

**NEW YORK STATE
UNIFIED COURT SYSTEM**

BUDGET

FISCAL YEAR 2013-2014

Jonathan Lippman
CHIEF JUDGE

A. Gail Prudenti
CHIEF ADMINISTRATIVE JUDGE

Lawrence K. Marks
FIRST DEPUTY CHIEF ADMINISTRATIVE JUDGE

Ronald Younkens
CHIEF OF OPERATIONS

Maureen McAlary
BUDGET DIRECTOR





*New York State
Unified Court System
25 Beaver Street
New York, New York 10004*

*A. Gail Prudenti
Chief Administrative Judge*

212-428-2120

November 30, 2012

To: Honorable Andrew M. Cuomo Honorable Sheldon Silver
 Honorable Dean G. Skelos Honorable Brian M. Kolb
 Honorable John L. Sampson Honorable Herman D. Farrell, Jr.
 Honorable John DeFrancisco Honorable Helene E. Weinstein
 Honorable John J. Bonacic

From: A. Gail Prudenti

I respectfully submit the itemized estimates of the annual financial needs of the Judiciary for the Fiscal Year beginning April 1, 2013. Article VII, Section 1 of the Constitution requires the submission of these estimates to the Governor and the Legislature on or before December 1, 2012.

The 2013-2014 General Fund Operating Budget request totals \$1.75 billion—a slight decrease of \$0.2 million from available current year funds. This proposed budget provides funding for the next phase of the judicial salary increase and for contractually-required increments for eligible represented employees. In addition, the budget allows for meaningful, but reasonable, expansion of funding for civil legal services and indigent criminal defense for New York City. The Judiciary is able to absorb much of the \$56 million required for these statutory increases and priority initiatives through continuation of cost savings measures and careful control over attrition and hiring.

This budget reflects a careful balancing of the Judiciary's obligation to work with the other Branches in addressing the State's continuing fiscal crisis, particularly in light of the impact of Hurricane Sandy, while also ensuring that the courts can meet their constitutional duty to provide fair and timely justice for every New Yorker.

CHIEF JUDGE'S CERTIFICATION

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, I certify that the attached schedules are the itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2013 and that they have been approved by the Court of Appeals.



Jonathan Lippman
Jonathan Lippman
Chief Judge of the Court of Appeals

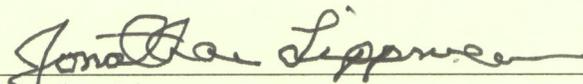
Attest: Andrew W. Klein
Clerk of the Court of Appeals

Date: November 28, 2012

COURT OF APPEALS APPROVAL

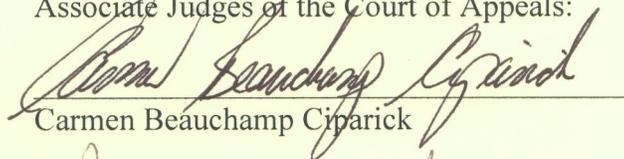
ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, the Court of Appeals approves the attached itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2013.

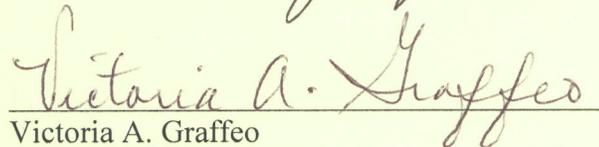


Jonathan Lippman
Chief Judge of the Court of Appeals

Associate Judges of the Court of Appeals:



Carmen Beauchamp Ciparick



Victoria A. Graffeo



Susan Phillips Read



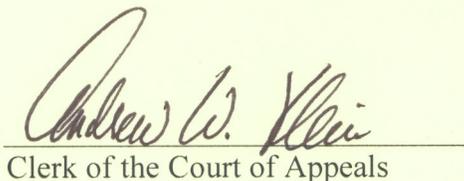
Robert S. Smith



Eugene F. Pigott, Jr.



Attest:


Clerk of the Court of Appeals

Date: November 28, 2012

2013-14 JUDICIARY BUDGET

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JUDICIARY
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EXECUTIVE SUMMARY

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JUDICIARY
2013-2014 BUDGET REQUEST
EXECUTIVE SUMMARY

In response to the State's fiscal condition, the Judiciary has been engaged in an ongoing and comprehensive review of its operations. The court system has streamlined administration, eliminated or reduced programs that are not critical to its core mission, modified court operations, and cut all but essential expenditures. The goal is not just to reduce costs, but to rethink the way that we do business and to find the best, and most efficient, ways to serve the justice needs of all New Yorkers.

In preparing this budget request, the Judiciary was very aware of the impact that Hurricane Sandy is having on the State's financial situation, in terms of both increased expenditures and reduced revenues. The Judiciary's budget request for Fiscal Year 2013-2014 reflects its commitment to work with the other branches of government in addressing this fiscal crisis, while at the same time ensuring that the courts have the resources necessary to provide timely and fair justice to every person who comes to our courthouses.

The Judiciary's General Fund Operating Budget request is \$1.75 billion. The request is a decrease of \$212,013 from the current fiscal year budget, a reduction of .012%. This negative budget request is being presented in the face of a number of cost increases, including the second phase of the judicial salary increase, and contractually-required increments for eligible non-judicial employees.

This budget request is austere, as is required by the State's fiscal outlook, and will require that the court system continue to reduce costs and to seek ways to make the court system work better and smarter. The vast majority of the Judiciary budget supports personnel, so controlling these costs is critical. Over the past three fiscal years, as a result of participation in the Early Retirement Incentive Program, targeted layoffs, a hiring freeze, and other measures, the non-judicial workforce of the court system has been reduced by more than 1,500 positions, a reduction of almost ten percent. Our current staffing is below levels last seen a decade ago, despite a significant increase in our workload over that time period.

Among the steps that we are taking to improve efficiencies, reduce costs and enhance service to the public are:

- We continue to expand e-filing, which allows parties to file and serve legal documents electronically, and which offers significant savings and convenience to the courts, the County Clerks, attorneys and litigants.
- We also continue to seek better ways to use automation to streamline court operations, improve efficiency, and reduce costs through initiatives such as the inter-agency electronic transmission of data in criminal and family court cases, and deployment of Internet Protocol telephones, which operate over the court system's own data network, to virtually eliminate monthly telephone charges.

- We have streamlined administrative functions, reorganized, and consolidated offices and programs that provide services to the courts and the public, including law libraries, court interpreters and technical staff.
- We have sharply cut expenditures for print legal materials in favor of on-line materials that are available under flat-rate agreements with legal publishers.
- We closely monitor juror utilization, both to reduce expenditures for per diem juror fees, as well as to ensure that jurors are not called for service when it is unlikely that they will be needed.
- We have largely replaced in-person training for Judges and court personnel with web-based training.
- We vigilantly track overtime expenditures, ensuring that they are reserved for critical operations (e.g., weekend arraignments) while providing necessary discretion for completing expert testimony or trials and accommodating extraordinary circumstances.

The requested budget will require that the Judiciary continue to seek cost savings wherever possible. It will also require the Judiciary monitor closely the size of its workforce, and will only allow the filling of critical operational positions that maximize the efficiency of the courts. It will also permit the courts to continue a phased-in replacement of antiquated case management systems, which are reaching the end of their useful life. Given the importance of utilizing automation technology to facilitate the processing of cases, moving forward to modernize these outdated and inefficient systems must be given the highest priority.

The budget provides the minimum funds the Judiciary needs; any further reduction would seriously jeopardize the ability of the courts to fulfill their core mission. In this regard, the budget addresses two issues that go to the heart of that mission, by providing funding essential to ensuring equal justice. First, the budget provides funding to assist in meeting the caseload standards for indigent criminal defense, the requirement for which was established pursuant to Part ZZ of section 1 of chapter 56 of the Laws of 2009. Second, it provides funding to help ensure equal justice to the millions of litigants who appear each year without counsel in eviction, foreclosure, domestic violence, consumer debt, and other cases involving the essentials of life. Not only does the lack of representation in these cases impose a profound human and social toll on the most vulnerable New Yorkers, but our judges bear significant additional burdens, including more and longer court appearances, when they hear cases in which a party is not represented. The result is delay and inefficiency, as well as increased costs, both to the court system and to represented parties.

The courts of New York State continue to face an overwhelming workload. In the face of this task, and the reductions in personnel and resources necessitated by years of austere budgets, the Judges and non-judicial employees of the New York State court system have redoubled their efforts and are working harder than ever. The proposed budget reflects a very careful balancing of the Judiciary's obligation to provide its Judges and non-judicial personnel with the resources necessary to ensure that the courts can fulfill their core mission and constitutional obligations, while working with the other Branches of government in addressing the State's fiscal crisis.

**Unified Court System
2013-14 Budget Request
All Funds Appropriation Requirements
Major Purpose by Fund Summary**

State Operations General Fund	2012-13 Available	2013-14 Requested	Change
Courts of Original Jurisdiction	\$1,455,588,708	\$1,433,033,887	(\$22,554,821)
Court of Appeals	\$14,755,987	\$14,751,698	(\$4,289)
Appellate Court Operations	\$77,547,580	\$76,145,047	(\$1,402,533)
Appellate Auxilliary Operations	\$161,148,489	\$170,889,311	\$9,740,822
Administration and General Support	\$20,086,617	\$19,095,425	(\$991,192)
Judiciary Wide Maintenance Undistributed	\$25,000,000	\$40,000,000	\$15,000,000
State Operations - General Fund Total	\$1,754,127,381	\$1,753,915,368	(\$212,013)
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State Operations - Special Revenue Funds - Federal	\$10,500,000	\$9,000,000	(\$1,500,000)
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Special Revenue Funds - Other			
NYC County Clerks' Operations Offset Fund	\$23,382,399	\$22,962,046	(\$420,353)
Judiciary Data Processing Offset Fund	\$16,757,046	\$20,671,771	\$3,914,725
Miscellaneous Special Revenue Fund	\$1,500,000	\$1,000,000	(\$500,000)
Attorney Licensing Fund	\$24,223,832	\$23,850,977	(\$372,855)
Indigent Legal Services Fund	\$25,000,000	\$25,000,000	\$0
Court Facilities Incentive Aid Fund	\$2,095,390	\$1,714,336	(\$381,054)
Lawyers' Fund for Client Protection	\$12,763,927	\$12,743,876	(\$20,051)
State Operations - Special Revenue Funds - Other	\$105,722,594	\$107,943,006	\$2,220,412
<hr/>			
State Operations - All Funds - Total	\$1,870,349,975	\$1,870,858,374	\$508,399
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Aid to Localities			
General Fund	\$2,445,584	\$2,445,584	\$0
Court Facilities Incentive Aid	\$99,198,456	\$99,931,911	\$733,455
Aid to Localities - All Funds Total	\$101,644,040	\$102,377,495	\$733,455
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Grand Total General Fund	\$1,756,572,965	\$1,756,360,952	(\$212,013)
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Grand Total All Funds	\$1,971,994,015	\$1,973,235,869	\$1,241,854
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**Unified Court System
2013-14 Budget Request
All Funds Appropriation Requirements
Major Purpose Summary by Fund Category**

	<u>2012-13</u> <u>Available</u>	<u>2013-14</u> <u>Required</u>	<u>Change</u>
Courts of Original Jurisdiction			
General Fund	\$1,455,588,708	\$1,433,033,887	(\$22,554,821)
Special Revenue Funds	\$53,930,178	\$55,371,774	\$1,441,596
Total	\$1,509,518,886	\$1,488,405,661	(\$21,113,225)
Court of Appeals			
General Fund	\$14,755,987	\$14,751,698	(\$4,289)
Special Revenue Funds	\$0	\$0	\$0
Total	\$14,755,987	\$14,751,698	(\$4,289)
Appellate Court Operations			
General Fund	\$77,547,580	\$76,145,047	(\$1,402,533)
Special Revenue Funds	\$0	\$0	\$0
Total	\$77,547,580	\$76,145,047	(\$1,402,533)
Appellate Auxilliary Operations			
General Fund	\$161,148,489	\$170,889,311	\$9,740,822
Special Revenue Funds	\$46,645,657	\$46,279,028	(\$366,629)
Total	\$207,794,146	\$217,168,339	\$9,374,193
Administration & General Support			
General Fund	\$20,086,617	\$19,095,425	(\$991,192)
Special Revenue Funds	\$2,882,832	\$2,548,328	(\$334,504)
Total	\$22,969,449	\$21,643,753	(\$1,325,696)
Judiciary Wide Maintenance Undistributed			
General Fund	\$25,000,000	\$40,000,000	\$15,000,000
Special Revenue Funds	\$0	\$0	\$0
Total	\$25,000,000	\$40,000,000	\$15,000,000
Lawyers' Fund for Client Protection			
General Fund	\$0	\$0	\$0
Special Revenue Funds	\$12,763,927	\$12,743,876	(\$20,051)
Total	\$12,763,927	\$12,743,876	(\$20,051)
Aid to Localities			
General Fund	\$2,445,584	\$2,445,584	\$0
Special Revenue Funds	\$99,198,456	\$99,931,911	\$733,455
Total	\$101,644,040	\$102,377,495	\$733,455
Total General Fund	\$1,756,572,965	\$1,756,360,952	(\$212,013)
Total Special Revenue Funds	\$215,421,050	\$216,874,917	\$1,453,867
Grand Total All Funds	\$1,971,994,015	\$1,973,235,869	1,241,854

**Fiscal Year 2013-14
Appropriation Requirements
IOLA Support**

Aid to Localities	2012-13 <u>Available</u>	2013-14 <u>Requested</u>	<u>Change</u>
General Fund - IOLA	\$15,000,000	\$15,000,000	\$0
Aid to Localities - General Fund Total	\$15,000,000	\$15,000,000	\$0

**Fiscal Year 2013-14
Disbursement Requirements
IOLA Support
(Millions \$)**

Aid to Localities	2012-13 <u>Planned</u>	2013-14 <u>Required</u>	<u>Change</u>
General Fund - IOLA	15.0	15.0	\$0
Aid to Localities - General Fund Total	15.0	15.0	\$0

Unified Court System
2013-14 Budget Request
All Funds Disbursement Requirements
(Millions \$)

Category/Fund	<u>2012-13 Planned</u>	<u>2013-14 Required</u>	<u>Change</u>
<u>Court & Agency Operations</u>			
General Fund	1,764.6	1,764.6	0.0
Special Revenue - Federal	6.5	6.5	0.0
NYC County Clerks' Operations Offset Fund	23.4	23.3	(0.1)
Judiciary Data Processing Offset Fund	16.8	20.7	3.9
Miscellaneous Special Revenue Fund	26.2	25.1	(1.1)
Indigent Legal Services Fund	25.0	25.0	0.0
Court Facilities Incentive Aid Fund	2.1	1.7	(0.4)
Court & Agency Operations - All Funds Total	1,864.6	1,866.9	2.3
Lawyers' Fund for Client Protection	12.8	12.7	(0.1)
<u>Aid to Localities</u>			
General Fund	2.5	2.5	0.0
Court Facilities Incentive Aid Fund	104.2	104.2	0.0
Aid to Localities - All Funds Total	106.7	106.7	0.0
Capital Projects	8.5	9.0	0.5
General Fund Total	1,767.1	1,767.1	0.0
All Funds Total	1,992.6	1,995.3	2.7

THE JUDICIARY

§2. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated by the appropriations, are hereby appropriated and authorized to be paid as hereinafter provided, to the respective public officers and for the several purposes specified, which amounts shall be available for the fiscal year beginning April 1, 2013.

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2013 in accordance with the following schedule:

STATE OPERATIONS AND AID TO LOCALITIES 2013-14

	Appropriations	Reappropriations
General Fund - State and Local.....	1,756,360,952	14,000,000
Special Revenue Funds - Federal	9,000,000	16,095,000
Special Revenue Funds - Other	207,874,917	20,000,000
	<hr/>	<hr/>
All Funds	<u>1,973,235,869</u>	<u>50,095,000</u>

JUDICIARY OPERATING BUDGET SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	1,753,915,368	2,445,584	-	1,756,360,952
SR - Federal	9,000,000	-	-	9,000,000
SR - Other	107,943,006	99,931,911	-	207,874,917
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All Funds	<u>1,870,858,374</u>	<u>102,377,495</u>	-	<u>1,973,235,869</u>

SCHEDULE

Notwithstanding any provision of law, the amount appropriated for any program within a major purpose within this schedule may be increased or decreased in any amount by interchange with any other program in any other major purpose, or with any appropriation in section three of this act, with the approval of the chief administrator of the courts.

COURTS OF ORIGINAL JURISDICTION **1,488,405,661**

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	1,251,552,947
Personal service - temporary	1,958,663
Personal service - holiday / overtime compensation	15,538,786
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Amount available for personal service	<u>1,269,050,396</u>

NONPERSONAL SERVICE

Supplies and Materials	24,674,008
Travel	1,955,471
Contractual Services	134,933,146
Equipment	<u>2,420,866</u>
Amount available for nonpersonal service	<u>163,983,491</u>

Program account subtotal **1,433,033,887**

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

For services and expenses as provided by section 94-a of the state finance law.

PERSONAL SERVICE

Personal service - regular	18,677,060
Personal service - temporary	<u>1,066,967</u>
Amount available for personal service	<u>19,744,027</u>

NONPERSONAL SERVICE

Supplies and Materials	287,950
Travel	4,650
Contractual Services	<u>2,925,419</u>
Amount available for nonpersonal service	<u>3,218,019</u>

Program fund subtotal **22,962,046**

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

For services and expenses as provided by section 94-b of the state finance law.

PERSONAL SERVICE

Personal service - regular	16,360,052
Personal service - temporary	285,927
Personal service - holiday / overtime compensation	<u>25,792</u>
Amount available for personal service	<u>16,671,771</u>

NONPERSONAL SERVICE

Contractual Services	<u>4,000,000</u>
Amount available for nonpersonal service	<u>4,000,000</u>
Program fund subtotal	<u><u>20,671,771</u></u>

Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund

PERSONAL SERVICE

Personal service - regular	639,083
Personal service - holiday / overtime compensation	<u>62</u>
Program fund subtotal	<u><u>639,145</u></u>

Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2013	<u>3,500,000</u>
Program account subtotal	<u><u>3,500,000</u></u>

Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2013	<u>5,500,000</u>
Program account subtotal	<u><u>5,500,000</u></u>

Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund

PERSONAL SERVICE

Personal service - regular	1,038,434
Amount available for personal service	<u>1,038,434</u>

NONPERSONAL SERVICE

Supplies and Materials	2,500
Travel	5,000
Contractual Services	<u>1,052,878</u>
Amount available for nonpersonal service	<u>1,060,378</u>
Program fund subtotal	<u>2,098,812</u>

COURT OF APPEALS **14,751,698**

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	12,787,987
Personal service - holiday / overtime compensation	<u>90,500</u>
Amount available for personal service	<u>12,878,487</u>

NONPERSONAL SERVICE

Supplies and Materials	649,331
Travel	378,610
Contractual Services	<u>845,270</u>
Amount available for nonpersonal service	<u>1,873,211</u>
Program account subtotal	<u>14,751,698</u>

APPELLATE COURT OPERATIONS **76,145,047**

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	70,846,563
Personal service - temporary	188,896
Personal service - holiday / overtime compensation	<u>163,100</u>
Amount available for personal service	<u>71,198,559</u>

NONPERSONAL SERVICE

Supplies and Materials	2,607,712
Travel	481,140
Contractual Services	<u>1,857,636</u>
 Amount available for nonpersonal service	 <u>4,946,488</u>
 Program account subtotal	 <u><u>76,145,047</u></u>

APPELLATE AUXILLIARY OPERATIONS 217,168,339

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	27,633,963
Personal service - temporary	819,804
Personal service - holiday / overtime compensation	<u>879</u>
 Amount available for personal service	 <u>28,454,646</u>

NONPERSONAL SERVICE

Supplies and Materials	374,422
Travel	433,900
Contractual Services	<u>141,626,343</u>
 Amount available for nonpersonal service	 <u>142,434,665</u>
 Program account subtotal	 <u><u>170,889,311</u></u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	15,139,988
Personal service - temporary	297,794
Personal service - holiday / overtime compensation	<u>16,100</u>
 Amount available for personal service	 <u>15,453,882</u>

NONPERSONAL SERVICE

Supplies and Materials	467,123
Travel	129,845
Contractual Services	<u>5,228,178</u>
Amount available for nonpersonal service	<u>5,825,146</u>
Program fund subtotal	<u>21,279,028</u>

**Special Revenue Funds - Other / State Operations
Indigent Legal Services Fund**

For services and expenses as provided by section 98-b of state finance law

NONPERSONAL SERVICE

Contractual Services	<u>25,000,000</u>
Amount available for nonpersonal service	<u>25,000,000</u>
Program fund subtotal	<u>25,000,000</u>

ADMINISTRATION AND GENERAL SUPPORT 21,643,753

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	17,124,564
Personal service - holiday / overtime compensation	<u>6,600</u>
Amount available for personal service	<u>17,131,164</u>

NONPERSONAL SERVICE

Supplies and Materials	226,974
Travel	595,600
Contractual Services	<u>1,141,687</u>
Amount available for nonpersonal service	<u>1,964,261</u>
Program account subtotal	<u>19,095,425</u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	1,064,191
Amount available for personal service	<u>1,064,191</u>

NONPERSONAL SERVICE

Supplies and Materials	3,500
Travel	<u>7,500</u>
Amount available for nonpersonal service	<u>11,000</u>
Program fund subtotal	<u><u>1,075,191</u></u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	818,728
Personal service - holiday / overtime compensation	<u>10,000</u>
Amount available for personal service	<u>828,728</u>

NONPERSONAL SERVICE

Supplies and Materials	134,000
Travel	750
Contractual Services	<u>509,659</u>
Amount available for nonpersonal service	<u>644,409</u>
Program fund subtotal	<u><u>1,473,137</u></u>

LAWYERS' CLIENT PROTECTION 12,743,876

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection of the State of New York**

For expenses associated with the operation of the Lawyers' Fund for Client Protection of the State of New York; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, up to \$3,750,000 from the Special Revenue Funds - Other / State Operations Attorney Licensing Fund to the Lawyers' Fund for Client Protection of the State of New York on or before March 31, 2014.

PERSONAL SERVICE

Personal service - regular 461,876
Amount available for personal service 461,876

NONPERSONAL SERVICE

Supplies and Materials 23,500
Travel 25,000
Contractual Services 12,233,500
Amount available for nonpersonal service 12,282,000
Program fund subtotal 12,743,876

JUDICIARY-WIDE MAINTENANCE UNDISTRIBUTED 40,000,000

**General Fund / State Operations
State Purposes Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses necessary to implement recommendations of the chief judge's task force to expand access to civil legal services in New York, which may include suballocation by the chief administrator to other state departments or agencies outside the judiciary. 40,000,000
Program account subtotal 40,000,000

AID TO LOCALITIES 102,377,495

**General Fund / Aid to Localities
Local Assistance Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the justice court
assistance program 2,445,584

Program account subtotal 2,445,584

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2014.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities
incentive aid program 99,931,911

Program fund subtotal 99,931,911

NEW YORK INTEREST ON LAWYER ACCOUNT 2013-14

For expenses in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	15,000,000
All Funds	<u>15,000,000</u>

JUDICIARY BUDGET SUMMARY OF NEW APPROPRIATIONS (SUPPLEMENTAL)

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	-	15,000,000	-	15,000,000
SR - Federal	-	-	-	-
SR - Other	-	-	-	-
All Funds	<u>-</u>	<u>15,000,000</u>	<u>-</u>	<u>15,000,000</u>

SCHEDULE

IOLA SUPPORT	<u>15,000,000</u>
---------------------------	--------------------------

**General Fund / Aid to Localities
Local Assistance Account**

For suballocation to the board of trustees of the New York Interest on Lawyer Account (IOLA) Fund, at the direction of the chief administrator of the courts, for services and expenses associated with operation of section 97-v of the state finance law, as added by chapter 659 of the laws of 1983; provided, however, such direction shall be given not later than thirty days after this act shall become law.

MAINTENANCE UNDISTRIBUTED

For services and expenses in relation to IOLA	<u>15,000,000</u>
Program account subtotal	<u>15,000,000</u>

THE JUDICIARY

GENERAL STATE CHARGES 2013-14

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2013 in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	634,428,210
Special Revenue Funds - Other	<u>26,232,397</u>
All Funds	<u><u>660,660,607</u></u>

JUDICIARY GENERAL STATE CHARGES SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	634,428,210	-	-	634,428,210
SR - Other	<u>26,232,397</u>	-	-	<u>26,232,397</u>
All Funds	<u><u>660,660,607</u></u>	-	-	<u><u>660,660,607</u></u>

SCHEDULE

GENERAL STATE CHARGES **660,660,607**

**General Fund / State Operations
State Purposes Account**

FRINGE BENEFITS

For Fringe Benefits 634,428,210

Program account subtotal **634,428,210**

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

FRINGE BENEFITS

For Fringe Benefits 7,479,647

Program fund subtotal **7,479,647**

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>748,344</u>
Program fund subtotal	<u>748,344</u>

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection**

FRINGE BENEFITS

For Fringe Benefits	<u>159,433</u>
Program fund subtotal	<u>159,433</u>

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>10,371,843</u>
Program fund subtotal	<u>10,371,843</u>

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>7,473,130</u>
Program fund subtotal	<u>7,473,130</u>

REAPPROPRIATIONS

§3. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated being the unexpended balances of a prior year's appropriation, are hereby reappropriated from the same funds and made available for the same purposes as the prior year's appropriation, unless amended herein, for the state fiscal year beginning April 1, 2013.

THE JUDICIARY

STATE OPERATIONS AND AID TO LOCALITIES - REAPPROPRIATIONS 2013-2014

SCHEDULE

COURTS OF ORIGINAL JURISDICTION

**General Fund / State Operations
State Purposes Account**

By chapter 51, section 2, of the laws of 2012:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2012.

Personal service - regular 1,263,820,976(re. 4,000,000)

By chapter 51, section 2, of the laws of 2011, as reappropriated by chapter 51, section 3, of the laws of 2012:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2011.

Personal service - regular 1,247,236,511(re. 10,000,000)

**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

By chapter 51, section 2, of the laws of 2012:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2012

5,000,000(re. 5,000,000)

By chapter 51, section 2, of the laws of 2011, as reappropriated by chapter 51, section 3, of the laws of 2012:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2011

5,500,000(re. 1,100,000)

By chapter 51, section 2, of the laws of 2010, as reappropriated by chapter 51, section 3, of the laws of 2012: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2010	6,500,000	(re.	1,000,000)
By chapter 51, section 2, of the laws of 2009, as reappropriated by chapter 51, section 3, of the laws of 2012: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2009	6,500,000	(re.	150,000)
By chapter 51, section 2, of the laws of 2008, as reappropriated by chapter 51, section 3, of the laws of 2012: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2008	8,000,000	(re.	70,000)
By chapter 51, section 2, of the laws of 2005, as reappropriated by chapter 51, section 3, of the laws of 2012: For services and expenses of drug court operations ...	4,000,000	(re.	80,000)
Special Revenue Funds - Federal / State Operations			
Federal Grants - Health and Human Services			
By chapter 51, section 2, of the laws of 2012: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2012	5,500,000	(re.	5,500,000)
By chapter 51, section 2, of the laws of 2011, as reappropriated by chapter 51, section 3, laws of 2012: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2011	5,000,000	(re.	1,200,000)
By chapter 51, section 2, of the laws of 2010, as reappropriated by chapter 51, section 3, of the laws of 2012: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2010	2,600,000	(re.	25,000)
By chapter 51, section 2, of the laws of 2009, as reappropriated by chapter 51, section 3, of the laws of 2012: For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2009	2,600,000	(re.	250,000)

**Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund**

By chapter 51, section 2, of the laws of 2012:			
For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2012	1,500,000	(re.	1,500,000)
By chapter 51, section 2, of the laws of 2011, as reappropriated by chapter 51, section 3, of the laws of 2012:			
For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2011	1,500,000	(re.	150,000)
By chapter 51, section 2, of the laws of 2010, as reappropriated by chapter 51, section 3, of the laws of 2012:			
For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2010	1,500,000	(re.	70,000)

AID TO LOCALITIES

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

By chapter 51, section 2, of the laws of 2012,
For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$50,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2013.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities incentive aid program	99,198,456	(re.	10,000,000)
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By chapter 51, section 2, of the laws of 2011, as reappropriated
 by chapter 51, section 3, of the laws of 2012:

For expenses necessary to implement the provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the Court Facilities Incentive Aid Fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$50,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2012.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities incentive aid program	108,377,787	(re. 10,000,000)
Total reappropriations for state operations and aid to localities.....		\$ 50,095,000

CAPITAL PROJECTS - REAPPROPRIATIONS 2013-2014

COURTHOUSE IMPROVEMENTS (CCP)

Capital Projects Fund
 Preservation of Facilities Purpose

By chapter 51, section 2, of the laws of 2007, as reappropriated
 by chapter 51, section 3, of the laws of 2011, and as amended and reappropriated
 by chapter 51, section 3, of the laws of 2012:

For expenses associated with the acquisition of and improvements to a training academy in Kings County for the training of court security personnel (52JT0707)	33,700,000	(re. \$33,700,000)
--	------------------	--------------------

The appropriation made by chapter 51, section 2, of the laws of 2007, as
 amended by chapter 51, section 3, of the laws of 2009, and chapter 51,
 section 3, of the laws of 2011, and as amended and reappropriated by
 chapter 51, section 3, of the laws of 2012:

For expenses associated with the acquisition of and improvements to a training academy in Kings County for the training of court security personnel (52JT0707)	24,200,000	(re. \$17,300,000)
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STATE OF NEW YORK
JUDICIARY BUDGET
FY 2013-14

SECTION
2

**2013-14 JUDICIARY
BUDGET REQUEST**

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Judiciary

2013-14 Budget Request

Introduction

The Judiciary. The Judiciary is one of the three branches of New York State government. Article VI of the State Constitution establishes the Judiciary as the Unified Court System for the State, defines the organization and jurisdiction of the courts, and provides for the administrative supervision of the courts by a Chief Administrator on behalf of the Chief Judge of the State.

The objectives of the Judiciary are to: (1) provide a forum for the peaceful, fair and prompt resolution of civil and family disputes, criminal charges, disputes between citizens and the state, and challenges to government action; (2) supervise the administration of decedents' estates; (3) preside over adoptions and proceedings to protect children and the mentally-ill; and (4) regulate the admission of lawyers to the Bar and their conduct and discipline.

Administration of the Judiciary. The administrative structure of this court system is prescribed by the State Constitution, which denominates the Chief Judge of the Court of Appeals as the head of the Judiciary. The Chief Judge is authorized to adopt administrative policy for the courts after consultation with the Administrative Board of the Courts (comprised of the Chief Judge and the Presiding Justices of the four Appellate Divisions) and approval by the Court of Appeals. With the advice and consent of the Administrative Board, the Chief Judge also appoints a Chief Administrator of the Courts who is responsible for supervising the day to day administration and operation of the trial courts. The Appellate Divisions and the Court of Appeals are responsible for the administration and operation of their courts.

In discharge of his or her responsibility for managing the trial courts, the Chief Administrator designates three Deputy Chief Administrative Judges. The First Deputy Chief Administrative Judge, supervises court operations as directed by the Chief Administrator; a Deputy Chief Administrative Judge for the New York City Courts and a Deputy Chief Administrative Judge for the courts outside the New York City. The latter two, on behalf of the Chief Administrator and together with a corps of Administrative Judges, supervise court operations in the State's Judicial Districts (*see* map of 13 Judicial Districts that follows). In some instances, Supervising Judges also are designated to assist the Chief Administrator, the Deputy Chief Administrative Judges and the Administrative Judges.

By statute and by direction of the Chief Judge, the Chief Administrator also establishes the administrative office of the courts. This office is bifurcated into the Office of Court Administration within the Administration and General Support Major Purpose, and the Division of Court Support Services within the Courts of Original Jurisdiction Major Purpose. The Office of Court Administration consists of offices that provide legal, policy, fiscal and human resource support to the Chief Administrator. The Division of Court Support Services assists the Chief Administrator by providing centralized management support to court operations including technology, personnel, legal information, records management, security and payroll services.

Funding of the Judiciary. By statute, and since the late 1970's, the nine major trial courts (Supreme Courts, Court of Claims, County Courts, Family Courts, Surrogate's Courts, New York City Civil and Criminal Courts, District Courts and City Courts outside New York City) and all three appellate courts (Court of Appeals, Appellate Divisions and Appellate Terms) are funded entirely by the State except that local governments, with some limited State financial assistance, bear responsibility for provision of court facilities. The Town and Village Justice Courts, by contrast, are funded by the Towns and Villages in which they sit, although they do receive limited State financial assistance as well.

Structure and Jurisdiction of the Courts

The Unified Court System is structured as follows:

APPELLATE COURTS

Court of Appeals
Appellate Divisions of the Supreme Court
Appellate Terms of the Supreme Court
County Courts (acting as appellate courts)

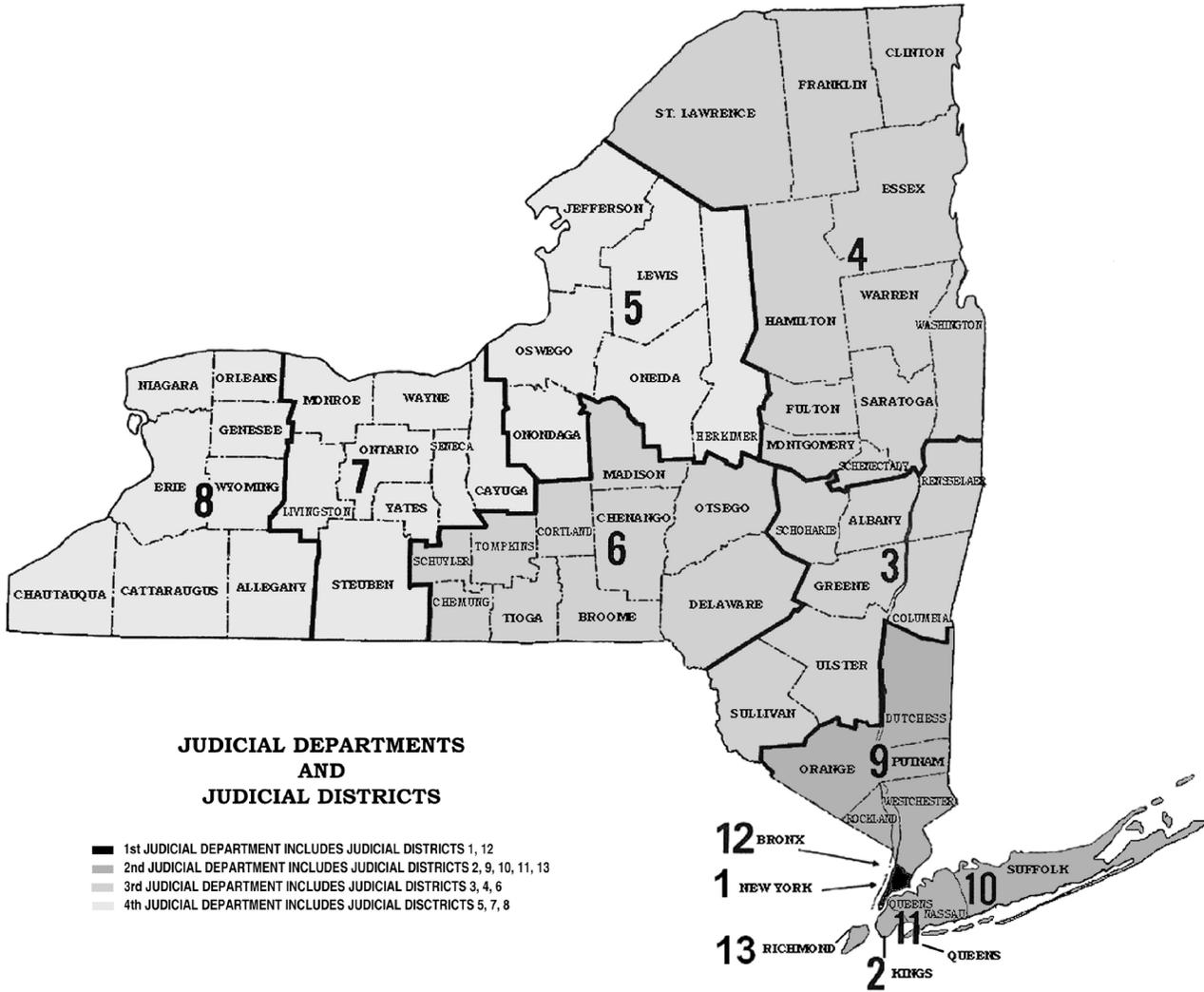
TRIAL COURTS OF SUPERIOR JURISDICTION

<i>Statewide:</i>	<i>Outside New York City:</i>
Supreme Court	County Court
Court of Claims	
Family Court	
Surrogate's Court	

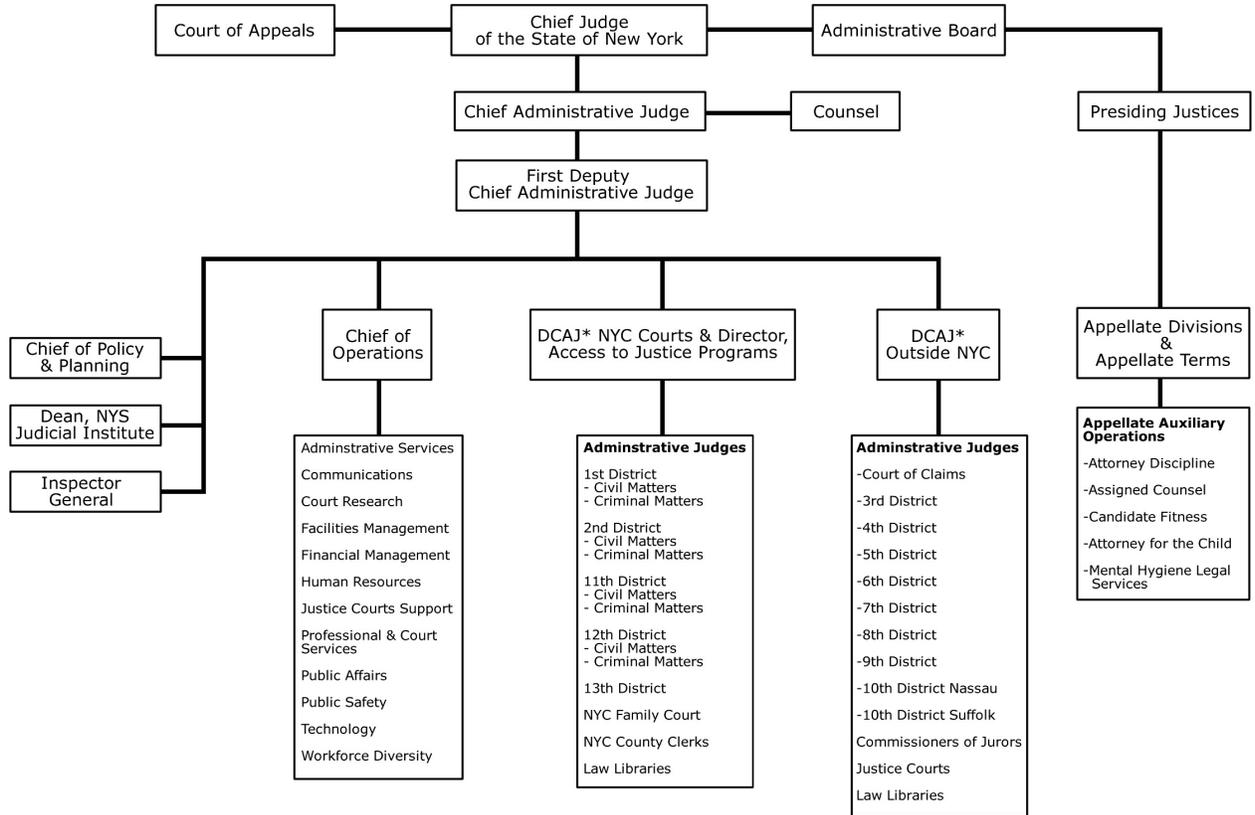
TRIAL COURTS OF LIMITED JURISDICTION

<i>New York City:</i>	<i>Outside New York City:</i>
Criminal Court:	City Courts
Civil Court	District Courts
	Town Courts*
	Village Courts*

*Locally funded courts



Unified Court System Administrative Structure



*DCAJ - Deputy Chief Administrative Judge

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2013-14

Part I

State Operations

Court and Court-Related Agency Operations

Major Purpose Summary
COURTS OF ORIGINAL
JURISDICTION

2013-14 All Funds Budget Request:	\$1,488,405,661
<i>General Fund:</i>	<i>1,433,033,887</i>
<i>State Special Revenue Funds:</i>	<i>46,371,774</i>
<i>Federal Special Revenue Funds:</i>	<i>9,000,000</i>

Major Purpose Description

The Courts of Original Jurisdiction (COJ) Major Purpose is comprised of the following program components: Supreme and County Courts, Family Courts, Surrogate’s Courts, Multi-Bench Courts, City and District Courts, New York City Housing Court, Community Courts, Drug Treatment Courts, Court of Claims, Jury Operations, New York City County Clerks, Law Libraries, the Office of Alternative Dispute Resolution and Court Improvement Programs, Court Support Services, Town and Village Courts, Public Safety, COJ Maintenance Undistributed and Administration.

Summary of 2013-14 Funding: Courts of Original Jurisdiction

The COJ Major Purpose State Operations All Funds budget request is \$1.49 billion, or a decrease of \$21.1 million (-1.4%) from the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$1.31 billion represents a decrease of \$7.4 million (-0.6%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions with adjustments for changes in certificated justices and their associated staff. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings, staffing adjustments and by reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

The personal service request also includes \$3.3 million for temporary service in support of ongoing operations, including the New York City County Clerks Offices (\$1.1 million), the New York City Commissioner of Juror’s Offices (\$0.6 million), and various trial courts (\$0.7 million). Temporary Service funding is also included for six Commercial Division Law Clerks (\$0.4 million); for Acting City, Town and Village Justices and associated legal support (\$0.1 million); and for technology positions where grant support will end during the next fiscal year (\$0.4 million). Also reflected in the personal service request is \$15.6 million for overtime.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with trial court operations, support and administration. These expenses include: supplies; legal reference materials and online services; telecommunications; rentals and repairs of equipment; real estate rentals for office and training space; maintenance agreements for hardware, software and office equipment; payments for jury *per diems*, lodging and meals; *per diem* interpreter and court reporter costs; ADR contracts;

transcript payments; and contractual security services provided in upstate courts by local law enforcement agencies. Also reflected are appropriations which provide the framework through which federal and other grants are realized.

The nonpersonal service request is \$181.3 million, or a decrease of \$13.7 million (-7%) from current year funding. The decrease is attributable to the continuation of cost saving measures controlling expenditures in legal reference print materials, supplies, travel, postage, printing, telecommunications, judicial hearing officers, jury *per diems*, contractual security services and equipment. A decrease in other professional services reflects elimination of the use of outside clerical services, elimination of financial assistance for local Court Appointed Special Advocates programs, and the anticipation of the Judiciary receiving fewer federal grant awards. These decreases are partially offset by contractual increases in online legal research services, real estate rentals and information technology services. An increase is also reflected in accounting and auditing services to accommodate the expansion of e-filing. The nonpersonal service request also transfers \$4 million in nonpersonal appropriation for the Division of Technology from the General Fund to the Judiciary Data Processing Offset Fund which is supported by revenue collected from criminal history search fees.

Courts of Original Jurisdiction

Budget Summary - All Funds

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Court of Claims	\$12,467,825	\$4,442,544	\$16,910,369
New York City	\$683,689,174	\$35,314,655	\$719,003,829
Third Judicial District	\$40,538,069	\$7,509,317	\$48,047,386
Fourth Judicial District	\$44,239,915	\$3,429,184	\$47,669,099
Fifth Judicial District	\$49,926,606	\$6,446,057	\$56,372,663
Sixth Judicial District	\$34,930,870	\$2,341,208	\$37,272,078
Seventh Judicial District	\$47,302,914	\$17,531,048	\$64,833,962
Eighth Judicial District	\$76,033,054	\$8,409,159	\$84,442,213
Ninth Judicial District	\$98,455,675	\$4,423,388	\$102,879,063
Nassau County	\$94,563,047	\$4,085,826	\$98,648,873
Suffolk County	\$92,802,679	\$3,674,741	\$96,477,420
Alternative Dispute Resolution	\$1,565,512	\$6,071,666	\$7,637,178
Court Support Services	\$45,876,419	\$60,521,375	\$106,397,794
Undistributed	(\$15,247,986)	\$17,061,720	\$1,813,734
Total:	\$1,307,143,773	\$181,261,888	\$1,488,405,661

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,293,972,266	1,288,267,576	(5,704,690)
PS Temporary	4,012,154	3,311,557	(700,597)
PS Overtime	16,564,640	15,564,640	(1,000,000)
Total Personal Service	<u>1,314,549,060</u>	<u>1,307,143,773</u>	<u>(7,405,287)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,111,184	18,810,096	(1,301,088)
Supplies and Materials	8,706,875	8,416,696	(290,179)
Travel	2,439,978	1,965,121	(474,857)
Equipment Rental and Repairs	7,557,685	7,662,649	104,964
Real Estate Rentals	16,594,967	18,351,772	1,756,805
Conferences and Training	729,404	705,354	(24,050)
Postage and Printing	10,139,236	9,899,531	(239,705)
Telecommunications	10,621,077	10,013,349	(607,728)
Information Technology Services	10,233,321	10,776,177	542,856
Accounting and Auditing Services	1,661,962	2,102,973	441,011
Records Management Services	4,162,866	4,142,866	(20,000)
Other Professional Services	22,342,394	19,666,025	(2,676,369)
In-Part Services	6,003,429	5,894,465	(108,964)
ADR/SCAR/Arbitration	6,580,708	6,282,412	(298,296)
Judicial Hearing Officers	2,826,600	1,326,600	(1,500,000)
Jury Fees	20,705,371	19,684,090	(1,021,281)
Security Services	28,675,942	27,015,933	(1,660,009)
Transcripts	5,954,668	6,124,913	170,245
Equipment	8,922,159	2,420,866	(6,501,293)
Total Nonpersonal Service	<u>194,969,826</u>	<u>181,261,888</u>	<u>(13,707,938)</u>
Grand Total	<u>1,509,518,886</u>	<u>1,488,405,661</u>	<u>(21,113,225)</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,256,791,947	1,251,552,947	(5,239,000)
PS Temporary	2,918,357	1,958,663	(959,694)
PS Overtime	16,538,786	15,538,786	(1,000,000)
Total Personal Service	<u>1,276,249,090</u>	<u>1,269,050,396</u>	<u>(7,198,694)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	20,111,184	18,810,096	(1,301,088)
Supplies and Materials	8,493,079	8,212,846	(280,233)
Travel	2,429,908	1,955,471	(474,437)
Equipment Rental and Repairs	7,387,018	7,490,257	103,239
Real Estate Rentals	16,588,967	18,351,272	1,762,305
Conferences and Training	729,404	705,354	(24,050)
Postage and Printing	9,937,946	9,707,353	(230,593)
Telecommunications	10,213,277	9,957,159	(256,118)
Information Technology Services	10,151,421	7,722,677	(2,428,744)
Accounting and Auditing Services	1,424,114	1,804,773	380,659
Records Management Services	1,901,229	1,901,229	0
Other Professional Services	10,303,194	8,615,725	(1,687,469)
In-Part Services	6,003,429	5,894,465	(108,964)
ADR/SCAR/Arbitration	6,580,708	6,282,412	(298,296)
Judicial Hearing Officers	2,826,600	1,326,600	(1,500,000)
Jury Fees	20,705,371	19,684,090	(1,021,281)
Security Services	28,675,942	27,015,933	(1,660,009)
Transcripts	5,954,668	6,124,913	170,245
Equipment	8,922,159	2,420,866	(6,501,293)
Total Nonpersonal Service	<u>179,339,618</u>	<u>163,983,491</u>	<u>(15,356,127)</u>
Grand Total	1,455,588,708	1,433,033,887	(22,554,821)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,094,358	1,038,434	(55,924)
Total Personal Service	1,094,358	1,038,434	(55,924)
<u>Nonpersonal Service</u>			
Supplies and Materials	9,500	2,500	(7,000)
Travel	6,000	5,000	(1,000)
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Other Professional Services	1,515,000	1,015,000	(500,000)
Total Nonpersonal Service	1,568,378	1,060,378	(508,000)
 Grand Total	 2,662,736	 2,098,812	 (563,924)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	627,935	639,083	11,148
PS Overtime	62	62	0
Total Personal Service	<u>627,997</u>	<u>639,145</u>	<u>11,148</u>
Grand Total	627,997	639,145	11,148

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,726,772	18,677,060	(49,712)
PS Temporary	1,093,797	1,066,967	(26,830)
Total Personal Service	<u>19,820,569</u>	<u>19,744,027</u>	<u>(76,542)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	204,296	201,350	(2,946)
Travel	4,070	4,650	580
Equipment Rental and Repairs	170,667	172,392	1,725
Real Estate Rentals	6,000	500	(5,500)
Postage and Printing	198,412	189,300	(9,112)
Telecommunications	407,800	56,190	(351,610)
Information Technology Services	46,900	18,500	(28,400)
Accounting and Auditing Services	237,848	298,200	60,352
Records Management Services	2,261,637	2,241,637	(20,000)
Other Professional Services	24,200	35,300	11,100
Total Nonpersonal Service	<u>3,561,830</u>	<u>3,218,019</u>	<u>(343,811)</u>
 Grand Total	 23,382,399	 22,962,046	 (420,353)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,731,254	16,360,052	(371,202)
PS Temporary	0	285,927	285,927
PS Overtime	25,792	25,792	0
Total Personal Service	<u>16,757,046</u>	<u>16,671,771</u>	<u>(85,275)</u>
<u>Nonpersonal Service</u>			
Information Technology Services	0	3,000,000	3,000,000
Other Professional Services	0	1,000,000	1,000,000
Total Nonpersonal Service	<u>0</u>	<u>4,000,000</u>	<u>4,000,000</u>
 Grand Total	 <u>16,757,046</u>	 <u>20,671,771</u>	 <u>3,914,725</u>

State of New York - Judiciary
 Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
 FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,000,000	3,500,000	(1,500,000)
Total Nonpersonal Service	5,000,000	3,500,000	(1,500,000)
Grand Total	5,000,000	3,500,000	(1,500,000)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,500,000	5,500,000	0
Total Nonpersonal Service	5,500,000	5,500,000	0
Grand Total	5,500,000	5,500,000	0

Courts of Original Jurisdiction

2011 Statewide Workload by Court Type

COURT	Filings	Dispositions
Criminal:		
Supreme and County Courts ^a	77,842	85,291
NYC Criminal Court		
Arrest Cases	357,842	354,916
Summons Cases ^b	503,536	453,360
City and District Courts Outside NYC		
Arrest Cases	284,217	269,523
Uniform Traffic Tickets ^b	438,791	403,125
Parking Tickets ^b	166,181	116,527
Criminal Subtotal	1,828,409	1,682,742
Civil:		
Supreme Courts		
New Cases (RJI's)	168,551	172,232
Ex Parte Applications	221,690	221,690
Uncontested Matrimonials	49,785	47,379
NYC Civil Court		
New Civil Actions	408,002	262,694 ^c
Housing Cases	274,931	308,460
Small Claims	26,671	21,377
Commercial Claims	8,028	8,719
City and District Courts Outside NYC		
New Civil Actions	169,598	194,303 ^c
Housing Cases	88,170	80,082
Small Claims	25,614	24,263
Commercial Claims	10,591	10,330
County Courts ^a	48,349 ^d	48,453
Court of Claims	1,505	1,403
Arbitration Program (CPLR 3405)	25,167 ^e	17,546
Small Claims Assessment Review	33,729	82,432
Civil Subtotal	1,535,214	1,501,363
Family ^a	717,818 ^f	707,252 ^f
Surrogate's ^a	139,805	107,310 ^g
2011 Total:	4,221,246	3,998,667

^a Also reflects Multi-Bench matters.

^b Includes both answered and unanswered cases.

^c Does not include dispositions in the Arbitration Program.

^d Includes new cases and ex-parte applications.

^e Shown here for reference only and not included in totals. Included as intake in the civil court filings listed above.

^f Includes Permanency Hearings held.

^g Surrogate's Court dispositions include orders and decrees signed.

Program Description

This Program provides funding for operations in Supreme and County Courts. The request includes funding for Certificated Justices of the Supreme Court, and for operation of the Small Claims Assessment Review (SCAR) Program.

Supreme Court: The Supreme Court is the highest trial court of the State. It is established in all 62 counties and vested with unlimited civil and criminal jurisdiction; although, in practice, it acts primarily as a court of civil jurisdiction outside New York City. In New York City, however, all felony cases are heard in criminal terms and all major civil cases in civil terms.

County Court: There is a County Court established for each of the 57 counties outside New York City. It retains unlimited criminal jurisdiction and jurisdiction of civil claims not exceeding \$25,000. In practice, however, it is almost exclusively a criminal court, hearing all felony cases in the county in which it is established. In many smaller counties, where there is no separate Family and/or Surrogate's Court, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate as well. Where this occurs, the affected County Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Acting Justices of the Supreme Court: Under the State Constitution, the Legislature is limited in its ability to increase the number of Supreme Court Justices in a Judicial District to meet workload needs. Accordingly, pursuant to authority granted by the Constitution, the Chief Administrative Judge temporarily assigns many Court of Claims Judges, County-level Judges and New York City Civil, Criminal and Family Court Judges to serve as Acting Justices of the Supreme Court. Under the Judiciary Law, a judge whose statutory salary is less than that of a Supreme Court Justice is entitled to a pay differential for the balance. Pay differentials are funded in the program in which a given Acting Supreme Court Justice's statutory title resides.

Certificated Justices of the Supreme Court: Each Justice of the Supreme Court must retire from office on the last day of the year in which he or she reaches age 70. The position becomes vacant and must be filled by election held the preceding November. The retiring Justice may remain in service as a Certificated Justice for up to three additional two-year terms if he or she is certificated by the Administrative Board of the Courts. Each retired Justice serves full time, is paid the same salary and exercises the same jurisdiction as elected Justices of the Supreme Court. In addition, Certificated Justices are entitled to the same two nonjudicial support staff as Justices of the Supreme Court.

SCAR Program: Title 1A of Article 7 of the Real Property Tax Law establishes the SCAR Program in the Supreme Court. Operating in each county, the SCAR Program permits a homeowner to judicially challenge the real property tax assessment of his or her property, expeditiously and inexpensively, before specially-trained hearing officers.

Summary of 2013-14 Funding Request:
Supreme and County Courts

2013-14 Budget Request:	\$417,934,038
<i>Personal Service:</i>	405,307,946
<i>Nonpersonal Service:</i>	12,626,092
<i>Maintenance Undistributed:</i>	0

The Supreme and County Courts General Fund budget request is \$417.9 million, or a decrease of \$4.9 million (-1.2%) from the current year adjusted appropriation.

The personal service request of \$405.3 million represents a decrease of \$3.3 million (-0.8%) from the current year adjusted appropriation. This amount includes funding for all judicial positions and all filled nonjudicial positions with adjustments for changes in certificated justices. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by attrition savings, staffing adjustments and by reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

The personal service request includes \$119,055 for temporary service. Also reflected in the personal service request is \$1.3 million for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration. These expenses include supplies and materials, postage and printing, transcripts and telecommunications.

The nonpersonal service request is \$12.6 million, or a decrease of \$1.6 million (-11.4%) from current year funding. Travel funding is reduced and support for judicial hearing officers is eliminated as a continuation of cost saving measures. Another factor in the decrease is the installation of IP telephones in several districts. The reduced requests for *per diem* court interpreters and EDP supplies are expenditure-based. The significant savings reflected in the SCAR Program are attributable to the large-scale settlement program that Nassau County has entered into with owners who are grieving their property taxes. These decreases are partially offset by an expenditure-based increase in transcripts, as well as an increase in accounting and auditing services associated with the expanded use of electronic filing.

Courts of Original Jurisdiction

Budget Summary - General Fund Supreme and County Courts Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
1 st Supreme Civil	\$40,685,106	\$560,741	\$41,245,847
1 st Supreme Criminal	\$23,190,145	\$1,605,563	\$24,795,708
Supreme Bronx	\$46,849,509	\$1,195,475	\$48,044,984
Supreme Kings	\$57,796,132	\$1,848,700	\$59,644,832
Supreme Queens	\$45,941,443	\$1,085,610	\$47,027,053
Supreme Richmond	\$9,454,311	\$256,815	\$9,711,126
Subtotal:	\$223,916,646	\$6,552,904	\$230,469,550
<u>Outside New York City</u>			
Third Judicial District	\$12,124,108	\$602,134	\$12,726,242
Fourth Judicial District	\$10,657,170	\$402,686	\$11,059,856
Fifth Judicial District	\$15,819,616	\$566,895	\$16,386,511
Sixth Judicial District	\$5,660,610	\$171,430	\$5,832,040
Seventh Judicial District	\$14,324,270	\$546,260	\$14,870,530
Eighth Judicial District	\$23,148,915	\$799,475	\$23,948,390
Ninth Judicial District	\$35,198,328	\$1,152,054	\$36,350,382
Nassau County	\$37,581,008	\$1,052,215	\$38,633,223
Suffolk County	\$32,314,102	\$780,039	\$33,094,141
Subtotal:	\$186,828,127	\$6,073,188	\$192,901,315
Undistributed	(\$5,436,827)	\$0	(\$5,436,827)
Total:	\$405,307,946	\$12,626,092	\$417,934,038

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

SUPREME & COUNTY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	407,173,831	403,935,958	(3,237,873)
PS Temporary	159,058	119,055	(40,003)
PS Overtime	1,252,933	1,252,933	0
Total Personal Service	408,585,822	405,307,946	(3,277,876)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	8,970	8,970	0
Supplies and Materials	1,662,997	1,620,897	(42,100)
Travel	528,632	443,920	(84,712)
Equipment Rental and Repairs	1,090,310	1,125,217	34,907
Real Estate Rentals	61,694	8,150	(53,544)
Conferences and Training	4,200	4,500	300
Postage and Printing	1,033,534	1,001,490	(32,044)
Telecommunications	915,943	849,354	(66,589)
Information Technology Services	36,719	13,500	(23,219)
Accounting and Auditing Services	25,143	264,543	239,400
Records Management Services	123,572	130,954	7,382
Other Professional Services	484,725	482,395	(2,330)
In-Part Services	1,500,347	1,416,987	(83,360)
ADR/SCAR/Arbitration	816,294	609,600	(206,694)
Judicial Hearing Officers	1,440,300	0	(1,440,300)
Transcripts	4,511,235	4,645,615	134,380
Total Nonpersonal Service	14,244,615	12,626,092	(1,618,523)
Grand Total	422,830,437	417,934,038	(4,896,399)

COURTS OF ORIGINAL JURISDICTION
FAMILY COURTS PROGRAM

2013-14 Budget Request: \$159,794,994

Program Description

This Program provides funding for operations in Family Courts.

Family Court: The Family Court is established as a single citywide court in New York City and as a separate court in each of the 57 counties outside New York City, except that, in many smaller counties, there is no separate Family Court Judge. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge. Where this occurs, the affected Family Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Family Court exercises jurisdiction over a broad array of matters affecting children and families, including cases involving abuse, neglect, support, paternity, family offense, Persons In Need of Supervision (PINS), juvenile delinquency (JD), guardianship, adoptions, custody and visitation matters.

Summary of 2013-14 Funding Request:

Family Courts

2013-14 Budget Request: \$159,794,994	
<i>Personal Service:</i>	<i>151,838,355</i>
<i>Nonpersonal Service:</i>	<i>7,956,639</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Family Courts General Fund budget request of \$159.8 million reflects a decrease of \$2.3 million (-1.4%) from the current year adjusted appropriation.

The personal service request of \$151.8 million represents a decrease of \$2.1 million (-1.4%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings, staffing adjustments and by reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

The personal service request also includes \$248,881 for temporary service to support a digital scanning project in New York City Family Court. Also reflected in the personal service request is \$25,821 for overtime which is funded to support current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with Family Court operations, support and administration. These expenses include: supplies and materials, postage and printing, records management, equipment rentals and repairs, telecommunications and in-part services. Funding also includes contractual support for family court justice initiatives in New York City.

The nonpersonal service request of \$8 million reflects a decrease of \$109,599 (-1.4%) from current year funding. The decrease is attributable to expenditure-based reductions in supplies and materials, telecommunications and travel costs. Expenditure-based increases for *per diem* interpreters and transcription services partially offset the overall decrease for the Family Court Program.

Courts of Original Jurisdiction

Budget Summary - General Fund

Family Courts Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
Family Court	\$67,297,712	\$3,860,063	\$71,157,775
Subtotal:	\$67,297,712	\$3,860,063	\$71,157,775
<u>Outside New York City</u>			
Third Judicial District	\$7,446,473	\$452,077	\$7,898,550
Fourth Judicial District	\$8,025,757	\$514,189	\$8,539,946
Fifth Judicial District	\$11,166,453	\$613,072	\$11,779,525
Sixth Judicial District	\$4,726,134	\$229,250	\$4,955,384
Seventh Judicial District	\$7,582,256	\$365,054	\$7,947,310
Eighth Judicial District	\$12,398,172	\$565,611	\$12,963,783
Ninth Judicial District	\$14,926,737	\$590,005	\$15,516,742
Nassau County	\$9,066,257	\$392,659	\$9,458,916
Suffolk County	\$11,356,975	\$374,659	\$11,731,634
Subtotal:	\$86,695,214	\$4,096,576	\$90,791,790
Undistributed	(\$2,154,571)	\$0	(\$2,154,571)
Total:	\$151,838,355	\$7,956,639	\$159,794,994

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

FAMILY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	153,128,801	151,563,653	(1,565,148)
PS Temporary	826,406	248,881	(577,525)
PS Overtime	25,821	25,821	0
Total Personal Service	<u>153,981,028</u>	<u>151,838,355</u>	<u>(2,142,673)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	1,062,601	1,009,621	(52,980)
Travel	216,965	184,505	(32,460)
Equipment Rental and Repairs	779,005	791,030	12,025
Real Estate Rentals	22,100	5,850	(16,250)
Conferences and Training	3,500	1,500	(2,000)
Postage and Printing	1,251,846	1,233,951	(17,895)
Telecommunications	408,529	370,973	(37,556)
Information Technology Services	10,275	2,200	(8,075)
Records Management Services	377,804	373,216	(4,588)
Other Professional Services	258,700	254,260	(4,440)
In-Part Services	2,065,375	2,098,595	33,220
ADR/SCAR/Arbitration	110,000	110,000	0
Judicial Hearing Officers	786,500	786,500	0
Transcripts	713,038	734,438	21,400
Total Nonpersonal Service	<u>8,066,238</u>	<u>7,956,639</u>	<u>(109,599)</u>
 Grand Total	 <u>162,047,266</u>	 <u>159,794,994</u>	 <u>(2,252,272)</u>

**COURTS OF ORIGINAL JURISDICTION
SURROGATE’S COURTS PROGRAM**

2013-14 Budget Request:	\$44,415,602
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Program Description

This Program provides funding for operations in Surrogate’s Courts.

Surrogate’s Court: There is a Surrogate’s Court established in each of the State’s 62 counties, except that, in many smaller counties, there is no separate Surrogate. For such counties, the Legislature has directed that the County Court Judge act as the Surrogate. Where this occurs, the affected Surrogate’s Court is termed a Multi-Bench Court and is funded under the Multi-Bench Courts Program.

Surrogate’s Court exercises jurisdiction over a broad array of matters affecting estates and trusts, including the granting of letters testamentary and letters of administration; the probate of wills; oversight of executors, estate administrators, trustees and guardians; the settlement of accounts of fiduciaries; concurrent jurisdiction with Family Court in the administration of infant guardianships and approval of adoptions; and concurrent jurisdiction with Supreme Court in matters pertaining to guardianships of incompetent persons.

Summary 2013-14 Funding Request:

Surrogate’s Courts

2013-14 Budget Request:	\$44,415,602
<i>Personal Service:</i>	42,715,401
<i>Nonpersonal Service:</i>	1,700,201
<i>Maintenance Undistributed:</i>	0

The Surrogate’s Courts General Fund budget request is \$44.4 million, or a decrease of \$810,272 (-1.8%) from the current year adjusted appropriation.

The personal service request of \$42.7 million represents a decrease of \$838,981 (-1.9%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The increase in these funding requirements is offset by staffing adjustments, attrition savings, and reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

The personal service request also includes \$116,062 for temporary service which will fund one part-time attorney and a clerical position to support court operations.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Surrogate’s Court, including supplies and materials, postage and printing, equipment rental and repair, records management services and telecommunications.

The nonpersonal service request of \$1.7 million represents an increase of \$28,709 (1.7%) over the current year adjusted appropriation. This increase is principally attributable to increased expenditures for records management services and accounting and auditing services that support the statutory expansion of electronic filing of cases and the payment of Surrogate's Court fees by credit card. These increases are partially offset by reduced expenditures in telecommunications, postage, judicial hearing officers and printing.

Courts of Original Jurisdiction

Budget Summary - General Fund

Surrogate Courts Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
New York Surrogate	\$5,645,626	\$139,232	\$5,784,858
Bronx Surrogate	\$3,545,277	\$90,957	\$3,636,234
Kings Surrogate	\$4,231,646	\$95,772	\$4,327,418
Queens Surrogate	\$3,556,181	\$110,677	\$3,666,858
Richmond Surrogate	\$2,393,233	\$68,443	\$2,461,676
Subtotal:	\$19,371,963	\$505,081	\$19,877,044
<u>Outside New York City</u>			
Third Judicial District	\$1,596,962	\$139,085	\$1,736,047
Fourth Judicial District	\$2,650,052	\$51,014	\$2,701,066
Fifth Judicial District	\$2,448,495	\$104,828	\$2,553,323
Sixth Judicial District	\$589,624	\$42,840	\$632,464
Seventh Judicial District	\$2,925,986	\$226,865	\$3,152,851
Eighth Judicial District	\$3,619,836	\$257,484	\$3,877,320
Ninth Judicial District	\$4,594,771	\$153,867	\$4,748,638
Nassau County	\$2,925,967	\$130,842	\$3,056,809
Suffolk County	\$2,603,294	\$88,295	\$2,691,589
Subtotal:	\$23,954,987	\$1,195,120	\$25,150,107
Undistributed	(\$611,549)	\$0	(\$611,549)
Total:	\$42,715,401	\$1,700,201	\$44,415,602

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

SURROGATE COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	43,439,994	42,599,339	(840,655)
PS Temporary	114,388	116,062	1,674
Total Personal Service	<u>43,554,382</u>	<u>42,715,401</u>	<u>(838,981)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,656	1,008	(3,648)
Supplies and Materials	242,725	241,182	(1,543)
Travel	17,949	19,597	1,648
Equipment Rental and Repairs	256,604	254,357	(2,247)
Real Estate Rentals	7,041	850	(6,191)
Postage and Printing	257,452	251,165	(6,287)
Telecommunications	111,820	100,585	(11,235)
Information Technology Services	3,626	2,058	(1,568)
Accounting and Auditing Services	59,805	92,952	33,147
Records Management Services	633,638	658,775	25,137
Other Professional Services	54,491	60,690	6,199
In-Part Services	11,385	12,082	697
Judicial Hearing Officers	5,000	0	(5,000)
Transcripts	5,300	4,900	(400)
Total Nonpersonal Service	<u>1,671,492</u>	<u>1,700,201</u>	<u>28,709</u>
Grand Total	45,225,874	44,415,602	(810,272)

**COURTS OF ORIGINAL JURISDICTION
MULTI-BENCH COURTS PROGRAM**

2013-14 Budget Request:	\$42,455,779
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Program Description

This Program provides funding for operations in the Multi-Bench Courts.

Multi-Bench Courts: In many smaller counties outside New York City, there is no separate Family Court Judge or Surrogate, or both. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate. Where this occurs, the affected County Court is termed a Multi-Bench Court. Affected counties having combined County, Family and Surrogate’s Courts include: Allegany, Cattaraugus, Chenango, Columbia, Cortland, Delaware, Essex, Franklin, Greene, Hamilton, Lewis, Livingston, Madison, Orleans, Otsego, Putnam, Schuyler, Schoharie, Seneca, Tioga, Tompkins, Washington, Wayne, Wyoming and Yates Counties. Those having combined County and Family Courts only include: Cayuga, Clinton, Ontario and Steuben Counties. Those having combined County and Surrogate’s Courts only include: Chemung, Clinton, Fulton, Genesee, Herkimer, Sullivan and Warren Counties.

Summary of 2013-14 Funding Request:

Multi-Bench Courts

2013-14 Budget Request:	\$42,455,779
<i>Personal Service:</i>	40,047,983
<i>Nonpersonal Service:</i>	2,407,796
<i>Maintenance Undistributed:</i>	0

The Multi-Bench Courts General Fund budget request is \$42.5 million, or a decrease of \$103,140 (-0.2%) from the current year adjusted appropriation.

The personal service request of \$40 million represents a decrease of \$50,133 (-0.1%) from the current year adjusted appropriation. This includes funding for existing judicial positions and filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by staffing adjustments, attrition savings, and reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

The personal service request also includes \$15,700 for temporary service. This funding supports three part-time positions in the trial courts in support of ongoing operations. Additionally, the overtime request for \$3,559 supports current levels of operation.

Nonpersonal service funding supports ongoing court operations and includes transcripts, equipment rental and repairs, postage and printing, telecommunications, and supplies and materials.

The nonpersonal service request of \$2.4 million represents a decrease of \$53,007 (-2.2%) from the current year adjusted appropriation. This decrease is primarily attributable to cost savings in records management services and supplies and materials.

Courts of Original Jurisdiction

Budget Summary - General Fund

Multi-Bench Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>Outside New York City</u>			
Third Judicial District	\$4,759,133	\$369,140	\$5,128,273
Fourth Judicial District	\$6,554,301	\$399,934	\$6,954,235
Fifth Judicial District	\$1,119,345	\$103,866	\$1,223,211
Sixth Judicial District	\$10,448,522	\$656,491	\$11,105,013
Seventh Judicial District	\$10,001,359	\$538,361	\$10,539,720
Eighth Judicial District	\$5,956,653	\$249,838	\$6,206,491
Ninth Judicial District	\$1,747,078	\$90,166	\$1,837,244
Undistributed	(\$538,408)	\$0	(\$538,408)
Total:	\$40,047,983	\$2,407,796	\$42,455,779

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

MULTI-BENCH COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	40,083,382	40,028,724	(54,658)
PS Temporary	11,175	15,700	4,525
PS Overtime	3,559	3,559	0
Total Personal Service	<u>40,098,116</u>	<u>40,047,983</u>	<u>(50,133)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,694	3,694	0
Supplies and Materials	327,298	292,888	(34,410)
Travel	78,057	101,337	23,280
Equipment Rental and Repairs	412,027	414,835	2,808
Postage and Printing	372,370	369,253	(3,117)
Telecommunications	316,442	326,811	10,369
Information Technology Services	4,900	400	(4,500)
Accounting and Auditing Services	18,572	32,287	13,715
Records Management Services	176,648	119,498	(57,150)
Other Professional Services	50,855	49,815	(1,040)
In-Part Services	135,340	134,013	(1,327)
Judicial Hearing Officers	123,400	123,400	0
Transcripts	441,200	439,565	(1,635)
Total Nonpersonal Service	<u>2,460,803</u>	<u>2,407,796</u>	<u>(53,007)</u>
Grand Total	42,558,919	42,455,779	(103,140)

Program Description

This Program provides funding for operations in City Courts outside New York City, the Nassau and Suffolk County District Courts, the New York City Civil Court, the New York City Criminal Court, and the Arbitration Program pursuant to CPLR 3405.

City Courts Outside New York City: There are 61 City Courts outside New York City; 11 are comprised solely of full-time judges, 34 are comprised of a mix of full and part-time judges, and 16 are comprised exclusively of part-time judges. These Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Nassau and Suffolk County District Courts: The Constitution authorizes establishment of District Courts in counties and parts of counties following local initiative, State legislative enactment and local voter approval. At present, there are two such District Courts, one in Nassau County and one in Suffolk County. Like the City Courts, the District Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

New York City Civil Court: The Civil Court is a citywide court with civil jurisdiction over monetary claims of up to \$25,000 (including small claims and commercial claims of up to \$5,000), some equity matters and landlord/tenant matters. To assist in discharge of the latter, the Civil Court has a Housing Part, presided over by quasi-judicial hearing officers called Housing Judges. The Housing Part is not funded under this Program but under the New York City Housing Court Program.

New York City Criminal Court: The Criminal Court is a citywide court with criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Arbitration Program: CPLR 3405 authorizes the Chief Judge, by rule, to require that monetary claims not exceeding \$6,000 (not exceeding \$10,000 in the NYC Civil Court) brought in certain courts be subject to arbitration, provided that the affected parties are entitled to trial *de novo* if aggrieved by the award in arbitration. The Chief Judge has promulgated such a rule (22 NYCRR Part 28) and, pursuant to its terms, arbitration is required in approximately one-half the State's counties.

Summary of 2013-14 Funding Request:
City and District Courts

2013-14 Budget Request:	\$221,600,360
<i>Personal Service:</i>	213,455,492
<i>Nonpersonal Service:</i>	8,144,868
<i>Maintenance Undistributed:</i>	0

The City & District Program General Fund budget request is \$221.6 million, or a decrease of \$1 million (-0.5%) from the current year adjusted appropriation.

The personal service request of \$213.5 million represents a decrease of \$912,218 (-0.4%) from the current year adjusted appropriation. This includes funding for existing judicial positions and filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. These funding requirements are offset by staffing adjustments, attrition savings, and reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

Included in this amount is \$222,431 in temporary service costs for the use of Acting City Court judges, part-time legal staff who share a position with an individual in a different title within the legal series, and clerical positions to support ongoing court operations. The request also includes \$4.3 million in overtime.

Nonpersonal service funding supports ongoing court operations including in-part services for *per diem* interpreters and court reporters, postage and printing, supplies and materials, equipment rentals and repairs, and telecommunications.

The nonpersonal service request of \$8.1 million represents a decrease of \$119,437 (-1.4%) from the current year adjusted appropriation. This decrease is primarily attributable to cost saving measures and expenditure-based reductions in postage and printing, in-part services, judicial hearing officers, other professional services, supplies and materials and telecommunications.

Courts of Original Jurisdiction

Budget Summary - General Fund

City and District Courts Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
Civil Court	\$54,649,646	\$1,299,927	\$55,949,573
Criminal Court	\$67,695,373	\$2,251,948	\$69,947,321
Subtotal:	\$122,345,019	\$3,551,875	\$125,896,894
<u>Outside New York City</u>			
Third Judicial District	\$5,005,172	\$265,601	\$5,270,773
Fourth Judicial District	\$4,456,626	\$240,317	\$4,696,943
Fifth Judicial District	\$8,151,257	\$444,970	\$8,596,227
Sixth Judicial District	\$4,148,540	\$230,810	\$4,379,350
Seventh Judicial District	\$7,462,710	\$346,919	\$7,809,629
Eighth Judicial District	\$12,633,583	\$614,836	\$13,248,419
Ninth Judicial District	\$14,566,719	\$669,617	\$15,236,336
Nassau County	\$18,632,352	\$1,043,743	\$19,676,095
Suffolk County	\$18,540,156	\$736,180	\$19,276,336
Subtotal:	\$93,597,115	\$4,592,993	\$98,190,108
Undistributed	(\$2,486,642)	\$0	(\$2,486,642)
Total:	\$213,455,492	\$8,144,868	\$221,600,360

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

CITY & DIST INC ARBITRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	209,433,333	208,892,496	(540,837)
PS Temporary	449,856	222,431	(227,425)
PS Overtime	4,484,521	4,340,565	(143,956)
Total Personal Service	<u>214,367,710</u>	<u>213,455,492</u>	<u>(912,218)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,338	4,338	0
Supplies and Materials	985,268	947,664	(37,604)
Travel	282,692	284,590	1,898
Equipment Rental and Repairs	861,286	871,293	10,007
Real Estate Rentals	36,480	4,200	(32,280)
Conferences and Training	2,600	1,050	(1,550)
Postage and Printing	1,585,196	1,504,086	(81,110)
Telecommunications	548,219	514,249	(33,970)
Information Technology Services	24,745	15,600	(9,145)
Accounting and Auditing Services	469,034	513,431	44,397
Records Management Services	285,775	319,549	33,774
Other Professional Services	60,345	54,935	(5,410)
In-Part Services	2,008,282	1,953,238	(55,044)
ADR/SCAR/Arbitration	415,950	472,750	56,800
Judicial Hearing Officers	446,400	416,700	(29,700)
Transcripts	247,695	267,195	19,500
Total Nonpersonal Service	<u>8,264,305</u>	<u>8,144,868</u>	<u>(119,437)</u>
Grand Total	222,632,015	221,600,360	(1,031,655)

COURTS OF ORIGINAL JURISDICTION
NEW YORK CITY HOUSING COURT PROGRAM

2013-14 Budget Request: \$31,645,439

Program Description

This Program provides funding for the Housing Part of the New York City Civil Court.

Housing Part of the Civil Court: In 1972, the Legislature established a special part of the New York City Civil Court to handle housing code enforcement and landlord/tenant disputes relating to possession of residential property in New York City. The enabling statute (New York City Civil Court Act § 110) also established a corps of quasi-judicial hearing officers, designated by the Chief Administrative Judge and now known as Housing Judges, to preside in the Housing Part.

Summary 2013-14 Funding Request:

New York City Housing Court

2013-14 Budget Request: \$31,645,439

<i>Personal Service:</i>	30,482,565
<i>Nonpersonal Service:</i>	1,162,874
<i>Maintenance Undistributed:</i>	0

The New York City Housing Court General Fund budget request is \$31.6 million, or an increase of \$38,425 (0.1%) over the current year adjusted appropriation.

The personal service request of \$30.5 million represents an increase of \$43,653 (0.1%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by attrition savings, staffing adjustments and by reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

The personal service request also includes \$39,250 for temporary service to support a part-time court attorney and \$10,170 for overtime.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including postage and printing and in-part services. The nonpersonal service request also includes funding for a contract that provides information and support to self-represented tenants and owners in each borough's Housing Court.

The nonpersonal service request is \$1.2 million, or a decrease of \$5,228 (-0.4%) from current year funding. The decrease is primarily due to the continuation of cost saving measures in rentals of equipment and printing. It is partially offset by an increase in other general services to cover the transport of older case files to the Brooklyn Army Terminal storage facility.

Courts of Original Jurisdiction

Budget Summary - General Fund

New York City Housing Court

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Housing Court	\$30,482,565	\$1,162,874	\$31,645,439
Total:	\$30,482,565	\$1,162,874	\$31,645,439

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

NYC HOUSING COURT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	30,392,903	30,433,145	40,242
PS Temporary	35,839	39,250	3,411
PS Overtime	10,170	10,170	0
Total Personal Service	<u>30,438,912</u>	<u>30,482,565</u>	<u>43,653</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	124,730	134,200	9,470
Travel	5,100	5,125	25
Equipment Rental and Repairs	108,272	99,849	(8,423)
Real Estate Rentals	8,300	0	(8,300)
Postage and Printing	360,000	342,000	(18,000)
Telecommunications	14,700	14,700	0
Other Professional Services	385,000	405,000	20,000
In-Part Services	135,000	135,000	0
Transcripts	27,000	27,000	0
Total Nonpersonal Service	<u>1,168,102</u>	<u>1,162,874</u>	<u>(5,228)</u>
 Grand Total	 <u>31,607,014</u>	 <u>31,645,439</u>	 <u>38,425</u>

**COURTS OF ORIGINAL JURISDICTION
COMMUNITY COURTS PROGRAM**

2013-14 Budget Request: \$4,934,070

Program Description

This Program provides funding for the various Community Courts in New York City.

Community Courts: Community Courts adjudicate low-level and quality-of-life offenses such as prostitution, shoplifting, vandalism and drug possession. By combining conventional punishments with alternative sanctions, Community Courts emphasize accountability, community responsibility and restitution. By incorporating problem-solving policies and methods, Community Courts focus on relationships among the neighborhood, offender, and courts with the overarching goal of increasing community confidence in and access to the criminal justice system. Funding is requested for the following Community Courts: Manhattan’s Midtown Community Court and Harlem Community Justice Center; Brooklyn’s Red Hook Community Justice Center and Brownsville Community Justice Center; and the Bronx’s Community Solutions.

Summary 2013-14 Funding Request:

Community Courts

2013-14 Budget Request:	\$4,934,070
<i>Personal Service:</i>	1,992,845
<i>Nonpersonal Service:</i>	2,941,225
<i>Maintenance Undistributed:</i>	0

The Community Courts General Fund budget request is \$4.9 million, or an increase of \$55,003 (1.1%) over the current year adjusted appropriation.

The personal service request of \$2 million represents an increase of \$54,092 (2.8%) over the current adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by attrition savings.

Nonpersonal service funding supports usual and necessary expenses associated with Community Court operations and administration including supplies and materials, postage and printing and telecommunications. The nonpersonal service request also supports project coordination and administration in each Community Court through contracts with the Fund for the City of New York.

The nonpersonal service request is \$2.9 million, or an increase of \$911 (0%) over current year funding.

Courts of Original Jurisdiction

Budget Summary - General Fund

Community Courts Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
Midtown Community Court	\$478,524	\$600,557	\$1,079,081
Red Hook Community Court	\$811,380	\$831,122	\$1,642,502
Harlem Community Justice Center	\$732,852	\$527,354	\$1,260,206
Bronx Community Solutions	\$0	\$726,533	\$726,533
Brownsville Community Court	\$0	\$255,659	\$255,659
Undistributed	(\$29,911)	\$0	(\$29,911)
Total:	\$1,992,845	\$2,941,225	\$4,934,070

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

NYC COMMUNITY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,843,917	1,898,009	54,092
PS Overtime	94,836	94,836	0
Total Personal Service	<u>1,938,753</u>	<u>1,992,845</u>	<u>54,092</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	14,640	27,921	13,281
Equipment Rental and Repairs	26,000	25,800	(200)
Real Estate Rentals	1,000	0	(1,000)
Postage and Printing	18,800	6,000	(12,800)
Telecommunications	18,970	19,200	230
Other Professional Services	2,773,904	2,776,604	2,700
In-Part Services	87,000	85,500	(1,500)
Transcripts	0	200	200
Total Nonpersonal Service	<u>2,940,314</u>	<u>2,941,225</u>	<u>911</u>
 Grand Total	 4,879,067	 4,934,070	 55,003

**COURTS OF ORIGINAL JURISDICTION
DRUG TREATMENT COURTS PROGRAM**

2013-14 Budget Request: \$15,209,032

Program Description

This Program provides for non-grant supported funding for the operation of Drug Treatment Courts throughout the State.

Drug Treatment Courts: Drug Treatment Courts are parts that operate within certain courts that have criminal or family jurisdiction. These forums were established to help individuals and communities break the destructive cycle of repeated drug abuse and arrest. They provide nonviolent drug offenders the opportunity to participate in rehabilitation programs as alternatives to incarceration. During the 1990's when the drug treatment court model was first implemented, Drug Treatment Courts were substantially funded by federal grants. Since then, however, the State has increasingly assumed greater responsibility for funding of these programs. The Maintenance Undistributed Program within the Courts of Original Jurisdiction includes funding for anticipated federal grants in support of Drug Treatment Courts.

**Summary of 2013-14 Funding Request:
Drug Treatment Courts**

2013-14 Budget Request: \$15,209,032
<i>Personal Service: 14,015,518</i>
<i>Nonpersonal Service: 1,193,514</i>
<i>Maintenance Undistributed: 0</i>

The Drug Treatment Courts General Fund budget request is \$15.2 million, or a decrease of \$576,732 (-3.7%) from the current adjusted appropriation.

The personal service request of \$14 million represents a decrease of \$416,024 (-2.9%) from the current year adjusted appropriation and includes funding for filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, location pay and uniform allowance, as required by law, for all eligible employees. These increases are offset by staffing adjustments and attrition savings.

Included in this amount is an overtime request of \$74,625, which is funded to support current levels of operations.

Nonpersonal service funding supports ongoing court operations including supplies and materials, conferences and training for judicial and nonjudicial employees, and other professional services.

The nonpersonal service request is \$1.2 million, or a decrease of \$160,708 (-11.9%) from current year funding. This decrease is primarily attributable to expenditure-based reductions in miscellaneous supplies and materials, and medical services.

Courts of Original Jurisdiction

Budget Summary - General Fund

Drug Treatment Courts Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
New York	\$1,807,333	\$89,203	\$1,896,536
Bronx	\$1,171,904	\$23,100	\$1,195,004
Kings	\$2,168,481	\$174,586	\$2,343,067
Queens	\$1,085,259	\$51,966	\$1,137,225
Richmond	\$226,911	\$37,800	\$264,711
Subtotal:	\$6,459,888	\$376,655	\$6,836,543
<u>Outside New York City</u>			
Third Judicial District	\$892,004	\$62,000	\$954,004
Fourth Judicial District	\$925,050	\$69,202	\$994,252
Fifth Judicial District	\$983,562	\$40,045	\$1,023,607
Sixth Judicial District	\$539,740	\$97,648	\$637,388
Seventh Judicial District	\$828,749	\$67,409	\$896,158
Eighth Judicial District	\$1,857,479	\$119,397	\$1,976,876
Ninth Judicial District	\$954,751	\$82,323	\$1,037,074
Nassau County	\$179,279	\$90,289	\$269,568
Suffolk County	\$621,125	\$87,192	\$708,317
Subtotal:	\$7,781,739	\$715,505	\$8,497,244
Undistributed	(\$226,109)	\$101,354	(\$124,755)
Total:	\$14,015,518	\$1,193,514	\$15,209,032

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

DRUG TREATMENT COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	14,356,917	13,940,893	(416,024)
PS Overtime	74,625	74,625	0
Total Personal Service	<u>14,431,542</u>	<u>14,015,518</u>	<u>(416,024)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	25	25	0
Supplies and Materials	1,045,415	943,679	(101,736)
Travel	46,401	46,271	(130)
Equipment Rental and Repairs	33,732	33,256	(476)
Real Estate Rentals	900	0	(900)
Conferences and Training	104,154	102,354	(1,800)
Postage and Printing	11,011	7,940	(3,071)
Telecommunications	13,941	9,605	(4,336)
Information Technology Services	5,218	3,800	(1,418)
Other Professional Services	90,925	44,084	(46,841)
In-Part Services	2,500	2,500	0
Total Nonpersonal Service	<u>1,354,222</u>	<u>1,193,514</u>	<u>(160,708)</u>
 Grand Total	 15,785,764	 15,209,032	 (576,732)

COURTS OF ORIGINAL JURISDICTION
COURT OF CLAIMS PROGRAM

2013-14 Budget Request: \$16,910,369

Program Description

This Program provides funding for the operation of the Court of Claims.

Court of Claims: The Court of Claims is the exclusive judicial forum for actions brought against New York State and certain State entities like the Thruway Authority and the City University of New York. The Court hears a broad array of tort and contract cases and disputes over compensation awards following exercises of the State’s power of eminent domain. It exercises its jurisdiction statewide with a presence in venues across New York (including Albany, Binghamton, Buffalo, Hauppauge, New York City, Rochester, Saratoga Springs, Syracuse, Utica and White Plains). All actions in the Court of Claims are heard by a judge sitting without a jury.

Summary of 2013-14 Funding Request:
Court of Claims

2013-14 Budget Request:	\$16,910,369
<i>Personal Service:</i>	12,467,825
<i>Nonpersonal Service:</i>	4,442,544
<i>Maintenance Undistributed:</i>	0

The Court of Claims General Fund budget request is \$16.9 million, or an increase of \$48,853 (0.3%) over the current year adjusted appropriation.

The personal service request of \$12.5 million represents an increase of \$127,603 (1%) over the current year adjusted appropriation. This amount includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by attrition savings, staffing adjustments and by reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration including: supplies and materials, postage and printing and telecommunications.

The nonpersonal service request is \$4.4 million, or a decrease of \$78,750 (-1.7%) from current year funding. The decrease is primarily attributable to cost saving measures and expenditure-based reductions in office supplies, travel, postage and printing.

Courts of Original Jurisdiction

Budget Summary - General Fund

Court of Claims

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Court of Claims	\$12,467,825	\$4,442,544	\$16,910,369
Total:	\$12,467,825	\$4,442,544	\$16,910,369

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURT OF CLAIMS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,337,355	12,464,958	127,603
PS Overtime	2,867	2,867	0
Total Personal Service	<u>12,340,222</u>	<u>12,467,825</u>	<u>127,603</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,729	2,729	0
Supplies and Materials	100,400	84,600	(15,800)
Travel	89,500	65,472	(24,028)
Equipment Rental and Repairs	150,310	150,310	0
Real Estate Rentals	3,778,738	3,778,823	85
Conferences and Training	80,000	80,000	0
Postage and Printing	111,000	75,000	(36,000)
Telecommunications	92,350	92,050	(300)
Information Technology Services	25,000	15,000	(10,000)
Accounting and Auditing Services	1,560	1,560	0
Records Management Services	16,807	15,000	(1,807)
Other Professional Services	60,000	70,000	10,000
In-Part Services	4,500	7,000	2,500
Transcripts	8,400	5,000	(3,400)
Total Nonpersonal Service	<u>4,521,294</u>	<u>4,442,544</u>	<u>(78,750)</u>
Grand Total	16,861,516	16,910,369	48,853

**COURTS OF ORIGINAL JURISDICTION
JURY PROGRAM**

2013-14 Budget Request:	\$44,918,503
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Program Description

This Program provides funding for the cost of operating the jury system for New York’s State-funded courts.

The Jury System: The State Constitution guarantees litigants before New York’s courts trial by jury in most cases. The Judiciary Law, Article 16, sets forth the procedures to be followed in making available an adequate number of jurors so that the Judiciary can meet this constitutional guarantee. The focal point for these procedures is in the office of Commissioner of Jurors. One such office is established for each of the 57 counties outside New York City, whereas, in the City, the Commissioner’s functions are discharged by the jury divisions of the County Clerk’s office in each of the City’s five boroughs. The Commissioners oversee the qualification and summoning of citizens for jury service, the maintenance of juror service records, the processing of juror payment vouchers, the operation of jury assembly rooms, and the provision of jurors to courtrooms for the jury selection portion of a trial.

Summary of 2013-14 Funding Request:

Jury System

2013-14 Budget Request:	\$44,918,503
<i>Personal Service:</i>	19,196,802
<i>Nonpersonal Service:</i>	25,721,701
<i>Maintenance Undistributed:</i>	0

The Jury Systems Operations General Fund budget request is \$44.9 million, or a decrease of \$1.3 million (-2.8%) from the current year adjusted appropriation.

The personal service request of \$19.2 million is a decrease of \$437,046 (-2.2%) from the current year adjusted appropriation. This request includes funding for filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. These increases are offset by staffing adjustments and attrition savings.

The personal service request also includes \$625,604 for temporary service. This funding supports several clerical positions in support of ongoing jury operations in the New York City Commissioner of Jurors’ offices.

Nonpersonal service funding supports ongoing jury systems operations, including jury *per diems* at the rate of \$40 per day, fees for jurors who serve in town and village courts and, under certain circumstances, meals and lodging for jurors. Also included in this category are postage and printing for juror questionnaires and summonses, other professional services, supplies and materials, equipment rental and repairs, and telecommunications.

The nonpersonal service request of \$25.7 million represents a decrease of \$865,036 (-3.3%) from the current year adjusted appropriation. This reduction is principally attributable to the continuation of cost saving measures in printing, EDP supplies, utilities, and measures related to the jury selection process.

Courts of Original Jurisdiction

Budget Summary - General Fund

Jury Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
New York	\$3,633,992	\$4,301,572	\$7,935,564
Bronx	\$1,643,258	\$2,509,650	\$4,152,908
Kings	\$2,493,959	\$3,302,329	\$5,796,288
Queens	\$1,379,584	\$2,141,019	\$3,520,603
Richmond	\$364,444	\$427,844	\$792,288
Subtotal:	\$9,515,237	\$12,682,414	\$22,197,651
<u>Outside New York City</u>			
Third Judicial District	\$1,002,577	\$952,748	\$1,955,325
Fourth Judicial District	\$1,156,970	\$899,583	\$2,056,553
Fifth Judicial District	\$1,059,116	\$683,623	\$1,742,739
Sixth Judicial District	\$423,954	\$506,340	\$930,294
Seventh Judicial District	\$1,270,332	\$932,351	\$2,202,683
Eighth Judicial District	\$1,259,219	\$981,550	\$2,240,769
Ninth Judicial District	\$1,713,312	\$1,491,953	\$3,205,265
Nassau County	\$1,097,177	\$1,217,814	\$2,314,991
Suffolk County	\$995,182	\$1,333,825	\$2,329,007
Subtotal:	\$9,977,839	\$8,999,787	\$18,977,626
Undistributed	(\$296,274)	\$4,039,500	\$3,743,226
Total:	\$19,196,802	\$25,721,701	\$44,918,503

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

JURY SYSTEMS OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,885,359	18,567,884	(317,475)
PS Temporary	745,175	625,604	(119,571)
PS Overtime	3,314	3,314	0
Total Personal Service	<u>19,633,848</u>	<u>19,196,802</u>	<u>(437,046)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,575	2,575	0
Supplies and Materials	546,834	586,400	39,566
Travel	23,959	17,983	(5,976)
Equipment Rental and Repairs	307,598	334,416	26,818
Real Estate Rentals	7,713	2,375	(5,338)
Postage and Printing	3,856,673	3,938,487	81,814
Telecommunications	173,225	205,020	31,795
Information Technology Services	2,854	0	(2,854)
Records Management Services	7,785	7,805	20
Other Professional Services	899,400	894,700	(4,700)
In-Part Services	52,750	47,850	(4,900)
Jury Fees	20,705,371	19,684,090	(1,021,281)
Total Nonpersonal Service	<u>26,586,737</u>	<u>25,721,701</u>	<u>(865,036)</u>
 Grand Total	 46,220,585	 44,918,503	 (1,302,082)

COURTS OF ORIGINAL JURISDICTION
NEW YORK CITY COUNTY CLERKS PROGRAM

2013-14 Budget Request: \$22,484,952

Program Description

This Program provides funding for the New York City County Clerks' offices in New York, Bronx, Kings, Queens and Richmond Counties.

New York City County Clerks: The State Constitution directs that the County Clerk in each of the five boroughs of New York City act as the Clerk of the Supreme Court and as the Commissioner of Jurors. The Richmond County Clerk also acts as the Registrar of Deeds for property transactions on Staten Island. In 1995, the Judiciary Law and the State Finance Law were amended to require New York City to assume the cost of County Clerk offices, except for expenses related to jury operations. The nonjury operations are funded by recording fees that would otherwise be payable to New York City as well as deductions from local assistance funds owed the City of New York. Jury operations are funded through the Jury System Operations Program.

Summary of 2013-14 Funding Request:

New York City County Clerks

2013-14 Budget Request:	\$22,484,952
<i>Personal Service:</i>	<i>19,267,133</i>
<i>Nonpersonal Service:</i>	<i>3,217,819</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The New York City County Clerks Special Revenue Fund budget request is \$22.5 million, or a decrease of \$422,346 (-1.8%) from the current year adjusted appropriation.

The personal service request of \$19.3 million represents a decrease of \$79,135 (-0.4%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings and staffing adjustments.

The personal service request also includes \$1.1 million for temporary service in support of ongoing operations.

Nonpersonal service funding supports usual and necessary expenses associated with county clerk operations including supplies and materials, postage and printing and records management services. Records management services include records storage and digitization or microfilming of paper records.

The nonpersonal service request is \$3.2 million, or a decrease of \$343,211 (-9.6%) from current year funding. The decrease is primarily attributable to the continuation of cost saving measures in telecommunications. The nonpersonal service decreases are partially offset by an increase in accounting and auditing services associated with the expanded use of electronic filing.

Courts of Original Jurisdiction
 Budget Summary - State Special Revenue Fund
 New York City County Clerks Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
New York	\$6,045,372	\$1,150,690	\$7,196,062
Bronx	\$3,238,812	\$378,704	\$3,617,516
Kings	\$4,222,138	\$639,835	\$4,861,973
Queens	\$3,380,480	\$633,387	\$4,013,867
Richmond	\$2,684,023	\$415,203	\$3,099,226
Undistributed	(\$303,692)	\$0	(\$303,692)
Total:	\$19,267,133	\$3,217,819	\$22,484,952

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

NYC COUNTY CLERKS
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,252,471	18,200,166	(52,305)
PS Temporary	1,093,797	1,066,967	(26,830)
Total Personal Service	<u>19,346,268</u>	<u>19,267,133</u>	<u>(79,135)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	204,296	201,350	(2,946)
Travel	4,070	4,650	580
Equipment Rental and Repairs	170,667	172,392	1,725
Real Estate Rentals	6,000	500	(5,500)
Postage and Printing	198,412	189,300	(9,112)
Telecommunications	407,800	56,190	(351,610)
Information Technology Services	46,900	18,500	(28,400)
Accounting and Auditing Services	237,848	298,200	60,352
Records Management Services	2,261,637	2,241,637	(20,000)
Other Professional Services	23,400	35,100	11,700
Total Nonpersonal Service	<u>3,561,030</u>	<u>3,217,819</u>	<u>(343,211)</u>
 Grand Total	 22,907,298	 22,484,952	 (422,346)

COURTS OF ORIGINAL JURISDICTION
SUPREME AND COUNTY COURT
LAW LIBRARY PROGRAM

2013-14 Budget Request: \$5,946,794
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Program Description

This Program provides funding for the Supreme and County Court Law Libraries maintained by the Judiciary at various venues throughout the State.

Supreme and County Court Law Libraries: The Judiciary Law, Article 21, requires that there be a court law library in each county of the State and that each such library be managed under the aegis of the Chief Administrative Judge with the assistance of a local board of trustees.

Summary of 2013-14 Funding Request:

**Supreme and County Court
 Law Libraries**

2013-14 Budget Request:	\$5,946,794
<i>Personal Service:</i>	5,575,678
<i>Nonpersonal Service:</i>	371,116
<i>Maintenance Undistributed:</i>	0

The Supreme Court Law Libraries General Fund budget request is \$5.9 million, or a decrease of \$165,630 (-2.7%) from the current year adjusted appropriation.

The personal service request of \$5.6 million represents a decrease of \$153,924 (-2.7%) from the current year adjusted appropriation. This includes funding for filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. These increases are offset by staffing adjustments and attrition savings.

The personal service request also includes \$76,722 for temporary service. This funding supports several part-time positions in support of ongoing law library operations.

Nonpersonal service funding supports ongoing law library operations and includes legal reference materials and online legal services, equipment rental and repairs, and supplies and materials. Acquisition of most legal reference materials is provided through the statewide Office of Legal Information in the Court Support Services Program.

The nonpersonal service request of \$371,116 represents a decrease of \$11,706 (-3.1%) from the current year adjusted appropriation. This decrease is attributable to the continuation of cost saving measures for computer-assisted legal research services, telecommunications, postage, EDP supplies, and printing.

Courts of Original Jurisdiction

Budget Summary - General Fund

Law Libraries Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
New York Civil	\$101,374	\$12,980	\$114,354
New York Criminal	\$68,874	\$35,291	\$104,165
Bronx	\$196,211	\$14,112	\$210,323
Kings	\$431,452	\$18,810	\$450,262
Queens	\$389,524	\$33,860	\$423,384
Richmond	\$186,112	\$6,300	\$192,412
Subtotal:	\$1,373,547	\$121,353	\$1,494,900
<u>Outside New York City</u>			
Third Judicial District	\$397,204	\$30,301	\$427,505
Fourth Judicial District	\$374,591	\$12,907	\$387,498
Fifth Judicial District	\$1,075,964	\$49,320	\$1,125,284
Sixth Judicial District	\$214,196	\$51,417	\$265,613
Seventh Judicial District	\$275,273	\$25,234	\$300,507
Eighth Judicial District	\$499,921	\$23,730	\$523,651
Ninth Judicial District	\$520,273	\$10,201	\$530,474
Nassau County	\$281,822	\$17,454	\$299,276
Suffolk County	\$652,304	\$29,199	\$681,503
Subtotal:	\$4,291,548	\$249,763	\$4,541,311
Undistributed	(\$89,417)	\$0	(\$89,417)
Total:	\$5,575,678	\$371,116	\$5,946,794

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

LAW LIBRARIES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	5,707,713	5,498,956	(208,757)
PS Temporary	21,889	76,722	54,833
Total Personal Service	<u>5,729,602</u>	<u>5,575,678</u>	<u>(153,924)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	115,504	109,529	(5,975)
Supplies and Materials	78,066	83,395	5,329
Travel	16,421	16,306	(115)
Equipment Rental and Repairs	88,066	88,238	172
Real Estate Rentals	448	450	2
Conferences and Training	100	100	0
Postage and Printing	40,101	36,155	(3,946)
Telecommunications	28,345	23,598	(4,747)
Information Technology Services	1,169	0	(1,169)
Other Professional Services	14,402	13,145	(1,257)
In-Part Services	200	200	0
Total Nonpersonal Service	<u>382,822</u>	<u>371,116</u>	<u>(11,706)</u>
 Grand Total	 6,112,424	 5,946,794	 (165,630)

**COURTS OF ORIGINAL JURISDICTION
ALTERNATIVE DISPUTE RESOLUTION
AND COURT IMPROVEMENT PROGRAM**

2013-14 Budget Request:	\$7,612,054
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Program Description

This Program provides funding for the Community Dispute Resolution Centers, court-connected ADR programs in selected courts, and the Children’s Centers Program (CDRCP).

Alternative Dispute Resolution and Court Improvement Program: The Community Dispute Resolution Centers provide financial assistance to nonprofit organizations around the State that provide neutral third-party mediators to help parties resolve their disputes outside of court. Court-connected ADR programs provide court-sponsored mediation services to aid litigants in resolving a broad array of disputes, including custody and visitation matters in Family Court, attorney fee disputes in matrimonial actions in Supreme Court, estate matters in Surrogate’s Court and housing and small claims disputes in City and District Courts. The Children’s Centers Program funds day-care services for young children who accompany family members while in court.

Summary of 2013-14 Funding Request:

**Alternative Dispute Resolution and
Court Improvement**

2013-14 Budget Request:	\$7,612,054
<i>Personal Service:</i>	1,540,388
<i>Nonpersonal Service:</i>	6,071,666
<i>Maintenance Undistributed:</i>	0

The Alternative Dispute Resolution and Court Improvement Program General Fund budget request is \$7.6 million, or a decrease of \$1.2 million (-13.2%) from the current year adjusted appropriation.

The personal service request of \$1.5 million represents a slight increase of \$156 (0 %) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by attrition savings.

The nonpersonal service request of \$6.1 million supports contractual services for dispute resolution programs and child-care providers. The request represents a decrease of \$1.2 million (-16%) from the current year adjusted appropriation due to cost reduction initiatives, including the elimination of financial assistance for local Court Appointed Special Advocates programs.

Courts of Original Jurisdiction

Budget Summary - General Fund

Alternative Dispute Resolution and Court Improvement Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Alternative Dispute Resolution & Court Improvement	\$1,540,388	\$6,071,666	\$7,612,054
Total:	\$1,540,388	\$6,071,666	\$7,612,054

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ADR & COURT IMPROVEMENT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,540,232	1,540,388	156
Total Personal Service	1,540,232	1,540,388	156
<u>Nonpersonal Service</u>			
Supplies and Materials	8,604	8,604	0
Travel	35,000	35,000	0
Conferences and Training	30,000	30,000	0
Postage and Printing	8,000	8,000	0
Telecommunications	2,000	0	(2,000)
Other Professional Services	1,908,655	900,000	(1,008,655)
ADR/SCAR/Arbitration	5,238,464	5,090,062	(148,402)
Total Nonpersonal Service	7,230,723	6,071,666	(1,159,057)
 Grand Total	 8,770,955	 7,612,054	 (1,158,901)

**COURTS OF ORIGINAL JURISDICTION
COURT SUPPORT SERVICES PROGRAM**

2013-14 Budget Request: \$96,266,522

Program Description

This Program provides funding for the Court Support Services Program.

Court Support Services: The Chief Administrative Judge maintains an array of offices for the purpose of providing direct support to the courts and court-related agencies of the Unified Court System. This centralized support is provided in the areas of technology, human resource administration, payroll processing, court interpreter operations, legal resources, records management, workforce diversity initiatives, access to justice initiatives, continuing legal education, criminal disposition reconciliation reporting and general administrative services. Also providing support to court operations are the Office of the Inspector General, the Office of Policy and Planning, and the Division of Professional and Court Services.

Summary of 2013-14 Funding Request:

Court Support Services

2013-14 Budget Request:	\$96,266,522
<i>Personal Service:</i>	39,535,439
<i>Nonpersonal Service:</i>	56,731,083
<i>Maintenance Undistributed:</i>	0

The Court Support Services All Funds budget request is \$96.3 million, or a decrease of \$4.5 million (-4.5%) from the current year adjusted appropriation.

The personal service request of \$39.5 million represents a decrease of \$378,200 (-0.9%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings and staffing adjustments.

The personal service request also includes \$0.7 million for temporary service in support of ongoing operations, including six Commercial Division Law Clerks (\$0.4 million) and seven technology positions whose grant support will end during the next fiscal year (\$0.3 million). Also reflected in the personal service request is \$0.2 million in overtime which is funded to support current levels of operations.

The nonpersonal service request will fund ongoing centralized court support services, including: the provision of legal reference materials and online services, equipment rentals and repairs, rental of office and training space, CourtNet support, and information hardware and software maintenance. Funding in support of the Judiciary's partnership with the Center for Court Innovation is also included.

The nonpersonal service request of \$56.7 million is a decrease of \$4.1 million (-6.8%) from current year funding. The decrease is attributable to the continuation of cost saving measures

controlling expenditures over legal reference print materials, travel, postage, printing, telecommunications and equipment. The decrease is partially offset by increases in information technology services and other professional services related to the Division of Technology's expanding contractual obligations; and, to increases in real estate rentals to meet contractual obligations for office and training space. The nonpersonal service request also transfers \$4 million in nonpersonal appropriation for the Division of Technology from the General Fund to the Judiciary Data Processing Offset Fund which is supported by revenue collected from criminal history search fees.

Courts of Original Jurisdiction

Budget Summary - All Funds

Court Support Services

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Administrative Services	\$5,197,604	\$12,442,710	\$17,640,314
Continuing Legal Education	\$1,038,434	\$60,378	\$1,098,812
Court Interpreter Operations	\$4,089,163	\$4,600	\$4,093,763
Criminal Disposition Reconciliation	\$402,083	\$11,450	\$413,533
Data Processing & Network Support	\$16,937,788	\$21,421,117	\$38,358,905
Division of Professional & Court Services	\$1,194,563	\$387,900	\$1,582,463
Human Resources Administration	\$3,945,818	\$1,380,500	\$5,326,318
Office of the Inspector General	\$1,235,366	\$31,500	\$1,266,866
Legal Resources	\$672,509	\$18,730,628	\$19,403,137
NYS Courts Access to Justice	\$365,378	\$91,505	\$456,883
Office of Policy and Planning	\$1,466,704	\$1,353,440	\$2,820,144
Payroll Operations	\$2,162,498	\$223,000	\$2,385,498
Records Management	\$873,461	\$489,306	\$1,362,767
Workforce Diversity	\$562,793	\$103,049	\$665,842
Undistributed	(\$608,723)	\$0	(\$608,723)
Total:	\$39,535,439	\$56,731,083	\$96,266,522

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
COURT SUPPORT SERVICES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	39,290,568	38,645,504	(645,064)
PS Temporary	458,271	725,135	266,864
PS Overtime	164,800	164,800	0
Total Personal Service	<u>39,913,639</u>	<u>39,535,439</u>	<u>(378,200)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	19,965,546	18,674,306	(1,291,240)
Supplies and Materials	1,274,425	1,279,948	5,523
Travel	430,350	301,489	(128,861)
Equipment Rental and Repairs	2,695,875	2,675,919	(19,956)
Real Estate Rentals	10,137,527	11,594,952	1,457,425
Conferences and Training	249,000	254,000	5,000
Postage and Printing	840,578	734,328	(106,250)
Telecommunications	7,462,362	7,330,500	(131,862)
Information Technology Services	9,800,669	10,439,419	638,750
Accounting and Auditing Services	350,000	400,000	50,000
Records Management Services	265,000	260,282	(4,718)
Other Professional Services	2,389,440	2,784,440	395,000
In-Part Services	750	1,500	750
Equipment	5,000,000	0	(5,000,000)
Total Nonpersonal Service	<u>60,861,522</u>	<u>56,731,083</u>	<u>(4,130,439)</u>
 Grand Total	 100,775,161	 96,266,522	 (4,508,639)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURT SUPPORT SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	21,464,956	21,247,018	(217,938)
PS Temporary	458,271	439,208	(19,063)
PS Overtime	139,008	139,008	0
Total Personal Service	<u>22,062,235</u>	<u>21,825,234</u>	<u>(237,001)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	19,965,546	18,674,306	(1,291,240)
Supplies and Materials	1,264,925	1,277,448	12,523
Travel	424,350	296,489	(127,861)
Equipment Rental and Repairs	2,695,875	2,675,919	(19,956)
Real Estate Rentals	10,137,527	11,594,952	1,457,425
Conferences and Training	249,000	254,000	5,000
Postage and Printing	837,700	731,450	(106,250)
Telecommunications	7,462,362	7,330,500	(131,862)
Information Technology Services	9,765,669	7,404,419	(2,361,250)
Accounting and Auditing Services	350,000	400,000	50,000
Records Management Services	265,000	260,282	(4,718)
Other Professional Services	2,374,440	1,769,440	(605,000)
In-Part Services	750	1,500	750
Equipment	5,000,000	0	(5,000,000)
Total Nonpersonal Service	<u>60,793,144</u>	<u>52,670,705</u>	<u>(8,122,439)</u>
 Grand Total	 82,855,379	 74,495,939	 (8,359,440)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURT SUPPORT SERVICES
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,094,358	1,038,434	(55,924)
Total Personal Service	1,094,358	1,038,434	(55,924)
<u>Nonpersonal Service</u>			
Supplies and Materials	9,500	2,500	(7,000)
Travel	6,000	5,000	(1,000)
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Other Professional Services	15,000	15,000	0
Total Nonpersonal Service	68,378	60,378	(8,000)
 Grand Total	 1,162,736	 1,098,812	 (63,924)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURT SUPPORT SERVICES
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,731,254	16,360,052	(371,202)
PS Temporary	0	285,927	285,927
PS Overtime	25,792	25,792	0
Total Personal Service	<u>16,757,046</u>	<u>16,671,771</u>	<u>(85,275)</u>
<u>Nonpersonal Service</u>			
Information Technology Services	0	3,000,000	3,000,000
Other Professional Services	0	1,000,000	1,000,000
Total Nonpersonal Service	<u>0</u>	<u>4,000,000</u>	<u>4,000,000</u>
 Grand Total	 16,757,046	 20,671,771	 3,914,725

COURTS OF ORIGINAL JURISDICTION
TOWN AND VILLAGE PROGRAM
STATE OPERATIONS

2013-14 Budget Request:	\$4,616,039
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Program Description

This Program provides funding for the Town and Village - State Operations Program.

Town and Village Courts: There are 1,250 Town and Village Justice Courts in New York State, employing about 2,000 justices and several thousand nonjudicial personnel. These Courts have civil jurisdiction over monetary claims of up to \$3,000 (including small claims jurisdiction over matters of up to that amount), landlord/tenant matters and traffic matters. They also have criminal jurisdiction that includes authority to arraign defendants on felony charges and to try misdemeanors and violations. In many regions of the State, especially the 21 counties that have no city courts, the Town and Village Courts function as the only lower court tribunal.

The Town and Village Justice Courts are principally funded by the localities that they serve. They do, however, receive some state assistance through the Judiciary Budget State Operations and Aid to Localities appropriations. The Courts of Original Jurisdiction Town and Village Program includes the State Operations appropriation which provides training, technology support, fiscal guidance, and legal research and other assistance through the Office of Justice Court Support and the Town and Village Courts Resource Center. The Aid to Localities component includes funding for the Justice Court Assistance Program.

Summary of 2013-14 Funding Request:
Town and Village - State Operations

2013-14 Budget Request:	\$4,616,039
<i>Personal Service:</i>	3,959,964
<i>Nonpersonal Service:</i>	656,075
<i>Maintenance Undistributed:</i>	0

The Town and Village State Operations General Fund budget request is \$4.6 million, or a decrease of \$206,650 (-4.3%) from the current year adjusted appropriation.

The personal service request of \$4 million represents a decrease of \$117,864 (-2.9%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings and staffing adjustments.

The personal service request also includes \$55,750 in temporary service for acting town and village justices required in support of ongoing operations.

The nonpersonal service request is \$656,075, or a decrease of \$88,786 (-11.9%) from current year funding. This request primarily supports training for local justice court staff and case management support. The decrease is attributable to the continuation of cost saving measures in conferences and training and travel, and an expenditure-based decrease in supplies.

Courts of Original Jurisdiction

Budget Summary - General Fund Town and Village State Operations Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>Outside New York City</u>			
Third Judicial District	\$146,465	\$25,800	\$172,265
Fourth Judicial District	\$77,417	\$2,550	\$79,967
Fifth Judicial District	\$275,047	\$6,475	\$281,522
Sixth Judicial District	\$80,215	\$4,010	\$84,225
Seventh Judicial District	\$184,963	\$14,057	\$199,020
Eighth Judicial District	\$59,489	\$15,375	\$74,864
Ninth Judicial District	\$75,077	\$4,300	\$79,377
Nassau County	\$281,211	\$4,061	\$285,272
Suffolk County	\$138,336	\$840	\$139,176
Court Support Services	\$2,705,776	\$578,607	\$3,284,383
Undistributed	(\$64,032)	\$0	(\$64,032)
Total:	\$3,959,964	\$656,075	\$4,616,039

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

TOWN AND VILLAGE
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	3,978,817	3,901,503	(77,314)
PS Temporary	96,300	55,750	(40,550)
PS Overtime	2,711	2,711	0
Total Personal Service	<u>4,077,828</u>	<u>3,959,964</u>	<u>(117,864)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	105,371	71,091	(34,280)
Travel	220,074	144,050	(76,024)
Equipment Rental and Repairs	18,380	60,191	41,811
Conferences and Training	236,800	211,300	(25,500)
Postage and Printing	54,036	62,543	8,507
Telecommunications	1,200	1,000	(200)
Information Technology Services	102,000	102,000	0
Other Professional Services	7,000	3,900	(3,100)
Total Nonpersonal Service	<u>744,861</u>	<u>656,075</u>	<u>(88,786)</u>
 Grand Total	 4,822,689	 4,616,039	 (206,650)

**COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY PROGRAM**

2013-14 Budget Request: \$316,174,728

Program Description

This Program provides funding to support the security needs of the trial courts.

Public Safety: Security for the trial courts is provided in one of two ways: specially-trained Unified Court System employees perform these services in New York City and in some locations outside the City. In many upstate locations, the Unified Court System contracts with local sheriffs or police departments to provide security. The program also supports the Department of Public Safety, which develops protocols and monitors the implementation of public safety policies; the court officer training academies, which provide comprehensive training to Judiciary security staff; and the Applicant Verification Unit, which conducts background checks on potential court officer candidates.

Summary of 2013-14 Funding Request:

Public Safety

2013-14 Budget Request:	\$316,174,728
<i>Personal Service:</i>	285,205,874
<i>Nonpersonal Service:</i>	30,968,854
<i>Maintenance Undistributed:</i>	0

The Public Safety All Funds budget request is \$316.2 million, or a decrease of \$2.2 million (-0.7%) from the current year adjusted appropriation.

The personal service request of \$285.2 million represents a decrease of \$760,531 (-0.3%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings and staffing adjustments. Also reflected in the personal service request is \$9.6 million for overtime.

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Public Safety Program. These expenses include real estate rentals for the court officer training academies and payments to local sheriff and police departments who provide contractual security in certain upstate localities. The budget also includes funding for supplies and materials, equipment rental and repairs and travel.

The nonpersonal service request is \$31 million, or a decrease of \$1.4 million (-4.4%) from current year funding. The decrease is attributable to reductions in contractual security and travel as a result of cost saving measures; it is partially offset by an increase in real estate rentals for court officer training academies.

Courts of Original Jurisdiction

Budget Summary - All Funds

Public Safety Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
1 st Supreme Civil	\$7,047,845	\$4,600	\$7,052,445
1 st Supreme Criminal	\$14,593,313	\$10,500	\$14,603,813
Supreme Bronx	\$26,877,197	\$6,460	\$26,883,657
Supreme Kings	\$20,976,791	\$17,329	\$20,994,120
Supreme Queens	\$15,615,485	\$10,817	\$15,626,302
Supreme Richmond	\$3,324,400	\$5,819	\$3,330,219
Family Court	\$26,198,336	\$37,400	\$26,235,736
Surrogate's Court	\$445,149	\$0	\$445,149
Civil Court	\$20,585,725	\$10,415	\$20,596,140
Criminal Court	\$41,027,509	\$34,852	\$41,062,361
Jury	\$643,290	\$0	\$643,290
County Clerks	\$476,894	\$200	\$477,094
Subtotal:	\$177,811,934	\$138,392	\$177,950,326
<u>Outside New York City</u>			
Third Judicial District	\$5,262,757	\$4,259,862	\$9,522,619
Fourth Judicial District	\$7,794,600	\$524,814	\$8,319,414
Fifth Judicial District	\$5,977,272	\$3,531,498	\$9,508,770
Sixth Judicial District	\$6,664,465	\$66,440	\$6,730,905
Seventh Judicial District	\$0	\$14,402,098	\$14,402,098
Eighth Judicial District	\$12,351,445	\$4,575,991	\$16,927,436
Ninth Judicial District	\$21,404,619	\$56,646	\$21,461,265
Nassau County	\$21,126,475	\$82,841	\$21,209,316
Suffolk County	\$22,999,474	\$113,987	\$23,113,461
Subtotal:	\$103,581,107	\$27,614,177	\$131,195,284
Court Support Services	\$8,078,534	\$3,216,285	\$11,294,819
Undistributed	(\$4,265,701)	\$0	(\$4,265,701)
Total:	\$285,205,874	\$30,968,854	\$316,174,728

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	275,523,514	275,619,027	95,513
PS Overtime	10,442,891	9,586,847	(856,044)
Total Personal Service	<u>285,966,405</u>	<u>285,205,874</u>	<u>(760,531)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,000	2,000	0
Supplies and Materials	581,954	583,155	1,201
Travel	356,463	214,658	(141,805)
Equipment Rental and Repairs	277,682	289,694	12,012
Real Estate Rentals	1,635,260	2,047,000	411,740
Conferences and Training	7,500	9,000	1,500
Postage and Printing	26,825	27,174	349
Telecommunications	19,350	18,683	(667)
Information Technology Services	151,780	150,000	(1,780)
Other Professional Services	646,752	611,557	(35,195)
Security Services	28,675,942	27,015,933	(1,660,009)
Total Nonpersonal Service	<u>32,381,508</u>	<u>30,968,854</u>	<u>(1,412,654)</u>
 Grand Total	 318,347,913	 316,174,728	 (2,173,185)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	275,049,213	275,142,133	92,920
PS Overtime	10,442,891	9,586,847	(856,044)
Total Personal Service	<u>285,492,104</u>	<u>284,728,980</u>	<u>(763,124)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,000	2,000	0
Supplies and Materials	581,954	583,155	1,201
Travel	356,463	214,658	(141,805)
Equipment Rental and Repairs	277,682	289,694	12,012
Real Estate Rentals	1,635,260	2,047,000	411,740
Conferences and Training	7,500	9,000	1,500
Postage and Printing	26,825	27,174	349
Telecommunications	19,350	18,683	(667)
Information Technology Services	151,780	150,000	(1,780)
Other Professional Services	645,952	611,357	(34,595)
Security Services	28,675,942	27,015,933	(1,660,009)
Total Nonpersonal Service	<u>32,380,708</u>	<u>30,968,654</u>	<u>(1,412,054)</u>
Grand Total	317,872,812	315,697,634	(2,175,178)

State of New York - Judiciary
 Budget Summary for Fiscal Year 2013-2014

COURTS OF ORIGINAL JURISDICTION
 PUBLIC SAFETY
 NYC CO CLERKS' OPERATIONS OFFSET
 FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	474,301	476,894	2,593
Total Personal Service	474,301	476,894	2,593
<u>Nonpersonal Service</u>			
Other Professional Services	800	200	(600)
Total Nonpersonal Service	800	200	(600)
 Grand Total	 475,101	 477,094	 1,993

**COURTS OF ORIGINAL JURISDICTION
MAINTENANCE UNDISTRIBUTED PROGRAM**

2013-14 Budget Request: \$14,920,866

Program Description

This Program provides funding for the Courts of Original Jurisdiction Maintenance Undistributed Program.

Courts of Original Jurisdiction Maintenance Undistributed: This program, through special revenue funding requests, provides the framework through which grants are realized in support of problem-solving courts and initiatives, alternative dispute resolution initiatives, data accountability projects, medical liability reform, and juvenile alternative to detention initiatives. Undistributed funding is also requested in this program for the provision of furnishings and equipment to support court operations or in association with court facilities projects; for credit card fee payments associated with the expansion of electronic filing; and for lump sum payments associated with employees separating from service.

Summary of 2013-14 Funding Request:

Maintenance Undistributed

2013-14 Budget Request:	\$14,920,866
<i>Personal Service:</i>	<i>2,000,000</i>
<i>Nonpersonal Service:</i>	<i>12,920,866</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Courts of Original Jurisdiction Maintenance Undistributed Program All Funds budget request is \$14.9 million, or a decrease of \$1.5 million (-9.1%) from the current year adjusted appropriation.

The personal service request of \$2 million is for lump sum payments associated with employees separating from service.

The nonpersonal service request includes appropriation authority for anticipated federal and other grants, as well as funding for credit card fees associated with electronic filing, and for furnishings and equipment.

The nonpersonal service request is \$12.9 million, or a decrease of \$3.5 million (-21.3%) from current year funding. The decrease is attributable to the continuation of cost saving measures controlling expenditures for equipment as well as a decrease in other professional services which reflects the Judiciary's anticipation of receiving fewer federal grant awards.

Courts of Original Jurisdiction

Budget Summary - All Funds

Maintenance Undistributed

Maintenance Undistributed	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
	Special Revenue - Federal Funds	\$0	\$9,000,000
Miscellaneous Special Revenue Fund	\$0	\$1,000,000	\$1,000,000
General Fund - Undistributed	\$2,000,000	\$2,920,866	\$4,920,866
Total:	\$2,000,000	\$12,920,866	\$14,920,866

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
COJ MAINTENANCE UNDISTRIBUTED

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	0	2,000,000	2,000,000
Total Personal Service	0	2,000,000	2,000,000
<u>Nonpersonal Service</u>			
Accounting and Auditing Services	500,000	500,000	0
Other Professional Services	12,000,000	10,000,000	(2,000,000)
Equipment	3,922,159	2,420,866	(1,501,293)
Total Nonpersonal Service	16,422,159	12,920,866	(3,501,293)
 Grand Total	 16,422,159	 14,920,866	 (1,501,293)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COJ MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	0	2,000,000	2,000,000
Total Personal Service	0	2,000,000	2,000,000
<u>Nonpersonal Service</u>			
Accounting and Auditing Services	500,000	500,000	0
Equipment	3,922,159	2,420,866	(1,501,293)
Total Nonpersonal Service	4,422,159	2,920,866	(1,501,293)
 Grand Total	 4,422,159	 4,920,866	 498,707

State of New York - Judiciary
 Budget Summary for Fiscal Year 2013-2014

COJ MAINTENANCE UNDISTRIBUTED
 MISCELLANEOUS SPECIAL REVENUE
 FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	1,500,000	1,000,000	(500,000)
Total Nonpersonal Service	1,500,000	1,000,000	(500,000)
Grand Total	1,500,000	1,000,000	(500,000)

State of New York - Judiciary
 Budget Summary for Fiscal Year 2013-2014

COJ MAINTENANCE UNDISTRIBUTED
 FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,000,000	3,500,000	(1,500,000)
Total Nonpersonal Service	5,000,000	3,500,000	(1,500,000)
Grand Total	5,000,000	3,500,000	(1,500,000)

State of New York - Judiciary
 Budget Summary for Fiscal Year 2013-2014

COJ MAINTENANCE UNDISTRIBUTED
 FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	5,500,000	5,500,000	0
Total Nonpersonal Service	5,500,000	5,500,000	0
 Grand Total	 5,500,000	 5,500,000	 0

**COURTS OF ORIGINAL JURISDICTION
TRIAL COURT ADMINISTRATION**

2013-14 Budget Request: \$20,565,520

Program Description

This Program provides funding for Trial Court Administration which is coordinated through the regional offices of the Administrative Judges.

Administration: Overall administration of the trial courts is coordinated through the offices of the Administrative Judges, who are designated by the Chief Administrative Judge and report either to the Deputy Chief Administrative Judge for New York City or the Deputy Chief Administrative Judge Outside New York City. These offices manage a wide array of functions including case management and court operations support, fiscal oversight and human resource administration, and facilities planning and management. Administrative Judges are appointed in each judicial district outside New York City. In New York City, there are separate Administrative Judges in each borough for civil and criminal operations except Richmond which has one Administrative Judge. In addition, there is one Family Court Administrative Judge for all five boroughs.

Summary of 2013-14 Funding Request:
Trial Court Administration

2013-14 Budget Request:	\$20,565,520
<i>Personal Service:</i>	18,538,565
<i>Nonpersonal Service:</i>	2,026,955
<i>Maintenance Undistributed:</i>	0

The Trial Courts Administration All Funds budget request is \$20.6 million, or a decrease of \$146,310 (-0.7%) from the current year adjusted appropriation.

The personal service request of \$18.5 million represents a decrease of \$66,186 (-0.4%) from the current year adjusted appropriation. This includes funding for filled nonjudicial positions. Funding is also included for the payment of salary increments, longevity bonuses and location pay, as required by law, for all eligible employees. The increase in these funding requirements is offset by attrition savings and staffing adjustments.

Nonpersonal service funding supports usual and necessary expenses associated with the administration of trial court operations. These expenses include supplies and materials, equipment rental and repairs, telecommunications, postage and printing, and other general services. Also included are real estate rental costs for administrative offices in the 3rd, 4th, 5th, 6th, and 8th Judicial Districts.

The nonpersonal service request of \$2 million represents a decrease of \$80,124 (-3.8%) from the current year adjusted appropriation. This decrease is principally attributable to cost savings and expenditure-based reductions in supplies and materials, employee travel costs, equipment rental and repairs, other professional services, judicial hearing officers, and telecommunications.

Courts of Original Jurisdiction

Budget Summary - All Funds
Trial Court Administration Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
<u>New York City</u>			
New York City	\$873,254	\$204,000	\$1,077,254
<u>Outside New York City</u>			
Third Judicial District	\$1,709,979	\$347,469	\$2,057,448
Fourth Judicial District	\$1,567,381	\$311,988	\$1,879,369
Fifth Judicial District	\$1,850,479	\$301,465	\$2,151,944
Sixth Judicial District	\$1,434,870	\$284,532	\$1,719,402
Seventh Judicial District	\$2,037,692	\$66,440	\$2,104,132
Eighth Judicial District	\$2,031,421	\$205,872	\$2,237,293
Ninth Judicial District	\$2,480,129	\$120,756	\$2,600,885
Nassau County	\$2,354,151	\$53,908	\$2,408,059
Suffolk County	\$2,491,754	\$130,525	\$2,622,279
Subtotal:	\$17,957,856	\$1,822,955	\$19,780,811
Undistributed	(\$292,545)	\$0	(\$292,545)
Total:	\$18,538,565	\$2,026,955	\$20,565,520

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
COJ ADMINISTRATION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,603,159	18,536,973	(66,186)
PS Overtime	1,592	1,592	0
Total Personal Service	<u>18,604,751</u>	<u>18,538,565</u>	<u>(66,186)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,147	922	(225)
Supplies and Materials	341,251	300,101	(41,150)
Travel	88,345	80,168	(8,177)
Equipment Rental and Repairs	281,871	275,852	(6,019)
Real Estate Rentals	891,766	908,622	16,856
Conferences and Training	11,550	11,550	0
Postage and Printing	113,402	112,659	(743)
Telecommunications	85,881	80,831	(5,050)
Information Technology Services	17,466	13,700	(3,766)
Records Management Services	14,200	16,150	1,950
Other Professional Services	234,400	225,400	(9,000)
Judicial Hearing Officers	25,000	0	(25,000)
Transcripts	800	1,000	200
Total Nonpersonal Service	<u>2,107,079</u>	<u>2,026,955</u>	<u>(80,124)</u>
 Grand Total	 <u>20,711,830</u>	 <u>20,565,520</u>	 <u>(146,310)</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COJ ADMINISTRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,975,224	17,897,890	(77,334)
PS Overtime	1,530	1,530	0
Total Personal Service	<u>17,976,754</u>	<u>17,899,420</u>	<u>(77,334)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,147	922	(225)
Supplies and Materials	341,251	300,101	(41,150)
Travel	88,345	80,168	(8,177)
Equipment Rental and Repairs	281,871	275,852	(6,019)
Real Estate Rentals	891,766	908,622	16,856
Conferences and Training	11,550	11,550	0
Postage and Printing	113,402	112,659	(743)
Telecommunications	85,881	80,831	(5,050)
Information Technology Services	17,466	13,700	(3,766)
Records Management Services	14,200	16,150	1,950
Other Professional Services	234,400	225,400	(9,000)
Judicial Hearing Officers	25,000	0	(25,000)
Transcripts	800	1,000	200
Total Nonpersonal Service	<u>2,107,079</u>	<u>2,026,955</u>	<u>(80,124)</u>
 Grand Total	 20,083,833	 19,926,375	 (157,458)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COJ ADMINISTRATION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	627,935	639,083	11,148
PS Overtime	62	62	0
Total Personal Service	<u>627,997</u>	<u>639,145</u>	<u>11,148</u>
Grand Total	627,997	639,145	11,148

Major Purpose Summary
COURT OF APPEALS

2013-14 All Funds Budget Request:	\$14,751,698
General Fund:	14,751,698
State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose provides funding for the Court of Appeals and a component of the Public Safety Program.

Court of Appeals: The Court of Appeals, consisting of a Chief Judge and six Associate Judges, is New York's highest Appellate Court. Except where questions of federal law are involved, its determinations are final. Beyond exercising its appellate jurisdiction, the Court also determines certain questions of State law upon request of the Federal Courts; approves standards and policies for the courts promulgated by the Chief Judge; approves the annual Judiciary Budget as prepared by the Chief Administrative Judge; and regulates the admission of attorneys to the practice of law. The Law Reporting Bureau operates under the supervision of the Court of Appeals and is responsible for publishing all Court of Appeals and Appellate Division decisions, along with selected decisions of the trial courts.

Summary of 2013-14 Funding Request: Court of Appeals

The Court of Appeals Major Purpose State Operations General Fund budget request is \$14.8 million, or a decrease of \$4,289 (0 %) from the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$12.9 million represents an increase of \$20,275 (0.2%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by attrition savings and by reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

Also reflected in the personal service request is \$90,500 for overtime which is funded to support current levels of operations.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration including: supplies and materials, legal reference materials and online services, real estate rentals, telecommunications, postage and printing and travel costs for judges and staff.

The nonpersonal service request is \$1.9 million, or a decrease of \$24,564 (-1.3%) from current year funding. The decrease is attributable to cost saving measures for legal reference and online services, miscellaneous supplies, and expenditure-based adjustments in utilities and telecommunications.

Court of Appeals

Budget Summary - General Fund

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Court of Appeals	\$12,878,487	\$1,873,211	\$14,751,698
Total:	\$12,878,487	\$1,873,211	\$14,751,698

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

COURT OF APPEALS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,767,712	12,787,987	20,275
PS Overtime	90,500	90,500	0
Total Personal Service	<u>12,858,212</u>	<u>12,878,487</u>	<u>20,275</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	450,528	443,528	(7,000)
Supplies and Materials	166,249	159,595	(6,654)
Travel	378,610	378,610	0
Equipment Rental and Repairs	116,263	134,763	18,500
Real Estate Rentals	400,301	387,500	(12,801)
Conferences and Training	8,235	8,235	0
Postage and Printing	153,717	148,608	(5,109)
Telecommunications	83,634	73,414	(10,220)
Information Technology Services	0	17,000	17,000
Other Professional Services	140,238	121,958	(18,280)
Total Nonpersonal Service	<u>1,897,775</u>	<u>1,873,211</u>	<u>(24,564)</u>
 Grand Total	 14,755,987	 14,751,698	 (4,289)

Court of Appeals 2011 Workload

	2011
Applications for Leave to Appeal in Criminal Cases Decided	2,089
Records on Appeal Filed	257
Appeals Calendared	211
Appeals Decided	242
Motions Decided	1,403
Judicial Conduct Commission Determinations Reviewed	0
Opinions Published	19,189
Motion Decisions Published	35,561

Major Purpose Summary
APPELLATE COURT
OPERATIONS

2013-14 All Funds Budget Request:	\$76,145,047
General Fund:	76,145,047
State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose provides funding for the Appellate Divisions, the Appellate Terms and a component of the Public Safety Program.

Appellate Divisions: The Appellate Divisions are the courts of intermediate appellate resort. There is one Appellate Division established for each of the State’s four Judicial Departments. Each consists of a Presiding Justice and a varying number of Associate Justices. Currently, there are 19 Justices sitting in each of the First and Second Departments and 11 Justices sitting in each of the Third and Fourth Departments. The Presiding Justices and the Associate Justices are all designated to the Appellate Divisions by the Governor from among the sitting Supreme Court Justices. The Appellate Divisions hear appeals from the major trial courts and, in civil cases, from the Appellate Terms and the County Courts (when they sit as appellate courts in review of lower court cases). Beyond exercising their appellate jurisdiction, the Appellate Divisions also oversee the admission of attorneys to the practice of law and the discipline of attorneys for unprofessional conduct; and oversee the Attorney for the Child, Assigned Counsel and Mental Hygiene Legal Service Programs. These programs are funded under the Appellate Auxiliary Operations Major Purpose.

Appellate Terms: Under the State Constitution, each Appellate Division is authorized to establish Appellate Terms to hear appeals from the lower trial courts. Each Appellate Term consists of three to five Justices designated from among the sitting Supreme Court Justices. Currently, there are Appellate Terms in the First and Second Departments that handle criminal and civil appeals from New York City Criminal and Civil Courts and other lower criminal and civil courts within the jurisdiction of the Department.

Summary of 2013-14 Funding Request: Appellate Court Operations

The Appellate Court Operations State Operations General Fund budget request is \$76.1 million, or a decrease of \$1.4 million (-1.8%) from the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$71.2 million represents a decrease of \$1.2 million (-1.7%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings, staffing adjustments, and by reappropriation of the funding required for the statutorily mandated judicial salary increase effective April 1, 2013.

The personal service request also includes \$188,896 for temporary service in the Second and Third Departments in support of ongoing operations. Also reflected in the personal service request is \$163,100 for overtime in all four Departments to support current levels of operations.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with appellate court operations, support and administration including: supplies and materials, legal reference materials and online services, equipment rentals and repairs, postage and printing, telecommunications, records management, judicial hearing officers and travel costs for judges and staff. The nonpersonal service budget also supports contractual security services in the Fourth Department.

The nonpersonal service request of \$4.9 million reflects a decrease of \$183,308 (-3.6%) from current year funding. The decrease is attributable to the continuation of cost saving measures for telecommunication services, travel, other general services and office supplies. These reductions are partially offset by contractual increases in security services and legal reference.

Appellate Court Operations
 Budget Summary - General Fund
 Appellate Divisions and Appellate Terms

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Appellate Division			
First Department	\$20,627,620	\$815,640	\$21,443,260
Second Department	\$23,182,327	\$1,372,354	\$24,554,681
Third Department	\$11,241,828	\$567,380	\$11,809,208
Fourth Department	\$11,243,422	\$1,999,821	\$13,243,243
Subtotal:	\$66,295,197	\$4,755,195	\$71,050,392
Appellate Division - Undistributed	(\$1,006,605)	\$0	(\$1,006,605)
Appellate Term			
First Department	\$2,519,047	\$14,098	\$2,533,145
Second Department	\$3,390,920	\$177,195	\$3,568,115
Subtotal:	\$5,909,967	\$191,293	\$6,101,260
Total:	\$71,198,559	\$4,946,488	\$76,145,047

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

APPELLATE COURT OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	71,961,382	70,846,563	(1,114,819)
PS Temporary	293,302	188,896	(104,406)
PS Overtime	163,100	163,100	0
Total Personal Service	<u>72,417,784</u>	<u>71,198,559</u>	<u>(1,219,225)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,964,524	1,983,824	19,300
Supplies and Materials	526,327	452,831	(73,496)
Travel	493,375	481,140	(12,235)
Equipment Rental and Repairs	292,195	293,513	1,318
Real Estate Rentals	32,080	24,580	(7,500)
Conferences and Training	24,000	23,500	(500)
Postage and Printing	336,295	365,215	28,920
Telecommunications	302,522	146,522	(156,000)
Information Technology Services	178,383	181,243	2,860
Records Management Services	141,010	141,010	0
Other Professional Services	143,935	126,960	(16,975)
In-Part Services	150	1,150	1,000
Judicial Hearing Officers	180,000	180,000	0
Security Services	515,000	545,000	30,000
Total Nonpersonal Service	<u>5,129,796</u>	<u>4,946,488</u>	<u>(183,308)</u>
 Grand Total	 <u>77,547,580</u>	 <u>76,145,047</u>	 <u>(1,402,533)</u>

Appellate Court Operations

2011 Workload

Appellate Division

	Records on Appeal Filed	Dispositions
First Department	2,490	2,890
Second Department	3,946	10,890
Third Department	1,992	1,731
Fourth Department	1,424	1,437
Total:	9,852	16,948

Appellate Term

	Records on Appeal Filed	Dispositions
First Department	390	333
Second Department	3,160	3,252
Total:	3,550	3,585

Major Purpose Summary
APPELLATE AUXILIARY
OPERATIONS

2013-14 All Funds Budget Request:	\$217,168,339
<i>General Fund:</i>	<i>170,889,311</i>
<i>State Special Revenue Funds:</i>	<i>46,279,028</i>

Major Purpose Description

The Appellate Auxiliary Operations Major Purpose is comprised of the following programs: Assigned Counsel, Attorney for the Child, Mental Hygiene Legal Service (MHLS), Candidate Examination, Candidate Fitness and Attorney Discipline.

Summary of 2013-14 Funding: Appellate Auxiliary Operations

The Appellate Auxiliary Operations State Operations All Funds budget request is \$217.2 million or an increase of \$9.4 million (4.5%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$43.9 million represents a decrease of \$462,362 (-1%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by staffing adjustments and attrition savings.

The personal service request also includes \$1.1 million for temporary service to support ongoing operations for the MHLS Program (\$0.8 million) and the Attorney Discipline Program (\$0.3 million).

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Appellate Auxiliary Programs. These expenses include: payments to legal aid providers and individual panel attorneys in the Attorney for the Child and Assigned Counsel Programs; transcript costs and forensic evaluations required for administrative hearings and court proceedings for the MHLS, Attorney for the Child and Assigned Counsel Programs; and real estate rentals for NYS Bar Examination testing sites and the regional offices of the MHLS and Attorney Discipline Programs. The budget also includes funding for supplies and materials, legal reference materials and online services, records management, and information technology services for various programs.

The nonpersonal service request of \$173.3 million reflects an increase of \$9.8 million (6%) over current year funding. This is primarily attributable to increased contractual payments for indigent criminal defense providers in New York City and cost of living adjustments for the Attorney for the Child contracts. Expenditure-based increases are also included for expert witnesses in the MHLS and Attorney for Child Programs and for attorney representation in the Assigned Counsel Program. The overall increase is partially offset by expenditure-based reductions in panel attorney voucher

payments, transcripts and travel. The continuation of cost saving measures is also noted in supplies and subscriptions in all programs and real estate rentals in the Candidate Examination Program.

Appellate Auxiliary Operations

Budget Summary - All Funds

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
First Department	\$10,717,296	\$5,072,118	\$15,789,414
Second Department	\$18,971,078	\$19,987,724	\$38,958,802
Third Department	\$5,890,461	\$17,840,957	\$23,731,418
Fourth Department	\$7,540,975	\$20,120,514	\$27,661,489
Attorney for the Child Contracts	\$0	\$66,293,298	\$66,293,298
Court of Appeals Assigned Counsel	\$0	\$25,000	\$25,000
Board of Law Examiners	\$1,489,784	\$3,777,000	\$5,266,784
Indigent Criminal Defense	\$0	\$40,000,000	\$40,000,000
Undistributed	(\$701,066)	\$143,200	(\$557,866)
Total:	\$43,908,528	\$173,259,811	\$217,168,339

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
APPELLATE AUXILIARY OPERATIONS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	43,212,813	42,773,951	(438,862)
PS Temporary	1,141,098	1,117,598	(23,500)
PS Overtime	16,979	16,979	0
Total Personal Service	<u>44,370,890</u>	<u>43,908,528</u>	<u>(462,362)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	260,414	266,640	6,226
Supplies and Materials	393,399	357,860	(35,539)
Travel	617,545	563,745	(53,800)
Equipment Rental and Repairs	419,946	410,188	(9,758)
Real Estate Rentals	3,295,639	3,236,024	(59,615)
Conferences and Training	125,226	113,476	(11,750)
Postage and Printing	484,365	422,120	(62,245)
Telecommunications	233,150	214,650	(18,500)
Information Technology Services	49,750	40,050	(9,700)
Records Management Services	101,835	97,535	(4,300)
Other Professional Services	4,832,102	4,953,652	121,550
Attorney for the Child Representation	121,307,375	120,534,992	(772,383)
Assigned Counsel	1,862,500	1,642,000	(220,500)
Indigent Criminal Defense	29,000,000	40,000,000	11,000,000
In-Part Services	2,260	11,710	9,450
Judicial Hearing Officers	13,000	13,000	0
Transcripts	424,750	382,169	(42,581)
Total Nonpersonal Service	<u>163,423,256</u>	<u>173,259,811</u>	<u>9,836,555</u>
Grand Total	207,794,146	217,168,339	9,374,193

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

APPELLATE AUXILIARY OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	28,009,760	27,633,963	(375,797)
PS Temporary	734,441	819,804	85,363
PS Overtime	879	879	0
Total Personal Service	<u>28,745,080</u>	<u>28,454,646</u>	<u>(290,434)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	138,306	160,732	22,426
Supplies and Materials	165,017	145,520	(19,497)
Travel	479,900	433,900	(46,000)
Equipment Rental and Repairs	109,702	106,946	(2,756)
Real Estate Rentals	1,046,300	1,073,013	26,713
Conferences and Training	109,226	94,976	(14,250)
Postage and Printing	149,054	131,538	(17,516)
Telecommunications	135,850	127,050	(8,800)
Information Technology Services	23,950	14,750	(9,200)
Records Management Services	23,685	23,685	0
Other Professional Services	2,633,584	2,759,184	125,600
Attorney for the Child Representation	96,307,375	95,534,992	(772,383)
Assigned Counsel	1,862,000	1,642,000	(220,000)
Indigent Criminal Defense	29,000,000	40,000,000	11,000,000
In-Part Services	1,960	1,460	(500)
Transcripts	217,500	184,919	(32,581)
Total Nonpersonal Service	<u>132,403,409</u>	<u>142,434,665</u>	<u>10,031,256</u>
Grand Total	161,148,489	170,889,311	9,740,822

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

APPELLATE AUXILIARY OPERATIONS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	15,203,053	15,139,988	(63,065)
PS Temporary	406,657	297,794	(108,863)
PS Overtime	16,100	16,100	0
Total Personal Service	<u>15,625,810</u>	<u>15,453,882</u>	<u>(171,928)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	122,108	105,908	(16,200)
Supplies and Materials	228,382	212,340	(16,042)
Travel	137,645	129,845	(7,800)
Equipment Rental and Repairs	310,244	303,242	(7,002)
Real Estate Rentals	2,249,339	2,163,011	(86,328)
Conferences and Training	16,000	18,500	2,500
Postage and Printing	335,311	290,582	(44,729)
Telecommunications	97,300	87,600	(9,700)
Information Technology Services	25,800	25,300	(500)
Records Management Services	78,150	73,850	(4,300)
Other Professional Services	2,198,518	2,194,468	(4,050)
Assigned Counsel	500	0	(500)
In-Part Services	300	10,250	9,950
Judicial Hearing Officers	13,000	13,000	0
Transcripts	207,250	197,250	(10,000)
Total Nonpersonal Service	<u>6,019,847</u>	<u>5,825,146</u>	<u>(194,701)</u>
 Grand Total	 21,645,657	 21,279,028	 (366,629)

State of New York - Judiciary
 Budget Summary for Fiscal Year 2013-2014

APPELLATE AUXILIARY OPERATIONS
 INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

Appellate Auxiliary Operations

Attorney for the Child

2011 Workload

	Petition Intake	Appellate Briefs
Buffalo Legal Aid Bureau	6,843	31
Children's Law Center	6,028	50
Children's Rights Society	3,847	0
Citizens Concerned for Children	1,274	0
Genesee County Public Defender	66	0
Lawyers For Children	7,415	27
Legal Aid Society NYC	35,023	183
Legal Aid Society Rochester	3,907	15
Legal Aid Society Rockland	1,777	4
Legal Aid Society Suffolk	8,442	24
Sullivan Trail Legal Aid	1,782	1
Total:	76,404	335

Appellate Auxiliary Operations

2011 Workload

Mental Hygiene Legal Services

	Client Population	Client Services
First Department	34,255	40,380
Second Department	104,442	328,872
Third Department	31,143	50,160
Fourth Department	54,027	68,876
Total:	223,867	488,288

Candidate Examination

	2011
Candidates for Examination	17,196
Individuals with Disabilities Applicants	320
Applicants for Certification	888
MPRE Scores Transferred In	11,941
Foreign Evaluations	3,100

Appellate Auxiliary Operations

2011 Workload

Candidate Fitness

	Applications for Admissions
First Department	2,873
Second Department	2,879
Third Department	4,125
Fourth Department	362
Total:	10,239

Attorney Discipline

	Matters Received	Matters Disposed
First Department	3,133	3,592
Second Department	5,026	5,563
Third Department	1,497	1,338
Fourth Department	2,303	2,394
Total:	11,959	12,887

**APPELLATE AUXILIARY OPERATIONS
ASSIGNED COUNSEL PROGRAM**

2013-14 Budget Request: \$41,845,000

Program Description

This Program provides funding for legal services and forensic evaluations required by Judiciary Law Section 35.

Assigned Counsel: Under section 35 of the Judiciary Law, indigent persons in certain proceedings are entitled to counsel at State expense. Included among these proceedings are *habeas corpus* proceedings involving prisoners in State institutions; commitment proceedings involving persons who are mentally ill, mentally incompetent or those with narcotic addictions; commitment of a child to an authorized agency by reason of the mental illness or retardation of his or her parent; adoption or custody proceedings where counsel is constitutionally mandated; and matters heard in Supreme Court in which Family Court could have exercised jurisdiction and that are eligible for assigned counsel under section 262 of the Family Court Act. In each Judicial Department, the Appellate Division appoints panels of attorneys to serve as assigned counsel. In the Fourth Judicial Department, the Unified Court System contracts with the Wyoming County - Attica Legal Aid Bureau for representation of Attica inmates in instances where section 35 affords them assigned counsel. Section 35 also authorizes State funding for certain necessary medical or psychiatric examinations in the proceedings in which it makes State-funded assigned counsel available.

The Assigned Counsel Program also supports the implementation of caseload standards for attorneys who provide legal representation to indigent persons in criminal matters in New York City pursuant to chapter 56 of the Laws of 2009.

Summary of 2013-14 Funding Request:

Assigned Counsel

2013-14 Budget Request: \$41,845,000	
<i>Personal Service:</i>	0
<i>Nonpersonal Service:</i>	41,845,000
<i>Maintenance Undistributed:</i>	0

The Assigned Counsel General Fund budget request is \$41.8 million or an increase of \$10.9 million (35%) over the current year adjusted appropriation.

The nonpersonal service request includes funding to support contractual obligations with legal service providers in New York City to reduce indigent criminal defense caseloads and for payments to attorneys and experts appointed in various judicial proceedings pursuant to section 35 of the Judiciary Law. The increase of \$10.9 million is attributable to the fourth year of implementation of indigent criminal defense caseload standards in New York City and is partially offset by an expenditure-based reduction of payments to attorneys in other section 35 matters.

Appellate Auxiliary Operations

Budget Summary - General Fund

Assigned Counsel Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Assigned Counsel			
First Department	\$0	\$300,000	\$300,000
Second Department	\$0	\$418,000	\$418,000
Third Department	\$0	\$60,000	\$60,000
Fourth Department	\$0	\$910,000	\$910,000
Court of Appeals	\$0	\$25,000	\$25,000
Indigent Criminal Defense	\$0	\$40,000,000	\$40,000,000
Appellate Auxiliary Undistributed	\$0	\$132,000	\$132,000
Total:	\$0	\$41,845,000	\$41,845,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ASSIGNED COUNSEL
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	120,000	194,000	74,000
Assigned Counsel	1,862,000	1,642,000	(220,000)
Indigent Criminal Defense	29,000,000	40,000,000	11,000,000
Transcripts	3,000	9,000	6,000
Total Nonpersonal Service	<u>30,985,000</u>	<u>41,845,000</u>	<u>10,860,000</u>
 Grand Total	 30,985,000	 41,845,000	 10,860,000

**APPELLATE AUXILIARY OPERATIONS
ATTORNEY FOR THE CHILD PROGRAM**

2013-14 Budget Request: \$123,985,704

Program Description

This Program provides funding for legal representation to children in Family Court matters.

Attorney for the Child: Children in Family Court matters are entitled to State-funded legal representation in a wide array of circumstances including: all delinquency and supervision proceedings under Articles 3 and 7 of the Family Court Act (FCA); all child protective proceedings under Article 10 FCA; all termination of parental rights proceedings under Article 6 FCA and Social Services Law, Section 384-b; all proceedings to place a child in protective custody under Section 158 FCA; and, in certain foster care review proceedings under Social Services Law, Section 392. This legal representation is provided through the Attorney For the Child Program with oversight by each Appellate Division. Legal representation is provided through contracts with legal service agencies and by individual attorneys assigned from county panels.

Summary of 2013-14 Funding Request:

Attorney for the Child Program

2013-14 Budget Request:	\$123,985,704
<i>Personal Service:</i>	<i>1,763,198</i>
<i>Nonpersonal Service:</i>	<i>122,222,506</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Attorney For the Child All Funds budget request is \$124 million or a decrease of \$1.1 million (-0.9%) from the current year adjusted appropriation.

The personal service request of \$1.8 million represents a decrease of \$234,898 (-11.8%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings and staffing adjustments.

The nonpersonal service budget supports payments to legal service providers and individual panel attorneys who provide legal representation to children, and includes related expenses such as forensic evaluations and transcripts. Funding also includes continuing legal education and training, litigation support and legal reference materials for the attorneys.

The nonpersonal service request of \$122.2 million reflects a decrease of \$829,619 (-0.7%) from current year funding. The decrease is attributable to expenditure-based reductions in panel attorney voucher payments, as well as continued cost saving measures in supplies and materials, travel, printing and other general services. The reductions are partially offset by contractual increases of \$1.6 million for cost of living adjustments for contractual legal service providers.

Appellate Auxiliary Operations

Budget Summary - All Funds

Attorney for the Child Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Attorney for the Child			
First Department	\$225,508	\$4,029,600	\$4,255,108
Second Department	\$608,210	\$17,145,300	\$17,753,510
Third Department	\$544,871	\$16,888,407	\$17,433,278
Fourth Department	\$411,738	\$17,854,701	\$18,266,439
Attorney for the Child Contracts	\$0	\$66,293,298	\$66,293,298
Undistributed	(\$27,129)	\$11,200	(\$15,929)
Total:	\$1,763,198	\$122,222,506	\$123,985,704

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
ATTORNEY FOR THE CHILD

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,997,217	1,762,319	(234,898)
PS Overtime	879	879	0
Total Personal Service	<u>1,998,096</u>	<u>1,763,198</u>	<u>(234,898)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	6,150	5,000	(1,150)
Supplies and Materials	29,920	26,204	(3,716)
Travel	38,900	36,900	(2,000)
Equipment Rental and Repairs	17,075	15,045	(2,030)
Real Estate Rentals	60,985	63,095	2,110
Conferences and Training	98,750	89,000	(9,750)
Postage and Printing	66,870	51,870	(15,000)
Telecommunications	5,600	3,600	(2,000)
Information Technology Services	300	1,100	800
Records Management Services	11,500	11,500	0
Other Professional Services	1,308,200	1,293,200	(15,000)
Attorney for the Child Representation	121,307,375	120,534,992	(772,383)
Transcripts	100,500	91,000	(9,500)
Total Nonpersonal Service	<u>123,052,125</u>	<u>122,222,506</u>	<u>(829,619)</u>
 Grand Total	 125,050,221	 123,985,704	 (1,064,517)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ATTORNEY FOR THE CHILD
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,997,217	1,762,319	(234,898)
PS Overtime	879	879	0
Total Personal Service	<u>1,998,096</u>	<u>1,763,198</u>	<u>(234,898)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	6,150	5,000	(1,150)
Supplies and Materials	29,920	26,204	(3,716)
Travel	38,900	36,900	(2,000)
Equipment Rental and Repairs	17,075	15,045	(2,030)
Real Estate Rentals	60,985	63,095	2,110
Conferences and Training	98,750	89,000	(9,750)
Postage and Printing	66,870	51,870	(15,000)
Telecommunications	5,600	3,600	(2,000)
Information Technology Services	300	1,100	800
Records Management Services	11,500	11,500	0
Other Professional Services	1,308,200	1,293,200	(15,000)
Attorney for the Child Representation	96,307,375	95,534,992	(772,383)
Transcripts	100,500	91,000	(9,500)
Total Nonpersonal Service	<u>98,052,125</u>	<u>97,222,506</u>	<u>(829,619)</u>
 Grand Total	 100,050,221	 98,985,704	 (1,064,517)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ATTORNEY FOR THE CHILD
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

APPELLATE AUXILIARY OPERATIONS
MENTAL HYGIENE LEGAL SERVICE PROGRAM

2013-14 Budget Request: \$30,058,607

Program Description

This Program provides funding to support the operation of Mental Hygiene Legal Service (MHLS) offices.

MHLS: Under Article 47 of the Mental Hygiene Law, the MHLS Program provides legal services, advice and assistance to persons receiving care at in-patient and community-based facilities for the mentally disabled; this ensures that persons with mental and/or developmental disabilities receive the treatment to which they are entitled, including legal counsel in judicial and administrative proceedings concerning their admission, retention, transfer, care and treatment. Originally established to act as guardian of the due process rights of institutionalized mentally-disabled persons, the MHLS has since seen its mission statutorily broadened to include responsibilities in the areas of guardianship, involuntary outpatient treatment and sex offender management.

Summary of 2013-14 Funding Request:

Mental Hygiene Legal Service

2013-14 Budget Request:	\$30,058,607
<i>Personal Service:</i>	26,691,448
<i>Nonpersonal Service:</i>	3,367,159
<i>Maintenance Undistributed:</i>	0

The MHLS General Fund budget request is \$30.1 million or a decrease of \$54,661 (-0.2%) from the current year adjusted appropriation.

The personal service request of \$26.7 million represents a decrease of \$55,536 (-0.2%) from the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by staffing adjustments and attrition savings.

The personal service request also includes \$819,804 in temporary service for attorneys and support staff in the Second Department and for support staff in the MHLS offices in the Third and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the MHLS program including: real estate rentals for office space in over 30 locations; transcripts and other professional services required for administrative hearings and court proceedings; legal reference materials and online services; supplies and materials and travel.

The nonpersonal service request of \$3.4 million reflects a slight increase of \$875 (0%) over current year funding. The increase is primarily attributable to a growing need for expert witnesses and forensic evaluations related to sex offender management litigation. An increase in utility costs for leased space is also noted. The overall increase is offset by expenditure-based reductions in travel and transcript costs and the continuation of cost saving measures in supplies, subscriptions and other general services.

Appellate Auxiliary Operations

Budget Summary - General Fund Mental Hygiene Legal Service Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Mental Hygiene Legal Service			
First Department	\$5,610,950	\$390,220	\$6,001,170
Second Department	\$11,983,926	\$1,403,459	\$13,387,385
Third Department	\$4,097,207	\$568,767	\$4,665,974
Fourth Department	\$5,424,383	\$1,004,713	\$6,429,096
Undistributed	(\$425,018)	\$0	(\$425,018)
Total:	\$26,691,448	\$3,367,159	\$30,058,607

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

MENTAL HYGIENE LEGAL SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	26,012,543	25,871,644	(140,899)
PS Temporary	734,441	819,804	85,363
Total Personal Service	<u>26,746,984</u>	<u>26,691,448</u>	<u>(55,536)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	132,156	155,732	23,576
Supplies and Materials	135,097	119,316	(15,781)
Travel	441,000	397,000	(44,000)
Equipment Rental and Repairs	92,627	91,901	(726)
Real Estate Rentals	985,315	1,009,918	24,603
Conferences and Training	10,476	5,976	(4,500)
Postage and Printing	82,184	79,668	(2,516)
Telecommunications	130,250	123,450	(6,800)
Information Technology Services	23,650	13,650	(10,000)
Records Management Services	12,185	12,185	0
Other Professional Services	1,205,384	1,271,984	66,600
In-Part Services	1,960	1,460	(500)
Transcripts	114,000	84,919	(29,081)
Total Nonpersonal Service	<u>3,366,284</u>	<u>3,367,159</u>	<u>875</u>
 Grand Total	 30,113,268	 30,058,607	 (54,661)

**APPELLATE AUXILIARY OPERATIONS
CANDIDATE EXAMINATION PROGRAM**

2013-14 Budget Request:	\$5,266,784
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Program Description

This Program provides funding for the operation of the State Board of Law Examiners.

State Board of Law Examiners: All applicants for admission to practice law in New York State are required by law to demonstrate their fitness by successful performance on the NYS Bar Examination and by demonstrating good moral character on a credential review. The State Board of Law Examiners develops and administers the NYS Bar Examination twice annually, and the Appellate Divisions, through their Committees on Character and Fitness, conduct the requisite character review of each candidate for admission. The Committees on Character and Fitness are funded through the Candidate Fitness program.

Summary of 2013-14 Funding Request:
Candidate Examination

2013-14 Budget Request:	\$5,266,784
<i>Personal Service:</i>	<i>1,489,784</i>
<i>Nonpersonal Service:</i>	<i>3,777,000</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Candidate Examination Miscellaneous Special Revenue Fund budget request is \$5.3 million or a decrease of \$61,399 (-1.2%) from the current year adjusted appropriation.

The personal service request of \$1.5 million represents an increase of \$33,701 (2.3%) over the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments and longevity bonuses, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by attrition savings.

The nonpersonal service funding supports expenses associated with the NYS Bar Examination including other professional services for fees paid to the national association for the multi-state portion of the exam, consultant fees, security costs and proctor and grader payments. The nonpersonal service funding also includes real estate rentals for testing locations.

The nonpersonal service request of \$3.8 million reflects a decrease of \$95,100 (-2.5%) from current year funding due to the implementation of cost saving measures in real estate rentals.

The Candidate Examination Program collects revenue of approximately \$6.8 million annually in NYS Bar Examination fees which is deposited into the General Fund.

Appellate Auxiliary Operations
 Budget Summary - Miscellaneous Special Revenue Fund
 Candidate Examination Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Board of Law Examiners	\$1,489,784	\$3,777,000	\$5,266,784
Total:	\$1,489,784	\$3,777,000	\$5,266,784

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

BOARD OF LAW EXAMINERS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,440,083	1,473,784	33,701
PS Overtime	16,000	16,000	0
Total Personal Service	<u>1,456,083</u>	<u>1,489,784</u>	<u>33,701</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	42,000	42,000	0
Travel	50,000	50,000	0
Equipment Rental and Repairs	178,000	178,000	0
Real Estate Rentals	1,395,100	1,300,000	(95,100)
Postage and Printing	130,000	130,000	0
Telecommunications	50,000	50,000	0
Information Technology Services	0	10,000	10,000
Other Professional Services	2,027,000	2,007,000	(20,000)
In-Part Services	0	10,000	10,000
Total Nonpersonal Service	<u>3,872,100</u>	<u>3,777,000</u>	<u>(95,100)</u>
 Grand Total	 5,328,183	 5,266,784	 (61,399)

**APPELLATE AUXILIARY OPERATIONS
CANDIDATE FITNESS PROGRAM**

2013-14 Budget Request:	\$1,203,009
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Program Description

This Program provides funding for operation of the Committees on Character and Fitness maintained by each of the four Appellate Divisions.

Committees on Character and Fitness: Every person who successfully completes the New York State Bar Examination and applies for admission to practice law in New York is required by law to demonstrate that he or she is of good moral character and fit to act as a lawyer. Each of the four Appellate Divisions has a Committee on Character and Fitness to conduct the necessary character review for each applicant.

Summary of 2013-14 Funding Request:

Candidate Fitness Program

2013-14 Budget Request:	\$1,203,009
<i>Personal Service:</i>	952,616
<i>Nonpersonal Service:</i>	250,393
<i>Maintenance Undistributed:</i>	0

The Candidate Fitness Miscellaneous Special Revenue Fund budget request is \$1.2 million or a decrease of \$59,361 (-4.7%) from the current year adjusted appropriation.

The personal service request of \$952,616 represents a decrease of \$6,621 (-0.7%) from the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by staffing adjustments and attrition savings.

The nonpersonal service funding supports usual and necessary expenses associated with the administration of the Candidate Fitness Program including postage and printing, supplies and materials, and equipment rentals and repairs.

The nonpersonal service request of \$250,393 reflects a decrease of \$52,740 (-17.4%) from current year funding primarily attributable to a budget adjustment for printing services in the First Department. Continued cost saving measures in office supplies, postage, travel and subscriptions also contribute to the overall reduction.

Appellate Auxiliary Operations
 Budget Summary - Miscellaneous Special Revenue Fund
 Candidate Fitness Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Candidate Fitness			
First Department	\$528,733	\$52,250	\$580,983
Second Department	\$440,478	\$74,200	\$514,678
Third Department	\$0	\$112,043	\$112,043
Fourth Department	\$0	\$11,900	\$11,900
Undistributed	(\$16,595)	\$0	(\$16,595)
Total:	\$952,616	\$250,393	\$1,203,009

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

CANDIDATE FITNESS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	959,237	952,616	(6,621)
Total Personal Service	959,237	952,616	(6,621)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,250	450	(800)
Supplies and Materials	32,197	26,255	(5,942)
Travel	6,358	3,558	(2,800)
Equipment Rental and Repairs	14,350	12,650	(1,700)
Real Estate Rentals	3,000	500	(2,500)
Conferences and Training	4,600	5,200	600
Postage and Printing	114,550	74,402	(40,148)
Telecommunications	4,100	3,100	(1,000)
Information Technology Services	1,200	1,200	0
Records Management Services	37,900	38,700	800
Other Professional Services	57,378	58,128	750
Transcripts	26,250	26,250	0
Total Nonpersonal Service	303,133	250,393	(52,740)
 Grand Total	 1,262,370	 1,203,009	 (59,361)

**APPELLATE AUXILIARY OPERATIONS
ATTORNEY DISCIPLINE PROGRAM**

2013-14 Budget Request: \$14,809,235

Program Description

This Program provides funding to support the Attorney Grievance Committees and disciplinary proceedings.

Attorney Grievance Committees: Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (e.g., censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

Summary of 2013-14 Funding Request:

Attorney Discipline

2013-14 Budget Request: \$14,809,235
<i>Personal Service:</i> 13,011,482
<i>Nonpersonal Service:</i> 1,797,753
<i>Maintenance Undistributed:</i> 0

The Attorney Discipline Special Revenue Fund budget request is \$14.8 million or a decrease of \$245,869 (-1.6%) from the current year adjusted appropriation.

The personal service request of \$13 million represents a decrease of \$199,008 (-1.5%) from the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings.

The personal service request also includes \$297,794 for temporary service to support attorneys and staff in the Second and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline program including: real estate rentals for office space; transcript costs and forensic evaluations required for disciplinary hearings; legal reference materials and online services, supplies and materials.

The nonpersonal service request of \$1.8 million reflects a decrease of \$46,861 (-2.5%) from current year funding. The decrease is attributable to the continuation of cost saving measures in supplies, legal reference and online materials, travel, postage and subscriptions. Expenditure-based reductions in information technology services and transcripts also contribute to the overall reduction. The reduction is partially offset by escalation costs for real estate rentals and expenditure-based growth in other professional services.

Appellate Auxiliary Operations
 Budget Summary - Miscellaneous Special Revenue Fund
 Attorney Discipline Program

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Attorney Discipline			
First Department	\$4,352,105	\$300,048	\$4,652,153
Second Department	\$5,938,464	\$946,765	\$6,885,229
Third Department	\$1,248,383	\$211,740	\$1,460,123
Fourth Department	\$1,704,854	\$339,200	\$2,044,054
Undistributed	(\$232,324)	\$0	(\$232,324)
Total:	\$13,011,482	\$1,797,753	\$14,809,235

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ATTORNEY DISCIPLINE
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,803,733	12,713,588	(90,145)
PS Temporary	406,657	297,794	(108,863)
PS Overtime	100	100	0
Total Personal Service	<u>13,210,490</u>	<u>13,011,482</u>	<u>(199,008)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	120,858	105,458	(15,400)
Supplies and Materials	154,185	144,085	(10,100)
Travel	81,287	76,287	(5,000)
Equipment Rental and Repairs	117,894	112,592	(5,302)
Real Estate Rentals	851,239	862,511	11,272
Conferences and Training	11,400	13,300	1,900
Postage and Printing	90,761	86,180	(4,581)
Telecommunications	43,200	34,500	(8,700)
Information Technology Services	24,600	14,100	(10,500)
Records Management Services	40,250	35,150	(5,100)
Other Professional Services	114,140	129,340	15,200
Assigned Counsel	500	0	(500)
In-Part Services	300	250	(50)
Judicial Hearing Officers	13,000	13,000	0
Transcripts	181,000	171,000	(10,000)
Total Nonpersonal Service	<u>1,844,614</u>	<u>1,797,753</u>	<u>(46,861)</u>
 Grand Total	 15,055,104	 14,809,235	 (245,869)

Major Purpose Summary
ADMINISTRATION AND
GENERAL SUPPORT

2013-14 All Funds Budget Request:	\$21,643,753
<i>General Fund:</i>	<i>19,095,425</i>
<i>State Special Revenue Funds:</i>	<i>2,548,328</i>

Major Purpose Description

The Administration and General Support Major Purpose provides management support for the Unified Court System. Under the Constitution, the Chief Judge of the State, with the advice and consent of the Administrative Board of the Courts, designates a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge), who bears responsibility for day-to-day supervision and operation of the courts. By statute and by direction of the Chief Judge, the Chief Administrator designates Deputy Chief Administrative Judges for the courts within and outside New York City to aid the Chief Administrator in the discharge of his or her duties. The Chief Administrator also is empowered to establish an administrative office for the courts to provide necessary staff support in a broad array of areas, including: legal and intergovernmental affairs; policy planning and executive direction; financial management and budget preparation; education and training; internal affairs; human resources, media relations and public affairs; and court research.

This Major Purpose also requests special revenue funding from the Attorney Licensing Fund (ALF) for management of the attorney registration database. Under Judiciary Law, every attorney admitted to the practice of law in New York must register biennially with the Office of Court Administration and pay a \$375 registration fee. This fee is distributed between the Lawyer's Fund for Client Protection, the Indigent Legal Services Fund, the Legal Services Assistance Fund and the Attorney Licensing Fund.

Finally, the Administration and General Support Major Purpose requests special revenue funding from the Court Facilities Incentive Aid Fund for management of the State's obligations under the Court Facilities Act of 1987, which established a State-aid program to assist counties and cities in meeting their obligations in relation to maintaining court facilities. As originally adopted, this aid program provided localities with reimbursement for a portion of the debt service and maintenance and operations costs associated with court facilities. In the mid-1990's, the Legislature modified the Court Facilities Act to provide for full state assumption of local costs incurred in the cleaning and minor repair of court facilities. This Major Purpose funds the staff that manage implementation of the Court Facilities Act. Funding for the aid program is requested from the Court Facilities Incentive Aid Fund in the Local Assistance budget.

Summary of 2013-14 Funding Request: Administration and General Support

The Administration and General Support Major Purpose State Operations All Funds budget request is \$21.6 million, or a decrease of \$1.3 million (-5.8%) from the current year adjusted appropriation. This request includes the following:

Personal Service

The personal service request of \$19 million represents a decrease of \$917,800 (-4.6%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings and staffing adjustments.

Nonpersonal Service

Nonpersonal service funding supports the usual and necessary expenses associated with administrative office operations, including supplies, travel, postage, printing, and conferences. Also reflected in accounting and auditing services is the payment of credit card fees associated with online payment of attorney registration fees.

The nonpersonal service request is \$2.6 million, or a decrease of \$407,896 (-13.5%) from current year funding. The decrease in other professional services partially reflects an accounting change which transfers credit card fees to the accounting and auditing services category. Also reflected is the cost-saving elimination of a contractual obligation in the administration of the court facilities program.

Administration and General Support

Budget Summary - All Funds

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
UCS Commissions	\$749,570	\$149,626	\$899,196
Communications	\$455,348	\$12,794	\$468,142
Counsel Activities	\$2,640,060	\$35,965	\$2,676,025
Deputy Chief Administrative Judges	\$1,245,113	\$44,381	\$1,289,494
Division of Financial Management	\$1,757,786	\$25,300	\$1,783,086
Executive Direction	\$2,626,620	\$198,700	\$2,825,320
Internal Audit Services	\$2,238,963	\$135,344	\$2,374,307
Judicial Institute Education & Training	\$826,844	\$839,500	\$1,666,344
Jury, Court Statistics & Operations	\$1,495,981	\$59,000	\$1,554,981
HR Civil Service & Labor Administration	\$2,450,541	\$350,515	\$2,801,056
Public Affairs	\$486,289	\$74,936	\$561,225
Attorney Registration	\$828,728	\$644,409	\$1,473,137
Court Facilities Administration	\$1,064,191	\$11,000	\$1,075,191
Web Design	\$437,543	\$38,200	\$475,743
Undistributed	(\$279,494)	\$0	(\$279,494)
Total:	\$19,024,083	\$2,619,670	\$21,643,753

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
ADMINISTRATION
& GENERAL SUPPORT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,925,283	19,007,483	(917,800)
PS Overtime	16,600	16,600	0
Total Personal Service	<u>19,941,883</u>	<u>19,024,083</u>	<u>(917,800)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	16,090	19,345	3,255
Supplies and Materials	225,111	209,824	(15,287)
Travel	604,350	603,850	(500)
Equipment Rental and Repairs	130,423	115,709	(14,714)
Real Estate Rentals	100,500	105,500	5,000
Conferences and Training	357,177	357,677	500
Postage and Printing	312,450	371,800	59,350
Telecommunications	25,000	0	(25,000)
Information Technology Services	23,000	18,500	(4,500)
Accounting and Auditing Services	0	432,000	432,000
Other Professional Services	1,191,600	328,600	(863,000)
In-Part Services	0	15,000	15,000
ADR/SCAR/Arbitration	5,000	5,000	0
Transcripts	36,865	36,865	0
Total Nonpersonal Service	<u>3,027,566</u>	<u>2,619,670</u>	<u>(407,896)</u>
Grand Total	22,969,449	21,643,753	(1,325,696)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ADMINISTRATION
& GENERAL SUPPORT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,046,646	17,124,564	(922,082)
PS Overtime	6,600	6,600	0
Total Personal Service	<u>18,053,246</u>	<u>17,131,164</u>	<u>(922,082)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	16,090	19,345	3,255
Supplies and Materials	208,774	181,324	(27,450)
Travel	596,100	595,600	(500)
Equipment Rental and Repairs	127,015	112,250	(14,765)
Real Estate Rentals	100,500	105,500	5,000
Conferences and Training	357,177	357,677	500
Postage and Printing	141,250	181,600	40,350
Telecommunications	25,000	0	(25,000)
Information Technology Services	23,000	18,500	(4,500)
Accounting and Auditing Services	0	7,000	7,000
Other Professional Services	396,600	328,600	(68,000)
In-Part Services	0	15,000	15,000
ADR/SCAR/Arbitration	5,000	5,000	0
Transcripts	36,865	36,865	0
Total Nonpersonal Service	<u>2,033,371</u>	<u>1,964,261</u>	<u>(69,110)</u>
Grand Total	20,086,617	19,095,425	(991,192)

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ADMINISTRATION
& GENERAL SUPPORT
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	822,244	818,728	(3,516)
PS Overtime	10,000	10,000	0
Total Personal Service	<u>832,244</u>	<u>828,728</u>	<u>(3,516)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	12,837	25,000	12,163
Travel	750	750	0
Equipment Rental and Repairs	3,408	3,459	51
Postage and Printing	171,200	190,200	19,000
Accounting and Auditing Services	0	425,000	425,000
Other Professional Services	395,000	0	(395,000)
Total Nonpersonal Service	<u>583,195</u>	<u>644,409</u>	<u>61,214</u>
 Grand Total	 1,415,439	 1,473,137	 57,698

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ADMINISTRATION
& GENERAL SUPPORT
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,056,393	1,064,191	7,798
Total Personal Service	1,056,393	1,064,191	7,798
<u>Nonpersonal Service</u>			
Supplies and Materials	3,500	3,500	0
Travel	7,500	7,500	0
Other Professional Services	400,000	0	(400,000)
Total Nonpersonal Service	411,000	11,000	(400,000)
 Grand Total	 1,467,393	 1,075,191	 (392,202)

Major Purpose Summary

**JUDICIARY-WIDE MAINTENANCE
UNDISTRIBUTED**

2013-14 All Funds Budget Request:	\$40,000,000
<i>General Fund:</i>	<i>40,000,000</i>
<i>State Special Revenue Funds:</i>	<i>0</i>

Major Purpose Description

This Major Purpose provides funding for the Civil Legal Services Program.

Civil Legal Services Program: The availability of affordable legal representation to all who come before the courts is indispensable to assuring that the Judiciary meets its constitutional mission to ensure equal justice for all. This Program provides a means by which the State can supply continuing support to nonprofit community bodies that supply civil legal services for indigent persons.

Summary of 2013-14 Funding Request: Judiciary-wide Maintenance Undistributed

The Judiciary-wide Maintenance Undistributed Major Purpose State Operations General Fund budget request is \$40 million or an increase of \$15 million (60%) over the current year adjusted appropriation. This request includes the following:

Maintenance Undistributed

The maintenance undistributed request is \$40 million, or an increase of \$15 million (60%) over current year funding. The increase will provide additional resources to nonprofit agencies in support of civil legal services for indigent persons.

Judiciary-Wide Maintenance Undistributed

Budget Summary - General Fund

	2013-14 Request	
	Maintenance Undistributed	Total
Judiciary-wide Maintenance Undistributed	\$40,000,000	\$40,000,000
Total:	\$40,000,000	\$40,000,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

JUDICIARY-WIDE
MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Civil Legal Services	25,000,000	40,000,000	15,000,000
Total Maintenance Undistributed	25,000,000	40,000,000	15,000,000
Grand Total	25,000,000	40,000,000	15,000,000

Major Purpose Summary

LAWYERS' FUND FOR CLIENT PROTECTION

2013-14 All Funds Budget Request:	\$12,743,876
<i>General Fund:</i>	<i>0</i>
<i>Lawyers' Fund for Client Protection:</i>	<i>12,743,876</i>

Major Purpose Description

This Major Purpose provides funding for the operation of the Lawyers' Fund for Client Protection. The Judiciary submits this budget request on behalf of the Fund's Board of Trustees.

Lawyers' Fund for Client Protection: Originally known as the Client Security Fund, the Lawyers' Fund was established by the Legislature in 1981 for the purpose of providing protection to law clients from the misappropriation or willful misapplication of their money and property by their lawyers. The Fund is administered by a Board of Trustees appointed by the Court of Appeals and funded principally from a portion of the biennial attorney registration fee and augmented by appropriations from the Attorney Licensing Fund.

Summary of 2013-14 Funding Request: Lawyers' Fund for Client Protection

The Lawyers' Fund for Client Protection Special Revenue Fund budget request is \$12.7 million, or a decrease of \$20,051 (-0.2%) from the current year adjusted appropriation.

Personal Service

The personal service request of \$461,876 represents an increase of \$5,949 (1.3%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and for the payment of increments and longevity bonuses, as required by law, for all eligible employees.

Nonpersonal Service

The nonpersonal service funding primarily supports the payment of awards as approved by the Board of Trustees. In addition, funding for the usual and necessary expenses associated with office operations is reflected. The nonpersonal service request is \$12.3 million, or a decrease of \$26,000 (-0.2%) from current year funding.

Lawyers' Fund for Client Protection

Budget Summary - State Special Revenue Fund

	2013-14 Request		
	Personal Service	Nonpersonal Service	Total
Lawyers' Fund for Client Protection	\$461,876	\$12,282,000	\$12,743,876
Total:	\$461,876	\$12,282,000	\$12,743,876

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

LAWYERS' CLIENT PROTECTION
LAWYERS' FUND FOR CLIENT
PROTECTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	455,927	461,876	5,949
Total Personal Service	455,927	461,876	5,949
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	10,000	10,000	0
Supplies and Materials	5,000	7,500	2,500
Travel	25,000	25,000	0
Equipment Rental and Repairs	14,000	14,000	0
Real Estate Rentals	39,000	42,000	3,000
Postage and Printing	21,000	18,000	(3,000)
Telecommunications	9,000	6,500	(2,500)
Other Professional Services	12,185,000	12,159,000	(26,000)
Total Nonpersonal Service	12,308,000	12,282,000	(26,000)
 Grand Total	 12,763,927	 12,743,876	 (20,051)

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2013-14

Part II
Aid to Localities

Major Purpose Summary
AID TO LOCALITIES

2013-14 All Funds Budget Request:	\$102,377,495
<i>General Fund:</i>	<i>2,445,584</i>
<i>Court Facilities Incentive Aid Fund:</i>	<i>99,931,911</i>

Major Purpose Description

The Aid to Localities Major Purpose is comprised of the following programs: Court Facilities Incentive Aid and Justice Court Assistance.

Summary of 2013-14 Funding: Aid to Localities

The Aid to Localities All Funds budget request is \$102.4 million or an increase of \$0.7 million (0.7%) over the current year adjusted appropriation. The request includes the following:

Maintenance Undistributed

Maintenance undistributed funding supports State assistance to local governments through the Court Facilities Incentive Aid Program and the Justice Court Assistance Program.

The maintenance undistributed request is \$102.4 million, or an increase of \$0.7 million (0.7%) over current year funding. This increase is necessary to provide statutorily required interest aid subsidies to local governments for financing of courthouse improvements.

Aid to Localities
Budget Summary - All Funds

	2013-14 Request	
	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$99,931,911	\$99,931,911
Justice Court Assistance	\$2,445,584	\$2,445,584
Total:	\$102,377,495	\$102,377,495

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

ALL FUNDS
AID TO LOCALITIES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	16,550,226	17,283,681	733,455
State Supported Facilities	12,520,273	12,520,273	0
Cleaning and Repairs	70,127,957	70,127,957	0
Town and Village Court	2,445,584	2,445,584	0
Total Maintenance Undistributed	<u>101,644,040</u>	<u>102,377,495</u>	<u>733,455</u>
Grand Total	101,644,040	102,377,495	733,455

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID PROGRAM

2013-14 Budget Request: \$99,931,911

Program Description

This Program provides funding for State assistance to local governments for court facilities.

Court Facilities Incentive Aid Program: This program was established in 1986 to provide financial assistance to local governments for the construction and maintenance of court facilities. State support for local governments under the Court Facilities Incentive Aid Program (CFIA) includes interest aid subsidies, reimbursement of cleaning costs and full debt service for appellate facilities and the Judicial Training Institute.

Summary of 2013-14 Funding Request:

Court Facilities Incentive Aid

2013-14 Budget Request:	\$99,931,911
<i>Personal Service:</i>	<i>0</i>
<i>Nonpersonal Service:</i>	<i>0</i>
<i>Maintenance Undistributed:</i>	<i>99,931,911</i>

The Aid to Localities - Court Facilities Incentive Aid Fund budget request is \$99.9 million, or an increase of \$0.7 million (0.7%) over the current year adjusted appropriation.

The maintenance undistributed request includes \$17.3 million for interest aid subsidies, \$70.1 million for reimbursement of court cleaning and minor repair expenses, and \$12.5 million for reimbursement of Appellate Division facilities' expenses, including local chamber space for Court of Appeals Judges and funding to support debt service and facility operating expenses of the New York State Judicial Institute.

In order to continue support of over \$4 billion in local commitments for court improvements, an appropriation increase of \$0.7 million is necessary for statutorily required interest aid subsidies for 2013-14.

Aid to Localities

Budget Summary - Court Facilities Incentive Aid Fund Court Facilities Incentive Aid Program

	2013-14 Request	
	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$99,931,911	\$99,931,911
Total:	\$99,931,911	\$99,931,911

State of New York - Judiciary
Budget Summary for Fiscal Year 2013-2014

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	16,550,226	17,283,681	733,455
State Supported Facilities	12,520,273	12,520,273	0
Cleaning and Repairs	70,127,957	70,127,957	0
Total Maintenance Undistributed	99,198,456	99,931,911	733,455
Grand Total	99,198,456	99,931,911	733,455

AID TO LOCALITIES
JUSTICE COURT ASSISTANCE PROGRAM

2013-14 Budget Request: \$2,445,584

Program Description

This Program provides funding for State assistance to Town and Village Justice courts.

Justice Court Assistance Program (“JCAP”): In 1999, the State inaugurated JCAP, a State assistance program funded through the Judiciary Budget, by which limited State funding would be provided to towns and villages to help them automate their Justice Courts, improve their security, facilitate their legal capabilities, acquire necessary supplies and generally modernize their operations. JCAP funding is disbursed by the Chief Administrative Judge in awards of up to \$30,000 annually made to applicant towns and villages.

Summary of 2013-14 Funding Request:

Justice Court Assistance

2013-14 Budget Request:	\$2,445,584
<i>Personal Service:</i>	<i>0</i>
<i>Nonpersonal Service:</i>	<i>0</i>
<i>Maintenance Undistributed:</i>	<i>2,445,584</i>

The Aid to Localities Justice Court Assistance Program budget request is \$2.4 million. There is no change from the current year appropriation.

The maintenance undistributed request is \$2.4 million which will be distributed to local justice courts through this program.

Aid to Localities
 Budget Summary - Local Assistance
 Justice Court Assistance Program

	2013-14 Request	
	Maintenance Undistributed	Total
Justice Court Assistance	\$2,445,584	\$2,445,584
Total:	\$2,445,584	\$2,445,584

State of New York - Judiciary
 Budget Summary for Fiscal Year 2013-2014

AID TO LOCALITIES
 LOCAL ASSISTANCE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Town and Village Court	2,445,584	2,445,584	0
Total Maintenance Undistributed	2,445,584	2,445,584	0
 Grand Total	 2,445,584	 2,445,584	 0

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2013-14

Part III
Capital Projects

The 2013-14 Judiciary budget request does not include any new appropriations for capital projects. It does seek to reappropriate capital funds originally appropriated in fiscal 2007-08 for the development of a Court Officer Training Academy in Kings County.

Court Officer Academy in Kings County

One of the primary recommendations of the Task Force on Court Security—convened by the Chief Judge and Chief Administrative Judge in the aftermath of September 11, 2001—was the need to expand training for court security officers by establishing appropriate residential facilities similar to those in use by the Department of Corrections and the State Police. Such facilities are necessary because of the unique security environments in which court officers operate and because of certain insufficiencies in the Judiciary’s existing nonresidential program. Residential facilities would resolve many operational difficulties and would also facilitate court officer recruitment. Also, the creation of a residential training facility will assist the court system in meeting the newly established training requirements under chapter 491 of the Laws of 2010.

Chapter 548 of the Laws of 2006 provided for the construction or acquisition of a court officer training academy to be located on specified property within Kings County. The Judiciary, with the assistance of the Dormitory Authority of the State of New York, has entered into a 49-year lease with a nonprofit entity for the purpose of renovating a former school complex into a state-of-the-art court officer training academy in Kings County. The project is in the design phase; funds needed for this project are estimated at \$51 million.