRAFFEO
L4	ASSOCIATE JUDGE SUSAN PHILLIPS READ ASSOCIATE JUDGE ROBERT S. SMITH
L5	ASSOCIATE JUDGE EUGENE F. PIGOTT, JR. ASSOCIATE JUDGE JENNY RIVERA
L6	ASSOCIATE JUDGE SHEILA ABDUS-SALAAM
L7	Appearances: ALEXANDRA KEELING, ESQ.
L8	OFFICE OF THE APPELLATE DEFENDER Attorneys for Appellant
L9	11 Park Place, Suite 1601 New York, NY 10007
20	VINCENT RIVELLESE, ADA
21	THE NEW YORK COUNTY DISTRICT ATTORNEY'S OFFICE Attorneys for Respondent
22	One Hogan Place New York, NY 10013
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24	
25	Sharona Shapiro Official Court Transcriber

1 CHIEF JUDGE LIPPMAN: 158, People v. Blake. 2 Counselor, do you want any rebuttal time? 3 MS. KEELING: I would, Your Honor. 4 minutes, please. 5 CHIEF JUDGE LIPPMAN: Two minutes. 6 ahead. 7 MS. KEELING: Alexandra Keeling for Andrew 8 Blake. May it please the court. 9 Where there is no dispute here that the 10 defense was entitled to an adverse inference charge 11 regarding the missing video, which was the only 12 objective evidence of the incident, this is that rare 13 case where counsel was ineffective for failing to 14 request it. 15 JUDGE SMITH: There's no dispute now, 16 because we've decided Handy. You can't blame trial 17 counsel for not having read Handy. 18 MS. KEELING: Of course, Your Honor, I do, 19 number one, think that this case presents an 20 excelling corollary to Handy. However, Handy 21 reaffirmed principles; adverse inference existed at 22 that time. Where evidence goes missing because of 23 the State's failure to preserve or because it was

destroyed, good faith or bad, an adverse inference

charge is warranted.

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1	JUDGE ABDUS-SALAAM: So what if trial
2	counsel had a strategic reason for not asking for an
3	adverse inference charge?
4	MS. KEELING: Your Honor, I think, given
5	the circumstances of this case, there can be no sound
6	strategy here. Number one, we know that counsel put
7	her full force behind this argument, and I I
8	would say that Turner is very instructive here. What
9	we know from this record is that self-defense was the
10	only question for the jury.
11	CHIEF JUDGE LIPPMAN: Right, but it's not
12	like the attorney did nothing, right, in relation to
13	the video?
14	MS. KEELING: Correct, Your Honor. We
15	submit this is a single error case. How
16	CHIEF JUDGE LIPPMAN: This is such a
17	glaring singular error?
18	MS. KEELING: Yes, Your Honor, and
19	especially
20	JUDGE GRAFFEO: But wasn't her comments in
21	the closing stronger than what the adverse inference
22	would have been? She basically claimed that he would
23	have been exonerated if they had seen the video, so
24	maybe she didn't want the adverse
25	MS. KEELING: Absolu I think her

argument was absolutely not stronger than the adverse inference, because no one told the jury they were permitted to do this. And I think this goes directly to what the prosecutor did say in summation, which was unintentionally precisely what the judge then supported in his general instructions.

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The prosecutor - - - and I would direct Your Honors to the appendix at 1108, 1110 through She says a couple of things that I think are very critical. She says maybe the reason why we don't have this tape is because there was nothing on it. After you've heard all of this testimony about how when there is some violent incident like this everybody goes to the VIPER unit and they pull all of the tapes, she then says because we don't have it, it is not a reasonable doubt. And then she says the judge will instruct you that speculation has absolutely no place in your deliberations, and defendant's self-defense here is utter sheer speculation. The judge then follows up with, rightfully so, reasonable doubt cannot be borne of speculation, and you are to decide this case only on the evidence before you. So everything that the judge says actually supports the prosecutor's version. And so given those facts as well - - -

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JUDGE ABDUS-SALAAM: So is it your position that had the judge given an instruction that said to the jury you may, but you're not required to, draw an inference that the tape was destroyed and that it would have been favorable to the defendant, that that would have bolstered the arguments made by counsel - - -

MS. KEELING: Absolutely, and in fact - -
JUDGE ABDUS-SALAAM: - - and countered

the ones about speculation?

MS. KEELING: It - - - it would have certainly supported - - - it would have - - - in our criminal justice jurisprudence, the fact that you can speculate that something is favorable to the defense, I mean, that the jury would have - - - know that they were permitted to do this. Everything that they were told by the judge inferred that they were not permitted to do this. If you put yourself in the place of the jurors, you can imagine a juror in that jury room saying, uch, if only we had the tape, and someone saying, well, remember what the judge said, we're not really allowed to think about that.

But in terms of supporting what counsel was saying, there were complex questions on reasonable defense. And the - - - the jury never got any

guidance as to the effect of the fact that this tape
was missing. Number one, I think the video itself,
the nature and the quality of the evidence here goes
to these questions of prejudice. The video was
important; it was central; it was critical. Number
one, we know the nature and the potency of video in
our culture. We see it every day in the news; see
the NFL situation. But we also have a lot of
testimony about this camera and this surveillance.
Number one, it captures those critical moments which
go to the complex questions of self-defense. I would
also point Your - -

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JUDGE SMITH: Is it just one camera that's missing? I mean, the summation made it sound like it was two. But it's really one?

MS. KEELING: There are - - - there's another one, but I think the one camera is the most critical here in terms of why this failure to know that they could have inferred that it was favorable to the defense.

JUDGE SMITH: You're talking about camera 23 and the playground camera? That's - - -

MS. KEELING: Right, and so camera 23, in the appendix, in Sergeant Buonviaggio's testimony, at 514 through 526 of the appendix, he talks about how

this camera - - - we do know a lot about the potential of what the video could have shown, that it was a continuous motion camera in the area where the shooting occurs. It has zoom capabilities. But there were other factors here, the atmosphere of aggression. Questions of self-defense are all about the scene. And I think self-defense is instructive here too. Number one, it includes the - - - the reasonable actual - - -

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JUDGE SMITH: Yeah, but you've got a client who offered the police money to destroy tapes. How likely is it the jury is going to think that there's going to be an exculpatory tape somewhere that was destroyed?

MS. KEELING: Well, this goes back, Your
Honor, I think, to the questions of self-defense that
the jury was enti - - - that it was required to
answer. There's an objective and subjective
component to it. And also, I think, mistaken belief,
which is part of the law on self-defense, is critical
here, as well as duty to retreat, which is objective
and subjective. The adverse inference tells them
that they could have thought about that missing video
as favorable to the defense, that it actually would
have been evidence to support self-defense, which

again, are incredibly lay - - - multi-layered, incredibly complex. We had an atmosphere of aggression that night. Just before the shooting occurs, Mr. Blake's companion is punched in the face with such force that they both fall into each other. We know, by the complainant's own testimony, that they're looking for the fight. And with this question of the mistaken belief, that they could have heard from the judge,

And with this question of the mistaken belief, that they could have heard from the judge, the imprimatur of the judge, we all - - - everybody agrees the importance of jury instructions, especially in the face of hearing from the prosecutor, hey, maybe the reason why we don't have that tape is because it doesn't show anything on it, even though we're the ones who lost it and we carry that burden. And this goes, again, back to Your Honor's decision in - - - the written decision in Handy. It's an excellent corollary to that.

JUDGE GRAFFEO: Handy was five - - - four or five years after this situation, though.

MS. KEELING: Correct, but - -
JUDGE GRAFFEO: So I mean - -
MS. KEELING: - - - the - - -

JUDGE GRAFFEO: - - - this isn't an effective assistance.

1 MS. KEELING: But again, Your Honor, 2 adverse inference - - - missing evidence - - - this 3 goes back to People v. Kelly years before. JUDGE READ: So we didn't need to bother to 4 5 decide Handy; it was all so obvious? MS. KEELING: No, Your Honor, I think - - -6 I think that Handy certainly fleshed out these 7 8 questions. However, the - - -9 JUDGE SMITH: Well, obviously it wasn't 10 impossible to move for an adverse inference before 11 Handy, because Handy did. MS. KEELING: Correct, Your Honor. Adverse 12 13 inference existed, and rightfully so, where the State loses critical evidence, and I think - - -14 15 JUDGE ABDUS-SALAAM: But wasn't that a 16 strategic choice by the lawyer in Handy to ask for 17 it? And perhaps there was a strategic reason not to ask for it here? 18 19 MS. KEELING: Your Honor, again, this goes 20 back to what we know about here, and - - - and this 21 goes to People v. Turner, but this is like - - - this 22 is like a surgeon failing to stitch somebody up. 23 This is the finish line where, especially after what 2.4 she heard the prosecutor tell this jury, that you are

not permitted to speculate - - - no one told the jury

1	they are permitted to speculate in favor of the
2	defense.
3	JUDGE SMITH: Well, no one told them they
4	were permitted to speculate. The defense lawyer did
5	some pretty fancy speculating.
6	MS. KEELING: Well, and why should the jury
7	have believed what
8	JUDGE RIVERA: Well, I think your point is
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10	MS. KEELING: she was saying
11	JUDGE RIVERA: no neutral person in
12	the courthouse told them
13	MS. KEELING: Correct, Your Honor.
14	JUDGE RIVERA: we intended that you
15	may speculate pursuant to our law.
16	MS. KEELING: Correct, Your Honor. And I
17	think it's the confluence of factors here that pushes
18	this case. It's one, the unique nature of an adverse
19	inference charge. Handy speaks to that, absolutely.
20	JUDGE ABDUS-SALAAM: The adverse inference
21	charge doesn't invite speculation.
22	MS. KEELING: No, it it does
23	JUDGE ABDUS-SALAAM: And by the way,
24	counsel, isn't the "don't speculate" charge a usual
25	charge given by the judge?

1 MS. KEELING: Absolutely, it was absolutely 2 permissible, which I think is why adverse inference 3 is so unique, because generally, in terms of how we 4 structure and guide a jury in terms of thinking about 5 evidence, evidence that exists only, judges are 6 saying, you know, don't speculate about my rulings, 7 don't speculate about things that are not in evidence. What was not in evidence here? What was 8 9 on that tape, which was the only objective evidence. 10 And again, this goes back to the potency of video, 11 what it means to - - -12 CHIEF JUDGE LIPPMAN: Okay, counsel. 13 MS. KEELING: - - - laypeople. Thank you, Your Honor. 14 15 CHIEF JUDGE LIPPMAN: You'll have your 16 rebuttal. 17 MS. KEELING: Okay. CHIEF JUDGE LIPPMAN: Let's hear what your 18 19 adversary has to say. 20 MR. RIVELLESE: Good afternoon. May it 21 please the court. Vincent Rivellese for the People. 22 May I just correct one factual tweak here. 23 The - - - 1108 of the prosecutor's summation, the 2.4 prosecutor is actually, at that point, responding to

the defense description of what would have been on a

tape of the confession during the time that the defendant was speaking to the police, but only eighteen minutes of it was recorded by the Delaware Police Department. And at that point, the defendant was saying that there might have been other statements about knives and guns and such, and the prosecutor was then responding, on page 1108, saying that - - that you shouldn't speculate. Now - - and which was a correct statement of the law; there shouldn't be speculation. That evidence, in particular, was not subject to an adverse inference instruction; it wasn't something that was lost or destroyed by our police department, and there was never any claim that that was an adverse inference issue.

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The defense may well have had many strategies, at least one that the Appellate Division identified, as to why they would not want the adverse inference instruction, one being that the defense argument was particularly strong, stating what would have been on the video affirmatively. The defense specifically referring to - - -

JUDGE RIVERA: Counsel, how does defense counsel undermine their case? How do they undermine their defense by requesting the charge?

1 MR. RIVELLESE: Well, because the judge is 2 going to give a balanced charge that just says that 3 you may but are not required to infer that this would have favored the defense, which is correct. And in 4 5 fact, what the judge did - - -6 JUDGE RIVERA: But he's - - - this is 7 defense counsel. One assumes, on the jury, that a 8 juror would think you're just making a suggestion; 9 you're representing your client. 10 MR. RIVELLESE: And when she made - - -11 JUDGE RIVERA: But I'm still not seeing how 12 the charge undermines defense counsel's argument - -13 MR. RIVELLESE: Well, when I say I don't -14 15 16 JUDGE RIVERA: - - - before the jury. 17 MR. RIVELLESE: - - - I don't mean that it 18 would refute the defense argument, but that if the 19 defendant is arguing to the jury, as she did, you've 20 really got to find that this would show absolute 21 exculpation, that it would show that the - - - the 22 guys pulled razors and were attacking him and he was 23 fearing for his life. And then the judge is just 2.4 going to say you may but are not required to infer

that this is beneficial to defense, and - - -

JUDGE RIVERA: Why is that not a good thing to hear from the judge?

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MR. RIVELLESE: It's rather neutral. It doesn't really support or - - - but - - - but in the - - - in the context - - -

JUDGE PIGOTT: The People love inferences, where you had one involving the drug presumption in a room. And that's the CPW-2, you know, the presumption that you're going to use it unlawfully against another. And they're very powerful, aren't they? I mean, you almost take something out of the hands of the jury. You say, look, you know, the guy's got a gun, you can presume that he's going to use it against another, even if there's no one around.

And - - - and the same thing in this room, presumption that we're fighting over or discussing it with respect to the drugs; I mean, when and where and how. And since the - - - the judge is going to talk last and the defense lawyer is going to make whatever pitch he chooses, why wouldn't she then be able to say I'm telling you that this - - - this tape is decisive. The judge is going to tell you you have an option; I'm telling you the option's very clear, and then say exactly what she said.

1 MR. RIVELLESE: Well - - - well, she could 2 have said that, but she did get the benefit of 3 arguing exactly what she said, having the People 4 object, when she spoke specifically about what would 5 have been on the tape, when the People object and the judge overruled that and says I will permit that 6 argument. That's an actual mini-adverse inference 7 8 instruction there, obviously not as straight out as 9 saying you may but are not required to - - -10 JUDGE SMITH: She makes the argument - - -11 and I'm looking at 1108. It looks to me like the 12 prosecutors are applying that argument. It says - -13 - "Other than the defendant's self-serving 14 statements, which are not on the eighteen-minute 15 video, there were pulling out razors. The fact that 16 any of these witnesses had weapons, knives, guns, is 17 utter sheer speculation." MR. RIVELLESE: And I think that's in 18 19 response to the defense statement of what would have 20 been on a tape that had - - -21 JUDGE SMITH: Exactly, yes. 22 MR. RIVELLESE: Right. 23 JUDGE SMITH: And why shouldn't the jury -2.4 - - well, I think you have to agree that the jury

should and would, if the defense lawyer had made the

1	motion, would have heard the judge say you may but
2	are not required to infer that the tape would have
3	failed to support the prosecution's case.
4	MR. RIVELLESE: Are you speaking about the
5	videotape or are or about the tape that could
6	have existed of the statements?
7	JUDGE SMITH: I'm talking about the
8	videotape.
9	MR. RIVELLESE: Okay.
10	JUDGE SMITH: The witnesses had weapons,
11	knives, guns, and that's not that's not
12	the defendant's statement; that's the videotape.
13	MR. RIVELLESE: But it was the defendant's
14	statement that the at 1108, the prosecutor is
15	responding to the
16	JUDGE SMITH: I see
17	MR. RIVELLESE: the defense argument
18	at 1046.
19	JUDGE SMITH: So you mean the well,
20	but that's not spec what he's saying is other
21	than the defendant's self-serving statements; that's
22	a reference to what he said.
23	MR. RIVELLESE: Yes. And the eighteen-
24	minute
25	JUDGE SMITH: And he said other than that,

referring to what - - - any evidence of razor,

weapons, knives, guns is sheer speculation, that's

got to be in response to the defense lawyer's

argument.

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MR. RIVELLESE: Well, in the context - - - because the prosecutor waited to speak about camera 23 until about two pages later, when she says camera 23 and then discusses that, at this point she specifically mentions the eighteen-minute video and is responding to the defense question that maybe razors, knives or guns had been used in the defense summation.

So later, about two pages later, when the prosecutor starts talking about, at 1110, camera 23, and then finally speaks specifically to because we don't have camera 23 - - - now on page 1112 - - - because we don't have a camera that might have showed a person - - - a portion - - - a slight portion of this incident is not reasonable doubt. That's the only really specific thing she says. She doesn't say that - - - that you can't in any way imagine that - - - that something was against the People, but she's not making a specific statement about the - - - the tape supporting or not supporting. She's saying I don't know what's on the tape. But it doesn't mean

there's reasonable doubt. Just missing the tape doesn't mean there's reasonable doubt. So that's really all she's saying about speculation.

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The judge's charge, at 1154 and 1155, specifically says of course you can't speculate, which is the law. The judge wasn't going to give a charge that says you should speculate. But then on the next page, 1155, the judge talks about the lack of evidence can be a reason to have the doubt. So when you're talking about that you must consider every part - - -

JUDGE PIGOTT: There's nothing in the judge's charge, that I recall, that said anything about the camera.

MR. RIVELLESE: Correct, the judge did not

 $\ensuremath{\mathsf{JUDGE}}$ PIGOTT: Because there's no reason to.

MR. RIVELLESE: Right.

JUDGE PIGOTT: Her summation is the camera, the camera, the camera. And it would seem to me, as Judge Rivera was indicating, that that would be a much more powerful argument if you can say and the judge is going to instruct you with respect to the camera, and he's going to tell you you can do certain

things. And I would think that that - - - I don't get the strategy that says my - - - my - - - my summation is stronger because the judge can't say anything or won't say anything about the camera.

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MR. RIVELLESE: Well, if you look at page 1063, she's making a very forceful argument. It's not that you may infer, you could infer, you might infer; she's saying you have to infer this. So the judge certainly never said that she can't - - -

JUDGE PIGOTT: Does anybody speak after her?

MR. RIVELLESE: After her?

JUDGE PIGOTT: After her summation?

MR. RIVELLESE: Yes, of course. And we said the opposite. And the judge, at the end, said that you can consider the evidence or the lack of evidence in deciding on reasonable doubt. So the defense attorney should have the right to chart the course. I mean, imagine if the judge had offered the instruction and the defense attorney said no and the judge gave it anyway. That wouldn't be appropriate. That would be taking it away from the defense attorney. So she didn't ask for it, there's no indication that she just didn't know about it. And if there had been a 440.10 post-judgment motion, we

1 would know if the defense attorney didn't know about 2 it, didn't think about it and didn't consider it. 3 But here we have these other strategic reasons. We also have, for example, that she had - - -4 5 JUDGE SMITH: If there was no strategic reason, was this ineffective assistance? 6 7 MR. RIVELLESE: Well - - - well, no, 8 because you'd still have to show prejudice. I mean, 9 we've - - - we've got an overwhelming case. 10 have all the evidence that corroborates what the witnesses said on the portions of the tape that we do 11 12 have, you have Rory going - - -13 JUDGE SMITH: You're saying even if it's an 14 error, it's not egregious and prejudicial. 15 MR. RIVELLESE: Correct. Correct. 16 you've got Rory going up to the defendant and Wonder 17 Williams, taking off his jacket, which you don't do 18 when you're about to stab somebody, and he's going to 19 fight them, he's going to fistfight. He pushes up 20 against them, they back off, and that's when the gun 21 is passed. You've got that on tape, and it's not 22 that clear where the gun is. It's not very easy to 23 see the gun, which of course would mean it wouldn't 2.4 be very easy to see a smaller razor blade.

JUDGE SMITH: Do you actually - - - I mean,

I couldn't see it. If you've got really good eyes, can you see it?

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MR. RIVELLESE: I - - - I don't think we can say for sure that in any particular frame that's definitely the gun, but I did list the pages where you might think that you can see the image of a gun. They're grainy videos. So you'll see black - - - black length below the hand. For example, pages 101, 125, 134 and 1 - - - I think those three pages are the most likely, but - - - but that wasn't - - - you know, that wasn't part of the argument that you can specifically see the gun on a specific frame. But you can see the sequence of events, and you can see the unarmed Rory going up against the defendant and Wonder Williams. So at that point, where the gun is passed, there hasn't been a weapon, and we know that from the video.

And - - - and of course the 440 would have given the opportunity for the defendant's attorney to explain whether there was any strategy at all. The Appellate Division identified one. That's a unanimous court agreeing that there was one potential strategy. Another potential strategy could have been that there were other - - - the other missing evidence, that wasn't subject to a missing-evidence

instruction, was also part of our argument that the jury should consider reasonable doubt. So if there was an instruction on one piece of evidence and not another, that could undermine the significance of the second piece of evidence, which is the - - - the videotape of the statement. And - - -

CHIEF JUDGE LIPPMAN: Okay.

MR. RIVELLESE: - - - I see my light is on, if there are any other questions.

CHIEF JUDGE LIPPMAN: Thank you, counsel.

MR. RIVELLESE: Thank you.

CHIEF JUDGE LIPPMAN: Appreciate it.

Rebuttal, counsel?

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MS. KEELING: Yes, Your Honor. This idea that defense counsel was saying, you know, you have to infer it, no one ever told the jury they're allowed to. And everything else that they heard was that you're not allowed to do that, you're not allowed to think about that kind of evidence in that kind of way. It's very remarkable, again, in our criminal justice system, that where missing evidence is something that can be held favorable to the defense only. There's a real probability here that - - that the State was the one who, unintentionally, gained the advantage, because counsel dropped the

1 ball. JUDGE RIVERA: Can you address the 2 3 prejudice issue? 4 MS. KEELING: Yes, Your Honor. Again, this 5 goes to - - - I think it goes directly to the fact 6 that these questions went to the jury's deliberations 7 on these complex questions of self-defense in what we 8 know was a night - - - a night of fighting. It was 9 an atmosphere of aggression. And - - - and these 10 questions about mistaken belief, all these layers of 11 self-defense, what the video would have shown are 12 questions I'm sure all the jurors in the jury room 13 were asking. If we had this objective neutral 14 evidence, the only - - - the - - - the testimony we 15 do have comes from the victims. And also - - -16 JUDGE SMITH: Isn't that kind of undermined 17 by the fact that your guy wanted the tapes destroyed? 18 He - - - he knew - - - he knew whether he was 19 defending himself or not - - -20 MS. KEELING: Well - - -21 JUDGE SMITH: - - - and he - - - he offered

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MS. KEELING: Well, Your Honor, the idea that he knew whether or not he was defending himself, this goes to a colloquial understanding of whether or

money to the police to get rid of the tapes.

1 not self-defense is permitted. Is it ever permitted 2 to shoot people? I mean, that's a very difficult 3 question. And we know that that is established here. 4 And - - -5 JUDGE SMITH: Do you really think he was 6 offering the cops money to get rid of tapes that 7 would have showed him being attacked with razors, quns and knives? 8 9 MS. KEELING: Your Honor, he pulls out the 10 gun and - - - and he uses it that night. And that he 11 was afraid? I mean, that - - - what his perception 12 was, and again, self-defense, the - - - the jury was 13 charged on it. There's a subjective and an objective 14 component. 15 JUDGE RIVERA: Isn't his perception I don't 16 want to be prosecuted, self-defense or not, I don't -

- - you don't know if you're going to win.

MS. KEELING: Correct.

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JUDGE RIVERA: You don't want to be prosecuted.

MS. KEELING: Correct, Your Honor. I mean, he knows he did something very, very wrong that night. And so, in that sense, his consciousness of guilt is absolutely relevant, but it does not render his justification defense incredible or irrational to

1	the point where this was not an egregious error. We	е
2	cannot rely on this jury's verdict.	
3	CHIEF JUDGE LIPPMAN: Okay, counselor.	
4	Thanks.	
5	MS. KEELING: Thank you.	
6	CHIEF JUDGE LIPPMAN: Thank you both.	
7	Appreciate it.	
8	(Court is adjourned)	
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CERTIFICATION

I, Sharona Shapiro, certify that the foregoing transcript of proceedings in the Court of Appeals of People v. Andrew Blake, No. 158, was prepared using the required transcription equipment and is a true and accurate record of the proceedings.

Shanna Shaphe

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