Dashboard Overview

Purpose & Relevant Law Change

<u>Judiciary Law § 212 (2) (u-1) and (v-1)</u>, requires the Unified Court System (UCS) to compile and publish data on misdemeanors and violations. In response to the new legislation and recommendations by the <u>Equal Justice in the NYS</u> <u>Courts</u> Committee to include felonies, the downloadable data extracts for <u>NYC</u> & <u>Outside NYC</u> of arraignments held statewide was published in November 2020 and is updated monthly.

In compliance with the law to provide aggregate incoming cases by county, the dashboard includes an aggregated, downloadable table for each requested piece of data in the legislation.

- (i) Location
- (ii) Charge
- (iii) Demographics
- (iv) Custody vs Ticket
- (v) Arrest Location
- (vi) Disposition
- (vii) Dismissal Reason
- (viii) Sentence Imposed

Population & Content

The downloadable extract is the case-level data source for this dashboard. For details on the cases included and definitions for each field, see the <u>Extract Data Dictionary</u>.

- 1. **Flow Pages**: The user chooses how to break out the data. View the number of arraignments with a proportion bar by category for any of the available fields.
 - ◆ <u>Case Flow</u> (26 available fields)
 - <u>Dismissal Flow</u> (20 available fields)
- 2. **Legislation Pages**: Summarizes data related to a section of the legislation with a <u>table</u> and <u>graphical</u> <u>visualizations</u>.
 - i. Location & Location by Ethnicity/Race
 - ii. <u>Charge</u>
 - iii. <u>Demographics</u>
 - iv. <u>Custody</u>
 - v. <u>Arrest</u> (Location)
 - vi. Case Status & Dispositions
 - vii. Dismissals/ACD's & Dismissal Reasons
 - viii. Sentences & Fines

Dashboard Tutorial

Navigating the Dashboard

Two buttons at the top of each page are available to help with the dashboard experience.

- 1. Dashboard Info & Navigation opens the Info page of the dashboard, which includes:
 - a. *Navigation Pane* links to all pages with a brief description of each page. Hover over the page name to get a more detailed description.
 - b. Dashboard Overview brief description of Purpose & Data
 - c. Important Links include:
 - i. <u>Public Web Page</u>
 - ii. Download the data extracts for <u>NYC</u> & <u>Outside NYC</u> data source (csv)
 - iii. <u>Data Dictionary</u> field definitions
 - iv. Overview & Instructions this document
 - d. Quick Tips on using the dashboard
- 2. Page Info & Filters summary of each page; select Hide Pane to close the pane
 - a. **Page Info** description of the contents of the page (similar to the <u>Page Descriptions</u> in this document) and helpful tips on navigating the page
 - b. Filters (see section below)

Filters

The *Filters* pane is opened by selecting the **Page Info & Filters** button at the top of the dashboard. There are 10 filters that filter on 17 different fields. To clear a filter, select the erasure on the top right corner.

- i. Year, Month of Arraignment
- ii. Region, District, & County of the case
- iii. Court Type & Arrest Type (Local: Custody, DAT, NYC Summons; Supreme/County: unknown)
- iv. Case Status & Dispo Type (*Disposed*: Disposition Types; *Pending*: Not Disposed; *Warrant/Toll*: Not Disposed) <u>Top Charge at Arraignment</u>
- v. Severity & Weight (Felony: AF, BF, CF, DF, EF; Misdemeanor: AM, BM, UM; Violation: V)
- vi. Law & Article.Section (example: Law = PL and Article.Section = 100.05) <u>Demographics</u>
- vii. Ethnicity (Hispanic, Non-Hispanic, Unknown)
- viii. Race (Black, White, Asian, Indian, Other, Unknown)
- ix. Gender (Female, Male, Unknown)
- x. Age Bracket (Under 18, 18-29, 30-39, 40-49, 50 & Over, Unknown)

Any category label (i.e. *District 3, Misdemeanors, 2021-6*) can be used to filter the current page. *Easy Filters* in the *Page Info* pane gives hints on how to use the category labels to quickly filter the page without having to open the *Filters* pane.

Bonus Filters for court staff – There is a Filter Pane to the right of the dashboard with over 20 fields, plus additional filters specific to certain pages. View the count of arraignments for each category in the fields.

Year-Month	Ethnicity	Region	Severity at Arraignment
Court Type	Race	District	Top Charge at Arraignment
Arrest Type	Ethnicity/Race	County	Weight at Arraignment
Docket Status	Gender	Court	Law
	Age Bracket		Article.Section
	Arrest Age		Attempt Flag

Tables

All Legislation pages have an aggregated table showing counts of arraignments by:

Rows: Location (Region, District, & County) plus drill down to the fields in the Rows below

Columns: The field in Columns below plus drill across to Year-Month of arraignment

Page	Values	Rows (after Region, District, County)	Columns (drilled up from Year-Month of Arraignment)
i.Location	All Arraignments	Court (i.e. Rye City Court)	Court Type (Local & Supreme/County)
ii.Charge	All Arraignments	Weight at Arraignment (i.e. <i>AF, BM, V</i>) Charge Description (i.e. <i>Murder-2nd Deg</i>)	Severity (Felony, Felony Complaints, Misdemeanors, Violations)
iii.Demographics	All Arraignments	Age Bracket (Under 18, 18-29, 30-39, 40-49, 50 & Over, Unknown) Gender (Male, Female, Unknown)	Ethnicity/Race (Black, Hispanic, White, Asian, Indian, Other, Unknown) *Highest level = Black, 2 nd level = Hispanic, then the other Race categories.
iv.Custody	Local Court Arraignments	Ethnicity/Race (Black, Hispanic, White, Asian, Indian, Other, Unknown) *Highest level = Black, 2 nd level = Hispanic, then the other Race categories.	Arrest Type (Custody & DAT/Summons)
v.Arrest	Local Court Arraignments	Court (i.e. Rye City Court) Arresting Agency (i.e. MTA Police Dept.)	Arrest Type (Custody, DAT's, & NYC Summons)
vi.Status	All Arraignments	Disposition Type (Plea, Dismissed, ACD, Verdict- TFG, Verdict-ACQ, GJ/Trans, Other, Not Disposed)	Case Status (Disposed, Pending, Warrant/Toll)
vi.Dispositions	Disposed Arraignments	Ethnicity/Race (Black, Hispanic, White, Asian, Indian, Other, Unknown) *Highest level = Black, 2 nd level = Hispanic, then the other Race categories.	Disposition Type (Plea, Dismissed, ACD, Verdict-TFG, Verdict-ACQ, GJ/Trans, Other)
vii.Dismissals/ ACDs	Dismissed & ACD Arraignments	Dismissal Reason (Legally Insufficient, Interest of Justice, Prosecution Issue, Conditions Met, Mental Health, Superseded, Other, Unknown) Dismissal Detail (i.e. Untimely Prosecution)	Disposition Type (Dismissed & Dism- ACD)
vii.Dismissal Reasons	Dismissed Arraignments	Ethnicity/Race (Black, Hispanic, White, Asian, Indian, Other, Unknown) *Highest level = Black, 2 nd level = Hispanic, then the other Race categories.	Dismissal Reason (Legally Insufficient, Interest of Justice, Prosecution Issue, Conditions Met, Mental Health, Superseded, Other, Unknown)
viii.Sentences	Sentenced Arraignments	Most Severe Sentence (in order most severe to least: Imprisonment, Probation, Fine, License, Conditional Discharge, Restitution, Fee, Surcharge, Unconditional Discharge, Community Service, Other)	Ethnicity/Race (Black, Hispanic, White, Asian, Indian, Other, Unknown) *Highest level = Black, 2 nd level = Hispanic, then the other Race categories.

Below are icons at the top right of all tables.

Help definitions of the table icons (like this section)

Focus Mode expands the into a larger, new window

Tip: Once opened, use white lines next to the column names to make the columns wider

Expand Table drill down to the next level

(Example: go from District to County and then drill down further to the additional fields in Rows above)

Drill Up aggregates up a level

(Example: view table by Region instead of District)

 $\overline{}$ Drill on Rows

Drill on Rows Expand or Drill Up rows of the table

(use down arrow to change from Columns)

Tip: Hover over Table Tip to see the row-level fields available in each table. (1) Table Tip

Drill on Columns 🗸

Drill on Columns Expand or Drill Up from the field in Columns to Year-Month (use down arrow to change from Rows) Export to csv & Sorting more options under ellipse (...)

Graphs

Graphs have buttons at the top right corner, similar to Tables.

?	Help description of the graph			
63	Focus Mode expands the into a larger, new window			
$\downarrow \downarrow$	Next Level additional detail is available (same as #4 below) Tip: Use blue buttons			
Ļ	Expand Table see next level and first level combined (same as #5 below)			
\uparrow	Drill Up go back up a level (Example: go back to <i>Ethnicity/Race</i> from <i>Gender</i>) <i>Tip: Use blue buttons</i>			
•••				
	C: Export data			
	Show as a table			
	⊂ Spotlight			
	\downarrow_{A}^{Z} Sort descending			
	↓ ^A _Z Sort ascending			
	Sort by			

Additional options are available by *right-clicking* on a graph:

1. Show as a Table open graph in a larger window with the numbers below in table format

Use Include/Exclude to select only specific category(s) of a graph. Use *CTRL* to select multiple categories. Hit *Refresh* in the browser to go back to all categories.

- 2. **Exclude** when you want to *view* most of the categories
- 3. **Include** when you want to *exclude* most of the categories

When a graph with multiple layers (blue buttons on top) is expanded in a new window, the buttons are not available, but you can use the options below.

- 4. Show Next Level/Drill Down 🕕 shows an additional level of data
 - a. The graphs on the pages below go from District to County. To view only counties in a specific district, select the District bar, *right-click* and select Drill Down.

i.Location

- i.Location by E/R
- b. The graphs on the pages below go from Ethnicity/Race to Gender to Age Bracket.
 - iv.Custody vi.Dispositions vii.Dismissals/ACDs vii.Dismissal Reasons viii.Sentences viii.Fines
- 5. Expand to Next Level 🔒 shows the first and next level combined
 - (recommended to expand in a larger window []])
 - a. This option is only advised on the *Percent of Custody Arraignments* bar graph on the iv.Custody page. (Example: *White Female, Hispanic Male*)
- 6. **Drill Up** \uparrow goes back up a level

Page Descriptions

Select the **Page Info & Filters** button on the top of each page to view a description of the page and helpful tips.

Info

See Navigating the Dashboard – Dashboard Info & Navigation section of this document

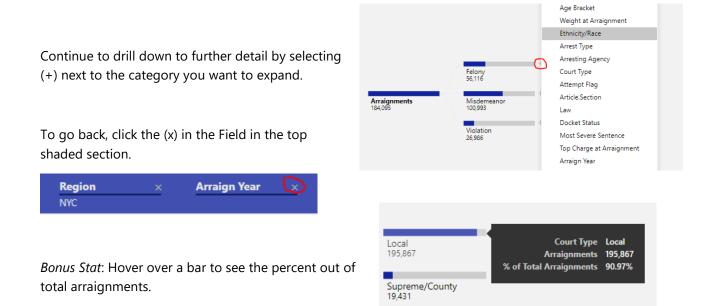
- ✓ Page Navigation
- ✓ Purpose of Dashboard
- ✓ Data Source
- ✓ Important Links
- ✓ Quick Tips

Case Flow

Beginning with the total number of arraignments, click (+) to expand the number of arraignments by the categories in any of the fields below.

Arraign Year	Region	Severity at Arraignment	Ethnicity	Case Status
Arraign Month	District	Weight at Arraignment	Race	Disposition Type
Arrest Type	County	Law	Ethnicity/Race	Dismissal Reason
Court Type	Court	Article.Section	Gender	Disposition Detail
	Arresting Agency	Attempt Flag	Age Bracket	Most Severe Sentence
		Top Charge at Arraignment		Fines Imposed

See OCA-STAT Act Extract Data Dictionary for field definitions and list of categories.



i.Location

Number of arraignments by location

Relevant Report Filters: Region; District; County; Court; Court Type; Severity at Arraignment

Bonus Page Filters: CT (*Local; Supreme/County*) – like Court Type but condensed; Severity (*Felony; Felony Complaints, Misdemeanor, Violation*) – like Severity at Arraignment but breaks out Supreme & County Felonies from Felony Complaints in the Local Court

Arraignments by Court Type & Court (Table)

Total arraignments held by:

Rows: Region & District with ability to drill down to County & Court (*Example: Utica City Court*) *Columns*: Court Type (Local & Supreme/County) with ability to drill across to Year-Month

Arraignments by Location & Arraignment Severity (Bar Graph)

Number of arraignments by location, sorted most to least, broken out by top arraignment severity. Use buttons to view by District or County.

Bonus Stat: Hover over a bar to see the number and percent currently disposed.

i.Location by E/R

Proportion of arraignments by Ethnicity/Race for each location

Relevant Report Filters: Region-District-County; Ethnicity; Race

Highlights: % of arraignments in the Ethnicity/Race categories: Black, Hispanic, White, and combined Other, Indian, Asian, and Unknown

Arraignments by Location & Ethnicity/Race (Proportion Bar)

Proportion of arraignments by Ethnicity/Race for each location. Click on the blue buttons to view by District of County.

Bonus Stat: Hover over a bar to see the number of arraignments that were dismissed.

ii.Charge

Number of arraignments by top charge at arraignment

Relevant Report Filters: Severity at Arraignment; Top Charge at Arraignment (best practice: use the search bar); Weight at Arraignment; Law; Article.Section (best practice: use the search bar); Attempt Flag; Year-Month **Bonus Page Filters**: Severity (*Felony; Felony Complaints, Misdemeanor, Violation*)

Arraignments by Severity & Charge at Arraignment (Table)

Total arraignments held by:

Rows: Region & District with ability to drill down to County, Weight, & Top Charge at Arraignment *Columns*: highest Severity at arraignment with ability to drill across to Year-Month

Local Court Arraignments by Severity & Weight (Donut)

Proportion of Local Court arraignments (excludes Supreme/County) by top Severity at Arraignment with further breakout by Weight at Arraignment

Local Court Arraignments by Month & Severity (Area Chart)

Number of cases arraigned in Local Court each month broken out by top Severity at Arraignment

iii.Demographics

Number and proportion of arraignments by the 4 demographic variables: Race, Ethnicity, Gender, & Age

Relevant Report Filters: Ethnicity; Race; Gender; Age Bracket; Ethnicity/Race

Arraignments by Ethnicity/Race & Other Demographics (Table)

Total arraignments held by:

Rows: Region & District with ability to drill down to County, Age Bracket, & Gender *Columns*: Ethnicity/Race with ability to drill across to Year-Month

Gender (Donut) Proportion of arraignments by Gender (Male, Female, Unknown)

Age (Donut)

Proportion of arraignments by Age Bracket (Under 18, 18-29, 30-39, 40-49, 50 & Over)

Ethnicity (Donut)

Proportion of arraignments by Ethnicity (Hispanic, Non-Hispanic, Unknown)

Race (Donut)

Proportion of arraignments by Race (Black, White, Asian, Indian, Other, Unknown)

Bonus Stats: Hover on any category in a Donut chart to see the number of arraignments with a Custody arrest, Dismissed or ACD, and Sentenced of Imprisonment for each donut section.

iv.Custody

Number of Custody vs. non-Custody (DAT/NYC Summons) arraignments in Local Court, in addition to the percent of Custody arraignments by Demographics and Month of arraignment.

Relevant Report Filters: Court Type; Arrest Type; Ethnicity; Race; Ethnicity/Race; Gender; Age Bracket; Age at Arrest

Bonus Page Filters: Arrest Cat (*Custody, DAT/Summons*) – like Arrest Type but combines *DAT* and *NYC Summons*; Arresting Agency (best practice: use the search bar)

Local Court Arraignments by Arrest Type & Ethnicity/Race (Table)

Local Court arraignments held by:

Rows: Region & District with ability to drill down to County and Ethnicity/Race *Columns*: Arrest Type with ability to drill across to Year-Month

Percent of Custody Arraignments by Demographics (Bar Graph)

Percent of Custody arraignments out of all Local Court Arraignments by demographics, sorted by highest to lowest. Use buttons to choose the demographic field to view.

Bonus Stat: Hover over bar to see the number of Custody and Local Court arraignments.

Percent of Custody Arraignments by Month & Ethnicity/Race (Line Chart)

Percent of Custody Arraignments out of total Local Court arraignments by Month of arraignment for each Ethnicity/Race

v.Arrest

Number of Local Court arraignments by Arrest Type and Arresting Agency/Precinct. Arresting Agency is not available for Town & Village courts.

Relevant Report Filters: Court Type; Arrest Type; Region; District; County; Court **Bonus Page Filters**: Arresting Agency

Local Court Arraignments by Arrest Type & Arresting Agency (Table)

Local Court arraignments held by:

Rows: Region & District with ability to drill down to County, Court, and Arresting Agency *Columns*: Arrest Type with ability to drill across to Year-Month

Local Court Arraignments by Court Location & Arrest Type (Map)

Number of Local Court Arraignments by court's zip code broken out by Arrest Type in a pie chart. Larger pie charts represent more arraignments.

Local Court Arraignment by Month & Arrest Type (Area Chart)

Number of Local Court Arraignments each month broken out by Arrest Type

vi. Status

Number of arraignments by Case Status with further breakdown by Disposition Type for the disposed cases. **Relevant Report Filters:** Case Status; Severity at Arraignment; Weight at Arraignment

Bonus Page Filters: Disposition Type (*Plea, Dismissed, Dism-ACD, GJ/Trans, Verdict-TFG, Verdict-ACQ, Other, Unknown*); Disposition Detail – further detail from the case management system (best practice: use the search bar)

Arraignments by Case Status & Disposition Type (Table)

Total arraignments held by:

Rows: Region & District with ability to drill down to County and Disposition Type *Columns*: Case Status with ability to drill across to Year-Month

Arraignments Disposed by Disposition Type & Severity (Bar Graph)

Number of arraignments now disposed broken out by top Severity at Arraignment for each Disposition Type

Disposition Type (Donut)

Proportion of disposed arraignments by Disposition Type

vi.Dispositions

Number of arraignments that are now disposed by Disposition Type and Demographics

Relevant Report Filters: Ethnicity/Race; Ethnicity; Race; Gender; Age Bracket; Case Status **Bonus Page Filters**: Disposition Type (GJ/Trans includes cases removed to Family Court); Disposition Detail

Disposed Arraignments by Disposition Type & Ethnicity/Race (Table)

Number of disposed arraignments by:

Rows: Region & District with ability to drill down to County and Ethnicity/Race *Columns*: Disposition Type with ability to drill across to Year-Month

Disposition Type by Demographics (Proportion Bar)

Proportion of disposed arraignments by Disposition Type for each demographic category. Use buttons to choose demographic field to view.

vii.Dismissals/ACDs

Number of arraignments that are now dismissed or ACD (adjourned on contemplation of dismissal) by Dismissal Reason and Demographics

Relevant Report Filters: Ethnicity; Race; Ethnicity/Race; Gender; Age Bracket; Age at Arrest

Bonus Page Filters: Dismissal Reason (*Legally Insufficient, Interest of Justice, Prosecution Issue, Conditions Met, Mental Health, Superseded, Other Reason, Unknown*); Dismissal Detail – further detail from the case management system (best practice: use the search bar); Disposition Type

Dismissed Arraignments by Dismissal Reason (Table)

Number of dismissed or ACD arraignments by:

Rows: Region & District with ability to drill down to County, Dismissal Reason, & Dismissal Detail *Columns*: Disposition Type (Dismissed or ACD) with ability to drill across to Year-Month

Percent Dismissed by Demographics (Bar Chart)

Percent of arraignments disposed by Dismissal plus percent disposed by ACD, sorted highest to lowest, by demographic category. Use buttons to choose demographic field to view.

Bonus Stat: Hover over a bar to see the number of arraignments Dismissed, ACD, & Total Disposed

vii.Dismissal Reasons

Number of arraignments that are now dismissed (not by ACD) by Dismissal Category and Demographics

Relevant Report Filters: Ethnicity; Race; Ethnicity/Race; Gender; Age Bracket; Age at Arrest **Bonus Page Filters**: Dismissal Reason; Dismissal Detail

Arraignments Dismissed by Dismissal Reason & Ethnicity/Race (Table)

Number of arraignments dismissed (not by ACD)

Rows: Region & District with ability to drill down to County, & Ethnicity/Race *Columns*: Dismissal Reason with ability to drill across to Year-Month

Dismissal Reason by Demographics (Proportion Bar)

Proportion of dismissed arraignments (not by ACD) by Dismissal Reason for each demographic category. Use buttons to choose demographic field to view.

Bonus Filter: Arraign Year is set to greater than 2022 to filter out earlier data that more often than not does not have a descriptive dismissal reason.

vii.Dismissal Flow

Same as <u>Case Flow</u>, but only includes the number of arraignments disposed by dismissal (not by ACD), click (+) to expand the number of dismissed arraignments by the categories in any of the fields below. Continue to drill down to further detail by selecting (+) next to the category you want to expand. To go back, click the (x) in the Field in the top shaded section.

Dismissal Reason	Ethnicity/Race	Court Type	Severity at Arraignment
Dismissal Detail	Race	Region	Weight at Arraignment
Arraign Year	Ethnicity	District	Law
Arraign Month	Gender	County	Article.Section
	Age Bracket	Court	Attempt Flag
			Top Charge at Arraignment

Bonus Stat: Hover over a bar to see the percent of total arraignments dismissed.

viii.Sentences

Number of arraignments that are now sentenced by Most Severe Sentence and Demographics

Relevant Report Filters: Ethnicity; Race; Ethnicity/Race; Gender; Age Bracket; Age at Arrest **Bonus Page Filters**: Most Severe Sentence

Sentenced Arraignments by Ethnicity/Race & Most Severe Sentence (Table)

Number of sentenced arraignments by:

Rows: Region & District with ability to drill down to County and Most Severe Sentence *Columns*: Ethnicity/Race with ability to drill across to Year-Month

Most Severe Sentence by Demographics (Proportion Bar)

Proportion of sentenced arraignments by Most Severe Sentence for each demographic category. Use buttons to choose demographic field to view.

viii.Fines

This page shows the number of cases fined and the amount imposed by Demographics.

Highlights: Total Cases Fined, Total Fines Imposed, & Median Fines Imposed

Relevant Report Filters: Ethnicity; Race; Ethnicity/Race; Gender; Age Bracket; Age at Arrest **Bonus Page Filters**: Fines Bracket (\$0-100, \$101-200, \$201-500, \$501-1000, Over \$1000)

Cases Fined by Demographics

Number of arraignments with a fine imposed by demographic category, sorted largest to smallest. Use buttons to select the demographic category to view.

Total Fines Imposed by Demographics

Dollar amount of total fines imposed by demographic category, sorted largest to smallest. Use buttons to select the demographic category to view.

Median Fines Imposed by Demographics

Dollar amount of median number of fines imposed by demographic category, sorted largest to smallest. Use buttons to select the demographic category to view.