1	COURT OF APPEALS
2	STATE OF NEW YORK
3	
4	BRENDA CORNELL,
5	Respondent,
6	-against-
7	No. 16 360 WEST 51ST STREET REALTY, LLC, ET AL.,
8	Appellants.
9	
10	20 Eagle Street Albany, New York 12207
11	January 14, 2014
12	Before: CHIEF JUDGE JONATHAN LIPPMAN
13	ASSOCIATE JUDGE VICTORIA A. GRAFFEO ASSOCIATE JUDGE SUSAN PHILLIPS READ
14	ASSOCIATE JUDGE ROBERT S. SMITH
15	ASSOCIATE JUDGE EUGENE F. PIGOTT, JR. ASSOCIATE JUDGE JENNY RIVERA
16	ASSOCIATE JUDGE SHEILA ABDUS-SALAAM
17	Appearances:
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21	MORRELL I. BERKOWITZ, ESQ.
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25	Sharona Shapiro Official Court Transcriber

CHIEF JUDGE LIPPMAN: Let's start with 1 2 number 16, Cornell v. 360 West 51st Street. 3 Counselor, would you like any rebuttal 4 time? 5 MS. JAYNE: Two minutes, please, Your 6 Honor. 7 CHIEF JUDGE LIPPMAN: Two minutes, sure. Go ahead. 8 9 MS. JAYNE: All right. Your Honors, there 10 is - - - or I apologize. If it please the Court. There are a number of issues - - -11 CHIEF JUDGE LIPPMAN: Okay. Go ahead. 12 13 MS. JAYNE: There are a number of issues 14 that are upon review today before you. I would like 15 to start with the issue of - - -16 CHIEF JUDGE LIPPMAN: Tell me first how you 17 get past Fraser in the same - - - the same court, the 18 previous case. What's different about this case or 19 is there a difference? 20 MS. JAYNE: Your Honor, I don't believe 21 there really is a material difference. The Fraser 22 plaintiffs are claiming the same alleged injuries 23 from exposure to mold. There are - - -24 CHIEF JUDGE LIPPMAN: But Fraser wasn't 25 allowed to go forward, though, right?

1	MS. JAYNE: Correct. Right, and we're
2	-
3	CHIEF JUDGE LIPPMAN: So why should this
4	one be allowed to go forward?
5	MS. JAYNE: Well, Your Honor, we don't
6	believe the case should go forward.
7	CHIEF JUDGE LIPPMAN: No, then, okay. Go
8	ahead. Right. Sorry. Keep going.
9	MS. JAYNE: That's okay. Getting back to
10	that, we believe or going to the proximate
11	cause issue, Your Honor. The main reason that the
12	lower court granted appellant 360 West 51st Street,
13	Corp. summary judgment was because it was the former
14	building owner and there was no nexus to tie any
15	action or inaction that they had to the cause of
16	plaintiff's alleged illness, which is exposure to
17	mold. Plaintiff
18	JUDGE GRAFFEO: Is there any precedent as
19	to how we determine whether we should look at this,
20	that the mold was existing there when your client
21	owned the building, so therefore it carries it
22	carries over and the landlord should be a party here?
23	Or do we look at it that the new owner was the one
24	who disrupted the basement, caused that construction?
25	MS. JAYNE: I do not believe any precedent

1	was cited by the Appellate Division or by the
2	JUDGE GRAFFEO: So which way should we view
3	it and why?
4	MS. JAYNE: I believe it's all on the new
5	owner, Your Honor. While there may have been
6	conditions that existed in that basement during our
7	ownership of it, there is no evidence to indicate
8	that it caused any adverse reaction in plaintiff.
9	JUDGE GRAFFEO: Well, I thought they told
10	her to use a dehumidifier in the apartment because
11	she did complain of dampness in the bathroom.
12	MS. JAYNE: That stems from a radiator
13	issue; a steam pipe broke and there was alleged
14	transient mold that appeared on a lighting fixture.
15	She surface cleaned that with bleach. A dehumidifier
16	was set up in her apartment to address any moisture
17	that came from that steam pipe. That was
18	JUDGE PIGOTT: But isn't it your burden
19	-
20	MS. JAYNE: an isolated event.
21	JUDGE PIGOTT: Isn't it your burden to show
22	that she didn't suffer any problems from mold? You
23	can't simply say she can't prove; you have to
24	establish you're entitled to judgment as a matter of
25	law, correct?
I	

MS. JAYNE: Well, for the purpose of 1 2 general causation, when we have indicated and 3 provided support that the scientific community does not generally accept that mold - - -4 5 JUDGE PIGOTT: Well, some do and some don't 6 7 MS. JAYNE: - - - can cause - - -JUDGE PIGOTT: - - - right? 8 9 MS. JAYNE: No, I don't believe the 10 evidence - - -11 JUDGE PIGOTT: Well, they have an expert 12 that says that this is the cause. 13 MS. JAYNE: Right, but for the purpose of a 14 Frye hearing - - - or for the purpose of addressing 15 the Frye standard, when you're dealing with general 16 causation, they are looking for general acceptance. 17 CHIEF JUDGE LIPPMAN: What about the - - -18 MS. JAYNE: It doesn't matter - - -19 CHIEF JUDGE LIPPMAN: What about the 20 Appellate Division saying here that some evidence of 21 acceptance is enough? MS. JAYNE: I believe that's a modification 22 23 of the Frye standard. The Frye standard has 2.4 historically been general acceptance. JUDGE PIGOTT: Well, he did a differential 25

1 diagnosis, I guess, right? 2 MS. JAYNE: I'm sorry? 3 JUDGE PIGOTT: Didn't he go by a differential diagnosis? 4 5 MS. JAYNE: Dr. Johanning did use differential diagnosis. 6 7 JUDGE PIGOTT: And that's common in ninety percent of the cases that come in front of courts, 8 9 isn't it? 10 MS. JAYNE: Right, but the issue with 11 differential diagnosis, you're getting into the question of specific causation. 12 13 JUDGE PIGOTT: That's right. MS. JAYNE: And the question of 14 15 differential diagnosis is, while it may be an 16 accepted methodology, you have to look at how it was 17 utilized. 18 JUDGE SMITH: Is the question in 19 differential diagno - - - Justice Kornreich says that 20 differential diagnosis is essentially a list and you 21 cross things off the list. Is that - - - is that a 22 fair summary? 23 MS. JAYNE: No, it's two parts. You're 24 also - - - in addition to crossing things off - - -25 off the list, which is ruling out potential causes,

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1 you also have to demonstrate evidence that would 2 effectively - - -3 JUDGE SMITH: Well, but - - -MS. JAYNE: - - - rule in - - -4 5 JUDGE SMITH: - - - but I guess what I was 6 going to suggest is don't you have to preliminarily 7 determine what goes on the list? That is, if there's something that there's no evidence that it's a cause, 8 9 you can't put it on the list to begin with, right? 10 MS. JAYNE: True. True. He would have to 11 have a list of - - - of different potential causes 12 for her health ailments that you would put there. 13 JUDGE PIGOTT: But the Frye - - - you want 14 a Frye hearing to say that a differential diagnosis 15 can't be used, that this expert - - - this expert is 16 not qualified to say whether or not she's suffering 17 from the effects of mold because he used a 18 differential diagnosis. 19 MS. JAYNE: No, the differential diagnosis 20 goes more towards a foundational inquiry for the 21 specific causation. 22 JUDGE SMITH: Are you saying the 23 differential diagnosis is not a generally accepted 2.4 technique? 25 MS. JAYNE: No, I am not, Your Honor. I am

1 saying the way in which it was utilized by 2 respondent's expert does not lead to a reliable 3 finding that can be utilized to support specific 4 causation. 5 JUDGE PIGOTT: That's a question of fact 6 for a jury, wouldn't it be? I mean, he's going to 7 come in and say what he says. You're going to have 8 an expert come in and say what he or she says. And 9 the jury's going to have to decide who was right. 10 MS. JAYNE: Well, that was an issue on 11 summary judgment, Your Honor. When there is 12 absolutely no evidence with which to support that 13 that finding was reliable, summary judgment is 14 proper. 15 JUDGE PIGOTT: But don't you have to - - -16 if we get by the Frye thing, don't you then have to 17 say here we are, the defendants, and we are moving 18 for summary judgment because we can prove, initially, 19 as a matter of law, that we are entitled to judgment? 20 You can't - - - you can't say she can't prove; you 21 have to say we can prove that this is - - - that mold 22 was not the competent producing cause of her illness. 23 MS. JAYNE: Right, but - - - right, and 24 that's if you assume that general causation exists. 25 But then moving past that and doing a specific

1 causation analysis, the lower court determined that 2 there was no evidence, and that's when you get into 3 the question of the scientific expression of 4 exposure. That was completely lacking - - -5 JUDGE SMITH: Are you saying that there's -6 7 MS. JAYNE: - - - from the - - -8 JUDGE SMITH: Are you saying there's no 9 evidence that mold causes anything? 10 MS. JAYNE: No, I am not, Your Honor. 11 JUDGE SMITH: You're - - - so - - - and indeed there's evidence that it causes asthma. 12 13 MS. JAYNE: Now you're getting into the 14 three generally accepted areas of what mold can 15 cause, as accepted by the - - - by the scientific 16 community, and one of those is allergic responses in 17 individuals. So - - -18 JUDGE SMITH: So you're saying it can 19 cause asthma but only if you're allergic? 20 MS. JAYNE: Not that it causes asthma; it 21 elicits allergic responses in those that are 22 asthmatic, if you are - - -CHIEF JUDGE LIPPMAN: This distinction - -23 2.4 25 MS. JAYNE: - - - allergic to mold.

1 CHIEF JUDGE LIPPMAN: - - - between 2 associated, is that the - - - when you say 3 something's associated with it, is mold associated with asthma? 4 5 MS. JAYNE: There are studies out there 6 that demonstrate - - -7 CHIEF JUDGE LIPPMAN: What - - - define 8 that. 9 MS. JAYNE: - - - that there is an 10 association - - -11 CHIEF JUDGE LIPPMAN: What does it mean 12 when we say association is not - - - when you say 13 association is not enough? MS. JAYNE: Association is a distinct 14 15 concept - - - concept from causation. An association 16 means that you have studies out there that 17 demonstrate that there are people and there are 18 findings of respiratory - - - in this case, 19 respiratory and asthmatic kind of temporal proximity 20 to an exposure to mold. 21 CHIEF JUDGE LIPPMAN: Why shouldn't you get 22 to the jury, if it's associated with - - - and why -23 - - why, from a fairness perspective, shouldn't - - -24 shouldn't the jury be able to determine that, if you 25 know there's some relationship? And I understand

1 what you're saying the difference between associated and causation, but why couldn't you leave that, you 2 3 know, to be to the fact finder? MS. JAYNE: Because association isn't 4 5 causation, and the two experts in this case - - -6 CHIEF JUDGE LIPPMAN: What is it, if not a 7 causation? 8 MS. JAYNE: It's - - - it's evidence that 9 you have two events that are happening together. You have someone that is in the same location as mold who 10 11 is claiming that my having been in that same location 12 as mold is the cause for all of my health effects 13 whatever they be. 14 JUDGE SMITH: There are certainly - - -15 there are - - - yeah, like the classic examples like 16 gray hair doesn't - - - gray hair and death are 17 associated, but gray hair doesn't kill you. But how 18 would that - - - how - - - how would that apply? Ι 19 mean, is it really plausible that there's an 20 association between asthma and mold but that one 21 isn't causing the other? I mean, to a layman, that 22 sounds surprising. 23 MS. JAYNE: That is the - - - that is the 24 state of the evidence of this record, Your Honors, 25 that there is - - - that there is evidence of an

1 association but not causation. And both Dr. Phillips 2 3 CHIEF JUDGE LIPPMAN: It's generally accepted that there's association. 4 5 MS. JAYNE: Correct. CHIEF JUDGE LIPPMAN: In the scientific 6 7 community - - -8 MS. JAYNE: Right. 9 CHIEF JUDGE LIPPMAN: - - - it's generally 10 accepted that it's associated. 11 MS. JAYNE: Correct, and both experts testified - - -12 13 CHIEF JUDGE LIPPMAN: But that's not enough to get to the fact finder? 14 15 MS. JAYNE: - - - that that's not 16 causation, and I think - - -17 JUDGE PIGOTT: All right. So get me there, 18 then. All right. So you've got an association; 19 you've got a doctor who says I've examined this 20 patient, I've done a differential diagnosis, I think 21 the competent producing cause of her illnesses is the 22 mold that is in her apartment. Now, you want to say, 23 as a matter of law, that can't be true? MS. JAYNE: Not based on the evidence of 24 25 the record, Your Honor, because causation - - - an

association is not causation.

JUDGE PIGOTT: But he is evidence; he is 2 3 the evidence. He is the doctor who's saying I saw her, I saw her medical condition, I know that - - - I 4 5 know that there is mold in the - - - in the apartment, and I have made this determination based 6 7 upon the evidence in front of me. You're saying, fine, but that - - - that is not what - - - that we 8 9 can confidently say this case ought to be thrown out 10 of court, you should not be able to darken the door 11 of the courtroom to establish this. I don't - - - I 12 don't disagree with you, by the way, that this could 13 be a very weak case. But I just don't know where we 14 get - - - we get to the situation where we say, as a 15 matter of law, you cannot bring this case. 16 MS. JAYNE: Well, that's the purpose of the 17 Frye standard. That's the whole reason we have the 18 Frye standard. CHIEF JUDGE LIPPMAN: Are you saying we'd 19 20 have to change the Frye standard to go - - - to go 21 with your adversary? 22 MS. JAYNE: I'm saying that's what the 23 Appellate Division did, in an effort to - - -24 CHIEF JUDGE LIPPMAN: It changed the Frye 25 standard?

1	MS. JAYNE: Yes.
2	CHIEF JUDGE LIPPMAN: Well, how exactly did
3	it change the Frye standard?
4	MS. JAYNE: They modified it, they lowered
5	it, they took it from general acceptance
6	CHIEF JUDGE LIPPMAN: They lowered the bar?
7	MS. JAYNE: Lowered the bar to some
8	support, and then they took some support and said we
9	have one study
10	CHIEF JUDGE LIPPMAN: Yeah, but what about
11	what about this idea that there is agreement in
12	the scientific community that it's associated
13	that it's associated. That's more than saying just
14	some support; it's saying it's associated. And I
15	understand the distinction you're making.
16	MS. JAYNE: Right.
17	CHIEF JUDGE LIPPMAN: Why can't we say
18	that's the the Frye standard, if we know that
19	it's it's associated; that the scientific
20	community agrees that these symptoms are associated
21	with mold?
22	MS. JAYNE: Because then you're making the
23	determination that that is enough for causation.
24	JUDGE PIGOTT: Did your expert examine the
25	plaintiff?

1	MS. JAYNE: No, he did not.
2	JUDGE PIGOTT: And as I as I remember
3	the motion papers, I mean, there's a stack of
4	studies, but they're studies. I mean, I'm wondering,
5	you know, if your expert went and examined her and
6	said, oh, my God, I now realize that these studies
7	that I've gotten from all over the country are wrong
8	and what this doctor says is right. And why should
9	we make that decision?
10	MS. JAYNE: No, I don't believe he would
11	say that.
12	JUDGE SMITH: Well, is an expert allowed to
13	do that, I mean, to examine a patient and say I've
14	decided that this this person's illness is
15	caused by the tide, and I don't care of all the
16	scientific studies in the world show that the tide
17	can't cause show no association between tide
18	and this ailment. Can an expert do that?
19	MS. JAYNE: Of course the expert can say
20	that, but that's why we have the Frye standard, so
21	that that doesn't get to the jury, so that a jury is
22	not making a determination that would differ from
23	what has been shown to be
24	JUDGE RIVERA: But isn't what it's trying
25	to avoid

1	MS. JAYNE: generally accepted by the
2	scientific community.
3	JUDGE RIVERA: Isn't what it's trying to
4	avoid is junk science? And can you really say it's
5	junk science when you do have some scientific studies
6	that indicate that there is a true connection between
7	the kinds of ailments she had and mold?
8	MS. JAYNE: I don't refer to it as junk
9	scien – – – giant (sic) – – – junk science
10	personally; I refer to it as a novel theory
11	JUDGE SMITH: Well, but do you
12	MS. JAYNE: of causation that hasn't
13	been established
14	JUDGE RIVERA: But
15	MS. JAYNE: to be generally accepted
16	as proven.
17	JUDGE RIVERA: But it doesn't mean that
18	everyone has to agree with it. When you say general
19	
20	MS. JAYNE: Absolutely not.
21	JUDGE RIVERA: acceptance, it means
22	you can't have outliers that disagree.
23	MS. JAYNE: Absolutely not. We don't think
24	
25	CHIEF JUDGE LIPPMAN: And this is changing

all of the - - -1 2 MS. JAYNE: - - - one study - - - I don't 3 think one study establishes - - -4 CHIEF JUDGE LIPPMAN: Yeah, but - - -5 MS. JAYNE: - - - general acceptance. CHIEF JUDGE LIPPMAN: - - - the studies are 6 7 - - - are continually evolving, wouldn't you say? 8 That - - - on this issue and so many others, that - -9 10 MS. JAYNE: I don't disagree. 11 CHIEF JUDGE LIPPMAN: But you don't think 12 they're evolved to the point where your adversary - -13 MS. JAYNE: Has established with the 14 15 evidence of the record? No, it has not. 16 JUDGE SMITH: Well, let me - - -17 JUDGE PIGOTT: Does - - - I'm sorry, Judge. 18 MS. JAYNE: Sorry. 19 JUDGE PIGOTT: He makes the point that 20 apparently there are some allegations that the 21 experts that are writing these - - - these articles 22 are the experts that are testifying for the defense, and that there's this - - - this vortex of I'll write 23 24 an article and then I'll go testify saying there's 25 published articles that say I'm right.

MS. JAYNE: Well, that's where you have to 1 2 look to Fraser, which was the extensive ten-day 3 hearing where she took testimony from the experts. She asked them about the studies that were before 4 5 Those criticisms were raised. She found Dr. her. 6 Phillips to be more credible than Dr. Johanning, and 7 she determined, based on all that testimony, all those scientific articles, that that really didn't 8 9 play a part - - -10 JUDGE SMITH: Let me ask you - - -11 MS. JAYNE: - - - the general acceptance -12 13 JUDGE SMITH: - - - a more specific 14 question, if I could. 15 MS. JAYNE: Okay. 16 JUDGE SMITH: Is there even an association 17 between mold and the particular ail - - - now, this -18 - - this plaintiff is not allergic, as I understand 19 it. 20 MS. JAYNE: Correct, Your Honor. 21 JUDGE SMITH: She's not found to be 22 allergic to mold. 23 MS. JAYNE: Correct. 2.4 JUDGE SMITH: Is there any scientific - - -25 I mean, is there any scientific study anywhere that

shows even an association between mold and asthma 1 2 where the - - - where the person exposed is not 3 allergic? 4 MS. JAYNE: That is the one study that the 5 Appellate Division was pointing to, Your Honor, that was not submitted to the Fraser court. 6 7 JUDGE SMITH: And which is that? The 8 health assignment (ph.) study? 9 MS. JAYNE: The - - - that particular study 10 was the Hydrophilic Fungi and Ergosterol - - - the 11 findings of fungi in the dust samples, that particular article, Your Honor. 12 13 JUDGE SMITH: Okay. 14 CHIEF JUDGE LIPPMAN: Okay, counselor, 15 thanks. 16 MS. JAYNE: Thank you. 17 MR. BERKOWITZ: May it please the court. I 18 am Morrell Berkowitz. I'm appearing for the 19 plaintiff-respondent. Your Honor - - -CHIEF JUDGE LIPPMAN: Let me ask you the 20 21 first question - - -22 MR. BERKOWITZ: Certainly. 23 CHIEF JUDGE LIPPMAN: - - - that I asked 24 your adversary. So Fraser - - -25 MR. BERKOWITZ: That was my first - - -

1 CHIEF JUDGE LIPPMAN: What's wrong with - -2 3 MR. BERKOWITZ: - - - point. CHIEF JUDGE LIPPMAN: What's different 4 5 about Fraser - - - about this case than Fraser, when 6 it's exactly the same - - -7 MR. BERKOWITZ: It's not exactly the - - we have overwhelming evidence in this case - - -8 9 CHIEF JUDGE LIPPMAN: As distinguished - -10 11 MR. BERKOWITZ: - - - that makes this - - -CHIEF JUDGE LIPPMAN: - - - from Fraser? 12 13 MR. BERKOWITZ: Yes. CHIEF JUDGE LIPPMAN: What - - -14 15 MR. BERKOWITZ: And I was at the argument 16 of - - -17 CHIEF JUDGE LIPPMAN: What are the - - -18 MR. BERKOWITZ: - - - Fraser as well, Your 19 Honor. 20 CHIEF JUDGE LIPPMAN: What are the areas of 21 difference from Fraser? MR. BERKOWITZ: The areas of difference is, 22 23 first of all - - - and I'm going to be referring to 24 volumes V and VI of the - - -25 CHIEF JUDGE LIPPMAN: Sure, go ahead,

1 counselor. MR. BERKOWITZ: - - - those six volumes. 2 3 CHIEF JUDGE LIPPMAN: Yeah. MR. BERKOWITZ: First of all, there was 100 4 5 and - - - approximately 105 pages of medical and 6 diagnostic tests of the plaintiff-respondent, 15 7 different blood tests. That's on pages 2,499 to 2,501 and 2,819 to 2,923. 8 9 JUDGE SMITH: But having a lot of pages of 10 tests doesn't do it; the tests have to show 11 something. They show - - - and obviously they show 12 that she had - - - she had asthma or that she had a 13 rash - - -MR. BERKOWITZ: More than that; she had a 14 15 panoply of illnesses - - -16 JUDGE SMITH: Suppose she's got a panoply; 17 she can have all the panoply - - -18 MR. BERKOWITZ: She was very sick. 19 JUDGE SMITH: - - - she wants. You've got 20 to - - - how do the tests show that they were caused 21 by the mold? 22 MR. BERKOWITZ: Because we have - - - Dr. 23 Johanning was her treating physician - - -24 JUDGE SMITH: Yeah, okay, does that - - -25 MR. BERKOWITZ: - - - from the very

1 beginning. JUDGE SMITH: But does that do it? You've 2 3 got a panoply of symptoms. MR. BERKOWITZ: Yes. 4 5 JUDGE SMITH: And you've got a doctor who's 6 prepared to say they're caused by the light in the 7 ceiling; does that do it? MR. BERKOWITZ: It does it, because it's 8 9 more than just the light in the ceiling. In addition 10 to that - - -11 JUDGE SMITH: Well, don't you have to have 12 a scientifically accepted cause that - - - for the 13 expert to testify to? MR. BERKOWITZ: Yes, Your Honor. 14 15 Differential diagnosis is the main - - -16 CHIEF JUDGE LIPPMAN: But you - - -17 JUDGE SMITH: But wait a minute, wait a 18 minute - - -CHIEF JUDGE LIPPMAN: Go ahead, Judge 19 20 Smith. 21 JUDGE SMITH: - - - you can't do 22 differential diagnosis. I mean, if - - - if I - - -23 if I go to a doctor and I ask him why I'm losing my 24 hair and he does a differential diagnosis and he 25 eliminates all of the causes except moonlight; I say

1 I've been out in the moonlight. He can say the 2 moonlight caused me to lose my hair? 3 MR. BERKOWITZ: Let me answer it a different way, Judge - - -4 5 JUDGE SMITH: Can he get to a jury on that? MR. BERKOWITZ: You can get to a jury - - -6 7 just let me list the toxic substances in this 8 apartment that were found on pages 2925 - - -9 JUDGE SMITH: No, I will not let you - - -10 let you list the toxic substances. 11 MR. BERKOWITZ: Okay. There was - - -12 JUDGE SMITH: You've got to show me some 13 scientific evidence that connects the toxic substances to the kind of - - - the kind of 14 15 conditions that this woman had. 16 MR. BERKOWITZ: All of these toxic 17 substances - - - and there were studies in the 18 record; we submitted an appendix. If Your Honors - -19 - all you have to do is read the newspaper. 20 JUDGE SMITH: Okay. Okay. 21 MR. BERKOWITZ: There are - - -22 JUDGE SMITH: Don't get mad. 23 MR. BERKOWITZ: I'm not getting mad, Judge. 24 I'm just - - -25 JUDGE SMITH: Once again, there are

1	studies. Give me what's your best one? Which
2	pages of the record?
3	MR. BERKOWITZ: I I'm sorry; I don't
4	have the pages in the record, but I have what I
5	would like to read
6	JUDGE SMITH: What's the name of it?
7	MR. BERKOWITZ: is the two
8	affidavits, portions of two affidavits.
9	JUDGE PIGOTT: No, we've read those.
10	JUDGE SMITH: Yeah, but just
11	MR. BERKOWITZ: I understand.
12	JUDGE SMITH: Just give me a study by name
13	if you don't have the pages, give me the name.
14	Give me a study.
15	MR. BERKOWITZ: The studies are referred to
16	by Dr. Johanning on page 2487 of the record. He says
17	in his affidavit, on page 2486, "Indeed, Cornell
18	suffered and continues to this day to suffer from her
19	responsiveness to exposure to a biological agent or
20	mixture of agents that included allergens and
21	irritants that have been long and widely recognized
22	as being triggers
23	JUDGE SMITH: I'm open
24	MR. BERKOWITZ: that cause or
25	aggravate asthma."

1 JUDGE SMITH: Look, really, I'm not - - -2 I'm actually not determined - - - I'm not trying to 3 defeat your case; I'm just trying to figure it out. 4 I'm trying to find a study that I can read that shows 5 that this sort of agent causes this sort of condition. I'm open to 2486; I don't see anything 6 7 cited. MR. BERKOWITZ: He referred to Exhibit 18, 8 9 which is the number of environmental studies - - - I 10 don't have all the names at my fingertips, Judge. 11 JUDGE SMITH: Okay. 12 MR. BERKOWITZ: I apologize for that. 13 JUDGE SMITH: But all I want is one, and I 14 mean, and maybe there is one, but I'm - - - I'm 15 having - - - partly because there's so much in this 16 case, I'm having trouble pinning it down. But - - -17 but if you have - - - do you have somebody showing -18 - - a study showing that mold is a known cause of 19 asthma in someone not known to be allergic to the 20 mold? 21 MR. BERKOWITZ: Yes. 22 JUDGE SMITH: And what study is that? 23 MR. BERKOWITZ: There are recent studies by 24 OSHA, by the World Health Organization, by the 25 Centers for Disease Control that specifically say

1 mold causes asthma. 2 JUDGE SMITH: In nonallergenic - - -3 MR. BERKOWITZ: Yes, in nonallergenic 4 people. 5 JUDGE SMITH: Okay. And they're in - - -MR. BERKOWITZ: There are a host of studies 6 7 8 JUDGE SMITH: And they're in here 9 somewhere? 10 MR. BERKOWITZ: They're in there somewhere. 11 JUDGE SMITH: Maybe - - - maybe if the - -- if the Chief doesn't object, you can just point out 12 13 the pages where it says that, not now, but when you have a chance? 14 15 MR. BERKOWITZ: Yes. 16 CHIEF JUDGE LIPPMAN: Let me ask you a 17 question. Do we have to dilute the Frye standard to 18 find for you? 19 MR. BERKOWITZ: No, and that was the first 20 point that I wanted to make. 21 CHIEF JUDGE LIPPMAN: Why - - - why not? 22 Why - - -23 MR. BERKOWITZ: Because all the Appellate 24 Division did - - - first of all, this is not junk 25 science, it's not a novel theory - - -

1	CHIEF JUDGE LIPPMAN: Say we disagree with
2	the with the view that some, you know, evidence
3	of that it's causally related is enough. Say
4	it's got to be a general acceptance within the
5	scientific
6	MR. BERKOWITZ: Your Honor had made a very
7	good
8	CHIEF JUDGE LIPPMAN: can you meet
9	that? Can you meet that?
10	MR. BERKOWITZ: Yes. Your Honor made a
11	very good point in questioning my adversary, and that
12	is and the other judges also made the point
13	that an association we did not pull this out of
14	thin air.
15	CHIEF JUDGE LIPPMAN: What's the difference
16	between association and causation? Is there a
17	difference?
18	MR. BERKOWITZ: There is a difference, and
19	I have a quote from Dr. Harriet Ammann, who for
20	sixteen years was the chief toxicologist in the State
21	of Washington, who explains that it's unethical to
22	give someone different levels of Stachybotrys to see
23	how much actually causes illness, but that the
24	JUDGE SMITH: We probably could have
25	we actually don't need an expert; obviously it's

1 unethical, but still people do do studies to 2 associate cause - - -3 MR. BERKOWITZ: Well, I wanted to, if I 4 may, just read just the two sentences from her 5 affidavit, which I think is instructive, and 6 obviously she has - - -7 CHIEF JUDGE LIPPMAN: Go ahead, counsel. 8 MR. BERKOWITZ: - - - a lot more knowledge 9 and is a lot more articulate than I am in discussing 10 the science of it. And that's on page 2729 of the 11 record in volume V. "This (proof to an absolute 12 scientific certainty) is a much higher standard than 13 that utilized by physicians and public health officials in making real-life decisions about causal 14 15 relationships in any given case" - - -16 JUDGE SMITH: Well, but the - - -17 MR. BERKOWITZ: - - - "or regarding public 18 health statistics." 19 JUDGE SMITH: - - - the real-life decision 20 that she's talking about, though, are things like the 21 standards that OSHA applies when it does an 22 investigation - - -23 MR. BERKOWITZ: No, she also - - - Your 24 Honor, with all due respect, she's also discussing 25 how treating physicians have used all of these

1 studies and showed - - - if I could just finish the 2 next three sentences. 3 CHIEF JUDGE LIPPMAN: Go ahead, counsel. 4 JUDGE SMITH: Three? 5 MR. BERKOWITZ: Maybe four; I'm always bad 6 at estimating. 7 CHIEF JUDGE LIPPMAN: Go ahead. Go ahead. MR. BERKOWITZ: "Were we to wait for such 8 9 proof to an absolute certainty, for example, we would 10 not give protective gear to the workers in New 11 Orleans that are cleaning up after the flood, nor 12 would the New York City Department of Health Mold 13 Guidelines require extensive worker protection in 14 contaminated environments. Why should we give them 15 safety equipment if damp buildings do not 'cause' 16 illness? The fact is that there comes a point where 17 the body of medical and scientific literature, discussed below, shows a strong enough association, 18 19 and is consistent with clinical experience, that 20 physicians utilize that knowledge to do their causal 21 assessments in individual cases, and public health 22 officials utilize that knowledge to take appropriate 23 measures for public safety. When the health of the 24 public is in question, strong association is 25 'sufficient' as stated in the IOM report" - - -

1	CHIEF JUDGE LIPPMAN: Counselor
2	JUDGE SMITH: That's four sentences.
3	CHIEF JUDGE LIPPMAN: no one's saying
4	it's much more than four sentences. No one is
5	saying that your adversary isn't saying
6	absolute certainty.
7	MR. BERKOWITZ: I
8	CHIEF JUDGE LIPPMAN: She's saying general
9	acceptance
10	MR. BERKOWITZ: And I'm going to get to
11	that
12	JUDGE SMITH: Well, can I
13	MR. BERKOWITZ: in the very next
14	sentence
15	JUDGE SMITH: Can I now ask
16	MR. BERKOWITZ: if you let me just -
17	
18	JUDGE SMITH: Can I ask the question you
19	wouldn't let me ask before?
20	MR. BERKOWITZ: Sure.
21	JUDGE SMITH: Isn't the standard for giving
22	workers protective gear a more a standard which
23	you would expect the scientific people to be more
24	cautious than in awarding millions of dollars to a
25	plaintiff who's suing?

1	MR. BERKOWITZ: It's a result of people
2	getting sick. This is like the tobacco industry
3	_
4	JUDGE SMITH: Well
5	MR. BERKOWITZ: saying smoking
6	JUDGE SMITH: Well, wait a minute.
7	MR. BERKOWITZ: is good for me.
8	JUDGE SMITH: Wait a minute. Wouldn't you
9	think that in giving protective gear to workers we
10	ought to err on the side of caution?
11	MR. BERKOWITZ: It's because
12	JUDGE SMITH: How about a yes or no to that
13	one?
14	MR. BERKOWITZ: In part, Judge.
15	JUDGE SMITH: Okay. Do we err on the side
16	of caution in awarding damages to a plaintiff in a
17	tort suit?
18	MR. BERKOWITZ: All we're saying and all
19	the Appellate Division said in this case is that
20	- first of all, all they did is interpret their prior
21	decision that we're not saying never, that you never
22	could go to a jury. That was said in the Fraser
23	decision, and the very first sentence in this case is
24	the lower court
25	JUDGE SMITH: But we're

1	MR. BERKOWITZ: misconstrued our
2	-
3	JUDGE SMITH: we're not
4	MR. BERKOWITZ: earlier decision
5	-
6	JUDGE SMITH: We're not bound by the first
7	Fraser decision anyway, so you don't need
8	MR. BERKOWITZ: I unders but all I'm
9	but we're here because they've tried to take -
10	they're trying to they're taking an appeal
11	of the Appellate Division's decision
12	JUDGE RIVERA: Right, but
13	MR. BERKOWITZ: they're claiming
14	there's a new standard.
15	JUDGE RIVERA: how
16	MR. BERKOWITZ: There was no new standard.
17	JUDGE RIVERA: But counsel
18	MR. BERKOWITZ: Yes.
19	JUDGE RIVERA: if in the Appellate
20	Division they say to the trial court, you've
21	misunderstood, you misinterpreted our decision, but
22	your opponent says, then what happened is they
23	modified the standard that should have been applied -
24	
25	MR. BERKOWITZ: My adversary
I	

1 JUDGE RIVERA: - - - and that's where they 2 disagreed. So as I understand her point, and the 3 point of contention is, she's saying you've got to 4 have that general consensus, very clear in Frye, we 5 all understand the standard. And she argues that 6 you're saying you don't need general consensus; if 7 I've just got some - - - some scientists who agree 8 with my side, that's enough. And she's arguing, no, 9 some is not enough; you can have outliers, but they 10 haven't shifted the medical community or the 11 scientific - - - the scientific community to their 12 perspective yet. So what's wrong with her argument? 13 MR. BERKOWITZ: What's wrong with her 14 argument is that there was no lessening of the Frye 15 standard or a different standard. In this - - -16 CHIEF JUDGE LIPPMAN: What would be so 17 terrible - - -18 MR. BERKOWITZ: In this court's - - -CHIEF JUDGE LIPPMAN: What would be so 19 20 terrible about lessening the Frye standard? If 21 that's what you're trying to do - - -22 MR. BERKOWITZ: Well - - -23 CHIEF JUDGE LIPPMAN: - - - maybe you should argue for that? 2.4 25 MR. BERKOWITZ: Maybe, but - - -

1 CHIEF JUDGE LIPPMAN: Why isn't - - -MR. BERKOWITZ: - - - but I'm - - - I'm 2 3 dealing with - - -4 CHIEF JUDGE LIPPMAN: - - - Frye - - -5 MR. BERKOWITZ: - - - what is, Judge. There was no different standard. This court said in 6 7 the Parker v. Mobil Corp. case - - -CHIEF JUDGE LIPPMAN: So - - -8 9 MR. BERKOWITZ: - - - that you don't have 10 to prove a specific amount - - -11 CHIEF JUDGE LIPPMAN: Your view is that 12 there is, in the words of the Frye standard, general 13 acceptance in the scientific community of causation 14 between mold and the kinds of symptoms we're talking 15 about. 16 MR. BERKOWITZ: Correct, that in fact for 17 these of things - - - and again, it was Stachybotrys 18 and Aspergillus and four different metals. 19 CHIEF JUDGE LIPPMAN: General acceptance or 20 some evidence? 21 MR. BERKOWITZ: I don't know that there's 22 much of a difference. In other words, if there's one 23 person in the world that says I think - - -2.4 CHIEF JUDGE LIPPMAN: No, no, forget - - -25 MR. BERKOWITZ: - - - this cause - - - I

1 think gray hair is - - -2 CHIEF JUDGE LIPPMAN: No, no - - -3 MR. BERKOWITZ: - - - is the - - -4 CHIEF JUDGE LIPPMAN: Forget - - -5 MR. BERKOWITZ: - - - maybe that's totally whacko. 6 7 CHIEF JUDGE LIPPMAN: Forget - - - forget outliers. 8 9 MR. BERKOWITZ: Okay. 10 CHIEF JUDGE LIPPMAN: But you're saying 11 that - - - that it's the same thing between general 12 acceptance and - - - and a large number of opinions 13 or some opinions that say it's - - -MR. BERKOWITZ: Yes, I don't think it's a 14 15 precise number, and I think when you have a dozen 16 agencies all over the world - - -17 CHIEF JUDGE LIPPMAN: Fifty - - -MR. BERKOWITZ: - - - respected - - -18 19 CHIEF JUDGE LIPPMAN: Fifty/fifty is good 20 enough in terms of scientists? 21 MR. BERKOWITZ: I don't know that it's a 22 percentage, Judge, because then - - -23 CHIEF JUDGE LIPPMAN: Or I think - - -2.4 MR. BERKOWITZ: - - - what's similar - - -25 CHIEF JUDGE LIPPMAN: I think what you're

1 saying is if you have enough opinions, and entities, 2 organizations that deal with this are accepting those 3 opinions, that's good enough. 4 MR. BERKOWITZ: Yes. 5 CHIEF JUDGE LIPPMAN: Is that - - -6 MR. BERKOWITZ: In addition - - -7 CHIEF JUDGE LIPPMAN: - - - fair? MR. BERKOWITZ: - - - if this court is 8 9 looking for a standard, I think it's really very 10 simple, that if there's - - - and in some of the 11 cases, even from the Appellate Division First Department - - - first of all, they're saying we 12 13 never said never, and they're not saying you have an absolute right to a trial. And there was one recent 14 15 decision posted here where the person didn't even 16 prove there was mold there, didn't even prove that 17 the person was ill. And it seems very simple, if there's mold or toxic substances in an apartment and 18 19 there is exposure and there's illness, you're 20 entitled to go - - -21 JUDGE SMITH: In any - - -22 MR. BERKOWITZ: - - - to a jury to prove 23 that that's why I have - - -2.4 JUDGE SMITH: Any illness? 25 MR. BERKOWITZ: - - - that illness.

1	JUDGE SMITH: Any illness?
2	MR. BERKOWITZ: Yes.
3	JUDGE SMITH: So for example,
4	disorientation is known to be caused by mold?
5	MR. BERKOWITZ: Yeah, well
6	JUDGE SMITH: I mean, has any
7	MR. BERKOWITZ: it could be.
8	JUDGE SMITH: Is there a study that shows
9	that?
10	MR. BERKOWITZ: All the studies show the
11	various all of them, Judge, show the various
12	symptoms that people have. People have headaches.
13	They have rashes. They don't know why they feel
14	sick.
15	JUDGE SMITH: I see I understand
16	about headaches and rashes, although I'm not so sure
17	that they show that in in nonallergic people.
18	And they also show transient irritation. But I'm
19	- I'm looking, and I really am not averse to finding,
20	if you've got 'em, evidence that the things she's
21	complaining about: disorientation, dizziness,
22	enduring symptoms that don't go away when you leave,
23	that those have been known to even be in association
24	
25	MR. BERKOWITZ: This is a unique case

1	JUDGE SMITH: even to be in
2	association with mold.
3	MR. BERKOWITZ: Judge, this is a unique
4	case, because a lot of cases, if you move out of the
5	apartment, you get better.
6	JUDGE SMITH: If this is a
7	MR. BERKOWITZ: And the reason why I wanted
8	to list
9	JUDGE SMITH: If this is a unique case,
10	then maybe it suggests that this is not a
11	scientifically valid causation. If she's the only
12	person who ever got better didn't get better by
13	moving out, then maybe it ain't the mold.
14	MR. BERKOWITZ: I don't well, it's
15	more than just mold. That's like saying she was hit
16	with a barrage of a dozen different bad things that
17	no one ever said that lead or arsenic or
18	CHIEF JUDGE LIPPMAN: Yeah, yeah, but
19	JUDGE RIVERA: But doesn't that
20	MR. BERKOWITZ: Stachybotrys is good
21	for you.
22	JUDGE RIVERA: Doesn't that create the
23	causation problem for you, or one of them?
24	MR. BERKOWITZ: She was fine before that.
25	She used to ride a bicycle 100 miles a week.

1	JUDGE RIVERA: So it could be one of the
2	other toxic substances, no?
3	MR. BERKOWITZ: Well, you know what, Judge,
4	I have no problem with them saying, oh, she was
5	predisposed or she it wasn't because of that,
6	it was because she had pneumonia. That's a fair
7	argument to make before a jury. But their argument
8	is, is that somehow this is novel since she's
9	agreeing it's not junk science
10	JUDGE GRAFFEO: I just have a question
11	-
12	MR. BERKOWITZ: and I shouldn't even
13	have a shot
14	JUDGE GRAFFEO: Can I
15	MR. BERKOWITZ: at proving my case.
16	JUDGE GRAFFEO: Can I I just want to
17	take you back a couple of steps here. I have a
18	question on timing.
19	MR. BERKOWITZ: Yes.
20	JUDGE GRAFFEO: If after the proof that was
21	presented at Supreme Court, down the road, there's
22	different studies that come out, are we is it
23	appropriate for us to consider the more recent
24	studies, or are we limited by what was presented on
25	the record here?

1	MR. BERKOWITZ: Your Honor, they're asking
2	this court to say
3	JUDGE GRAFFEO: Well, can you answer my
4	question?
5	MR. BERKOWITZ: Yes, you should
6	JUDGE GRAFFEO: Are we
7	MR. BERKOWITZ: apply if
8	something came out yesterday, I think you should
9	consider that, because they're trying to claim that
10	this is these studies do not happen easily.
11	JUDGE SMITH: We can you're saying we
12	can reverse the Appellate Division or we or we
13	could or the Appellate Division can properly
14	reverse Supreme Court on the basis of a study that
15	Supreme Court didn't have in front of it?
16	MR. BERKOWITZ: First of all, the record
17	before the in the before the Appellate
18	Division
19	JUDGE SMITH: Well, can you try
20	MR. BERKOWITZ: had studies which
21	-
22	JUDGE SMITH: answering that one?
23	MR. BERKOWITZ: The answer is it's an
24	evolving study.
25	JUDGE SMITH: Are you saying

1	MR. BERKOWITZ: And
2	JUDGE SMITH: Are you saying yes or no to
3	my question?
4	MR. BERKOWITZ: Yes.
5	JUDGE SMITH: Okay.
6	MR. BERKOWITZ: That if the issue is
7	whether this is novel or junk science, well,
8	scientists are working all over the world to show the
9	connection. They can't
10	JUDGE SMITH: I mean, I
11	MR. BERKOWITZ: administer the bad
12	stuff to the
13	JUDGE SMITH: You're making a legitimate
14	point, obviously, that if if it's discovered
15	yesterday, and scientists generally recognize that, I
16	don't know, lead in pencils causes cancer, then it
17	seems ridiculous for a court to hold that it doesn't.
18	On the other hand, is it really fair to decide a case
19	based on something that wasn't before the court
20	before and your adversary had no chance to make
21	MR. BERKOWITZ: Yes, it's fair, because all
22	we're asking and all the Appellate Division said is
23	I'm entitled to a trial. I didn't move for summary
24	judgment
25	JUDGE SMITH: That in every summary

1 judgment case we get, denial of summary judgment 2 means you get to go to trial. Sometimes summary 3 judgment does get granted, you know? MR. BERKOWITZ: I understand that. But 4 5 they're trying to say we're not saying it's junk 6 science, but it's really - - -CHIEF JUDGE LIPPMAN: Okay, counselor - -7 8 MR. BERKOWITZ: - - - flaky - - -9 CHIEF JUDGE LIPPMAN: Okay. 10 MR. BERKOWITZ: - - - or novel - - -11 CHIEF JUDGE LIPPMAN: Thank you, counsel. 12 MR. BERKOWITZ: - - - which we're saying it 13 isn't. 14 CHIEF JUDGE LIPPMAN: Appreciate it. 15 MR. BERKOWITZ: Thank you very much. 16 CHIEF JUDGE LIPPMAN: Counsel, rebuttal. 17 What about the last question that Judge Smith has 18 been asking? Tomorrow, today, yesterday, we find out, even in your mind, conclusively, one hundred 19 20 percent, a mold causes whatever; can we address that 21 here? MS. JAYNE: I don't think this is the 22 23 proper forum, Your Honor. When you're dealing with 2.4 the concept of general acceptance and you're going to 25 hang your hat on one study - - -

1	CHIEF JUDGE LIPPMAN: No, no, let's say
2	it's a study that everyone in the world recognizes is
3	the right is the right conclusion; can
4	then what do we do? What do we do?
5	MS. JAYNE: I hesitate to say; I would
6	almost suggest that it has to be remanded for
7	for the actual Frye hearing down the road.
8	JUDGE PIGOTT: Well, I would assume one of
9	you two, whoever prevailed in this new study, would
10	be bringing a motion somewhere very quickly saying
11	that's that's what happened.
12	MS. JAYNE: Right, but I still think you
13	need the opportunity to present the expert evidence
14	to because then it's the court taking these
15	studies at face value
16	CHIEF JUDGE LIPPMAN: You would hold
17	MS. JAYNE: without any explanation.
18	CHIEF JUDGE LIPPMAN: a new Frye hear
19	you would hold a new Frye hearing if that was
20	the if if underlined if.
21	MS. JAYNE: If you're saying that there is
22	evidence of general acceptance, I would say
23	JUDGE SMITH: If the
24	MS. JAYNE: this is not the forum; I
25	can't present my expert.

1	JUDGE SMITH: If the science has
2	significantly changed since the Frye hearing, the
3	remedy is a new Frye hearing; it's not for us to try
4	to improve on the one that we had?
5	MS. JAYNE: No, not on this particular
6	issue, because it's so heavily based on science,
7	which requires, almost, the experts to be able to
8	testify and discuss whether these studies are
9	relevant, whether they apply.
10	JUDGE PIGOTT: Doesn't that get you back to
11	the fact question? Let me give you an example of
12	thalidomide, all right? Thalidomide was a morning -
13	you know, a morning sickness thing that was
14	great. Europe loved it. You know, it's all over the
15	place. And one scientist in our FDA said I'm not
16	sure this is true. Now, if there had been a lawsuit
17	at that point, they'd say, look, she's a low-level
18	scientist in the FDA; we've got experts all over
19	Europe that say this is helping young mothers to a
20	great degree. Now, obviously, the science changed.
21	But the fact that the scientist reached her
22	conclusion on one way, and the European scientists
23	reach theirs in another way, does not mean that under
24	a Frye hearing one of them has to fall. It it
25	comes down to a question of your of your analysis

1	and the facts you reach from them, which is what
2	you're saying. You're just saying everything this
3	doctor says is fine but it doesn't get to the
4	conclusion you want.
5	MS. JAYNE: Not if he doesn't have the
б	support in the record to establish general acceptance
7	of what he's saying, no.
8	CHIEF JUDGE LIPPMAN: Okay.
9	MS. JAYNE: Okay.
10	CHIEF JUDGE LIPPMAN: Thank you both.
11	MS. JAYNE: Thank you, Your Honors.
12	CHIEF JUDGE LIPPMAN: Appreciate it.
13	(Court is adjourned)
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