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1	COURT OF APPEALS
2	STATE OF NEW YORK
3	MATTER OF HONORABLE J. MARSHALL AYRES
4	MATTER OF HONORABLE U. MARSHALL ATRED
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6	No. 103
7	20 Eagle Street Albany, New York
8	Before:
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10	CHIEF JUDGE JANET DIFIORE ASSOCIATE JUDGE JENNY RIVERA
11	ASSOCIATE JUDGE LESLIE E. STEIN ASSOCIATE JUDGE EUGENE M. FAHEY
12	ASSOCIATE JUDGE MICHAEL J. GARCIA ASSOCIATE JUDGE ROWAN D. WILSON
13	ASSOCIATE JUDGE PAUL FEINMAN
14	Appearances:
15	HONORABLE J. MARSHALL AYRES, PRO SE
16	
17	EDWARD LINDNER, ESQ. Attorney for Respondent Commission
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25	Sara Winkeljohn Official Court Transcriber

1	CHIEF JUDGE DIFIORE: Okay. The next matter on
2	the calendar is appeal number 103, I believe5
3	[sic], excuse me, the Matter of the Honorable J. Marshall
4	Ayres.
5	Good afternoon, sir.
6	MR. AYRES: Good afternoon. If it please the
7	court, my name is Marshall Ayres. I would ask for two
8	minutes rebuttal time, please?
9	CHIEF JUDGE DIFIORE: You may, sir.
10	MR. AYRES: I want to thank you for allowing me
11	to be here today. This is an honor to be in this I -
12	I found myself just awed by the architecture, if
13	nothing else. You guys are obviously really prepared for
14	this, so I'm not going to waste your time on a lot of
15	issues. Basically, my appeal is in three sections. A
16	discrepancy section, the charge one involved my daughter
17	with a cell phone ticket, and the second charge, Mr. Finch
18	at the restitution hearing.
19	I'm obviously not going to go through the whole
20	discrepancy things other than to state really quick that
21	I'm not an attorney. I am a science guy. Because of that,
22	the Office of Court Administration has set up the Resource
23	Center. At the first training session, they told us that
24	if we followed the law the regulations of the
25	Resource Center, the recommendations, that that will

1 provide us with protection. My position is that - - -2 CHIEF JUDGE DIFIORE: Protection from what, sir? 3 MR. AYRES: Protection from the Commission from 4 coming after us if we're doing what the Resource Center 5 tells us to do. Okay. My position is that that's true. б Their position is that that's not true. This and all the 7 other discrepancies are obviously your call, but I just 8 want to request that you look at the content of my appeal 9 rather than the style. These guys are highly trained 10 people. I'm a science quy. Okay. So - - - so just - - -11 JUDGE RIVERA: Let's go - - - let's assume for 12 one moment we agree with you regarding whatever advice 13 you're given by the Resource Center somehow being binding 14 and protective. What - - - what's the advice you're 15 referring to here? 16 MR. AYRES: Well, they said that we - - - and 17 this refer to my daughter, Julie's, case. They said - - -18 and it was the very first training session they gave us - -- and I will get into that they concurred. That it is okay 19 20 for a judge to go and be at their child, nephew, niece's, 21 whatever, court proceeding as long as they do not use their 22 judge's title there. If they go simple as a parent that 23 it's present - - - that it's allowed. Okay. That - - -24 that's - - - does that answer your question? 25 JUDGE RIVERA: Yes. It does. Thank you.

1	MR. AYRES: Okay. Thank you.
2	JUDGE RIVERA: So
3	JUDGE WILSON: So to me there seemed to be a
4	little bit
5	JUDGE RIVERA: I'm sorry.
6	JUDGE WILSON: of a disconnect between what
7	you just said, which was also in your papers, which is that
8	they told you you could go and be present, from actually
9	doing anything when you're present. That is you're not
10	claiming, they said, for example, you could represent your
11	daughter or you could go to the court through a locked door
12	and talk to the judge privately and ask to have the case
13	moved. Those are different, I think, from what a parent
14	who is not a judge would be able to do, right?
15	MR. AYRES: Well, I I did go. And I
16	the the problem we have is the judge hearing the
17	case, he and I have got a history, and if you obviously
18	look through the papers, you'll see that, at least in my
19	opinion, he should never have had in the first place. Even
20	when asked to recuse himself, he didn't do it. And I was
21	trying to get two things done. One, that I get a fair
22	judge. And secondly, that
23	JUDGE WILSON: You mean that your daughter gets -
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25	MR. AYRES: they follow the law. Not

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1	JUDGE WILSON: You mean that your daughter gets a
2	fair judge, not that you get a fair judge.
3	MR. AYRES: My daughter. I'm sorry. Correct.
4	JUDGE WILSON: Right.
5	MR. AYRES: I'm sorry. My daughter gets a fair
6	judge and that they follow the law and not DA policy. Now
7	their
8	JUDGE STEIN: But but
9	MR. AYRES: Oh, go ahead.
10	JUDGE STEIN: did you do things that the
11	average parent would not have been able to avail him or
12	herself of doing if they were not a judge?
13	MR. AYRES: I did things or I knew things?
14	JUDGE STEIN: Could you no.
15	MR. AYRES: I I didn't understand the
16	question.
17	JUDGE STEIN: Did you do things
18	MR. AYRES: Okay.
19	JUDGE STEIN: Did you do things? Did you go and
20	speak to people ex parte? Did you did you assert
21	that you in a meeting with the ADA, that you as
22	you would have done it differently if it had been
23	before you? That sort of thing. Doesn't that take it to a
24	slightly different level than the average parent who might
25	appear to support his or her child in a in a child

1	situation?
2	MR. AYRES: I don't think I did anything over and
3	above what a parent would do. Now did I have more
4	knowledge going in, absolutely.
5	CHIEF JUDGE DIFIORE: So
б	MR. AYRES: But should I
7	CHIEF JUDGE DIFIORE: So Mr. Ayres
8	MR. AYRES: Go ahead.
9	CHIEF JUDGE DIFIORE: in a in a case
10	called Matter of Edwards which was decided by this court in
11	1986, a town justice intervened in his son's traffic ticket
12	that was pending before a judge in another town, and this
13	court decided rather than removing the judge, that we would
14	censure the judge and we did that because we found that
15	there were many mitigating circumstances in his case. What
16	would be some mitigating circumstances that you would offer
17	in this case?
18	MR. AYRES: Okay. I'll freely admit I made
19	mistakes. Okay. There's there's no question about
20	that. I went as a good faith effort to follow what I
21	thought were the regulations. The Commission I mean
22	you can even ask them. At the last session of the Broome
23	County or I'm sorry of the New York State
24	Magistrates' Association in Lake Placid stood up and said
25	it's okay as a parent to go. I went, as I thought, was a

1	parent.
2	JUDGE FAHEY: But let's say
3	MR. AYRES: I was sitting.
4	JUDGE FAHEY: forget that. You were wrong
5	about that. All right. So the question is then
6	MR. AYRES: I was wrong about going as a parent?
7	JUDGE FAHEY: You you were wrong about
8	whether or not you could appear and argue legal issues.
9	But let's just say that. Assume that for now. What are
10	the things that we should look at in mitigation and say
11	well, all right, maybe the penalty shouldn't be so harsh?
12	That's what we mean by mitigation.
13	MR. AYRES: Right.
14	JUDGE FAHEY: What would you have us look at?
15	MR. AYRES: Okay.
16	CHIEF JUDGE DIFIORE: In Edwards, they looked at
17	things like the judge's record, how long he was on the
18	bench, whether he had any prior history with complaints.
19	MR. AYRES: Okay. I was on the bench for nine
20	years. We have a reputation of being one of the best judge
21	best-run organizations, courts, in in not only
22	Broome County. We've have multiple people come and
23	compliment us on the way we do things, the politeness that
24	we have. We had one gentleman who does he's a
25	translator all over the state. He said one of our

1 our courts is one of the best-run courts he's ever been in. 2 In this situation, it was not my intent to go there and 3 represent her. I was sitting there to watch - - -4 JUDGE FAHEY: Forget that for now. Let me just 5 say this. Forget about that for now. The mitigation б factors are what I'm interested in, you know. I - - - you 7 made a mistake, so let's take it from there. All right? 8 MR. AYRES: Um-hmm. 9 JUDGE FAHEY: Okay. 10 MR. AYRES: Okay. 11 JUDGE FAHEY: Yeah. 12 MR. AYRES: I was back talking to the DA because 13 I was - - - she asked me back, and I was always very 14 polite. I never threatened her. Did I talk forcefully? 15 Well, if - - - if this is talking forcefully, then, yes. I 16 did. Did I talk with authority? Well, if you look at the 17 definition, then, yeah, probably, because that means with 18 knowledge and background. But I never yelled. I never 19 screamed. I never - - - she came round and said she never 20 felt threatened. I was acting, what I thought - - - I mean 21 I made a good faith effort. I thought I was doing what I 22 was supposed to do and - - - there as a parent. 23 JUDGE RIVERA: Okay. Can we move to the - - -MR. AYRES: Right. 24 25 JUDGE RIVERA: - - - second allegation, the

1	People v. Finch appeals? The second allegation?
2	MR. AYRES: Oh, just to move on? I thought you
3	had a question to follow that. I'm sorry.
4	JUDGE RIVERA: Yes. Because your time is short.
5	That's why.
6	MR. AYRES: Yeah. As far as the letter to Judge
7	Judge Smith took my appeal. I got a letter from him.
8	Did I respond to it? Yes. Was that appropriate? Well, I
9	know now it wasn't, but at the time I got a letter from a
10	gentleman so I responded to his letter. My impression was
11	I thought he was looking for my input of how I came up with
12	these decisions. And if look at the actual letter I sent
13	to him, again, I'm a science guy, so I went through bullet
14	point by bullet point, this is why I made this decision.
15	This is why I made that decision. I went through and I did
16	the exact I mean he sent me a letter, so I responded
17	to it. They're going to say that they were ex parte.
18	Well, everybody got a copy of them. It wasn't ex parte.
19	JUDGE RIVERA: Well, did you send those copies?
20	MR. AYRES: I sent the copies to the I sent
21	a letter to the judge knowing that he would distribute to
22	everybody, and he did. And if you look at Mr. Stoloff's
23	comment and the
24	JUDGE STEIN: Were you
25	MR. AYRES: I'm sorry?

JUDGE STEIN: Were you directed to submit - - -1 2 were you directed to file a return in that - - - in that 3 appeal? MR. AYRES: Yes. I did. 4 5 JUDGE STEIN: Okay. And you - - - you were б directed. Did you actually file the return? 7 MR. AYRES: Yes. I did. 8 JUDGE STEIN: When did you do that? 9 MR. AYRES: I believe, if you look at the letter, 10 you'll see that it was sent twice. I sent one, but then 11 for some reason I forgot and I sent a follow-up on it. I -12 - - I don't have the dates in front of me if that's what 13 you're looking for. 14 JUDGE STEIN: No. I don't - - -15 MR. AYRES: Okay. 16 JUDGE STEIN: Just - - - just in general at what 17 point in the - - - because there were several - - -18 MR. AYRES: There was a lot of stuff going back 19 and forth. Absolutely. And I - - - I make it a point to 20 try and answer within, like, a couple weeks. So if I was 21 asked something within a certain time period, I certainly 2.2 answered it within that time week period. Okay. 23 CHIEF JUDGE DIFIORE: Thank you, sir. 24 MR. AYRES: My light's on? Okay. 25 CHIEF JUDGE DIFIORE: Your time is up.

1 Counsel. 2 Thank you, Your Honor. MR. LINDNER: Good 3 afternoon; may it please the court. As you read the Commission's determination and review this record you see a 4 5 petitioner who has absolutely no understanding of the б proper role of a judge. And a petitioner who persisted, 7 stubbornly, in misconduct after his fellow judges attempted 8 to warn him that he was crossing lines. Judge DiFiore 9 asked about mitigating factors, and so I'd like to turn 10 immediately to the most serious aggravating factor, which 11 is the complete failure to acknowledge real wrongdoing. 12 And I think you see in the petitioner's brief to this court 13 what you heard here just a few minutes ago, what the 14 Commission heard in the oral argument. There is kind of a 15 generic acknowledgment that things were done improperly, 16 but when you look at each of the specific allegations in 17 the formal complaint and in the Commission's determination, 18 he maintains in every single instance that he acted properly. 19

So he says on page 16 of his brief to you that all of his actions with regard to his daughter's traffic ticket were legal and did not violate any ethical rules. His tone of voice in terms of dealing with the assistant district attorney, he says it not only was proper but that it was desirable. He's never acknowledge that it's

improper for a judge to say if this was in my court, I'd dismiss it or that I've talked with other judges and they all agree that this ticket needs to be dismissed. His minor concession on page 17 that he probably should have told his daughter to hire an attorney is immediately followed by blaming Judge Coe, saying that if Judge Coe had recused himself he wouldn't have felt so defensive.

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With respect to Charge II, he completely ignores the fact that it's improper for a judge to make legal argument, and he argues at page 9 into page 19 of his brief that all of his legal arguments were correct. He's proud of them. As to the tone of the letter, he says, well, that's just an expression of his individuality, subject to interpretation. And on page 19 he says it's an example of the Commission being politically correct. Time and time again over the last year-and-a-half this judge has had the opportunity to take advice. He's had Judge Smith's fourpage instructive letter, the formal complaint itself, a damming referee's report, and now the Commission's detailed determination. And yet, he still can't see that what he's done is improper. What you have in this record is a combination of arrogance and ignorance which renders this individual unfit for judicial office.

24JUDGE FEINMAN: So how does this square with the25Ramirez case that just came down from the Commission where

1 the judge who is a lawyer and is trained intervenes or - -2 - not on a ticket but in a different situation regarding a 3 family member and then regarding somebody who was in her 4 employ and is not removed? 5 MR. LINDNER: I understand, Your Honor. The б Commission really does look at the specific facts of this -7 - - of each case. You said in Blackburne that every case 8 is sui generis, and that's true. You can see in the 9 Commission's determination, particularly in the last few 10 pages, that they're really struggling between whether or 11 not this is a censure or removal. But there are a number 12 of aggravating circumstances here that you didn't have in 13 Ramirez, and particularly in this case, this failure to 14 acknowledge that any of this is wrong, even at this late 15 date. But you also have - -16 JUDGE FAHEY: You know - - - you know, I can - -17 - I can see that, your - - - your side of the argument, but 18 there are a couple of factual things in the case that 19 strike me as odd. Let me just run through them. First, 20 the allegation is is that he acted improperly with the ADA. 21 I guess the question I would ask is if an attorney had 22 acted that way, would that have been improper? But, you 23 know, those are very hard for me to tell. You - - - you've 24 gone through the - - -25 MR. LINDNER: Well, let me - - - let me -

1	JUDGE FAHEY: Let me just finish.
2	MR. LINDNER: I'm sorry.
3	JUDGE FAHEY: You've gone through the fact-
4	specific analysis. I haven't. It might have been improper
5	for an attorney. But the DA then has the bureau chief that
6	talks to that person, I believe, and then eventually the DA
7	approves a motion to dismiss on the traffic. Am I right
8	about that factually?
9	MR. LINDNER: That's correct.
10	JUDGE FAHEY: Okay. Then Mr. Ayres meets with
11	the judge in charge of his case, Judge Coe, privately, and
12	which was clearly inappropriate. And then two months
13	later, though, the ticket was actually dismissed. Is
14	is that
15	MR. LINDNER: Right.
16	JUDGE FAHEY: that's correct, also?
17	MR. LINDNER: That's correct.
18	JUDGE FAHEY: And as far as the restitution
19	order, if all these ex parte, I think you're right they
20	were ex parte communications, the County Court actually
21	affirmed the petitioner's restitution order, didn't he?
22	MR. LINDNER: The second time.
23	JUDGE FAHEY: Ultimately? Second time.
24	MR. LINDNER: The first time it was sent back for
25	rehearing for lack of

1	JUDGE FAHEY: You see my question here. There
2	have been a number of actions by the DA's office and the
3	judges involved, but not the County Court Judge, that
4	seemed to have sanctioned this behavior.
5	MR. LINDNER: Well, let's start with the
6	let's start
7	JUDGE FAHEY: It's a little unusual. Usually,
8	you'd say why
9	MR. LINDNER: There's two questions. I'll try to
10	separate them out.
11	JUDGE FAHEY: you making a motion? I
12	guess. Yeah. Or why why did the DA make a motion to
13	dismiss if he felt his people have been mistreated that
14	way? He would have brought it to the court's attention.
15	MR. LINDNER: Right.
16	JUDGE FAHEY: Why would the court, then, meet
17	privately with somebody and then and then report him,
18	and then he then he granted the motion to dismiss?
19	MR. LINDNER: I I understand
20	JUDGE FAHEY: It makes no sense to me.
21	MR. LINDNER: the question. Let me answer
22	it as best I can because we don't know why the DA dismissed
23	this ticket. But here's what we know.
24	JUDGE FAHEY: Well, the DA made the motion. The
25	judge dismissed the ticket.

1	MR. LINDNER: I'm sorry.
2	JUDGE FAHEY: But go ahead.
3	MR. LINDNER: I'm sorry. I misspoke. The DA
4	made the motion. Why did the DA make a motion which was
5	contrary to their written policy manual? This Broome
6	County DA's Office had a written policy that cell phone
7	tickets were serious and they were not going to offer
8	reductions. That's what ADA Parker was going on when she
9	refused to offer that. If you look at the facts of this
10	case, you have the testimony of the state trooper, a state
11	trooper, Sergeant Pokigo, who testified that he was
12	situated in a place where he could see down on traffic
13	below him, that he observed Ms. Ayres
14	JUDGE FAHEY: So, in essence, you're saying to me
15	and you can correct me if I'm wrong that the
16	petitioner's activities influenced the DA's office to
17	dismiss it?
18	MR. LINDNER: I can't speak for the DA. I simply
19	say that it's curious, as we said in the brief, to dismiss
20	the case for want of proof when it appears that the
21	testimony of the trooper would have satifi satisfied
22	all the elements of a violation of
23	JUDGE FAHEY: How about the judge?
24	MR. LINDNER: Well, I don't know that the judge
25	can require the DA's office to go forward in a case that

1 they are asking to be let out of. I think that's a 2 different question. 3 JUDGE FAHEY: I see. 4 JUDGE RIVERA: Would - - - would - - -5 MR. LINDNER: Even if the ticket were improper. б Even if there were a valid defense. Even if the accusatory 7 instrument were blatantly facially invalid, the issue here, 8 the issue that this judge won't grasp, is that he's not a 9 lawyer. He's not an advocate. He's a judge, and he can't 10 assert his judicial office for the benefit of his daughter. JUDGE RIVERA: Would - - - would removal - - -11 12 MR. LINDNER: You've said that's wrong since 13 Edwards. 14 JUDGE RIVERA: Would removal be warranted if we 15 only had the second charge, the People v. Finch conduct? I think the second charge is less 16 MR. LINDNER: 17 serious. 18 JUDGE RIVERA: Okay. 19 MR. LINDNER: I'm always somewhat reluctant to 20 speculate what the Commission would do on hypotheticals, 21 but I think it would be a much tougher case to argue that 2.2 the second charge alone would warrant removal. 23 JUDGE RIVERA: If that - - - if that is - - -24 MR. LINDNER: I don't think the case law supports 25 that.

1	JUDGE RIVERA: Okay. Let's go with that for one
2	moment, and if on the first charge then the resources
3	center advises a non-lawyer sitting jurist that they can
4	attend their child's court appearances
5	MR. LINDNER: It's
6	JUDGE RIVERA: Is it is it then warranted?
7	MR. LINDNER: I'm sorry?
8	JUDGE RIVERA: Is it then warranted?
9	MR. LINDNER: Removal? Oh, absolutely.
10	JUDGE RIVERA: If he's got that advice from
11	the Resource Center?
12	MR. LINDNER: Absolutely. There's no ambiguity
13	about this. Resource Center is telling judges what the
14	Advisory Committee has said in numerous opinions, which is
15	that it's permissible for a judge to attend his or her
16	family member's court proceeding as long as they don't
17	insert themselves into the proceeding.
18	JUDGE RIVERA: So your your point is not
19	with his attendance?
20	MR. LINDNER: Absolutely not.
21	JUDGE RIVERA: It is with his conduct once he is
22	in the room?
23	MR. LINDNER: The point where he says "That's
24	right. If it were in my court, I would dismiss it. And by
25	the way, I've spoken to other judges, and they all agree."

1 Think about it if you're the DA's office. This judge is 2 not only telling you that he's going to be unhappy if the 3 DA's office doesn't make this goa way. Now he's saying 4 that there are some unnamed other judges in your 5 jurisdiction who are all going to be unhappy if my б daughter's ticket doesn't go away. It's an outrageous, 7 outrageous assertion of office. It's exactly the thing 8 which you have railed against since Edwards because it 9 gives the public the perception that justice isn't fair, 10 that some people get special breaks. It goes to the 11 integrity of the system. If you have no questions - - -12 CHIEF JUDGE DIFIORE: Thank you, counsel. 13 MR. LINDNER: - - - we'll rest on our brief. 14 Thank you. 15 CHIEF JUDGE DIFIORE: Mr. Ayres, you have two minutes for rebuttal time if you care to exercise it. 16 17 MR. AYRES: Okay. Really quick, as far as the 18 DA, I specifically told her she must make up her own mind 19 and do not let the fact that I'm a judge affect her. Judge 20 Fahey, I believe? 21 Right. JUDGE FAHEY: 22 MR. AYRES: Okay. You made the comment about 23 talking to the other judge and how inappropriate that was. 24 I absolutely agree with you, but the circumstances were he 25 was on the bench in his robe. I was sitting in the - - -

in the back - - - in the area back here. He stood up from the bench, ordered me into the back room, marched right through the courtroom, ordered me back into the back conference room, got within inches of me screaming at me with clenched fists. I did not ask for that meeting. He ordered me back there. I had no control over that. As far as the tone that they brought up, on page

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58, they used the term "astonishing claim." They also use the term "astoundingly testified." On 59, they used the term "brazenly violated." They use descriptors, whether you can use descriptors or not, it should be fair for both of us. If they get to use descriptors I should be - - get - - allowed to use descriptors. They're saying that it's the tone and yet they turn around and do the exact same thing. And I have - - -

JUDGE WILSON: You understand that you're a judge and they're lawyers, right?

18 MR. AYRES: Well, I understand - - - well, this 19 is still a legal document that they're presenting to you. 20 It was a letter I was sending to the judge.

21JUDGE RIVERA: Well, you conceded that one of22your correspondence was snarky, correct?

23 MR. AYRES: Oh, absolutely. Yeah. And - - - and 24 I, again, from the start said that was wrong. And for them 25 to say that I acknow- - - - I don't acknowledge wrongdoing,

1 from the start I've acknowledge wrongdoing. My position is 2 okay. I messed up, absolutely. But does it warrant 3 removal - - -4 JUDGE STEIN: What - - - what is it - - -5 MR. AYRES: - - - and I don't think so. б JUDGE STEIN: What is it that you did wrong? 7 What is it that you did wrong? MR. AYRES: From which case - - - or just in 8 9 general? 10 JUDGE STEIN: For either. For either case. 11 MR. AYRES: Okay. For my daughter's case, I 12 should not have gone anywhere near that thing. Okay. We 13 were sitting there. The only reason we went back to the DA 14 is because she asked me back. And I - - - at that point, I 15 should have said no. I'm not going to get involved in 16 this. But she asked me back so I went back. That was a 17 mistake. Going and just trying to get what I considered a 18 fair judge. That should not have been my judge. I should 19 have just from the start gotten a local attorney, say hey, 20 guys, this is the situation, these are the facts, you take 21 care of it for me. As far as the Finch matter goes, now I 22 know that it's the DA who's supposed to get it, but at the 23 time, I got a letter I answered it. You know, I mean there 24 are obviously - - - all the way down through - - - and I 25 see my light's on. All the way down, there's a lot of

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1	things that I could have done differently. But it's from
2	lack of experience, lack of knowledge. The intent was
3	pure.
4	CHIEF JUDGE DIFIORE: Thank you, sir.
5	MR. AYRES: Thank you.
6	(Court is adjourned)
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