

## Overview

The *Division of Technology & Court Research* (DoTCR) created the OCA-STAT Act extract in response to [Judiciary Law § 212 \(2\) \(u-1\) and \(v-1\)](#), requiring the Unified Court System (UCS) to compile and publish data on low-level offenses, including misdemeanors and violations. The de-identified data is available in a downloadable format on the UCS public website and updated monthly. Responding to recommendations of the [Equal Justice in the NYS Courts](#) Committee the OCA-STAT Act extract has been expanded to include data on felony cases as well.

## Population

Included in the extract are defendants arraigned in a criminal trial court from November 1<sup>st</sup>, 2020 to the most current complete month with a top arraignment charge of a felony, misdemeanor, or violation.

Data will be refreshed once a month (generally on the 6<sup>th</sup> of the month). The refresh will update with all new cases arraigned in the previous month, along with any updates to cases previously in the extract. For example, data refreshed on November 6, 2021 will add cases arraigned in October 2021 and update any new information on cases already in the extract. If a case that was arraigned earlier in the year was disposed in October 2021, the update will now show disposition information for that case.

Each row represents a unique, non-identifiable defendant-docket. One case with multiple defendants will have multiple rows (co-defendants cannot be linked together). A defendant with multiple cases will have multiple rows (multiple dockets for one defendant cannot be linked together).

Trial Courts included:

### Outside NYC

1. Town & Village Justice Courts (only finger-printable cases are available)
2. City & District Courts
3. County Courts (including Supreme Criminal Terms)

### New York City

4. NYC Criminal Court
  - a. Criminal Courts in the five boroughs
  - b. Community Courts in Red Hook and Midtown
5. Supreme Courts (Criminal Terms)

See [An Introductory Guide to New York State Courts](#) for an overview the New York State Unified Court System.

## Fields and Descriptions

### Location Fields

- A. **Row #** – row number

Note: This row number will not be consistent across monthly extracts, meaning this cannot be used to link defendant-dockets together.

- B. **Court Type** – the court that arraigned the case
- Local-City & District* (originating court)
  - Local-Town & Village* – (originating court)
  - Local-NYC Criminal Court* – (originating court)
  - Supreme/County*

Note: You cannot link the originating docket to the superior court case.

- C. **Region** – case was arraigned in *NYC* or *ONYC*
- D. **District** –arraigned in this judicial district
- E. **County** –arraigned in this county
- F. **Court** –arraigned in this court
- G. **Arresting Agency** – Arresting Agency or Precinct, if available

### Intake Fields

- H. **Arrest Type** – indicates if the defendant was held in custody or issued a ticket to return to court on a later date
- Custody* – The defendant is transported to the precinct and held there until an arraignment with the judge.
  - DAT* – The defendant was not held for arraignment and was instead issued a *Desk Appearance Ticket* from a police officer and will be required to appear in court at a future date. Defendants that do not appear at their scheduled arraignment will not be included until they are arraigned.
  - NYC Summons* – ticket issued that requires the defendant to pay a fine or come to court. Defendants that do not appear at their scheduled arraignment will not be included until they are arraigned.
  - Unknown* – For Supreme & County courts, Arrest Type on the originating case in local court is not reliably known; therefore, it is not included.
- I. **Arraign Year** – the year the case was arraigned in the court specified in Court Type
- J. **Arraign Month** – the month the case was arraigned in the court specified in Court Type

Note: If Court Type = *Supreme/County*, this is the year the case was arraigned in Supreme or County Court, not when the case was initially arraigned in local court.

### Charge Fields

The fields below represent the top charge at arraignment. If Court Type = *Supreme/County*, this is the charge at the Supreme/County Court arraignment.

- K. **Top Charge at Arraignment** –most severe charge designated at arraignment
- L. **Severity** –*Felony* (AF, BF, CF, DF, EF), *Misdemeanor* (AM, BM, UM), *Violation* (V)

- M. **Weight** –class of the top charge at arraignment and is used to categorize Severity
- N. **Law** –law associated with the top charge at arraignment
- O. **Article.Section** –law article and section of the top charge at arraignment
- P. **Attempt Flag** –indicates if the charge was based on the attempt of a crime. Will be blank if the charge is not an Attempt

### Demographic Fields

- Q. **Gender** –*Male, Female, Unknown*
- R. **Ethnicity** –*Hispanic, Non-Hispanic, Unknown*
- S. **Race** – *Black, White, Asian, Indian, Other, Unknown*
- T. **Arrest Age** – age of the defendant at arrest, calculated from the Arrest Date and Date of Birth. If either of those dates are missing or if bad dates are entered, this field will be empty.

### Case Status Fields

- U. **Docket Status** – this indicates the status of the case
  - a. *Pending* – the case is active
    - i. Not disposed
    - ii. No open warrant or toll on the case
  - b. *Tolled/Open Warrant* – the case is open but inactive
    - i. Not disposed
    - ii. Open warrant or tolled (this is an interim disposition that stops the case from proceeding, e.g. undergoing a mental health exam, active military leave; only cases in the local courts, excluding Town & Village, have the ability to show if a case is tolled)
  - c. *Open Warrant* – the case is open but inactive (This value is used for cases where tolling information is unavailable.)
    - i. Not disposed
    - ii. Open warrant for defendant
  - d. *Disposed* – the case is disposed
- V. **Disposition Type** – will be blank if case is not disposed
  - a. *Plea* – defendant pled guilty
  - b. *Dismissed* – judge dismissed the case (see Dismissal Reason field)
  - c. *Dism-ACD* – adjournment in contemplation of dismissal (see Dismissal Reason field)
  - d. *GJ/Trans* – case held for Grand Jury or was transferred to another court
  - e. *Verdict-TFG* (Trial Found Guilty) – either a bench or jury trial resulted in a guilty verdict
  - f. *Verdict-ACQ* (Acquitted) – either a bench or jury trial resulted in an acquittal
  - g. *Other* – disposition type doesn't fall in any of the categories above
  - h. *Unknown* – case is disposed but a disposition type was not indicated
- W. **Disposition Detail** – additional disposition information when available (e.g. covered by, superseded)
- X. **Dismissal Reason** – reason for dismissal for cases with a Disposition Type of *Dismissed* or *Dism-ACD*

## Sentencing Fields

- Y. **Most Severe Sentence** (will be blank for cases that have not been sentenced) – sentence severity hierarchy:
- a. *Imprisonment*
  - b. *Probation*
  - c. *Fine*
  - d. *License Suspension/Revocation*
  - e. *Registration Suspension/Revocation*
  - f. *Conditional Discharge*
  - g. *Restitution*
  - h. *Fee*
  - i. *Surcharge*
  - j. *Unconditional Discharge*
- Z. **Fines Imposed** – total fines imposed for all charges associated with the case. Will be blank if no fines imposed on the defendant.
- AA. **Fees Imposed** – total fees imposed for all charges associated with the case. Will be blank if no fees imposed on the defendant. Not available for Town & Village Courts. Available for Local Courts (City & District and NYC Criminal) and the Supreme/County Courts listed below.
- AB. **Surcharges Imposed** – total surcharges imposed for all charges associated with the case. Will be blank if no surcharges imposed on the defendant. Not available for Town & Village Courts.