



NEW YORK STATE UNIFIED COURT SYSTEM

BUDGET

FISCAL YEAR 2015-2016

Jonathan Lippman

CHIEF JUDGE

A. Gail Prudenti

CHIEF ADMINISTRATIVE JUDGE

Lawrence K. Marks

FIRST DEPUTY CHIEF
ADMINISTRATIVE JUDGE

Ronald Younkins

EXECUTIVE DIRECTOR
OFFICE OF COURT ADMINISTRATION

Eugene W. Myers

CHIEF OF OPERATIONS
OFFICE OF COURT ADMINISTRATION

Maureen McAlary

BUDGET DIRECTOR



*New York State
Unified Court System
25 Beaver Street
New York, New York 10004*

*A. Gail Prudenti
Chief Administrative Judge*

212-428-2120

December 1, 2014

To: Honorable Andrew M. Cuomo Honorable Sheldon Silver
 Honorable Dean G. Skelos Honorable Jeffrey D. Klein
 Honorable Andrea Stewart-Cousins Honorable Brian M. Kolb
 Honorable John DeFrancisco Honorable Herman D. Farrell, Jr.
 Honorable Liz Krueger Honorable Robert C. Oaks
 Honorable John J. Bonacic Honorable Helene E. Weinstein

From: A. Gail Prudenti

I respectfully submit the itemized estimates of the annual financial needs of the Judiciary for the Fiscal Year beginning April 1, 2015. Article VII, Section 1 of the Constitution requires the submission of these estimates to the Governor and legislative leaders on or before December 1, 2014.

The 2015-16 General Fund State Operations budget request totals \$1.86 billion, a cash increase of \$45.3 million, or 2.5 percent, over available current-year funds. After five years of essentially flat budgets from 2009-10 to 2013-14, the 2014-15 enacted budget was a step toward ameliorating the harm caused by the no-growth budgets while also addressing cost increases. In 2015-16, the Judiciary will again face significant cost increases including mandatory salary increases for represented nonjudicial employees and contractual security increases. In addition, this budget reflects new and annualized costs for 25 Family Court judgeships authorized during 2014 and City Court judgeships authorized in 2013.

To meet these costs and our constitutional duty to maintain service to the public, it is necessary for the Judiciary to seek an increase in the 2015-16 budget. The proposed budget would allow the courts to maintain their current staffing levels, and fill a limited number of positions that are critical to operations. Funds would also be used to ensure that courtrooms can remain open to the public until 5 p.m. each day. Additional funds are also requested for civil legal services to ensure that the most vulnerable New Yorkers are not without counsel in cases involving the essentials of life.

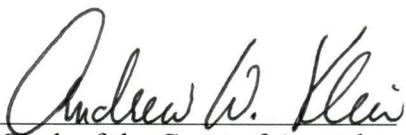
CHIEF JUDGE'S CERTIFICATION

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, I certify that the attached schedules are the itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2015 and that they have been approved by the Court of Appeals.




Jonathan Lippman
Chief Judge of the Court of Appeals

ATTEST: 
Clerk of the Court of Appeals

Date: November 19, 2014

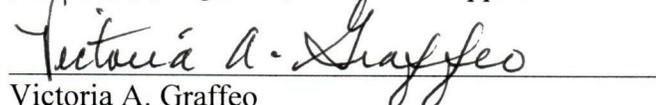
COURT OF APPEALS APPROVAL

ITEMIZED ESTIMATES OF THE
FINANCIAL NEEDS OF THE JUDICIARY

Pursuant to Article VII, Section 1 of the Constitution of the State of New York, the Court of Appeals approves the attached itemized estimates of the financial needs of the Judiciary for the fiscal year beginning April 1, 2015.

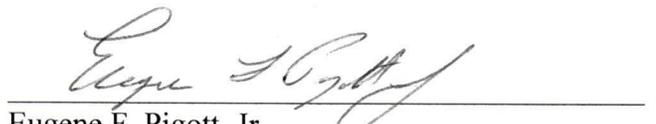

Jonathan Lippman
Chief Judge of the Court of Appeals

Associates Judges of the Court of Appeals:


Victoria A. Graffeo

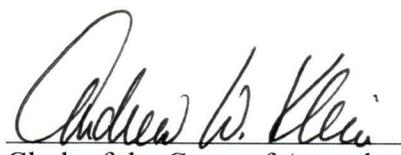

Susan Phillips Read


Robert S. Smith


Eugene F. Pigott, Jr.


Jenny Rivera


Sheila Abdus-Salaam

ATTEST: 
Clerk of the Court of Appeals

Date: November 19, 2014



2015-16 JUDICIARY BUDGET

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JUDICIARY
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EXECUTIVE SUMMARY

As a co-equal partner in government, the Judiciary has an obligation to do its part to address the fiscal challenges faced by the State while meeting its constitutional mandate and responsibility to ensure the fair and efficient adjudication of cases.

Over the past years, the Third Branch has demonstrated its willingness and ability to perform as a “team player” in governance, as well as a faithful steward of the public trust and interest, by rethinking every aspect of its operations, reorganizing and consolidating offices and programs that provide services to the courts and the public, eliminating or reducing programs that are not critical to the courts’ core mission, and cutting all but essential expenditures.

This ongoing, top-to-bottom review of court operations, coupled with an eye toward finding new and more effective and efficient ways to do what we must, is evident in the fact that since 2009, the Judiciary has absorbed nearly \$400 million in increased costs while its budgets have increased only \$27.5 million, or 1.5 percent, over the entire period.¹

The Third Branch accomplished the difficult feat of meeting its increasing financial obligations, most of which were contractual, statutory or otherwise mandated and beyond its control, with what has essentially been a flat budget, through a strategic initiative centered on five core principles and objectives:

- Creating the Virtual Courthouse
- Maximizing Information Sharing: One Entry, Repeated Use
- Achieving Universal Access, Reduced Travel
- Pursuing Efficiency and Innovation
- Re-engineering the Court: Modern Automated Case Management

Using these principles as a guide, we are reducing costs, increasing efficiencies and improving processes, all toward the goal of finding better and more effective ways to serve the people of New York State.

Creating the Virtual Courthouse

The Judiciary is striving to make our courts more accessible to everyone, everywhere.

- E-filing continues to expand, and improve court operations, while offering significant savings and convenience to the courts, County Clerks, attorneys and litigants.

¹In Fiscal Year 2009-2010, the cash available in the General Fund State Operations portion of the Judiciary budget, the section of the budget that supports core court operations, was \$1.786 billion. In the current fiscal year, the corresponding amount is \$1.814 billion, which represents an increase of \$27.5 million, or 1.5 percent, over a six-year period.

- A new, simple web-based automated program, implemented and operational this year in all 62 counties, is now assisting advocates to remotely prepare family offense petitions on behalf of domestic violence victims. This same technology will soon be used to help litigants prepare thousands of Family Court petitions without having to come to the courthouse, enhancing our service to self-represented litigants while significantly reducing the burdens on litigants and Family Court staff.
- We are piloting audio and video technology to provide remote assistance to self-represented litigants.
- Criminal history searches can now be ordered online, providing greater service to the public while streamlining the administration of this program, which annually collects more than \$102 million for the State Treasury.
- Emails and text messages are now being used to inform court users of court appearances and court closings. This service will soon be expanded to jurors.

Maximizing Information Sharing: One Entry, Repeated Use

We are leveraging technology to eliminate the need to enter the same data multiple times within the courthouse or between the courts and our criminal and civil justice partners.

- We continue to work with a variety of agencies, at all levels of government, to improve the inter-agency transmission of data, and thereby improve efficiency and accuracy, while also reducing costs. For example, we have enhanced the automation and quality of the data collected by the court system and electronically transmitted to the Division of Criminal Justice Services (DCJS) and the FBI for the national firearms registry and implementation of the New York State SAFE Act. In addition, the New York City Family Court has an electronic warrant information exchange with the New York City Police Department.
- Through automation and information sharing with New York City criminal justice agencies, we have greatly enhanced the completeness and accuracy of disposition data sent to DCJS electronically, thus significantly improving the quality of “rap” sheets and assuring that those who are arrested but not prosecuted, or who have received favorable dispositions, have their records correctly recorded by DCJS.
- We aggressively seek ways to take full advantage of the State Comptroller’s new financial management system, toward the goals of streamlining and expediting the payment processes, eliminating paper transactions, and reducing the numbers of employees involved in each transaction.

Achieving Universal Access, Reduced Travel

We are reducing travel costs and eliminating the need to keep multiple copies of the same records.

- Web-based training for Judges and court personnel has made our training program more accessible, while sharply reducing travel costs.

- An electronic records repository facilitates access to court records from anywhere in the courthouse or across the State and eliminates the need for paper records, thus reducing storage and retrieval expenses as well as the cost of creating microfiche records.
- Automation of the transfer of probation cases between counties makes the information accessible to multiple locations and saves courts the time and expense of printing, mailing, and storing multiple copies of case files for more than 10,000 probation transfer cases each year.
- Audio and video technology permits remote court appearances, when appropriate, to litigants, witnesses, caseworkers and attorneys. This service will also be extended to those who are infirm, elderly or live too far away to travel to the courthouse.

Pursuing Efficiency and Innovation

Every process is continuously being reviewed and streamlined.

- A re-thinking and streamlining of administrative functions and transactions allowed us to reassign a number of Office of Court Administration employees to the trial courts.
- The deployment of Internet Protocol telephones, which operate over the court system's own data network, has sharply reduced our communication expenses by virtually eliminating monthly telephone charges.
- Regional centers for the scanning and printing of millions of juror qualification questionnaires and summonses save equipment costs and reduce the burden on local commissioners of jurors offices.
- An automated real-time system for tracking the efficiency of the arraignment process in New York City helps ensure compliance with legal time requirements.
- The close monitoring of juror utilization helps reduce expenditures for per diem juror fees and ensures that jurors are not called for service when it is unlikely they will be needed.
- We continue to encourage the use of online legal reference materials that are available under flat-rate agreements with legal publishers, rather than traditional, and expensive, print collections.
- Enhancements to the web-based Attorney for the Child voucher system improve controls and ensure the accuracy of billing and payments for this \$47 million a year program.

Re-engineering the Court: Modern Automated Case Management

A modern automated case management system is the foundation of our ability to manage and to maintain accurate and timely information about the four million new cases filed in the New York courts each year.

- Over the past 10 years, we have installed modern case management software in our Family, Surrogate's and City (civil) courts. During the next few years, we will complete this work in our remaining courts - Supreme, County and City (criminal) courts - and in 2015 will replace our now outdated automated jury management system.

- The ongoing updating and enhancement of our various automated case management systems helps to improve efficiency and the accuracy of information, reduce the need for data entry by court staff, and eliminate the use of paper.
- We are introducing the capacity for judges and other judicial officers to produce orders directly from the case management system and then electronically sign these orders. This innovation will increase efficiency and permit direct electronic storage and transmission of court orders.

We are proud of these and our other initiatives and innovations. But we are also very aware of, and deeply troubled by, the price that the austere budgets of the past years have imposed.

The Judiciary's budgets from Fiscal Years 2009-2010 to 2013-2014 were, of necessity, attrition budgets. The only way for the court system to live within its means in the face of increased costs was to reduce the size of its workforce, and it did so.

Over those years, the court system's workforce was reduced by more than 2,000 employees. Despite the extraordinary efforts of our Judges and employees, service to the public inevitably suffered and citizens were undeniably, and regretfully, inconvenienced. The loss of back-office staff led to delays in processing court documents. In many courthouses, the loss of court officers and other courtroom staff caused delays in opening court parts. The 4:30 p.m. courtroom closing time, which was necessary to contain overtime expenses, prompted numerous complaints from members of the bar and the public.

Last year, the Judiciary requested and received a "road to recovery" budget with an increase designed not so much as to advance the operations of the Third Branch of government, but to prevent further erosion of public service. We had reached a point where the cutbacks threatened not only to further inconvenience our constituents, but to shortchange the public, which has a right to expect the full administration of justice. As a branch of government with constitutional responsibilities, we could not go there, and the budget increase we gratefully received last year allowed us to, in effect, tread water. At least, and at last, we were no longer sinking.

The budget for the 2014-2015 fiscal year was a step toward enabling the Judiciary to ameliorate the harmful impacts caused by the series of no-growth budgets that began in FY 2009-2010. For the first time in five years, the Judiciary budget was not premised on the reduction of our workforce. With a 2.5 percent increase (in addition to \$5 million for the 20 Family Court judgeships created effective January 1, 2015), we were able to replace employees as they left, ensuring that critically important operational positions in the courts were not left vacant. We were able to relax the 4:30 p.m. closing rule, address the delay in processing court documents, and otherwise mitigate the impact of reduced staffing on our service to the public.

In FY 2015-2016, the Judiciary will again face significant cost increases, including mandatory salary increases for represented non-judicial employees, and increases in the cost of contracts with local governments to provide court security in certain areas of the state. The Judiciary will also face the cost of the five Family Court judgeships created effective January 1, 2016, as well as the annualization of the cost of the 20 Family Court judgeships created effective January 1, 2015, and the cost of the City Court judgeships established pursuant to Chapter 548 of the Laws of 2013.

In order to meet these costs, without losing ground on our duty to maintain service to the public, it is again necessary for the Judiciary to seek an increase in its budget. This budget seeks cash funding of \$1.86 billion for General Fund State Operations, to support court operations. This request represents an increase of \$45.3 million, or 2.5 percent, over available current-year funds.

The requested budget will allow us to continue down the road to recovery. Under this budget, current staffing levels would be maintained, and a limited number of additional positions that are critical to operations would be filled. Our focus will be on back office and courtroom titles that are critical to providing service to the public, including clerks, court officers, interpreters and court reporters. Without this increase, we will have no choice but to again reduce our workforce. That loss of court staff would directly affect the quality of service that we provide to the people of New York and lead to increased delays in processing cases and, once again, shortened court sessions.

As a result of the Judiciary's various access-to-justice initiatives, more low-income New Yorkers are receiving civil legal services, more homeowners are receiving representation in mandatory settlement conferences, and fewer litigants appear in civil cases unrepresented. However, despite those improvements, far too many people still proceed without counsel in eviction, domestic violence, consumer debt, and other cases involving the essentials of life. The lack of representation in these cases imposes not only a profound human and social toll on the most vulnerable New Yorkers, but also significant additional burdens on our judges, including more and longer court appearances, when they hear cases in which a party is not represented. The result is delay and inefficiency, as well as increased costs, both to the court system and to represented parties.

Providing counsel to low-income New Yorkers in civil cases is a fundamental part of the mission of the Judiciary to ensure equal access to justice for all. To reflect the central role of civil legal services to the mission of the court system, funding for civil legal services, which had formerly been presented in Judiciary-Wide Maintenance Undistributed, is now included in the Courts of Original Jurisdiction Major Purpose. This budget also provides \$15 million in additional funding for civil legal services to help address the remaining needs of unrepresented litigants. These additional funds for civil legal services are also a sound investment: for every dollar invested in civil legal services, the State of New York receives more than six dollars in economic benefit resulting from reduced social services and other public expenses and increased inflow of federal benefits.

In sum, this budget request reflects a very thoughtful and very careful balancing of the Judiciary's obligation to be a faithful steward of public funds and the Judiciary's fundamental and independent duty to ensure that our Judges and non-judicial personnel have the resources necessary to provide timely and fair justice to every person who comes to our courthouses. We will continue to search for, and implement, better, more effective, and more cost-efficient ways to serve the public, and we are fully engaged in and committed to that goal, as an institution and a co-equal branch of government. This budget request simply reflects our best judgment as to the minimum funding needed to ensure that we have the resources necessary to fulfill our constitutional mission.

**Unified Court System
2015-16 Budget Request
All Funds Disbursement Requirements
(Millions \$)**

Category/Fund	2014-15 <u>Planned</u>	2015-16 <u>Required</u>	<u>Change</u>
<u>Court & Agency Operations</u>			
General Fund	1,813.8	1,859.1	45.3
Special Revenue - Federal	6.5	7.0	0.5
NYC County Clerks' Operations Offset Fund	24.1	23.2	(0.9)
Judiciary Data Processing Offset Fund	23.6	22.8	(0.8)
Miscellaneous Special Revenue Fund	24.8	24.8	0.0
Indigent Legal Services Fund	25.0	25.0	0.0
Court Facilities Incentive Aid Fund	1.8	1.9	0.1
Court & Agency Operations - All Funds Total	1,919.6	1,963.8	44.2
Lawyers' Fund for Client Protection	12.6	10.7	(1.9)
<u>Aid to Localities</u>			
General Fund	2.4	2.4	0.0
Court Facilities Incentive Aid Fund	104.2	104.2	0.0
Aid to Localities - All Funds Total	106.6	106.6	0.0
Capital Projects	5.1	5.1	0.0
General Fund Total	1,816.2	1,861.5	45.3
All Funds Total	2,043.9	2,086.2	42.3

**Unified Court System
2015-16 Budget Request
All Funds Appropriation Requirements
Major Purpose by Fund Summary**

State Operations	2014-15	2015-16	
General Fund	Available	Requested	Change
Courts of Original Jurisdiction	\$1,531,347,549	\$1,570,505,218	\$39,157,669
Court of Appeals	\$14,839,110	\$15,286,324	\$447,214
Appellate Court Operations	\$74,391,608	\$79,334,638	\$4,943,030
Appellate Auxilliary Operations	\$183,327,620	\$186,774,075	\$3,446,455
Administration and General Support	\$17,975,271	\$18,172,736	\$197,465
State Operations - General Fund Total	\$1,821,881,158	\$1,870,072,991	\$48,191,833
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State Operations - Special Revenue Funds - Federal	\$7,500,000	\$8,000,000	\$500,000
<hr/>			
Special Revenue Funds - Other			
NYC County Clerks' Operations Offset Fund	\$24,214,696	\$23,297,481	(\$917,215)
Judiciary Data Processing Offset Fund	\$21,705,906	\$22,885,675	\$1,179,769
Miscellaneous Special Revenue Fund	\$1,000,000	\$1,000,000	\$0
Attorney Licensing Fund	\$23,805,345	\$23,870,011	\$64,666
Indigent Legal Services Fund	\$25,000,000	\$25,000,000	\$0
Court Facilities Incentive Aid Fund	\$1,767,030	\$1,741,683	(\$25,347)
Lawyers' Fund for Client Protection	\$12,756,663	\$10,782,613	(\$1,974,050)
State Operations - Special Revenue Funds - Other	\$110,249,640	\$108,577,463	(\$1,672,177)
<hr/>			
State Operations - All Funds - Total	\$1,939,630,798	\$1,986,650,454	\$47,019,656
<hr/>			
Aid to Localities			
General Fund	\$2,445,584	\$2,445,584	\$0
Court Facilities Incentive Aid	\$99,931,911	\$104,200,000	\$4,268,089
Aid to Localities - All Funds Total	\$102,377,495	\$106,645,584	\$4,268,089
<hr/>			
Grand Total General Fund	\$1,824,326,742	\$1,872,518,575	\$48,191,833
<hr/>			
Grand Total All Funds	\$2,042,008,293	\$2,093,296,038	\$51,287,745
<hr/>			

Note: Funding for Civil Legal Services, which had formerly been presented in Judiciary-Wide Maintenance Undistributed, is now included in the Courts of Original Jurisdiction Major Purpose, Contractual Services. The Judiciary-Wide Maintenance Undistributed Major Purpose has been eliminated from this budget request.

**Unified Court System
2015-16 Budget Request
All Funds Appropriation Requirements
Major Purpose Summary by Fund Category**

	<u>2014-15 Available</u>	<u>2015-16 Required</u>	<u>Change</u>
Courts of Original Jurisdiction			
General Fund	\$1,531,347,549	\$1,570,505,218	\$39,157,669
Special Revenue Funds	\$56,037,655	\$56,881,993	\$844,338
Total	\$1,587,385,204	\$1,627,387,211	\$40,002,007
Court of Appeals			
General Fund	\$14,839,110	\$15,286,324	\$447,214
Special Revenue Funds	\$0	\$0	\$0
Total	\$14,839,110	\$15,286,324	\$447,214
Appellate Court Operations			
General Fund	\$74,391,608	\$79,334,638	\$4,943,030
Special Revenue Funds	\$0	\$0	\$0
Total	\$74,391,608	\$79,334,638	\$4,943,030
Appellate Auxilliary Operations			
General Fund	\$183,327,620	\$186,774,075	\$3,446,455
Special Revenue Funds	\$46,193,848	\$46,165,232	(\$28,616)
Total	\$229,521,468	\$232,939,307	\$3,417,839
Administration & General Support			
General Fund	\$17,975,271	\$18,172,736	\$197,465
Special Revenue Funds	\$2,761,474	\$2,747,625	(\$13,849)
Total	\$20,736,745	\$20,920,361	\$183,616
Lawyers' Fund for Client Protection			
General Fund	\$0	\$0	\$0
Special Revenue Funds	\$12,756,663	\$10,782,613	(\$1,974,050)
Total	\$12,756,663	\$10,782,613	(\$1,974,050)
Aid to Localities			
General Fund	\$2,445,584	\$2,445,584	\$0
Special Revenue Funds	\$99,931,911	\$104,200,000	\$4,268,089
Total	\$102,377,495	\$106,645,584	\$4,268,089
Total General Fund	\$1,824,326,742	\$1,872,518,575	\$48,191,833
Total Special Revenue Funds	\$217,681,551	\$220,777,463	\$3,095,912
Grand Total All Funds	\$2,042,008,293	\$2,093,296,038	\$51,287,745

Note: Funding for Civil Legal Services, which had formerly been presented in Judiciary-Wide Maintenance Undistributed, is now included in the Courts of Original Jurisdiction Major Purpose, Contractual Services. The Judiciary-Wide Maintenance Undistributed Major Purpose has been eliminated from this budget request.

**Fiscal Year 2015-16
IOLA Support**

Appropriation Requirements

Aid to Localities	<u>2014-15</u> <u>Available</u>	<u>2015-16</u> <u>Requested</u>	<u>Change</u>
General Fund - IOLA	\$15,000,000	\$15,000,000	\$0
<u>Aid to Localities - General Fund Total</u>	<u>\$15,000,000</u>	<u>\$15,000,000</u>	<u>\$0</u>

**Disbursement Requirements
(Million \$)**

Aid to Localities	<u>2014-15</u> <u>Planned</u>	<u>2015-16</u> <u>Required</u>	<u>Change</u>
General Fund - IOLA	15.0	15.0	0.0
<u>Aid to Localities - General Fund Total</u>	<u>15.0</u>	<u>15.0</u>	<u>0.0</u>

THE JUDICIARY

§2. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated by the appropriations, are hereby appropriated and authorized to be paid as hereinafter provided, to the respective public officers and for the several purposes specified, which amounts shall be available for the fiscal year beginning April 1, 2015.

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015 in accordance with the following schedule:

STATE OPERATIONS AND AID TO LOCALITIES 2015-16

	Appropriations	Reappropriations
General Fund - State and Local.....	1,872,518,575	-
Special Revenue Funds - Federal.....	8,000,000	11,875,000
Special Revenue Funds - Other	212,777,463	15,060,000
	<hr/>	<hr/>
All Funds	2,093,296,038	26,935,000
	<hr/> <hr/>	<hr/> <hr/>

JUDICIARY OPERATING BUDGET SUMMARY OF NEW APPROPRIATIONS

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	1,870,072,991	2,445,584	-	1,872,518,575
SR - Federal	8,000,000	-	-	8,000,000
SR - Other	108,577,463	104,200,000	-	212,777,463
	<hr/>	<hr/>	<hr/>	<hr/>
All Funds	1,986,650,454	106,645,584	-	2,093,296,038
	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>

SCHEDULE

Notwithstanding any provision of law, the amount appropriated for any program within a major purpose within this schedule may be increased or decreased in any amount by interchange with any other program in any other major purpose, or with any appropriation in section three of this act, with the approval of the chief administrator of the courts.

COURTS OF ORIGINAL JURISDICTION 1,627,387,211

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	1,313,265,167
Personal service - temporary	1,084,160
Personal service - holiday / overtime compensation	18,582,988
	<hr/>
Amount available for personal service	1,332,932,315
	<hr/> <hr/>

NONPERSONAL SERVICE

Supplies and Materials	24,181,128
Travel	1,880,214
Contractual Services	206,524,663
Equipment	<u>4,986,898</u>
Amount available for nonpersonal service	<u>237,572,903</u>

Program account subtotal **1,570,505,218**

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

For services and expenses as provided by section 94-a of the state finance law.

PERSONAL SERVICE

Personal service - regular	<u>19,529,931</u>
Amount available for personal service	<u>19,529,931</u>

NONPERSONAL SERVICE

Supplies and Materials	235,650
Travel	6,600
Contractual Services	<u>3,525,300</u>
Amount available for nonpersonal service	<u>3,767,550</u>

Program fund subtotal **23,297,481**

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

For services and expenses as provided by section 94-b of the state finance law.

PERSONAL SERVICE

Personal service - regular	18,769,875
Personal service - holiday / overtime compensation	<u>115,800</u>
Amount available for personal service	<u>18,885,675</u>

NONPERSONAL SERVICE

Contractual Services	<u>4,000,000</u>
Amount available for nonpersonal service	<u>4,000,000</u>

Program fund subtotal **22,885,675**

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	645,438
Personal service - holiday / overtime compensation	62
	<hr/>
Program fund subtotal	645,500
	<hr/>

**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015	2,500,000
	<hr/>
Program account subtotal	2,500,000
	<hr/>

**Special Revenue Funds - Federal / State Operations
Federal Grants - Health and Human Services**

MAINTENANCE UNDISTRIBUTED

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015	5,500,000
	<hr/>
Program account subtotal	5,500,000
	<hr/>

**Special Revenue Funds - Other / State Operations
Miscellaneous Special Revenue Fund**

PERSONAL SERVICE

Personal service - regular	1,012,459
	<hr/>
Amount available for personal service	1,012,459
	<hr/>

NONPERSONAL SERVICE

Supplies and Materials	1,500
Travel	1,500
Contractual Services	1,037,878
	<hr/>
Amount available for nonpersonal service	1,040,878
	<hr/>
Program fund subtotal	2,053,337
	<hr/>

COURT OF APPEALS 15,286,324

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	13,319,113
Personal service - holiday / overtime compensation	114,000
	<u>13,433,113</u>
Amount available for personal service	<u>13,433,113</u>

NONPERSONAL SERVICE

Supplies and Materials	942,331
Travel	378,610
Contractual Services	532,270
	<u>1,853,211</u>
Amount available for nonpersonal service	<u>1,853,211</u>
Program account subtotal	<u>15,286,324</u>

APPELLATE COURT OPERATIONS 79,334,638

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	74,536,135
Personal service - temporary	196,996
Personal service - holiday / overtime compensation	125,900
	<u>74,859,031</u>
Amount available for personal service	<u>74,859,031</u>

NONPERSONAL SERVICE

Supplies and Materials	2,426,394
Travel	396,632
Contractual Services	1,652,581
	<u>4,475,607</u>
Amount available for nonpersonal service	<u>4,475,607</u>
Program account subtotal	<u>79,334,638</u>

APPELLATE AUXILLIARY OPERATIONS 232,939,307

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	28,529,696
Personal service - temporary	319,980
Personal service - holiday / overtime compensation	<u>1,000</u>
Amount available for personal service	<u>28,850,676</u>

NONPERSONAL SERVICE

Supplies and Materials	350,791
Travel	395,500
Contractual Services	<u>157,177,108</u>
Amount available for nonpersonal service	<u>157,923,399</u>
Program account subtotal	<u>186,774,075</u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	15,213,365
Personal service - temporary	343,362
Personal service - holiday / overtime compensation	<u>16,000</u>
Amount available for personal service	<u>15,572,727</u>

NONPERSONAL SERVICE

Supplies and Materials	352,666
Travel	119,808
Contractual Services	<u>5,120,031</u>
Amount available for nonpersonal service	<u>5,592,505</u>
Program fund subtotal	<u>21,165,232</u>

**Special Revenue Funds - Other / State Operations
Indigent Legal Services Fund**

For services and expenses as provided by section 98-b of the state finance law.

NONPERSONAL SERVICE

Contractual Services	<u>25,000,000</u>
Amount available for nonpersonal service	<u>25,000,000</u>
Program fund subtotal	<u>25,000,000</u>

ADMINISTRATION AND GENERAL SUPPORT 20,920,361

**General Fund / State Operations
State Purposes Account**

PERSONAL SERVICE

Personal service - regular	16,484,038
Personal service - holiday / overtime compensation	<u>3,850</u>
Amount available for personal service	<u>16,487,888</u>

NONPERSONAL SERVICE

Supplies and Materials	141,933
Travel	550,250
Contractual Services	<u>992,665</u>
Amount available for nonpersonal service	<u>1,684,848</u>
Program account subtotal	<u>18,172,736</u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

PERSONAL SERVICE

Personal service - regular	<u>1,085,183</u>
Amount available for personal service	<u>1,085,183</u>

NONPERSONAL SERVICE

Supplies and Materials	3,500
Travel	<u>7,500</u>
Amount available for nonpersonal service	<u>11,000</u>
Program fund subtotal	<u>1,096,183</u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

For services and expenses funded from fees required pursuant to section 468-a of the judiciary law.

PERSONAL SERVICE

Personal service - regular	843,833
Personal service - holiday / overtime compensation	<u>10,000</u>
Amount available for personal service	<u>853,833</u>

NONPERSONAL SERVICE

Supplies and Materials	73,400
Travel	750
Contractual Services	<u>723,459</u>
Amount available for nonpersonal service	<u>797,609</u>
Program fund subtotal	<u>1,651,442</u>

LAWYERS' CLIENT PROTECTION **10,782,613**

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection of the State of New York**

For expenses associated with the operation of the Lawyers' Fund for Client Protection of the State of New York; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, up to \$3,750,000 from the Special Revenue Funds - Other / State Operations Attorney Licensing Fund to the Lawyers' Fund for Client Protection of the State of New York on or before March 31, 2016.

PERSONAL SERVICE

Personal service - regular	<u>490,213</u>
Amount available for personal service	<u>490,213</u>

NONPERSONAL SERVICE

Supplies and Materials	25,500
Travel	26,000
Contractual Services	<u>10,240,900</u>
Amount available for nonpersonal service	<u>10,292,400</u>
Program fund subtotal	<u>10,782,613</u>

AID TO LOCALITIES **106,645,584**

**General Fund / Aid to Localities
Local Assistance Account**

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the justice court
assistance program 2,445,584

Program account subtotal **2,445,584**

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2016.

MAINTENANCE UNDISTRIBUTED

For services and expenses associated with the court facilities
incentive aid program 104,200,000

Program fund subtotal **104,200,000**

NEW YORK INTEREST ON LAWYER ACCOUNT 2015-2016

For expenses in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	15,000,000
All Funds	<u>15,000,000</u>

JUDICIARY BUDGET SUMMARY OF NEW APPROPRIATIONS (SUPPLEMENTAL)

Fund Type	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	-	15,000,000	-	15,000,000
All Funds	<u>-</u>	<u>15,000,000</u>	<u>-</u>	<u>15,000,000</u>

SCHEDULE

IOLA SUPPORT	<u>15,000,000</u>
---------------------------	--------------------------

**General Fund / Aid to Localities
Local Assistance Account**

For suballocation to the board of trustees of the New York Interest on Lawyer Account (IOLA) Fund, at the direction of the chief administrator of the courts, for services and expenses associated with operation of section 97-v of the state finance law, as added by chapter 659 of the laws of 1983; provided, however, such direction shall be given not later than thirty days after this act shall become law.

MAINTENANCE UNDISTRIBUTED

For services and expenses in relation to IOLA	<u>15,000,000</u>
Program account subtotal	<u>15,000,000</u>

THE JUDICIARY

GENERAL STATE CHARGES 2015-2016

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2015 in accordance with the following schedule:

	Appropriations
General Fund - State and Local.....	668,702,070
Special Revenue Funds - Other	<u>27,578,430</u>
All Funds	<u><u>696,280,500</u></u>

JUDICIARY GENERAL STATE CHARGES SUMMARY OF NEW APPROPRIATIONS

	State Operations	Aid to Localities	Capital Projects	Total
GF - State /Local	668,702,070	-	-	668,702,070
SR - Other	<u>27,578,430</u>	-	-	<u>27,578,430</u>
All Funds	<u><u>696,280,500</u></u>	-	-	<u><u>696,280,500</u></u>

SCHEDULE

GENERAL STATE CHARGES **696,280,500**

**General Fund / State Operations
State Purposes Account**

FRINGE BENEFITS

For Fringe Benefits	<u>668,702,070</u>
Program account subtotal	<u><u>668,702,070</u></u>

**Special Revenue Funds - Other / State Operations
Attorney Licensing Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>7,742,176</u>
Program fund subtotal	<u><u>7,742,176</u></u>

**Special Revenue Funds - Other / State Operations
Court Facilities Incentive Aid Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>768,941</u>
Program fund subtotal	<u>768,941</u>

**Special Revenue Funds - Other / State Operations
Lawyers' Fund for Client Protection**

FRINGE BENEFITS

For Fringe Benefits	<u>218,604</u>
Program fund subtotal	<u>218,604</u>

**Special Revenue Funds - Other / State Operations
New York City County Clerks' Operations Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>10,487,063</u>
Program fund subtotal	<u>10,487,063</u>

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

FRINGE BENEFITS

For Fringe Benefits	<u>8,361,646</u>
Program fund subtotal	<u>8,361,646</u>

REAPPROPRIATIONS

§3. The several amounts named in this section, or so much thereof as shall be sufficient to accomplish the purposes designated being the unexpended balances of a prior year's appropriation, are hereby reappropriated from the same funds and made available for the same purposes as the prior year's appropriation, unless amended herein, for the state fiscal year beginning April 1, 2015.

THE JUDICIARY

STATE OPERATIONS AND AID TO LOCALITIES - REAPPROPRIATIONS 2015-2016

SCHEDULE

COURTS OF ORIGINAL JURISDICTION

**Special Revenue Funds - Other / State Operations
Judiciary Data Processing Offset Fund**

By chapter 51, section 2, of the laws of 2014:

For services and expenses as provided by section 94-b of the state finance law.

Contractual Services	4,000,000	(re.	4,000,000)
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**Special Revenue Funds - Federal / State Operations
Federal Operating Grants Fund
Federal Miscellaneous Grants (Operating) Account**

By chapter 51, section 2, of the laws of 2014:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2014

3,000,000	(re.	3,000,000)
-----------------	------	------------

By chapter 51, section 2, of the laws of 2013, as reappropriated by chapter 51, section 3, of the laws of 2014:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2013

3,500,000	(re.	2,000,000)
-----------------	------	------------

By chapter 51, section 2, of the laws of 2012, as reappropriated by chapter 51, section 3, of the laws of 2014:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2012

5,000,000	(re.	750,000)
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By chapter 51, section 2, of the laws of 2011, as reappropriated by chapter 51, section 3, of the laws of 2014:

For services and expenses including travel outside the state and the payment of liabilities incurred prior to April 1, 2011

5,500,000	(re.	200,000)
-----------------	------	----------

By chapter 51, section 2, of the laws of 2010, as reappropriated
 by chapter 51, section 3, of the laws of 2014:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2010 6,500,000(re. 250,000)

**Special Revenue Funds - Federal / State Operations
 Federal Grants - Health and Human Services**

By chapter 51, section 2, of the laws of 2014:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2014 4,500,000(re. 4,500,000)

By chapter 51, section 2, of the laws of 2013, as reappropriated
 by chapter 51, section 3, of the laws of 2014:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2013 5,500,000(re. 625,000)

By chapter 51, section 2, of the laws of 2012, as reappropriated
 by chapter 51, section 3, of the laws of 2014:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2012 5,500,000(re. 500,000)

By chapter 51, section 2, of the laws of 2011, as reappropriated
 by chapter 51, section 3, of the laws of 2014:
 For services and expenses including travel
 outside the state and the payment of
 liabilities incurred prior to April 1, 2011 5,000,000(re. 50,000)

**Special Revenue Funds - Other / State Operations
 Miscellaneous Special Revenue Fund**

By chapter 51, section 2, of the laws of 2014:
 Contractual Services 1,037,878(re. 1,000,000)

By chapter 51, section 2, of the laws of 2013, as reappropriated
 by chapter 51, section 3, laws of 2014:
 Contractual Services 1,052,878(re. 60,000)

AID TO LOCALITIES

**Special Revenue Funds - Other / Aid to Localities
Court Facilities Incentive Aid Fund**

By chapter 51, section 2, of the laws of 2014:

For expenses necessary to implement provisions of law relating to the furnishing of court facilities and the provisions of section 219-a of the judiciary law; provided that, notwithstanding any other provision of law to the contrary, and in accordance with section 4 of the state finance law, where monies in the court facilities incentive aid fund, including such monies as may be transferred thereto pursuant to subdivision 6 of section 94 of the state finance law, are insufficient to meet vouchers presented for payment charged to this appropriation or for transfers made pursuant to paragraph (b) of subdivision 2 of such section, the state comptroller is hereby authorized and directed to transfer, upon the request of the chief administrator of the courts, sufficient monies to meet such vouchers or to permit such transfers, not exceeding \$55,000,000 from the General Fund to the Court Facilities Incentive Aid Fund on or before March 31, 2015.

For services and expenses associated with the court facilities incentive aid program	99,931,911	(re.	10,000,000)
Total reappropriations for state operations and aid to localities.....			\$ 26,935,000

CAPITAL PROJECTS - REAPPROPRIATIONS 2015-2016

COURTHOUSE IMPROVEMENTS (CCP)

Capital Projects Fund
Preservation of Facilities Purpose

By chapter 51, section 2, of the laws of 2007, as reappropriated
by chapter 51, section 3, of the laws of 2014:

For expenses associated with the acquisition of and improvements to a training academy in Kings County for the training of court security personnel (52JT0707)	33,700,000	(re.	33,700,000)
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By chapter 51, section 2, of the laws of 2007, as amended
by chapter 51, section 3, of the laws of 2012, as
reappropriated by chapter 51, section 3, of the laws of 2014:

For expenses associated with the acquisition of and improvements to a training academy in Kings County for the training of court security personnel (52JT0707)	24,200,000	(re.	7,300,000)
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STATE OF NEW YORK
JUDICIARY BUDGET
FY 2015-16

**2015-16 JUDICIARY
BUDGET REQUEST**

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Judiciary

2015-16 Budget Request

Introduction

The Judiciary. The Judiciary is one of the three branches of New York State government. Article VI of the State Constitution establishes the Judiciary as the Unified Court System for the State, defines the organization and jurisdiction of the courts, and provides for the administrative supervision of the courts by a Chief Administrator on behalf of the Chief Judge of the State.

The objectives of the Judiciary are to: (1) provide a forum for the peaceful, fair and prompt resolution of civil and family disputes, criminal charges, disputes between citizens and the state, and challenges to government action; (2) supervise the administration of decedents' estates; (3) preside over adoptions and proceedings to protect children and the mentally-ill; and (4) regulate the admission of lawyers to the Bar and their conduct and discipline.

Administration of the Judiciary. The administrative structure of this court system is prescribed by the State Constitution, which denominates the Chief Judge of the Court of Appeals as the head of the Judiciary. The Chief Judge is authorized to adopt administrative policy for the courts after consultation with the Administrative Board of the Courts (comprised of the Chief Judge and the Presiding Justices of the four Appellate Divisions) and approval by the Court of Appeals. With the advice and consent of the Administrative Board, the Chief Judge also appoints a Chief Administrator of the Courts who is responsible for supervising the day to day administration and operation of the trial courts. The Appellate Divisions and the Court of Appeals are responsible for the administration and operation of their courts.

In discharge of his or her responsibility for managing the trial courts, the Chief Administrator designates three Deputy Chief Administrative Judges: The First Deputy Chief Administrative Judge, who supervises court operations as directed by the Chief Administrator; a Deputy Chief Administrative Judge for the New York City Courts and a Deputy Chief Administrative Judge for the courts outside New York City. The latter two, on behalf of the Chief Administrator and together with a corps of Administrative Judges, supervise court operations in the State's Judicial Districts (*see* map of 13 Judicial Districts that follows). In some instances, Supervising Judges also are designated to assist the Chief Administrator, the Deputy Chief Administrative Judges and the Administrative Judges.

By statute and by direction of the Chief Judge, the Chief Administrator also establishes the administrative office of the courts. This office is bifurcated into the Office of Court Administration within the Administration and General Support Major Purpose, and the Division of Court Support Services within the Courts of Original Jurisdiction Major Purpose. The Office of Court Administration consists of offices that provide legal, policy, fiscal and human resource support to the Chief Administrator. The Division of Court Support Services assists the Chief Administrator by providing centralized management support to court operations including technology, personnel, legal information, records management, security and payroll services.

Funding of the Judiciary. By statute, and since the late 1970's, the nine major trial courts (Supreme Courts, Court of Claims, County Courts, Family Courts, Surrogate's Courts, New York City Civil and Criminal Courts, District Courts and City Courts outside New York City) and all three appellate courts (Court of Appeals, Appellate Divisions and Appellate Terms) are funded entirely by the State except that local governments, with some limited State financial assistance, bear responsibility for provision of court facilities. The Town and Village Justice Courts, by contrast, are funded by the Towns and Villages in which they sit, although they do receive limited State financial assistance as well.

Structure and Jurisdiction of the Courts

The Unified Court System is structured as follows:

APPELLATE COURTS

Court of Appeals
Appellate Divisions of the Supreme Court
Appellate Terms of the Supreme Court
County Courts (acting as appellate courts)

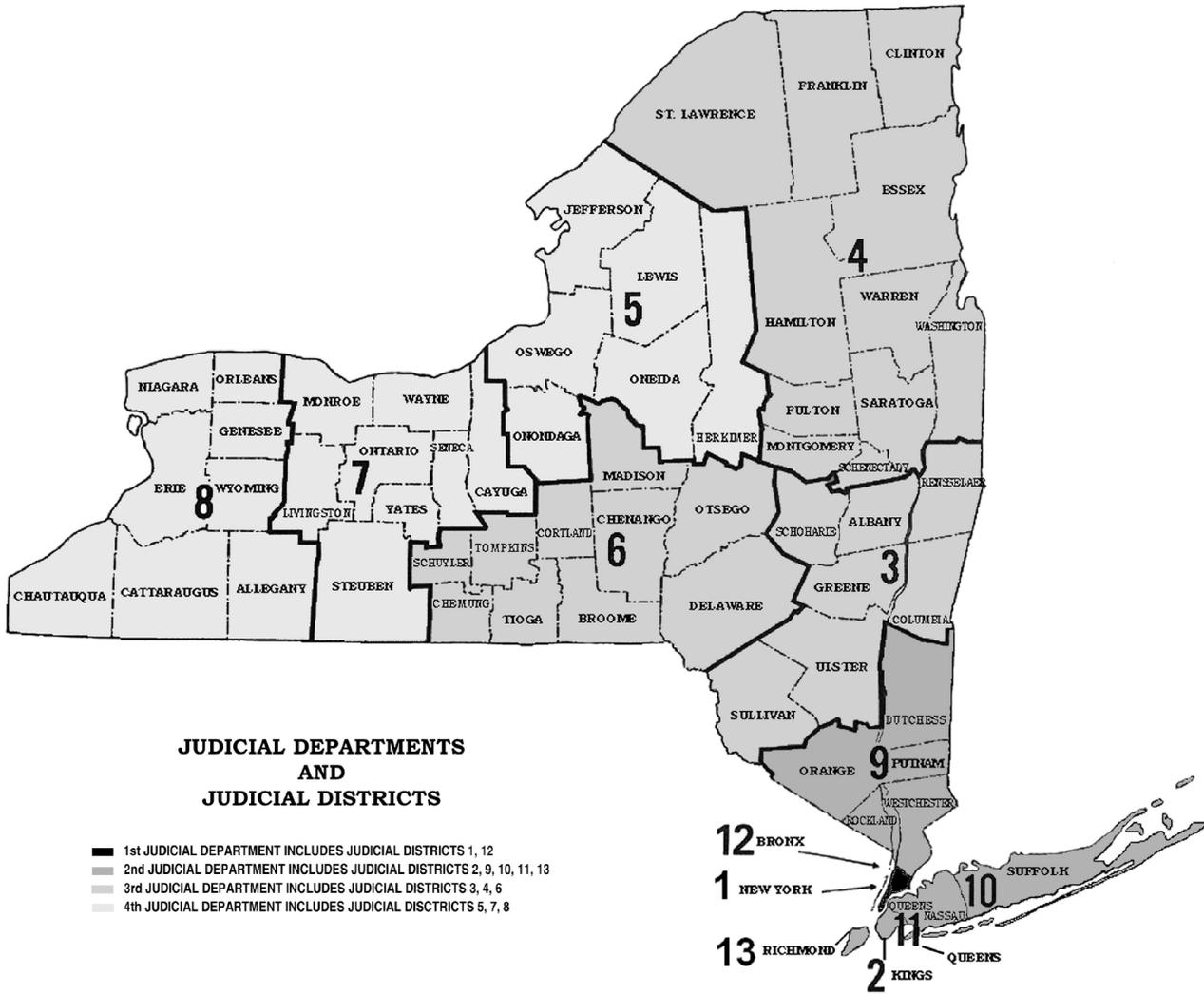
TRIAL COURTS OF SUPERIOR JURISDICTION

<i>Statewide:</i>	<i>Outside New York City:</i>
Supreme Court	County Court
Court of Claims	
Family Court	
Surrogate's Court	

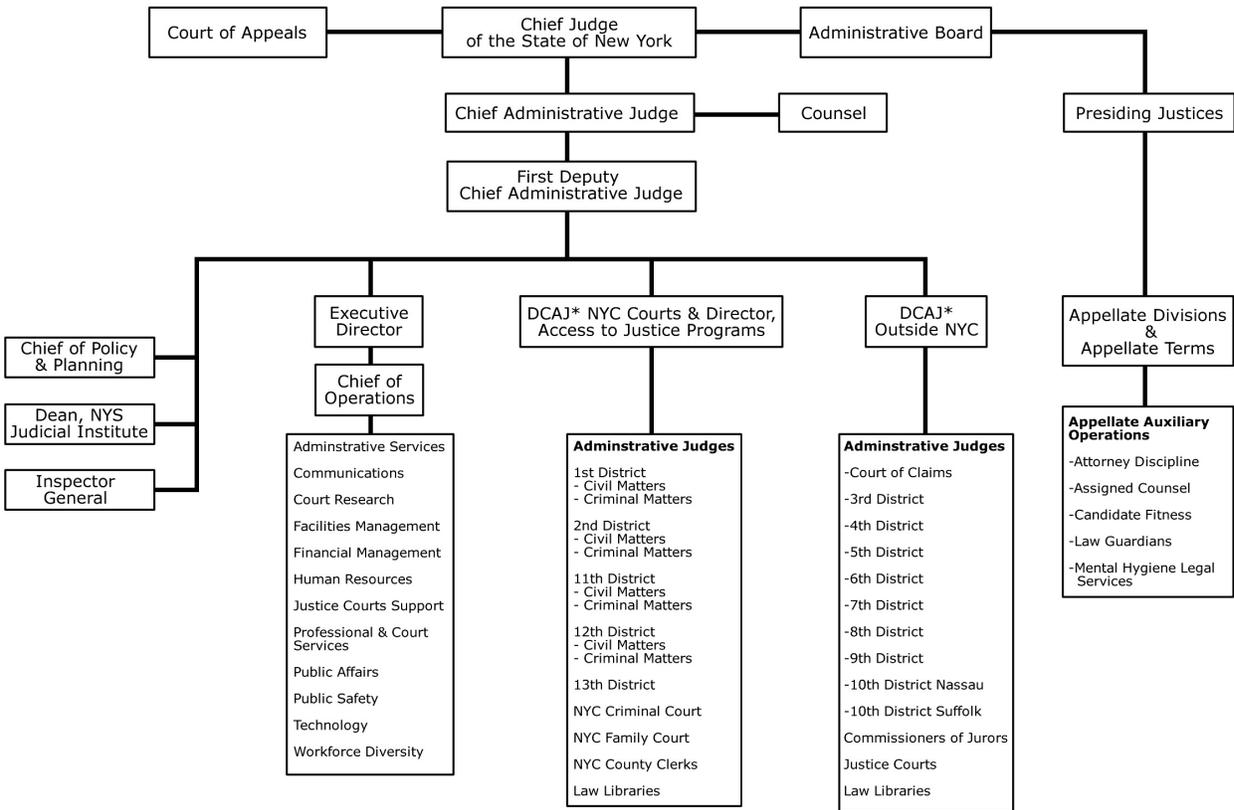
TRIAL COURTS OF LIMITED JURISDICTION

<i>New York City:</i>	<i>Outside New York City:</i>
Criminal Court	City Courts
Civil Court	District Courts
	Town Courts*
	Village Courts*

*Locally funded courts



Unified Court System Administrative Structure



*DCAJ - Deputy Chief Administrative Judge

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2015-16

Part I

State Operations

Court and Court-Related Agency Operations

Major Purpose Summary
COURTS OF ORIGINAL
JURISDICTION

2015-16 All Funds Budget Request:	\$1,627,387,211
<i>General Fund:</i>	<i>1,570,505,218</i>
<i>State Special Revenue Funds:</i>	<i>48,881,993</i>
<i>Federal Special Revenue Funds:</i>	<i>8,000,000</i>

Major Purpose Description

The Courts of Original Jurisdiction (COJ) Major Purpose is comprised of the following program components: Supreme and County Courts, Family Courts, Surrogate’s Courts, Multi-Bench Courts, City and District Courts, New York City Housing Court, Community Courts, Drug Treatment Courts, Court of Claims, Jury Operations, New York City County Clerks, Law Libraries, the Office of Alternative Dispute Resolution and Court Improvement Programs, Court Support Services, Town and Village Courts, Public Safety, COJ Maintenance Undistributed and Administration.

Summary of 2015-16 Funding: Courts of Original Jurisdiction

The COJ Major Purpose State Operations All Funds budget request is \$1.63 billion, or an increase of \$40 million (2.5%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$1.37 billion represents an increase of \$24.3 million (1.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and all judicial positions, including new judgeships authorized by chapter 44 of the Laws of 2014 (Family Court) and chapter 548 of the Laws of 2013 (City Court). Also reflected is funding to fill additional nonjudicial positions in support of these new judgeships and in support of trial court operations. Additionally, funding is included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request includes \$1.1 million for temporary service in support of ongoing operations. This includes funding for legal and clerical support in various trial courts (\$1.0 million); and, funding for Acting City, Town and Village Justices and associated legal support (\$0.1 million). Also reflected in the personal service request is \$18.7 million for overtime to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with trial court operations, support and administration. These expenses include: supplies; legal reference materials and online services; telecommunications; rentals and repairs of equipment; real estate rentals for office and training space; maintenance agreements for hardware, software and office equipment; payments

for jury *per diems*, lodging and meals; *per diem* interpreter and court reporter costs; ADR contracts; transcript payments; and contractual security services provided in some upstate courts by local law enforcement agencies. Also reflected are appropriations which provide the framework through which federal and other grants are realized.

In addition, providing counsel to low-income New Yorkers in civil cases is a fundamental part of the mission of the Judiciary to ensure equal access to justice for all. To reflect the central role of civil legal services to the mission of the court system, funding, which had in the past been presented in Judiciary-Wide Maintenance Undistributed, is now included in this Courts of Original Jurisdiction Major Purpose.

The nonpersonal service request is \$254.4 million, or an increase of \$15.8 million (6.6%) over current year funding. The majority of the increase reflected in other professional services will provide an additional \$15 million to nonprofit agencies in support of civil legal services.

Significant contractual increases are noted in security services and in real estate rentals. An increase in accounting and auditing services is related to the expansion of electronic filing. The increase in other professional services, not attributable to civil legal services, reflects the anticipation that the Judiciary will receive additional grants from the Substance Abuse and Mental Health Services Administration (SAMHSA). An increase in the in-part services budget category reflects the additional need for *per diem* interpreters. The increase in equipment will address the ongoing need to replace outdated courthouse equipment; specifically, furniture, technology equipment, security equipment, and facility-related equipment. These increases are partially offset by the continuation of cost savings measures in legal reference, CALR, supplies and telephones within telecommunications. The decrease in records management services relates to nonrecurring projects that were funded in the current year in Schoharie Multi-Bench Court and in the New York City County Clerks Program. The slight decrease in funding for judicial hearing officers reflects an analysis of local judicial needs that accounted for the new judgeships created pursuant to chapter 44 of the Laws of 2014.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

2015-16 Request

Locality/Program	Personal Service	Nonpersonal Service	Total
Court of Claims	\$13,155,778	\$4,498,926	\$17,654,704
New York City	\$694,896,161	\$33,808,174	\$728,704,335
3rd Judicial District	\$42,846,171	\$7,536,595	\$50,382,766
4th Judicial District	\$47,753,607	\$3,129,902	\$50,883,509
5th Judicial District	\$53,151,675	\$6,884,435	\$60,036,110
6th Judicial District	\$37,607,734	\$2,137,794	\$39,745,528
7th Judicial District	\$49,647,413	\$17,658,581	\$67,305,994
8th Judicial District	\$81,736,580	\$7,877,245	\$89,613,825
9th Judicial District	\$102,623,147	\$3,903,671	\$106,526,818
Nassau County	\$95,609,365	\$3,630,603	\$99,239,968
Suffolk County	\$95,241,366	\$3,691,521	\$98,932,887
Alternative Dispute Resolution	\$1,701,118	\$7,559,506	\$9,260,624
Court Support Services	\$47,830,766	\$59,796,539	\$107,627,305
Undistributed	\$9,204,999	\$92,267,839	\$101,472,838
Total:	\$1,373,005,880	\$254,381,331	\$1,627,387,211

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,328,988,281	1,353,222,870	24,234,589
PS Temporary	1,083,734	1,084,160	426
PS Overtime	18,683,700	18,698,850	15,150
Total Personal Service	1,348,755,715	1,373,005,880	24,250,165
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,777,795	17,614,054	(1,163,741)
Supplies and Materials	8,597,173	7,966,147	(631,026)
Travel	1,916,621	1,888,314	(28,307)
Equipment Rental and Repairs	7,509,945	7,531,745	21,800
Real Estate Rentals	17,634,146	18,898,124	1,263,978
Conferences and Training	474,963	444,063	(30,900)
Postage and Printing	9,335,120	9,292,970	(42,150)
Telecommunications	8,951,558	8,801,876	(149,682)
Information Technology Services	10,956,896	10,941,896	(15,000)
Accounting and Auditing Services	1,873,723	2,063,029	189,306
Records Management Services	5,217,445	4,588,775	(628,670)
Other Professional Services	74,449,134	89,763,129	15,313,995
In-Part Services	5,843,884	6,167,310	323,426
ADR/SCAR/Arbitration	6,292,300	6,405,791	113,491
Judicial Hearing Officers	2,221,000	1,938,000	(283,000)
Jury Fees	20,115,559	20,115,559	0
Security Services	27,581,661	28,845,623	1,263,962
Transcripts	6,143,668	6,128,028	(15,640)
Equipment	4,736,898	4,986,898	250,000
Total Nonpersonal Service	238,629,489	254,381,331	15,751,842
Grand Total	1,587,385,204	1,627,387,211	40,002,007

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,289,713,603	1,313,265,167	23,551,564
PS Temporary	1,083,734	1,084,160	426
PS Overtime	18,567,838	18,582,988	15,150
Total Personal Service	<u>1,309,365,175</u>	<u>1,332,932,315</u>	<u>23,567,140</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,777,795	17,614,054	(1,163,741)
Supplies and Materials	8,421,473	7,797,547	(623,926)
Travel	1,910,471	1,880,214	(30,257)
Equipment Rental and Repairs	7,342,245	7,362,245	20,000
Real Estate Rentals	17,634,146	18,898,124	1,263,978
Conferences and Training	474,963	444,063	(30,900)
Postage and Printing	9,169,542	9,138,542	(31,000)
Telecommunications	8,876,358	8,736,376	(139,982)
Information Technology Services	7,900,396	7,900,396	0
Accounting and Auditing Services	1,460,523	1,535,029	74,506
Records Management Services	2,137,308	1,921,275	(216,033)
Other Professional Services	64,942,184	79,757,829	14,815,645
In-Part Services	5,843,884	6,167,310	323,426
ADR/SCAR/Arbitration	6,292,300	6,405,791	113,491
Judicial Hearing Officers	2,221,000	1,938,000	(283,000)
Jury Fees	20,115,559	20,115,559	0
Security Services	27,581,661	28,845,623	1,263,962
Transcripts	6,143,668	6,128,028	(15,640)
Equipment	4,736,898	4,986,898	250,000
Total Nonpersonal Service	<u>221,982,374</u>	<u>237,572,903</u>	<u>15,590,529</u>
Grand Total	1,531,347,549	1,570,505,218	39,157,669

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	970,654	1,012,459	41,805
Total Personal Service	970,654	1,012,459	41,805
<u>Nonpersonal Service</u>			
Supplies and Materials	1,500	1,500	0
Travel	1,500	1,500	0
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	1,040,878	1,040,878	0
 Grand Total	 2,011,532	 2,053,337	 41,805

State of New York - Judiciary
 Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
 COURT FACILITIES INCENTIVE AID
 FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	605,459	645,438	39,979
PS Overtime	62	62	0
Total Personal Service	<u>605,521</u>	<u>645,500</u>	<u>39,979</u>
Grand Total	605,521	645,500	39,979

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	20,108,459	19,529,931	(578,528)
Total Personal Service	<u>20,108,459</u>	<u>19,529,931</u>	<u>(578,528)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	174,200	167,100	(7,100)
Travel	4,650	6,600	1,950
Equipment Rental and Repairs	167,700	169,500	1,800
Postage and Printing	162,700	151,550	(11,150)
Telecommunications	75,200	65,500	(9,700)
Information Technology Services	21,500	6,500	(15,000)
Accounting and Auditing Services	413,200	528,000	114,800
Records Management Services	3,080,137	2,667,500	(412,637)
Other Professional Services	6,950	5,300	(1,650)
Total Nonpersonal Service	<u>4,106,237</u>	<u>3,767,550</u>	<u>(338,687)</u>
 Grand Total	 24,214,696	 23,297,481	 (917,215)

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,590,106	18,769,875	1,179,769
PS Overtime	115,800	115,800	0
Total Personal Service	<u>17,705,906</u>	<u>18,885,675</u>	<u>1,179,769</u>
<u>Nonpersonal Service</u>			
Information Technology Services	3,000,000	3,000,000	0
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	<u>4,000,000</u>	<u>4,000,000</u>	<u>0</u>
 Grand Total	 21,705,906	 22,885,675	 1,179,769

State of New York - Judiciary
 Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
 FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	3,000,000	2,500,000	(500,000)
Total Nonpersonal Service	3,000,000	2,500,000	(500,000)
Grand Total	3,000,000	2,500,000	(500,000)

State of New York - Judiciary
 Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
 FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	4,500,000	5,500,000	1,000,000
Total Nonpersonal Service	4,500,000	5,500,000	1,000,000
Grand Total	4,500,000	5,500,000	1,000,000

Courts of Original Jurisdiction 2013 Statewide Workload by Court Type

COURT	Filings	Dispositions
Criminal:		
Supreme and County Courts ^a	51,857	56,741
NYC Criminal Court		
Arrest Cases	368,615	354,767
Summons Cases ^b	441,253	405,940
City and District Courts Outside NYC		
Criminal Cases	270,829	257,569
Uniform Traffic Tickets ^b	414,659	379,878
Parking Tickets ^b	115,529	91,532
Criminal Subtotal:	1,662,742	1,546,427
Civil:		
Supreme Courts		
New Cases (RJI's)	199,855	191,187
Ex Parte Applications	257,555	257,555
Uncontested Matrimonials	47,500	49,023
NYC Civil Court		
New Civil Actions	271,329	171,681 ^c
Housing Cases	274,447	248,755
Small Claims	22,914	26,073
Commercial Claims	5,657	7,949
City and District Courts Outside NYC		
New Civil Actions	114,475	162,096 ^c
Housing Cases	83,209	90,639
Small Claims	22,383	23,502
Commercial Claims	8,312	8,956
County Courts ^a	83,292 ^d	83,907 ^d
Court of Claims	1,622	1,557
Arbitration Program (CPLR 3405)	29,046 ^e	18,452
Small Claims Assessment Review	66,462	67,163
Civil Subtotal:	1,459,012	1,408,495
Family ^a	694,975 ^f	683,150 ^f
Surrogate's ^a	137,249	107,953 ^g
2012 Total:	3,953,978	3,746,025

^a Also reflects Multi-Bench matters.

^b Includes both answered and unanswered cases.

^c Does not include dispositions in the Arbitration Program.

^d Includes ex-parte applications.

^e Shown here for reference only and not included in totals. Included as intake in the civil court filings listed above.

^f Includes Permanency Hearings held.

^g Surrogate's Court dispositions include orders and decrees signed.

Program Description

This Program provides funding for operations in Supreme and County Courts. The request includes funding for Certificated Justices of the Supreme Court, and for operation of the Small Claims Assessment Review (SCAR) Program.

Supreme Court: The Supreme Court is the highest trial court of the State. It is established in all 62 counties and vested with unlimited civil and criminal jurisdiction; although, in practice, it acts primarily as a court of civil jurisdiction outside New York City. In New York City, however, all felony cases are heard in criminal terms and all major civil cases in civil terms.

County Court: There is a County Court established for each of the 57 counties outside New York City. It retains unlimited criminal jurisdiction and jurisdiction of civil claims not exceeding \$25,000. In practice, however, it is almost exclusively a criminal court, hearing all felony cases in the county in which it is established. In many smaller counties, where there is no separate Family and/or Surrogate's Court, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate as well. Where this occurs, the affected County Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Acting Justices of the Supreme Court: Under the State Constitution, the Legislature is limited in its ability to increase the number of Supreme Court Justices in a Judicial District to meet workload needs. Accordingly, pursuant to authority granted by the Constitution, the Chief Administrative Judge temporarily assigns many Court of Claims Judges, County-level Judges and New York City Civil, Criminal and Family Court Judges to serve as Acting Justices of the Supreme Court. Under the Judiciary Law, a judge whose statutory salary is less than that of a Supreme Court Justice is entitled to a pay differential for the balance. Pay differentials are funded in the program in which a given Acting Supreme Court Justice's statutory title resides.

Certificated Justices of the Supreme Court: Each Justice of the Supreme Court must retire from office on the last day of the year in which he or she reaches age 70. The position becomes vacant and must be filled by election held the preceding November. The retiring Justice may remain in service as a Certificated Justice for up to three additional two-year terms if he or she is certificated by the Administrative Board of the Courts. Each retired Justice serves full time, is paid the same salary and exercises the same jurisdiction as elected Justices of the Supreme Court. In addition, Certificated Justices are entitled to the same two nonjudicial support staff as Justices of the Supreme Court.

SCAR Program: Title 1A of Article 7 of the Real Property Tax Law establishes the SCAR Program in the Supreme Court. Operating in each county, the SCAR Program permits a homeowner to judicially challenge the real property tax assessment of his or her property, expeditiously and inexpensively, before specially-trained hearing officers.

Summary of 2015-16 Funding Request:
Supreme and County Courts

2015-16 Budget Request:	\$430,175,424
<i>Personal Service:</i>	418,052,391
<i>Nonpersonal Service:</i>	12,123,033
<i>Maintenance Undistributed:</i>	0

The Supreme and County Courts General Fund budget request is \$430.2 million, or an increase of \$7.2 million (1.7%) over the current year adjusted appropriation.

The personal service request of \$418.1 million represents an increase of \$7.0 million (1.7%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

The personal service request includes \$645,326 for temporary service. The increase in this budget category is primarily attributable to part-time legal staff who share a position with an individual in a different title within the legal series. Also reflected in the personal service request is \$242,425 for overtime which supports current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration. These expenses include supplies and materials, equipment rental and repairs, postage and printing, telecommunications, accounting and auditing services, in-part services and transcripts. Accounting and auditing services consist of the fees associated with electronic filing and the processing of credit card payments.

The nonpersonal service request is \$12.1 million, or an increase of \$177,461 (1.5%) over current year funding. An increase in accounting and auditing services is attributable to expanded use of electronic filing. A workload-driven increase in judicial hearing officers' days of service is included to address foreclosure cases. Expenditure-based increases are reflected in postage and printing, in-part services and transcripts. These increases are partially offset by expenditure-based reductions in travel, equipment rentals and repairs and supplies and materials. In addition, there are continued savings in telephones within telecommunications due to the implementation of IP phones.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Supreme & County Courts Program

2015-16 Request

Locality	Personal Ser- vice	Nonpersonal Service	Total
1st Supreme Civil	\$42,880,814	\$506,500	\$43,387,314
1st Supreme Criminal	\$24,447,398	\$1,544,970	\$25,992,368
Supreme Bronx	\$37,050,029	\$949,082	\$37,999,111
Supreme Kings	\$59,301,280	\$1,819,693	\$61,120,973
Supreme Queens	\$46,748,111	\$1,083,485	\$47,831,596
Supreme Richmond	\$9,239,621	\$230,100	\$9,469,721
NYC Subtotal:	\$219,667,253	\$6,133,830	\$225,801,083
3rd Judicial District	\$12,274,102	\$584,004	\$12,858,106
4th Judicial District	\$11,349,443	\$500,419	\$11,849,862
5th Judicial District	\$16,807,115	\$520,728	\$17,327,843
6th Judicial District	\$6,565,954	\$171,792	\$6,737,746
7th Judicial District	\$15,119,741	\$487,166	\$15,606,907
8th Judicial District	\$25,270,369	\$672,652	\$25,943,021
9th Judicial District	\$37,635,125	\$1,135,091	\$38,770,216
Nassau County	\$39,182,064	\$977,665	\$40,159,729
Suffolk County	\$34,181,225	\$939,686	\$35,120,911
Outside NYC Subtotal:	\$198,385,138	\$5,989,203	\$204,374,341
Total:	\$418,052,391	\$12,123,033	\$430,175,424

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

SUPREME & COUNTY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	410,341,729	417,164,640	6,822,911
PS Temporary	501,695	645,326	143,631
PS Overtime	186,834	242,425	55,591
Total Personal Service	<u>411,030,258</u>	<u>418,052,391</u>	<u>7,022,133</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,020	4,545	525
Supplies and Materials	1,257,212	1,194,715	(62,497)
Travel	460,636	415,197	(45,439)
Equipment Rental and Repairs	1,059,847	993,310	(66,537)
Real Estate Rentals	250	250	0
Conferences and Training	3,700	3,500	(200)
Postage and Printing	656,464	741,833	85,369
Telecommunications	575,139	494,980	(80,159)
Information Technology Services	7,839	4,785	(3,054)
Accounting and Auditing Services	288,286	464,300	176,014
Records Management Services	160,659	166,310	5,651
Other Professional Services	462,650	440,708	(21,942)
In-Part Services	1,226,937	1,304,100	77,163
ADR/SCAR/Arbitration	436,000	431,600	(4,400)
Judicial Hearing Officers	716,300	787,000	70,700
Transcripts	4,629,633	4,675,900	46,267
Total Nonpersonal Service	<u>11,945,572</u>	<u>12,123,033</u>	<u>177,461</u>
Grand Total	422,975,830	430,175,424	7,199,594

COURTS OF ORIGINAL JURISDICTION
FAMILY COURTS PROGRAM

2015-16 Budget Request: \$168,337,212

Program Description

This Program provides funding for operations in Family Courts.

Family Court: The Family Court is established as a single citywide court in New York City and as a separate court in each of the 57 counties outside New York City, except that, in many smaller counties, there is no separate Family Court Judge. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge. Where this occurs, the affected Family Court is termed a Multi-Bench Court and it is funded under the Multi-Bench Courts Program.

Family Court exercises jurisdiction over a broad array of matters affecting children and families, including cases involving abuse, neglect, support, paternity, family offense, Persons In Need of Supervision (PINS), juvenile delinquency (JD), guardianship, adoptions, custody and visitation matters.

Summary of 2015-16 Funding Request:

Family Courts

2015-16 Budget Request: \$168,337,212	
<i>Personal Service:</i>	162,053,995
<i>Nonpersonal Service:</i>	6,283,217
<i>Maintenance Undistributed:</i>	0

The Family Courts General Fund budget request of \$168.3 million reflects an increase of \$7.7 million (4.8%) over the current year adjusted appropriation.

The personal service request of \$162.1 million represents an increase of \$8.1 million (5.3%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and for all judicial positions including new judgeships authorized by chapter 44 of the Laws of 2014. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Funding for nonjudicial staff associated with the new judgeships authorized by chapter 44 of the Laws of 2014 is included in the COJ Major Purpose budget.

The personal service request also includes \$64,235 for overtime to support current levels of operations.

Nonpersonal service funding supports usual and necessary expenses associated with Family Court operations, support and administration. These expenses include: supplies and materials, postage and printing, records management, equipment rental and repairs, telecommunications, judicial hearing officers and in-part services.

The nonpersonal service request of \$6.3 million reflects a decrease of \$384,557 (-5.8%) from current year funding. The reduction is attributable to expenditure-based adjustments in equipment rental and repairs, telecommunications and judicial hearing officers. The slight decrease in funding for judicial hearing officers reflects an analysis of local judicial needs that accounted for the new judgeships created pursuant to chapter 44 of the Laws of 2014. Also contributing to the reduction is a transfer of funding for *per diem* interpreters from the Family Court program to the Court Support program in the Fifth District to streamline the payment process. The overall decrease is partially offset by expenditure-based increases in postage and printing and records management services.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Family Courts Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
NYC Family Court	\$69,576,300	\$3,140,200	\$72,716,500
3rd Judicial District	\$8,297,204	\$321,469	\$8,618,673
4th Judicial District	\$8,730,938	\$399,130	\$9,130,068
5th Judicial District	\$11,840,187	\$431,742	\$12,271,929
6th Judicial District	\$5,062,944	\$194,900	\$5,257,844
7th Judicial District	\$8,345,033	\$208,115	\$8,553,148
8th Judicial District	\$13,512,159	\$476,139	\$13,988,298
9th Judicial District	\$15,707,481	\$454,568	\$16,162,049
Nassau County	\$8,949,005	\$277,535	\$9,226,540
Suffolk County	\$12,032,744	\$379,419	\$12,412,163
Outside NYC Subtotal:	\$92,477,695	\$3,143,017	\$95,620,712
Total:	\$162,053,995	\$6,283,217	\$168,337,212

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

FAMILY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	153,905,236	161,989,670	8,084,434
PS Overtime	29,017	64,325	35,308
Total Personal Service	<u>153,934,253</u>	<u>162,053,995</u>	<u>8,119,742</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	198	498	300
Supplies and Materials	753,348	746,607	(6,741)
Travel	193,675	200,157	6,482
Equipment Rental and Repairs	746,991	681,091	(65,900)
Real Estate Rentals	1,350	2,780	1,430
Conferences and Training	1,500	1,000	(500)
Postage and Printing	798,032	899,474	101,442
Telecommunications	279,299	214,540	(64,759)
Information Technology Services	2,200	1,500	(700)
Records Management Services	361,491	381,590	20,099
Other Professional Services	43,620	41,900	(1,720)
In-Part Services	1,980,195	1,935,230	(44,965)
ADR/SCAR/Arbitration	60,000	60,000	0
Judicial Hearing Officers	710,700	425,500	(285,200)
Transcripts	735,175	691,350	(43,825)
Total Nonpersonal Service	<u>6,667,774</u>	<u>6,283,217</u>	<u>(384,557)</u>
 Grand Total	 160,602,027	 168,337,212	 7,735,185

**COURTS OF ORIGINAL JURISDICTION
SURROGATE’S COURTS PROGRAM**

2015-16 Budget Request:	\$46,508,454
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Program Description

This Program provides funding for operations in Surrogate’s Courts.

Surrogate’s Court: There is a Surrogate’s Court established in each of the State’s 62 counties, except that, in many smaller counties, there is no separate Surrogate. For such counties, the Legislature has directed that the County Court Judge act as the Surrogate. Where this occurs, the affected Surrogate’s Court is termed a Multi-Bench Court and is funded under the Multi-Bench Courts Program.

Surrogate’s Courts exercise jurisdiction over a broad array of matters affecting estates and trusts, including the granting of letters testamentary and letters of administration; the probate of wills; oversight of executors, estate administrators, trustees and guardians; the settlement of accounts of fiduciaries; concurrent jurisdiction with Family Court in the administration of infant guardianships and approval of adoptions; and concurrent jurisdiction with Supreme Court in matters pertaining to guardianships of incompetent persons.

Summary 2015-16 Funding Request:

Surrogate’s Courts

2015-16 Budget Request:	\$46,508,454
<i>Personal Service:</i>	45,245,537
<i>Nonpersonal Service:</i>	1,262,917
<i>Maintenance Undistributed:</i>	0

The Surrogate’s Courts General Fund budget request is \$46.5 million, or an increase of \$1.1 million (2.4%) over the current year adjusted appropriation.

The personal service request of \$45.2 million represents an increase of \$1.1 million (2.6%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

The personal service request also includes \$46,707 for temporary service which will fund one part-time attorney who shares a position with an individual in a different title within the legal series.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Surrogate’s Court, including records management services, equipment rental and repairs, postage and printing, supplies and materials, and telecommunications.

The nonpersonal service request of \$1.3 million represents a decrease of \$60,017 (-4.5%) from the current year adjusted appropriation. This decrease is attributable to expenditure-based reductions in records management services, telecommunications and supplies and materials. The decrease is partially offset by increased expenses for postage and printing.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Surrogate Courts Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
New York Surrogate	\$5,939,285	\$130,509	\$6,069,794
Bronx Surrogate	\$3,265,191	\$73,413	\$3,338,604
Kings Surrogate	\$4,672,169	\$76,253	\$4,748,422
Queens Surrogate	\$3,828,849	\$82,837	\$3,911,686
Richmond Surrogate	\$2,462,210	\$56,027	\$2,518,237
NYC Subtotal:	\$20,167,704	\$419,039	\$20,586,743
3rd Judicial District	\$1,388,194	\$115,808	\$1,504,002
4th Judicial District	\$2,961,762	\$40,015	\$3,001,777
5th Judicial District	\$2,664,680	\$82,495	\$2,747,175
6th Judicial District	\$681,983	\$9,802	\$691,785
7th Judicial District	\$3,189,783	\$102,351	\$3,292,134
8th Judicial District	\$3,663,733	\$218,679	\$3,882,412
9th Judicial District	\$4,836,146	\$100,246	\$4,936,392
Nassau County	\$3,272,591	\$91,410	\$3,364,001
Suffolk County	\$2,418,961	\$83,072	\$2,502,033
Outside NYC Subtotal:	\$25,077,833	\$843,878	\$25,921,711
Total:	\$45,245,537	\$1,262,917	\$46,508,454

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

SURROGATE COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	44,052,939	45,198,780	1,145,841
PS Temporary	44,892	46,707	1,815
PS Overtime	0	50	50
Total Personal Service	<u>44,097,831</u>	<u>45,245,537</u>	<u>1,147,706</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	702	2,799	2,097
Supplies and Materials	181,616	171,192	(10,424)
Travel	20,054	16,634	(3,420)
Equipment Rental and Repairs	222,772	219,855	(2,917)
Real Estate Rentals	100	100	0
Postage and Printing	171,891	193,182	21,291
Telecommunications	80,121	58,119	(22,002)
Information Technology Services	808	500	(308)
Accounting and Auditing Services	90,702	89,875	(827)
Records Management Services	476,856	438,671	(38,185)
Other Professional Services	57,930	53,490	(4,440)
In-Part Services	13,182	12,200	(982)
Transcripts	6,200	6,300	100
Total Nonpersonal Service	<u>1,322,934</u>	<u>1,262,917</u>	<u>(60,017)</u>
Grand Total	45,420,765	46,508,454	1,087,689

**COURTS OF ORIGINAL JURISDICTION
MULTI-BENCH COURTS PROGRAM**

2015-16 Budget Request:	\$44,808,058
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Program Description

This Program provides funding for operations in the Multi-Bench Courts.

Multi-Bench Courts: In many counties outside New York City, there is no separate Family Court Judge or Surrogate, or both. For such counties, the Legislature has directed that the County Court Judge act as Family Court Judge and/or Surrogate. Where this occurs, the affected County Court is termed a Multi-Bench Court. Affected counties having combined County, Family and Surrogate’s Courts include: Allegany, Cattaraugus, Chenango, Columbia, Cortland, Essex, Greene, Hamilton, Lewis, Livingston, Madison, Orleans, Otsego, Putnam, Schoharie, Schuyler, Seneca, Tioga, Tompkins, Washington, Wayne, Wyoming and Yates. Those having combined County and Family Courts only include: Cayuga, Clinton, Ontario and Steuben counties. Those having combined County and Surrogate’s Courts only include: Chemung, Clinton, Delaware, Franklin, Fulton, Genesee, Herkimer, Sullivan and Warren counties.

Summary of 2015-16 Funding Request:

Multi-Bench Courts

2015-16 Budget Request:	\$44,808,058
<i>Personal Service:</i>	42,768,743
<i>Nonpersonal Service:</i>	2,039,315
<i>Maintenance Undistributed:</i>	0

The Multi-Bench Courts General Fund budget request is \$44.8 million, or an increase of \$1.2 million (2.8%) over the current year adjusted appropriation.

The personal service request of \$42.8 million represents an increase of \$1.4 million (3.4%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

The personal service request also includes \$7,500 for temporary service and \$4,875 for overtime. The temporary service funding supports two part-time positions in the trial courts in support of ongoing operations; the overtime request supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the Multi-Bench Court, including transcript costs, postage and printing, equipment rental and repairs, supplies and materials, telecommunications and records management services.

The nonpersonal service request of \$2.0 million represents a decrease of \$173,402 (-7.8%) from the current year adjusted appropriation. This is principally attributable to the completion of a records recovery and digitization project in Schoharie County related to damage from Hurricane Irene. Additionally, there are expenditure-based reductions in telecommunications, equipment rental and repairs, transcripts, judicial hearing officers and employee travel. Increases in postage and printing and supplies and materials partially offset these reductions.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Multi-Bench Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$5,163,784	\$317,828	\$5,481,612
4th Judicial District	\$6,480,210	\$336,019	\$6,816,229
5th Judicial District	\$1,384,919	\$78,785	\$1,463,704
6th Judicial District	\$10,792,422	\$595,817	\$11,388,239
7th Judicial District	\$10,581,251	\$403,945	\$10,985,196
8th Judicial District	\$6,532,943	\$227,482	\$6,760,425
9th Judicial District	\$1,833,214	\$79,439	\$1,912,653
Total:	\$42,768,743	\$2,039,315	\$44,808,058

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

MULTI-BENCH COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	41,351,838	42,756,368	1,404,530
PS Temporary	14,250	7,500	(6,750)
PS Overtime	2,394	4,875	2,481
Total Personal Service	<u>41,368,482</u>	<u>42,768,743</u>	<u>1,400,261</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,036	3,904	868
Supplies and Materials	227,039	255,398	28,359
Travel	114,763	100,468	(14,295)
Equipment Rental and Repairs	355,304	315,934	(39,370)
Postage and Printing	243,162	328,642	85,480
Telecommunications	253,210	212,039	(41,171)
Accounting and Auditing Services	29,162	37,612	8,450
Records Management Services	301,573	146,374	(155,199)
Other Professional Services	46,838	43,938	(2,900)
In-Part Services	74,440	69,710	(4,730)
Judicial Hearing Officers	106,400	88,900	(17,500)
Transcripts	457,790	436,396	(21,394)
Total Nonpersonal Service	<u>2,212,717</u>	<u>2,039,315</u>	<u>(173,402)</u>
 Grand Total	 43,581,199	 44,808,058	 1,226,859

Program Description

This Program provides funding for operations in City Courts outside New York City, the Nassau and Suffolk County District Courts, the New York City Civil Court, the New York City Criminal Court, and the Arbitration Program pursuant to CPLR 3405.

City Courts Outside New York City: There are 61 City Courts outside New York City; 23 are comprised solely of full-time judges, 24 are comprised of a mix of full and part-time judges, and 14 are comprised exclusively of part-time judges. These Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Nassau and Suffolk County District Courts: The Constitution authorizes establishment of District Courts in counties and parts of counties following local initiative, State legislative enactment and local voter approval. At present, there are two such District Courts, one in Nassau County and one in Suffolk County. Like the City Courts, the District Courts have civil jurisdiction over monetary claims of up to \$15,000 (including small claims and commercial claims of up to \$5,000), landlord/tenant matters and traffic matters. They also exercise criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

New York City Civil Court: The Civil Court is a citywide court with civil jurisdiction over monetary claims of up to \$25,000 (including small claims and commercial claims of up to \$5,000), some equity matters and landlord/tenant matters. To assist in discharge of the latter, the Civil Court has a Housing Part, presided over by quasi-judicial hearing officers called Housing Judges. The Housing Part is not funded under this Program but under the New York City Housing Court Program.

New York City Criminal Court: The Criminal Court is a citywide court with criminal jurisdiction that includes authority to arraign defendants on felony charges and to hear misdemeanor and violation cases.

Arbitration Program: CPLR 3405 authorizes the Chief Judge, by rule, to require that monetary claims not exceeding \$6,000 (not exceeding \$10,000 in the NYC Civil Court) brought in certain courts be subject to arbitration, provided that the affected parties are entitled to trial *de novo* if aggrieved by the award in arbitration. The Chief Judge has promulgated such a rule (22 NYCRR Part 28) and, pursuant to its terms, arbitration is required in approximately one-half the State's counties.

Summary of 2015-16 Funding Request:

City and District Courts

2015-16 Budget Request:	\$243,545,110
<i>Personal Service:</i>	235,806,511
<i>Nonpersonal Service:</i>	7,738,599
<i>Maintenance Undistributed:</i>	0

The City and District Program General Fund budget request is \$243.5 million, or an increase of \$4.6 million (1.9%) over the current year adjusted appropriation.

The personal service request of \$235.8 million represents an increase of \$4.7 million (2.0%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and for all judicial positions including new judgeships authorized by chapter 548 of the Laws of 2013. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

The personal service request also includes \$169,687 in temporary service for acting city court judges; part-time legal staff who share a position with an individual in a different title within the legal series; and, clerical positions to support ongoing court operations. The request also includes \$5.2 million in overtime which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the operation, support and administration of the city & district courts, including in-part services such as *per diem* interpreters and court reporters, postage and printing, supplies and materials, equipment rental and repairs, judicial hearing officers and records management services.

The nonpersonal service request of \$7.7 million represents a decrease of \$52,094 (-0.7%) from the current year adjusted appropriation. This decrease includes expenditure-based reductions in telecommunications, equipment rental and repairs, judicial hearing officers, accounting and auditing services and employee travel. These decreases are partially offset by requested increases for postage and printing costs, in-part services including *per diem* court interpreters and court reporters, and supplies and materials.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

City and District Courts Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
NYC Civil Court	\$56,948,927	\$1,296,100	\$58,245,027
NYC Criminal Court	\$79,002,355	\$2,566,750	\$81,569,105
NYC Subtotal:	\$135,951,282	\$3,862,850	\$139,814,132
3rd Judicial District	\$5,698,118	\$205,431	\$5,903,549
4th Judicial District	\$5,055,735	\$198,705	\$5,254,440
5th Judicial District	\$8,842,035	\$301,468	\$9,143,503
6th Judicial District	\$4,644,372	\$187,042	\$4,831,414
7th Judicial District	\$7,876,756	\$243,936	\$8,120,692
8th Judicial District	\$14,114,751	\$482,109	\$14,596,860
9th Judicial District	\$15,802,446	\$550,664	\$16,353,110
Nassau County	\$18,933,910	\$982,090	\$19,916,000
Suffolk County	\$18,887,106	\$724,304	\$19,611,410
Outside NYC Subtotal:	\$99,855,229	\$3,875,749	\$103,730,978
Total:	\$235,806,511	\$7,738,599	\$243,545,110

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

CITY & DIST INC ARBITRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	225,810,143	230,408,441	4,598,298
PS Temporary	225,289	169,687	(55,602)
PS Overtime	5,073,376	5,228,383	155,007
Total Personal Service	<u>231,108,808</u>	<u>235,806,511</u>	<u>4,697,703</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	3,384	2,789	(595)
Supplies and Materials	755,487	814,057	58,570
Travel	191,914	184,728	(7,186)
Equipment Rental and Repairs	801,596	717,083	(84,513)
Real Estate Rentals	640	640	0
Conferences and Training	1,050	0	(1,050)
Postage and Printing	1,048,178	1,131,143	82,965
Telecommunications	396,483	298,230	(98,253)
Information Technology Services	20,000	15,500	(4,500)
Accounting and Auditing Services	435,507	416,242	(19,265)
Records Management Services	524,234	522,915	(1,319)
Other Professional Services	52,965	51,740	(1,225)
In-Part Services	2,137,685	2,202,200	64,515
ADR/SCAR/Arbitration	459,800	469,850	10,050
Judicial Hearing Officers	679,600	624,600	(55,000)
Transcripts	282,170	286,882	4,712
Total Nonpersonal Service	<u>7,790,693</u>	<u>7,738,599</u>	<u>(52,094)</u>
 Grand Total	 238,899,501	 243,545,110	 4,645,609

COURTS OF ORIGINAL JURISDICTION
NEW YORK CITY HOUSING COURT PROGRAM

2015-16 Budget Request: \$31,332,722

Program Description

This Program provides funding for the Housing Part of the New York City Civil Court.

Housing Part of the Civil Court: In 1972, the Legislature established a special part of the New York City Civil Court to handle housing code enforcement and landlord/tenant disputes relating to possession of residential property in New York City. The enabling statute (New York City Civil Court Act § 110) also established a corps of quasi-judicial hearing officers, designated by the Chief Administrative Judge and now known as Housing Judges, to preside in the Housing Part.

Summary 2015-16 Funding Request:

New York City Housing Court

2015-16 Budget Request: \$31,332,722

<i>Personal Service:</i>	30,545,922
<i>Nonpersonal Service:</i>	786,800
<i>Maintenance Undistributed:</i>	0

The New York City Housing Court General Fund budget request is \$31.3 million, or a decrease of \$684,984 (-2.1%) from the current year adjusted appropriation.

The personal service request of \$30.5 million represents a decrease of \$661,358 (-2.1%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The increase in these funding requirements is offset by savings associated with turnover.

The personal service request also includes \$38,968 for temporary service to support one part-time court attorney who shares a position with an individual in a different title in the legal series. Funding of \$6,900 is included for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including supplies and materials, postage and printing and equipment rental and repairs. The nonpersonal service request also includes funding in other professional services for a contract that provides information and support to self-represented tenants and owners in each borough's Housing Court.

The nonpersonal service request of \$786,800 is a decrease of \$23,626 (-2.9%) from current year funding. The decrease is primarily due to expenditure-based decreases in supplies and materials equipment rental and repairs, records management services and telephones within telecommunications. These reductions are partially offset by expenditure-based increases in postage and printing and information technology services.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

New York City Housing Court

2015-16 Request

New York City Housing Court	Personal Service	Nonpersonal Service	Total
Housing Court	\$30,545,922	\$786,800	\$31,332,722
Total:	\$30,545,922	\$786,800	\$31,332,722

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

NYC HOUSING COURT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	31,135,013	30,500,054	(634,959)
PS Temporary	66,067	38,968	(27,099)
PS Overtime	6,200	6,900	700
Total Personal Service	<u>31,207,280</u>	<u>30,545,922</u>	<u>(661,358)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	92,046	85,500	(6,546)
Travel	5,125	4,500	(625)
Equipment Rental and Repairs	89,000	78,000	(11,000)
Postage and Printing	201,255	201,800	545
Telecommunications	9,000	4,500	(4,500)
Information Technology Services	0	500	500
Records Management Services	2,000	0	(2,000)
Other Professional Services	385,000	385,000	0
Transcripts	27,000	27,000	0
Total Nonpersonal Service	<u>810,426</u>	<u>786,800</u>	<u>(23,626)</u>
 Grand Total	 <u>32,017,706</u>	 <u>31,332,722</u>	 <u>(684,984)</u>

**COURTS OF ORIGINAL JURISDICTION
COMMUNITY COURTS PROGRAM**

2015-16 Budget Request:	\$5,128,944
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Program Description

This Program provides funding for the various Community Courts in New York City.

Community Courts: Community Courts adjudicate low-level and quality-of-life offenses such as prostitution, shoplifting, vandalism and drug possession. By combining conventional punishments with alternative sanctions, Community Courts emphasize accountability, community responsibility and restitution. By incorporating problem-solving policies and methods, Community Courts focus on relationships among the neighborhood, offender, and courts with the overarching goal of increasing community confidence in and access to the criminal justice system. Funding is requested for the following Community Courts: Manhattan’s Midtown Community Court and Harlem Community Justice Center; Brooklyn’s Red Hook Community Justice Center and Brownsville Community Justice Center; and the Bronx’s Community Solutions.

Summary 2015-16 Funding Request:

Community Courts

2015-16 Budget Request:	\$5,128,944
<i>Personal Service:</i>	2,117,666
<i>Nonpersonal Service:</i>	3,011,278
<i>Maintenance Undistributed:</i>	0

The Community Courts General Fund budget request is \$5.1 million, or an increase of \$22,319 (0.4%) over the current year adjusted appropriation.

The personal service request of \$2.1 million represents a decrease of \$3,670 (-0.2%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The increase in these funding requirements is offset by savings associated with turnover.

The personal service request also includes \$124,250 for essential overtime.

Nonpersonal service funding supports usual and necessary expenses associated with Community Court operations and administration including supplies and materials, equipment rental and repairs, postage and printing and *per diem* court interpreters within in-part services. The other professional services request supports project coordination and administration in each Community Court through contracts with the Fund for the City of New York.

The nonpersonal service request is \$3.0 million, or an increase of \$25,989 (0.9%) over current year funding. Cost-of-living increases in contracts with the Fund for the City of New York account for additional funding in other professional services. This increase is partially offset by expenditure-based decreases in *per diem* court interpreters within in-part services, equipment rental and repairs, telecommunications and supplies and materials.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Community Courts Program

2015-16 Request

New York City	Personal Service	Nonpersonal Service	Total
Midtown Community Court	\$507,689	\$630,161	\$1,137,850
Red Hook Community Court	\$869,092	\$852,876	\$1,721,968
Harlem Community Justice Center	\$740,885	\$526,405	\$1,267,290
Bronx Community Solutions	\$0	\$741,064	\$741,064
Brownsville Community Court	\$0	\$260,772	\$260,772
Total:	\$2,117,666	\$3,011,278	\$5,128,944

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

NYC COMMUNITY COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	2,020,036	1,993,416	(26,620)
PS Overtime	101,300	124,250	22,950
Total Personal Service	<u>2,121,336</u>	<u>2,117,666</u>	<u>(3,670)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	20,435	15,936	(4,499)
Equipment Rental and Repairs	25,000	20,200	(4,800)
Postage and Printing	3,800	2,900	(900)
Telecommunications	12,700	9,300	(3,400)
Other Professional Services	2,776,604	2,832,742	56,138
In-Part Services	146,550	130,000	(16,550)
Transcripts	200	200	0
Total Nonpersonal Service	<u>2,985,289</u>	<u>3,011,278</u>	<u>25,989</u>
 Grand Total	 5,106,625	 5,128,944	 22,319

**COURTS OF ORIGINAL JURISDICTION
DRUG TREATMENT COURTS PROGRAM**

2015-16 Budget Request: \$15,025,989

Program Description

This Program provides for non-grant supported funding for the operation of Drug Treatment Courts throughout the State.

Drug Treatment Courts: Drug Treatment Courts are parts that operate within certain courts that have criminal or family jurisdiction. These forums were established to help individuals and communities break the destructive cycle of repeated drug abuse and arrest. They provide nonviolent drug offenders the opportunity to participate in rehabilitation programs as alternatives to incarceration. During the 1990's when the drug treatment court model was first implemented, Drug Treatment Courts were substantially funded by federal grants. Since then, however, the State has increasingly assumed greater responsibility for funding of these programs. The Maintenance Undistributed Program within the Courts of Original Jurisdiction includes funding for anticipated federal grants in support of Drug Treatment Courts.

Summary of 2015-16 Funding Request:
Drug Treatment Courts

2015-16 Budget Request: \$15,025,989	
<i>Personal Service:</i>	<i>13,889,284</i>
<i>Nonpersonal Service:</i>	<i>1,136,705</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Drug Treatment Courts General Fund budget request is \$15.0 million, or an increase of \$94,166 (0.6%) over the current adjusted appropriation.

The personal service request of \$13.9 million represents an increase of \$78,572 (0.6%) over the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, location pay and uniform allowance, as required by law, for all eligible employees.

Included in the personal service request is \$96,165 for overtime to support current levels of operations.

Nonpersonal service funding supports ongoing court operations including supplies and materials, other professional services and conferences and training for both judicial and nonjudicial employees.

The nonpersonal service request is \$1.1 million, or an increase of \$15,594 (1.4%) over current year funding. This overall increase is attributable to contractual obligations with the Center for Court Innovation related to drug treatment courts training. The increase is partially offset by an expenditure-based reduction in supplies and materials.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Drug Treatment Courts Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
New York	\$1,615,641	\$30,850	\$1,646,491
Bronx	\$1,194,821	\$16,950	\$1,211,771
Kings	\$2,046,521	\$157,050	\$2,203,571
Queens	\$1,148,853	\$24,912	\$1,173,765
Richmond	\$123,769	\$14,250	\$138,019
NYC Subtotal:	\$6,129,605	\$244,012	\$6,373,617
3rd Judicial District	\$962,582	\$66,283	\$1,028,865
4th Judicial District	\$916,859	\$55,709	\$972,568
5th Judicial District	\$968,874	\$29,060	\$997,934
6th Judicial District	\$528,865	\$92,000	\$620,865
7th Judicial District	\$916,273	\$75,112	\$991,385
8th Judicial District	\$1,778,568	\$81,847	\$1,860,415
9th Judicial District	\$908,917	\$61,486	\$970,403
Nassau County	\$164,781	\$82,463	\$247,244
Suffolk County	\$613,960	\$72,767	\$686,727
Outside NYC Subtotal:	\$7,759,679	\$616,727	\$8,376,406
Undistributed	\$0	\$275,966	\$275,966
Total:	\$13,889,284	\$1,136,705	\$15,025,989

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

DRUG TREATMENT COURTS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,728,889	13,793,119	64,230
PS Overtime	81,823	96,165	14,342
Total Personal Service	<u>13,810,712</u>	<u>13,889,284</u>	<u>78,572</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	730,479	712,956	(17,523)
Travel	39,608	38,556	(1,052)
Equipment Rental and Repairs	31,912	27,817	(4,095)
Conferences and Training	86,013	85,013	(1,000)
Postage and Printing	4,915	4,490	(425)
Telecommunications	8,391	6,835	(1,556)
Information Technology Services	3,800	3,800	0
Other Professional Services	215,993	257,238	41,245
Total Nonpersonal Service	<u>1,121,111</u>	<u>1,136,705</u>	<u>15,594</u>
Grand Total	14,931,823	15,025,989	94,166

COURTS OF ORIGINAL JURISDICTION
COURT OF CLAIMS PROGRAM

2015-16 Budget Request: \$17,654,704

Program Description

This Program provides funding for the operation of the Court of Claims.

Court of Claims: The Court of Claims is the exclusive judicial forum for actions brought against New York State and certain State entities such as the Thruway Authority and the City University of New York. The Court hears a broad array of tort and contract cases and disputes over compensation awards following exercises of the State’s power of eminent domain. It exercises its jurisdiction statewide with a presence in venues across New York (including Albany, Binghamton, Buffalo, Hauppauge, New York City, Rochester, Saratoga Springs, Syracuse, Utica and White Plains). All actions in the Court of Claims are heard by a judge sitting without a jury.

Summary of 2015-16 Funding Request:
Court of Claims

2015-16 Budget Request:	\$17,654,704
<i>Personal Service:</i>	13,155,778
<i>Nonpersonal Service:</i>	4,498,926
<i>Maintenance Undistributed:</i>	0

The Court of Claims General Fund budget request is \$17.7 million, or a decrease of \$75,791 (-0.4%) from the current year adjusted appropriation.

The personal service request of \$13.2 million represents a decrease of \$183,959 (-1.4%) from the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The increase in these funding requirements is offset by savings associated with turnover.

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration including: supplies and materials, equipment rental and repairs, postage and printing and telecommunications. Funding in real estate rentals supports judicial chambers and courtroom space in multiple locations throughout the State.

The nonpersonal service request is \$4.5 million, or an increase of \$108,168 (2.5%) over current year funding. The increase is primarily attributable to an increase in real estate rental costs. This increase is partially offset by modest expenditure-based reductions in supplies and materials, travel, equipment rental and repairs, telecommunications, information technology services, accounting and auditing services, other professional services, in-part services and transcripts.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Court of Claims

2015-16 Request

Court of Claims	Personal Service	Nonpersonal Service	Total
Court of Claims	\$13,155,778	\$4,498,926	\$17,654,704
Total:	\$13,155,778	\$4,498,926	\$17,654,704

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURT OF CLAIMS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,337,937	13,153,274	(184,663)
PS Overtime	1,800	2,504	704
Total Personal Service	<u>13,339,737</u>	<u>13,155,778</u>	<u>(183,959)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	2,064	8,000	5,936
Supplies and Materials	57,885	49,500	(8,385)
Travel	85,000	75,000	(10,000)
Equipment Rental and Repairs	115,000	112,000	(3,000)
Real Estate Rentals	3,907,280	4,043,860	136,580
Conferences and Training	55,000	55,000	0
Postage and Printing	43,879	45,589	1,710
Telecommunications	86,650	77,770	(8,880)
Information Technology Services	3,000	707	(2,293)
Accounting and Auditing Services	2,500	2,000	(500)
Records Management Services	14,000	14,000	0
Other Professional Services	8,000	7,500	(500)
In-Part Services	6,500	5,000	(1,500)
Transcripts	4,000	3,000	(1,000)
Total Nonpersonal Service	<u>4,390,758</u>	<u>4,498,926</u>	<u>108,168</u>
 Grand Total	 17,730,495	 17,654,704	 (75,791)

**COURTS OF ORIGINAL JURISDICTION
JURY PROGRAM**

2015-16 Budget Request:	\$44,544,914
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Program Description

This Program provides funding for the cost of operating the jury system for New York’s courts.

The Jury System: The State Constitution guarantees litigants before New York’s courts trial by jury in most cases. The Judiciary Law, Article 16, sets forth the procedures to be followed in making available an adequate number of jurors so that the Judiciary can meet this constitutional guarantee. The focal point for these procedures is in the office of Commissioner of Jurors. One such office is established for each of the 57 counties outside New York City, whereas, in the City, the Commissioner’s functions are discharged by the jury divisions of the County Clerk’s office in each of the City’s five boroughs. The Commissioners oversee the qualification and summoning of citizens for jury service, the maintenance of juror service records, the processing of juror payment vouchers, the operation of jury assembly rooms, and the provision of jurors to courtrooms for the jury selection portion of a trial.

Summary of 2015-16 Funding Request:

Jury System

2015-16 Budget Request:	\$44,544,914
<i>Personal Service:</i>	19,096,937
<i>Nonpersonal Service:</i>	25,447,977
<i>Maintenance Undistributed:</i>	0

The Jury Systems Operations General Fund budget request is \$44.5 million, or a decrease of \$369,674 (-0.8%) from the current year adjusted appropriation.

The personal service request of \$19.1 million is an increase of \$189,654 (1%) over the current year adjusted appropriation. This request includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

Nonpersonal service funding supports ongoing jury systems operations, including jury *per diems* at the rate of \$40 per day, fees for jurors who serve in town and village courts and, under certain circumstances, meals and lodging for jurors. Also included in this category are postage and printing for juror questionnaires and summonses, telecommunications, other professional services, supplies and materials, and equipment rental and repairs.

The nonpersonal service request of \$25.4 million represents a decrease of \$559,328 (-2.2%) from the current year adjusted appropriation. This reduction reflects savings in postage attributable to efficiencies gained through the centralized distribution of juror summonses as well as a nonrecurring pilot project addressing juror delinquency follow-up. The decrease in other professional services offsets a portion of the increase in telecommunications and reflects a technical accounting correction related to SFS budget categories. An expenditure-based reduction for supplies and materials is also noted.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Jury Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
New York	\$3,272,999	\$3,846,186	\$7,119,185
Bronx	\$1,726,426	\$2,376,799	\$4,103,225
Kings	\$2,121,487	\$3,204,144	\$5,325,631
Queens	\$1,667,378	\$2,205,298	\$3,872,676
Richmond	\$386,222	\$402,236	\$788,458
NYC Subtotal:	\$9,174,512	\$12,034,663	\$21,209,175
3rd Judicial District	\$1,142,726	\$768,501	\$1,911,227
4th Judicial District	\$1,206,003	\$727,245	\$1,933,248
5th Judicial District	\$1,041,309	\$711,489	\$1,752,798
6th Judicial District	\$374,260	\$456,443	\$830,703
7th Judicial District	\$1,202,839	\$969,123	\$2,171,962
8th Judicial District	\$1,203,676	\$955,691	\$2,159,367
9th Judicial District	\$1,666,613	\$1,368,582	\$3,035,195
Nassau County	\$994,563	\$1,061,113	\$2,055,676
Suffolk County	\$1,090,436	\$1,282,387	\$2,372,823
Outside NYC Subtotal:	\$9,922,425	\$8,300,574	\$18,222,999
Undistributed	\$0	\$5,112,740	\$5,112,740
Total:	\$19,096,937	\$25,447,977	\$44,544,914

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

JURY SYSTEMS OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,907,083	19,095,712	188,629
PS Overtime	200	1,225	1,025
Total Personal Service	<u>18,907,283</u>	<u>19,096,937</u>	<u>189,654</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	975	856	(119)
Supplies and Materials	458,262	325,926	(132,336)
Travel	18,308	15,130	(3,178)
Equipment Rental and Repairs	344,576	301,575	(43,001)
Real Estate Rentals	350	350	0
Postage and Printing	4,302,661	3,830,980	(471,681)
Telecommunications	176,977	427,776	250,799
Records Management Services	15,145	13,525	(1,620)
Other Professional Services	567,147	411,380	(155,767)
In-Part Services	7,345	4,920	(2,425)
Jury Fees	20,115,559	20,115,559	0
Total Nonpersonal Service	<u>26,007,305</u>	<u>25,447,977</u>	<u>(559,328)</u>
 Grand Total	 44,914,588	 44,544,914	 (369,674)

COURTS OF ORIGINAL JURISDICTION
NEW YORK CITY COUNTY CLERKS PROGRAM

2015-16 Budget Request: \$22,895,432

Program Description

This Program provides funding for the New York City County Clerks' offices in New York, Bronx, Kings, Queens and Richmond Counties.

New York City County Clerks: The State Constitution directs that the County Clerk in each of the five boroughs of New York City act as the Clerk of the Supreme Court and as the Commissioner of Jurors. The Richmond County Clerk also acts as the Registrar of Deeds for property transactions on Staten Island. In 1995, the Judiciary Law and the State Finance Law were amended to require New York City to assume the cost of County Clerk offices, except for expenses related to jury operations. The nonjury operations are funded by recording fees that would otherwise be payable to New York City as well as deductions from local assistance funds owed the City of New York. Jury operations are funded through the Jury System Operations Program.

Summary of 2015-16 Funding Request:

New York City County Clerks

2015-16 Budget Request:	\$22,895,432
<i>Personal Service:</i>	<i>19,128,032</i>
<i>Nonpersonal Service:</i>	<i>3,767,400</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The New York City County Clerks Special Revenue Fund budget request is \$22.9 million, or a decrease of \$917,061 (-3.9%) from the current year adjusted appropriation.

The personal service request of \$19.1 million represents a decrease of \$578,524 (-2.9%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The increase in these funding requirements is offset by savings associated with turnover.

Nonpersonal service funding supports usual and necessary expenses associated with county clerk operations including supplies and materials, equipment rental and repairs, postage and printing, accounting and auditing services and records management services. Accounting and auditing services consist of the fees associated with electronic filing and the payment of various fees by credit card. Records management services include records storage and digitization or microfilming of paper records.

The nonpersonal service request is \$3.8 million, or a decrease of \$338,537 (-8.2%) from current year funding. The most significant decrease, in records management services, reflects a reduction resulting from a change in the records storage vendor. Smaller decreases in postage and printing, telecommunications and information technology services are expenditure-based. These decreases are partially offset by an increase in accounting and auditing services associated with the expanded use of electronic filing.

**Courts of Original Jurisdiction
Budget Summary - State Special Revenue Fund**

New York City County Clerks Program

2015-16 Request

New York City	Personal Service	Nonpersonal Service	Total
New York	\$5,388,474	\$1,001,600	\$6,390,074
Bronx	\$3,251,041	\$548,250	\$3,799,291
Kings	\$4,433,712	\$591,600	\$5,025,312
Queens	\$3,459,135	\$381,700	\$3,840,835
Richmond	\$2,859,169	\$406,750	\$3,265,919
Undistributed	(\$263,499)	\$837,500	\$574,001
Total:	\$19,128,032	\$3,767,400	\$22,895,432

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

NYC COUNTY CLERKS
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,706,556	19,128,032	(578,524)
Total Personal Service	19,706,556	19,128,032	(578,524)
<u>Nonpersonal Service</u>			
Supplies and Materials	174,200	167,100	(7,100)
Travel	4,650	6,600	1,950
Equipment Rental and Repairs	167,700	169,500	1,800
Postage and Printing	162,700	151,550	(11,150)
Telecommunications	75,200	65,500	(9,700)
Information Technology Services	21,500	6,500	(15,000)
Accounting and Auditing Services	413,200	528,000	114,800
Records Management Services	3,080,137	2,667,500	(412,637)
Other Professional Services	6,650	5,150	(1,500)
Total Nonpersonal Service	4,105,937	3,767,400	(338,537)
 Grand Total	 23,812,493	 22,895,432	 (917,061)

COURTS OF ORIGINAL JURISDICTION
SUPREME AND COUNTY COURT
LAW LIBRARY PROGRAM

2015-16 Budget Request: \$5,550,628
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Program Description

This Program provides funding for the Supreme and County Court Law Libraries maintained by the Judiciary at various venues throughout the State.

Supreme and County Court Law Libraries: The Judiciary Law, Article 21, requires that there be a court law library in each county of the State and that each such library be managed under the aegis of the Chief Administrative Judge with the assistance of a local board of trustees.

Summary of 2015-16 Funding Request:

**Supreme and County Court
Law Libraries**

2015-16 Budget Request:	\$5,550,628
<i>Personal Service:</i>	5,294,344
<i>Nonpersonal Service:</i>	256,284
<i>Maintenance Undistributed:</i>	0

The Supreme Court Law Libraries General Fund budget request is \$5.6 million, or a decrease of \$239,897 (-4.1%) from the current year adjusted appropriation.

The personal service request of \$5.3 million represents a decrease of \$202,171 (-3.7%) from the current year adjusted appropriation and includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, location pay and uniform allowance, as required by law, for all eligible employees. The increase in these funding requirements is offset by savings associated with turnover.

The personal service request also includes \$69,472 for temporary service. This funding includes several part-time positions to support ongoing law library operations.

Nonpersonal service funding supports ongoing law library operations and includes legal reference materials and online legal services, equipment rental and repairs, and supplies and materials. It should be noted, however, that the acquisition of most legal reference materials and computer assisted legal reference (CALR) access are provided through the statewide Office of Legal Information in the Court Support Services Program.

The nonpersonal service request of \$256,284 represents a decrease of \$37,726 (-12.8%) from the current year adjusted appropriation. This decrease is attributable to expenditure-based reductions and cost savings in supplies and materials, equipment rental and repairs, telecommunications and postage and printing.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Law Libraries Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
New York Civil	\$101,374	\$4,500	\$105,874
New York Criminal	\$68,874	\$24,300	\$93,174
Bronx	\$81,073	\$7,400	\$88,473
Kings	\$433,429	\$8,600	\$442,029
Queens	\$346,962	\$26,010	\$372,972
Richmond	\$189,904	\$2,300	\$192,204
NYC Subtotal:	\$1,221,616	\$73,110	\$1,294,726
3rd Judicial District	\$430,346	\$23,323	\$453,669
4th Judicial District	\$356,973	\$8,155	\$365,128
5th Judicial District	\$1,071,638	\$43,807	\$1,115,445
6th Judicial District	\$226,855	\$26,747	\$253,602
7th Judicial District	\$231,309	\$17,239	\$248,548
8th Judicial District	\$403,097	\$22,693	\$425,790
9th Judicial District	\$533,996	\$6,561	\$540,557
Nassau County	\$229,720	\$13,311	\$243,031
Suffolk County	\$588,794	\$21,338	\$610,132
Outside NYC Subtotal:	\$4,072,728	\$183,174	\$4,255,902
Total:	\$5,294,344	\$256,284	\$5,550,628

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

LAW LIBRARIES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	5,427,043	5,224,872	(202,171)
PS Temporary	69,472	69,472	0
Total Personal Service	<u>5,496,515</u>	<u>5,294,344</u>	<u>(202,171)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	101,876	101,505	(371)
Supplies and Materials	56,880	44,019	(12,861)
Travel	17,320	15,792	(1,528)
Equipment Rental and Repairs	72,080	61,339	(10,741)
Conferences and Training	100	0	(100)
Postage and Printing	12,746	8,564	(4,182)
Telecommunications	19,753	11,466	(8,287)
Records Management Services	2,000	2,000	0
Other Professional Services	11,055	11,399	344
In-Part Services	200	200	0
Total Nonpersonal Service	<u>294,010</u>	<u>256,284</u>	<u>(37,726)</u>
 Grand Total	 5,790,525	 5,550,628	 (239,897)

**COURTS OF ORIGINAL JURISDICTION
ALTERNATIVE DISPUTE RESOLUTION
AND COURT IMPROVEMENT PROGRAMS**

2015-16 Budget Request:	\$9,260,624
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Program Description

This Program provides funding for the Community Dispute Resolution Centers, court-connected Alternative Dispute Resolution (ADR) programs in selected courts, Children’s Centers and Court Appointed Special Advocates (CASA).

Alternative Dispute Resolution and Court Improvement Program: The Community Dispute Resolution Centers provide financial assistance to nonprofit organizations around the State that provide neutral third-party mediators to help parties resolve their disputes outside of court. Court-connected ADR programs provide court-sponsored mediation services to aid litigants in resolving a broad array of disputes, including custody and visitation matters in Family Court, attorney fee disputes in matrimonial actions in Supreme Court, estate matters in Surrogate’s Court and housing and small claims disputes in City and District Courts. The Children’s Centers provide child care services for young children who accompany family members to court. Court Appointed Special Advocates are volunteers trained by a local network of CASA agencies and appointed by Family Court judges to advocate for children in abuse and neglect cases.

Summary of 2015-16 Funding Request:

**Alternative Dispute Resolution and
Court Improvement**

2015-16 Budget Request:	\$9,260,624
<i>Personal Service:</i>	<i>1,701,118</i>
<i>Nonpersonal Service:</i>	<i>7,559,506</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Alternative Dispute Resolution and Court Improvement Program General Fund budget request is \$9.3 million, or an increase of \$285,579 (3.2%) over the current year adjusted appropriation.

The personal service request of \$1.7 million represents an increase of \$103,555 (6.5 %) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The nonpersonal service request of \$7.6 million includes contractual funding for statewide dispute resolution programs, child-care services in Family courts and CASA programs throughout the State via a contract with CASANYs. The request represents an increase of \$182,024 (2.5%) over the current year adjusted appropriation, primarily attributable to contractual cost of living adjustments.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Alternative Dispute Resolution and Court Improvement Programs

2015-16 Request

Alternative Dispute Resolution & Court Improvement	Personal Service	Nonpersonal Service	Total
Alternative Dispute Resolution & Court Improvement	\$1,701,118	\$7,559,506	\$9,260,624
Total:	\$1,701,118	\$7,559,506	\$9,260,624

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ADR & COURT IMPROVEMENT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,597,563	1,701,118	103,555
Total Personal Service	<u>1,597,563</u>	<u>1,701,118</u>	<u>103,555</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	3,802	3,802	0
Travel	27,500	27,500	0
Conferences and Training	32,500	32,500	0
Postage and Printing	3,000	2,700	(300)
Other Professional Services	1,974,180	2,048,663	74,483
ADR/SCAR/Arbitration	5,336,500	5,444,341	107,841
Total Nonpersonal Service	<u>7,377,482</u>	<u>7,559,506</u>	<u>182,024</u>
 Grand Total	 8,975,045	 9,260,624	 285,579

**COURTS OF ORIGINAL JURISDICTION
COURT SUPPORT SERVICES PROGRAM**

2015-16 Budget Request: \$96,732,887

Program Description

This Program provides funding for the Court Support Services Program.

Court Support Services: The Chief Administrative Judge maintains an array of offices for the purpose of providing direct support to the courts and court-related agencies of the Unified Court System. This centralized support is provided in the areas of technology, human resource administration, payroll processing, court interpreter operations, legal resources, records management, workforce diversity initiatives, access to justice initiatives, continuing legal education, criminal disposition reconciliation reporting and general administrative services. Also providing support to court operations are the Office of the Inspector General, the Office of Policy and Planning, and the Division of Professional and Court Services.

Summary of 2015-16 Funding Request:

Court Support Services

2015-16 Budget Request:	\$96,732,887
<i>Personal Service:</i>	40,174,552
<i>Nonpersonal Service:</i>	56,558,335
<i>Maintenance Undistributed:</i>	0

The Court Support Services All Funds budget request is \$96.7 million, or an increase of \$904,224 (0.9%) over the current year adjusted appropriation.

The personal service request of \$40.2 million represents an increase of \$1.4 million (3.7%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$54,000 for temporary service in support of ongoing operations. Also reflected in the personal service request is \$301,350 in overtime which supports current levels of operations.

The nonpersonal service request will fund ongoing centralized court support services, including: the provision of legal reference materials and online services, equipment rental and repairs, rental of office and training space, CourtNet support, and information hardware and software maintenance. Funding in support of the Judiciary's partnership with the Center for Court Innovation as well as funding to provide grants for lawyer assistance services throughout New York State is also included.

The nonpersonal service request of \$56.6 million is a decrease of \$529,076 (-0.9%) from current year funding. The decrease is primarily attributable to the continuation of savings in legal reference, supplies and telephones. Expenditure-based decreases are also noted in postage, information technology services, accounting and auditing services, records management services and other professional services. These decreases are partially offset by a contractual increase in real estate rentals and an expenditure-based increase in repairs of equipment. An increase in *per diem* interpreter services in the in-part services category relates to the transfer of funding for *per diem* interpreters from the Family Court program to the Court Support program in the Fifth District to streamline the payment process.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Court Support Services

2015-16 Request

Court Support Services	Personal Service	Nonpersonal Service	Total
Administrative Services	\$5,112,297	\$13,205,784	\$18,318,081
Continuing Legal Education	\$1,012,459	\$40,878	\$1,053,337
Court Interpreter Operations	\$3,644,272	\$511,731	\$4,156,003
Criminal Disposition Reconciliation	\$426,457	\$10,500	\$436,957
Data Processing & Network Support	\$18,885,675	\$21,751,501	\$40,637,176
Division of Professional & Court Services	\$1,417,337	\$1,823,758	\$3,241,095
Human Resources Administration	\$3,607,093	\$884,000	\$4,491,093
Office of the Inspector General	\$1,337,387	\$24,000	\$1,361,387
Legal Resources	\$545,237	\$17,560,682	\$18,105,919
NYS Courts Access to Justice	\$317,883	\$74,375	\$392,258
Office of Policy and Planning	\$785,854	\$102,750	\$888,604
Payroll Operations	\$2,131,954	\$197,500	\$2,329,454
Records Management	\$620,691	\$341,065	\$961,756
Workforce Diversity	\$329,956	\$29,811	\$359,767
Total:	\$40,174,552	\$56,558,335	\$96,732,887

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
COURT SUPPORT SERVICES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	38,417,982	39,819,202	1,401,220
PS Temporary	23,270	54,000	30,730
PS Overtime	300,000	301,350	1,350
Total Personal Service	38,741,252	40,174,552	1,433,300
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,659,540	17,484,029	(1,175,511)
Supplies and Materials	1,803,497	1,265,155	(538,342)
Travel	287,556	343,206	55,650
Equipment Rental and Repairs	2,819,755	3,063,469	243,714
Real Estate Rentals	10,719,666	11,741,259	1,021,593
Conferences and Training	119,500	119,500	0
Postage and Printing	727,413	658,415	(68,998)
Telecommunications	6,880,372	6,830,000	(50,372)
Information Technology Services	10,606,839	10,568,794	(38,045)
Accounting and Auditing Services	164,366	75,000	(89,366)
Records Management Services	265,000	221,500	(43,500)
Other Professional Services	3,783,057	3,684,258	(98,799)
In-Part Services	250,850	503,750	252,900
Total Nonpersonal Service	57,087,411	56,558,335	(529,076)
Grand Total	95,828,663	96,732,887	904,224

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURT SUPPORT SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	19,857,222	20,036,868	179,646
PS Temporary	23,270	54,000	30,730
PS Overtime	184,200	185,550	1,350
Total Personal Service	<u>20,064,692</u>	<u>20,276,418</u>	<u>211,726</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,659,540	17,484,029	(1,175,511)
Supplies and Materials	1,801,997	1,263,655	(538,342)
Travel	286,056	341,706	55,650
Equipment Rental and Repairs	2,819,755	3,063,469	243,714
Real Estate Rentals	10,719,666	11,741,259	1,021,593
Conferences and Training	119,500	119,500	0
Postage and Printing	724,535	655,537	(68,998)
Telecommunications	6,880,372	6,830,000	(50,372)
Information Technology Services	7,571,839	7,533,794	(38,045)
Accounting and Auditing Services	164,366	75,000	(89,366)
Records Management Services	265,000	221,500	(43,500)
Other Professional Services	2,783,057	2,684,258	(98,799)
In-Part Services	250,850	503,750	252,900
Total Nonpersonal Service	<u>53,046,533</u>	<u>52,517,457</u>	<u>(529,076)</u>
 Grand Total	 73,111,225	 72,793,875	 (317,350)

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURT SUPPORT SERVICES
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	970,654	1,012,459	41,805
Total Personal Service	970,654	1,012,459	41,805
<u>Nonpersonal Service</u>			
Supplies and Materials	1,500	1,500	0
Travel	1,500	1,500	0
Postage and Printing	2,878	2,878	0
Information Technology Services	35,000	35,000	0
Total Nonpersonal Service	40,878	40,878	0
 Grand Total	 1,011,532	 1,053,337	 41,805

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURT SUPPORT SERVICES
JUDICIARY DATA PROCESSING
OFFSET FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,590,106	18,769,875	1,179,769
PS Overtime	115,800	115,800	0
Total Personal Service	<u>17,705,906</u>	<u>18,885,675</u>	<u>1,179,769</u>
<u>Nonpersonal Service</u>			
Information Technology Services	3,000,000	3,000,000	0
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	<u>4,000,000</u>	<u>4,000,000</u>	<u>0</u>
 Grand Total	 21,705,906	 22,885,675	 1,179,769

**COURTS OF ORIGINAL JURISDICTION
TOWN AND VILLAGE PROGRAM
STATE OPERATIONS**

2015-16 Budget Request: \$4,341,365
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Program Description

This Program provides funding for the Town and Village - State Operations Program.

Town and Village Courts: There are 1,250 Town and Village Justice Courts in New York State, employing about 2,000 justices and several thousand nonjudicial personnel. These Courts have civil jurisdiction over monetary claims of up to \$3,000 (including small claims jurisdiction over matters of up to that amount), landlord/tenant matters and traffic matters. They also have criminal jurisdiction that includes authority to arraign defendants on felony charges and to try misdemeanors and violations. In the 21 counties in the State which have no city courts, the Town and Village Courts function as the only lower court tribunal.

The Town and Village Justice Courts are principally funded by the localities that they serve. They do, however, receive some State assistance from the Judiciary Budget State Operations and Aid to Localities appropriations. The Courts of Original Jurisdiction Town and Village Program includes the State Operations appropriation which provides training, technology support, fiscal guidance, legal research, and other assistance through the Office of Justice Court Support and the Town and Village Courts Resource Center. The Aid to Localities component includes funding for the Justice Court Assistance Program.

**Summary of 2015-16 Funding Request:
Town and Village - State Operations**

2015-16 Budget Request:	\$4,341,365
<i>Personal Service:</i>	3,782,670
<i>Nonpersonal Service:</i>	558,695
<i>Maintenance Undistributed:</i>	0

The Town and Village State Operations General Fund budget request is \$4.3 million, or an increase of \$179,410 (4.3%) over the current year adjusted appropriation.

The personal service request of \$3.8 million represents an increase of \$159,720 (4.4%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The personal service request also includes \$52,500 in temporary service for acting town and village justices required in support of ongoing operations.

The nonpersonal service request is \$558,695 or an increase of \$19,690 (3.7%) over current year funding. This request primarily supports training for local justice court staff and case management

support. The increase is attributable to information technology services, which reflects the Office of Justice Court Support's efforts to provide enhanced online training to local justices and their staff; this increase is largely offset by reduced expenditures for in-person conferences and training. Additionally, expenditure-based increases in equipment rental and repairs and postage and printing are partially offset by reduced expenditures in supplies and materials.

**Courts of Original Jurisdiction
Budget Summary - General Fund**

Town & Village State Operations Program

2015-16 Request

Outside New York City	Personal Service	Nonpersonal Service	Total
3rd Judicial District	\$104,133	\$16,100	\$120,233
4th Judicial District	\$85,299	\$3,050	\$88,349
5th Judicial District	\$295,538	\$3,875	\$299,413
6th Judicial District	\$90,133	\$2,000	\$92,133
7th Judicial District	\$190,152	\$11,383	\$201,535
8th Judicial District	\$66,531	\$3,087	\$69,618
9th Judicial District	\$74,118	\$1,000	\$75,118
Nassau County	\$86,333	\$1,500	\$87,833
Suffolk County	\$87,333	\$200	\$87,533
Court Support Services	\$2,703,100	\$516,500	\$3,219,600
Total:	\$3,782,670	\$558,695	\$4,341,365

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

TOWN AND VILLAGE
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	3,576,625	3,728,770	152,145
PS Temporary	45,750	52,500	6,750
PS Overtime	575	1,400	825
Total Personal Service	<u>3,622,950</u>	<u>3,782,670</u>	<u>159,720</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	61,666	43,004	(18,662)
Travel	123,589	131,300	7,711
Equipment Rental and Repairs	58,811	72,419	13,608
Conferences and Training	130,300	102,250	(28,050)
Postage and Printing	34,654	46,722	12,068
Telecommunications	1,000	500	(500)
Information Technology Services	128,210	162,000	33,790
Other Professional Services	775	500	(275)
Total Nonpersonal Service	<u>539,005</u>	<u>558,695</u>	<u>19,690</u>
 Grand Total	 4,161,955	 4,341,365	 179,410

**COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY PROGRAM**

2015-16 Budget Request: \$326,933,290

Program Description

This Program provides funding to support the security needs of the trial courts.

Public Safety: Security for the trial courts is provided in one of two ways: specially-trained Unified Court System employees perform these services in New York City and in some locations outside the City. In many upstate locations, the Unified Court System contracts with local sheriffs or police departments to provide security. The program also supports the Department of Public Safety, which develops protocols and monitors the implementation of public safety policies; the court officer training academies, which provide comprehensive training to Judiciary security staff; and the Applicant Verification Unit, which conducts background checks on potential court officer candidates.

Summary of 2015-16 Funding Request:

Public Safety

2015-16 Budget Request:	\$326,933,290
<i>Personal Service:</i>	294,040,747
<i>Nonpersonal Service:</i>	32,892,543
<i>Maintenance Undistributed:</i>	0

The Public Safety All Funds budget request is \$326.9 million, or an increase of \$5.7 million (1.8%) over the current year adjusted appropriation.

The personal service request of \$294.0 million represents an increase of \$4.1 million (1.4%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees.

Also reflected in the personal service request is \$11.0 million for overtime, which supports current levels of operation.

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Public Safety Program. These expenses include real estate rentals for the court officer training academies and payments to local sheriff and police departments who provide contractual security in certain upstate localities. Funding in medical services within other professional services supports the background investigations of NYS Court Officer-Trainees. The request also includes funding for supplies and materials, equipment rental and repairs and travel.

The nonpersonal service request is \$32.9 million, or an increase of \$1.5 million (4.8%) over current year funding. The largest component of the increase is in security services due to local collective bargaining increases as well as a need for enhanced contractual security staff to support

new city and family court judgeships. Additionally, there are contractual increases in real estate rentals related to the training academies and expenditure-based increases in supplies and materials, equipment rental and repairs and postage and printing. These increases are partially offset by small expenditure-based reductions in travel and other professional services.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Public Safety Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
1st Supreme Civil	\$6,838,097	\$5,400	\$6,843,497
1st Supreme Criminal	\$13,717,150	\$9,200	\$13,726,350
Supreme Bronx	\$19,218,878	\$10,700	\$19,229,578
Supreme Kings	\$20,642,973	\$15,500	\$20,658,473
Supreme Queens	\$15,032,188	\$9,708	\$15,041,896
Supreme Richmond	\$3,675,716	\$2,409	\$3,678,125
Family Court	\$27,600,647	\$26,600	\$27,627,247
Surrogate's Court	\$374,631	\$0	\$374,631
Civil Court	\$20,762,500	\$11,359	\$20,773,859
Criminal Court	\$49,585,495	\$36,466	\$49,621,961
Jury	\$513,325	\$0	\$513,325
County Clerks	\$401,899	\$150	\$402,049
NYC Subtotal:	\$178,363,499	\$127,492	\$178,490,991
3rd Judicial District	\$5,780,289	\$4,785,248	\$10,565,537
4th Judicial District	\$9,053,748	\$528,781	\$9,582,529
5th Judicial District	\$6,260,459	\$4,138,011	\$10,398,470
6th Judicial District	\$7,341,769	\$110,920	\$7,452,689
7th Judicial District	\$0	\$14,818,215	\$14,818,215
8th Judicial District	\$12,876,006	\$4,550,877	\$17,426,883
9th Judicial District	\$20,740,580	\$51,364	\$20,791,944
Nassau County	\$20,943,524	\$100,075	\$21,043,599
Suffolk County	\$23,339,956	\$105,890	\$23,445,846
Outside NYC Subtotal:	\$106,336,331	\$29,189,381	\$135,525,712
Court Support Services	\$9,340,917	\$3,233,435	\$12,574,352
Undistributed	\$0	\$342,235	\$342,235
Total:	\$294,040,747	\$32,892,543	\$326,933,290

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	279,997,021	283,063,281	3,066,260
PS Overtime	9,899,544	10,977,466	1,077,922
Total Personal Service	<u>289,896,565</u>	<u>294,040,747</u>	<u>4,144,182</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,000	3,800	2,800
Supplies and Materials	472,525	516,983	44,458
Travel	238,023	223,941	(14,082)
Equipment Rental and Repairs	328,661	436,573	107,912
Real Estate Rentals	2,091,948	2,178,965	87,017
Conferences and Training	35,500	35,500	0
Postage and Printing	15,739	38,600	22,861
Telecommunications	26,863	31,108	4,245
Information Technology Services	150,000	150,000	0
Other Professional Services	437,469	431,450	(6,019)
Security Services	27,581,661	28,845,623	1,263,962
Total Nonpersonal Service	<u>31,379,389</u>	<u>32,892,543</u>	<u>1,513,154</u>
Grand Total	321,275,954	326,933,290	5,657,336

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	279,595,118	282,661,382	3,066,264
PS Overtime	9,899,544	10,977,466	1,077,922
Total Personal Service	<u>289,494,662</u>	<u>293,638,848</u>	<u>4,144,186</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,000	3,800	2,800
Supplies and Materials	472,525	516,983	44,458
Travel	238,023	223,941	(14,082)
Equipment Rental and Repairs	328,661	436,573	107,912
Real Estate Rentals	2,091,948	2,178,965	87,017
Conferences and Training	35,500	35,500	0
Postage and Printing	15,739	38,600	22,861
Telecommunications	26,863	31,108	4,245
Information Technology Services	150,000	150,000	0
Other Professional Services	437,169	431,300	(5,869)
Security Services	27,581,661	28,845,623	1,263,962
Total Nonpersonal Service	<u>31,379,089</u>	<u>32,892,393</u>	<u>1,513,304</u>
Grand Total	320,873,751	326,531,241	5,657,490

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURTS OF ORIGINAL JURISDICTION
PUBLIC SAFETY
NYC CO CLERKS' OPERATIONS OFFSET
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	401,903	401,899	(4)
Total Personal Service	401,903	401,899	(4)
<u>Nonpersonal Service</u>			
Other Professional Services	300	150	(150)
Total Nonpersonal Service	300	150	(150)
 Grand Total	 402,203	 402,049	 (154)

**COURTS OF ORIGINAL JURISDICTION
MAINTENANCE UNDISTRIBUTED PROGRAM**

2015-16 Budget Request: \$95,741,897

Program Description

This Program provides funding for the Courts of Original Jurisdiction Maintenance Undistributed Program.

Courts of Original Jurisdiction Maintenance Undistributed: This Program, through special revenue funding requests, provides the framework through which grants are realized in support of problem-solving courts and other justice initiatives. Undistributed funding is also held in this program for later distribution to the Courts of Original Jurisdiction based on operational priorities and demonstrated need.

Providing counsel to low-income New Yorkers in civil cases is a fundamental part of the mission of the Judiciary to ensure equal access to justice for all. To reflect the central role of civil legal services to the mission of the court system, funding for civil legal services, which had formerly been presented in Judiciary-Wide Maintenance Undistributed, is now included in this Maintenance Undistributed Program within the Courts of Original Jurisdiction Major Purpose.

Summary of 2015-16 Funding Request:

Maintenance Undistributed

2015-16 Budget Request:	\$95,741,897
<i>Personal Service:</i>	9,204,999
<i>Nonpersonal Service:</i>	86,536,898
<i>Maintenance Undistributed:</i>	0

The Courts of Original Jurisdiction Maintenance Undistributed Program All Funds budget request is \$95.7 million, or an increase of \$13.7 million (16.7%) over the current year adjusted appropriation.

The undistributed personal service request provides funding in support of the new judgeships authorized by chapter 44 of the Laws of 2014 (Family Court) and chapter 548 of the Laws of 2013 (City Court) as well as in support of trial court operations in other locations (\$19.5 million). Also reflected is funding for lump sum payments associated with employees separating from service (\$6 million) and funding to address shifts in overtime usage (\$1.6 million). Funding is also reflected for turnover savings (- \$18.0 million) that are generated throughout the year as employees leave service and are replaced.

The majority of the nonpersonal service request will provide \$70 million, or an increase of \$15 million, to nonprofit agencies in support of civil legal services for indigent persons. This funding is reflected in the other professional services category in the General Fund.

Also reflected in the undistributed nonpersonal service request, is funding for supplies (\$1.4 million), postage (\$0.8 million), accounting and auditing services (\$0.5 million), equipment (\$5 million) and other professional services (\$9 million). The funding for supplies, postage, and accounting and auditing services is being held in the Undistributed Program to be provided to courts on an as-needed basis as workload and staffing levels shift. The special revenue funding in other professional services provides appropriation authority for anticipated federal and other grants (\$9 million). The equipment funding will address the ongoing need to replace outdated courthouse equipment; specifically, furniture, technology equipment, security equipment, and facility-related equipment.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Maintenance Undistributed

2015-16 Request

Maintenance Undistributed	Personal Service	Nonpersonal Service	Total
Special Revenue - Federal Funds	\$0	\$8,000,000	\$8,000,000
Miscellaneous Special Revenue Fund	\$0	\$1,000,000	\$1,000,000
General Fund - Undistributed	\$9,204,999	\$77,536,898	\$86,741,897
Total:	\$9,204,999	\$86,536,898	\$95,741,897

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
COJ MAINTENANCE UNDISTRIBUTED

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	8,250,000	7,564,254	(685,746)
PS Overtime	3,000,000	1,640,745	(1,359,255)
Total Personal Service	<u>11,250,000</u>	<u>9,204,999</u>	<u>(2,045,001)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	1,350,000	1,350,000	0
Postage and Printing	750,000	750,000	0
Accounting and Auditing Services	450,000	450,000	0
Other Professional Services	63,500,000	79,000,000	15,500,000
Equipment	4,736,898	4,986,898	250,000
Total Nonpersonal Service	<u>70,786,898</u>	<u>86,536,898</u>	<u>15,750,000</u>
 Grand Total	 82,036,898	 95,741,897	 13,704,999

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COJ MAINTENANCE UNDISTRIBUTED
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	8,250,000	7,564,254	(685,746)
PS Overtime	3,000,000	1,640,745	(1,359,255)
Total Personal Service	<u>11,250,000</u>	<u>9,204,999</u>	<u>(2,045,001)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	1,350,000	1,350,000	0
Postage and Printing	750,000	750,000	0
Accounting and Auditing Services	450,000	450,000	0
Other Professional Services	55,000,000	70,000,000	15,000,000
Equipment	4,736,898	4,986,898	250,000
Total Nonpersonal Service	<u>62,286,898</u>	<u>77,536,898</u>	<u>15,250,000</u>
 Grand Total	 73,536,898	 86,741,897	 13,204,999

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COJ MAINTENANCE UNDISTRIBUTED
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	1,000,000	1,000,000	0
Total Nonpersonal Service	1,000,000	1,000,000	0
 Grand Total	 1,000,000	 1,000,000	 0

State of New York - Judiciary
 Budget Summary for Fiscal Year 2015-2016

COJ MAINTENANCE UNDISTRIBUTED
 FED SPEC REVENUE OPERATING

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	3,000,000	2,500,000	(500,000)
Total Nonpersonal Service	3,000,000	2,500,000	(500,000)
Grand Total	3,000,000	2,500,000	(500,000)

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COJ MAINTENANCE UNDISTRIBUTED
FEDERAL DHHS GRANT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	4,500,000	5,500,000	1,000,000
Total Nonpersonal Service	4,500,000	5,500,000	1,000,000
Grand Total	4,500,000	5,500,000	1,000,000

**COURTS OF ORIGINAL JURISDICTION
TRIAL COURT ADMINISTRATION**

2015-16 Budget Request: \$18,869,557

Program Description

This Program provides funding for Trial Court Administration which is coordinated through the regional offices of the Administrative Judges.

Administration: Overall administration of the trial courts is coordinated through the offices of the Administrative Judges, who are designated by the Chief Administrative Judge and report either to the Deputy Chief Administrative Judge for New York City or the Deputy Chief Administrative Judge Outside New York City. These offices manage a wide array of functions including case management and court operations support, fiscal oversight and human resource administration, and facilities planning and management. Administrative Judges are appointed in each judicial district outside New York City. In New York City, there are separate Administrative Judges in each borough for civil and criminal operations except Richmond which has one Administrative Judge. In addition, there is one Family Court Administrative Judge for all five boroughs.

**Summary of 2015-16 Funding Request:
Trial Court Administration**

2015-16 Budget Request: \$18,869,557	
<i>Personal Service:</i>	16,946,654
<i>Nonpersonal Service:</i>	1,922,903
<i>Maintenance Undistributed:</i>	0

The Trial Courts Administration All Funds budget request is \$18.9 million, or a decrease of \$453,555 (-2.3%) from the current year adjusted appropriation.

The personal service request of \$16.9 million represents a decrease of \$571,680 (-3.3%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of salary increments, longevity bonuses and location pay, as required by law, for all eligible employees. The increase in these funding requirements is offset by savings associated with turnover.

Nonpersonal service funding supports usual and necessary expenses associated with the administration of trial court operations. These expenses include equipment rental and repairs, supplies and materials, travel, telecommunications, and other professional services. Also included are real estate rental costs for administrative offices in the Third, Fourth, Fifth, Sixth and Eighth Judicial Districts.

The nonpersonal service request of \$1.9 million represents an increase of \$118,125 (6.5%) over the current year adjusted appropriation. This increase is principally attributable to higher expenditures in postage and printing, supplies and materials and real estate rental costs. These are partially offset by decreases in other professional services and telecommunications.

**Courts of Original Jurisdiction
Budget Summary - All Funds**

Trial Court Administration Program

2015-16 Request

Locality	Personal Service	Nonpersonal Service	Total
New York City	\$489,213	\$207,400	\$696,613
3rd Judicial District	\$1,390,765	\$329,469	\$1,720,234
4th Judicial District	\$1,556,637	\$332,674	\$1,889,311
5th Judicial District	\$1,974,921	\$290,075	\$2,264,996
6th Judicial District	\$1,298,177	\$290,331	\$1,588,508
7th Judicial District	\$1,811,831	\$72,646	\$1,884,477
8th Judicial District	\$2,099,237	\$185,989	\$2,285,226
9th Judicial District	\$2,593,212	\$91,170	\$2,684,382
Nassau County	\$1,822,286	\$40,691	\$1,862,977
Suffolk County	\$1,910,375	\$82,458	\$1,992,833
Outside NYC Subtotal:	\$16,457,441	\$1,715,503	\$18,172,944
Total:	\$16,946,654	\$1,922,903	\$18,869,557

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
COJ ADMINISTRATION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	17,424,648	16,939,867	(484,781)
PS Temporary	93,049	0	(93,049)
PS Overtime	637	6,787	6,150
Total Personal Service	<u>17,518,334</u>	<u>16,946,654</u>	<u>(571,680)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,000	1,329	329
Supplies and Materials	140,794	204,297	63,503
Travel	88,900	89,605	705
Equipment Rental and Repairs	270,940	261,580	(9,360)
Real Estate Rentals	912,562	929,920	17,358
Conferences and Training	9,800	9,800	0
Postage and Printing	154,631	256,386	101,755
Telecommunications	70,400	59,213	(11,187)
Information Technology Services	12,700	27,310	14,610
Records Management Services	14,350	14,390	40
Other Professional Services	119,201	56,073	(63,128)
Judicial Hearing Officers	8,000	12,000	4,000
Transcripts	1,500	1,000	(500)
Total Nonpersonal Service	<u>1,804,778</u>	<u>1,922,903</u>	<u>118,125</u>
Grand Total	19,323,112	18,869,557	(453,555)

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COJ ADMINISTRATION
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,819,189	16,294,429	(524,760)
PS Temporary	93,049	0	(93,049)
PS Overtime	575	6,725	6,150
Total Personal Service	<u>16,912,813</u>	<u>16,301,154</u>	<u>(611,659)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,000	1,329	329
Supplies and Materials	140,794	204,297	63,503
Travel	88,900	89,605	705
Equipment Rental and Repairs	270,940	261,580	(9,360)
Real Estate Rentals	912,562	929,920	17,358
Conferences and Training	9,800	9,800	0
Postage and Printing	154,631	256,386	101,755
Telecommunications	70,400	59,213	(11,187)
Information Technology Services	12,700	27,310	14,610
Records Management Services	14,350	14,390	40
Other Professional Services	119,201	56,073	(63,128)
Judicial Hearing Officers	8,000	12,000	4,000
Transcripts	1,500	1,000	(500)
Total Nonpersonal Service	<u>1,804,778</u>	<u>1,922,903</u>	<u>118,125</u>
Grand Total	18,717,591	18,224,057	(493,534)

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COJ ADMINISTRATION
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	605,459	645,438	39,979
PS Overtime	62	62	0
Total Personal Service	<u>605,521</u>	<u>645,500</u>	<u>39,979</u>
Grand Total	605,521	645,500	39,979

Major Purpose Summary
COURT OF APPEALS

2015-16 All Funds Budget Request:	\$15,286,324
<i>General Fund:</i>	<i>15,286,324</i>
<i>State Special Revenue Funds:</i>	<i>0</i>

Major Purpose Description

This Major Purpose provides funding for the Court of Appeals and a component of the Public Safety Program.

Court of Appeals: The Court of Appeals, consisting of a Chief Judge and six Associate Judges, is New York’s highest Appellate Court. Except where questions of federal law are involved, its determinations are final. Beyond exercising its appellate jurisdiction, the Court also determines certain questions of State law upon request of the Federal Courts; approves standards and policies for the courts promulgated by the Chief Judge; approves the annual Judiciary Budget as prepared by the Chief Administrative Judge; and regulates the admission of attorneys to the practice of law. The Law Reporting Bureau operates under the supervision of the Court of Appeals and is responsible for publishing all Court of Appeals and Appellate Division decisions, along with selected decisions of the trial courts.

Summary of 2015-16 Funding Request: Court of Appeals

The Court of Appeals Major Purpose State Operations General Fund budget request is \$15.3 million, or an increase of \$447,214 (3.0 %) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$13.4 million represents an increase of \$447,214 (3.4%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

Also reflected in the personal service request is \$114,000 for overtime which supports current levels of operations.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations and administration including: supplies and materials, legal reference materials and online services, real estate rentals, telecommunications, postage and printing and travel costs for judges and staff.

The nonpersonal service request of \$1.9 million is level with current year funding. Expenditure-based increases in transcripts, legal reference materials and online services, and equipment rental and repairs are offset by expenditure-based decreases in other professional services, real estate rentals and postage and printing.

**Court of Appeals
Budget Summary - General Fund**

2015-16 Request

Court of Appeals	Personal Service	Nonpersonal Service	Total
Court of Appeals	\$13,433,113	\$1,853,211	\$15,286,324
Total:	\$13,433,113	\$1,853,211	\$15,286,324

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

COURT OF APPEALS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,871,899	13,319,113	447,214
PS Overtime	114,000	114,000	0
Total Personal Service	<u>12,985,899</u>	<u>13,433,113</u>	<u>447,214</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	443,528	445,528	2,000
Supplies and Materials	154,595	154,595	0
Travel	378,610	378,610	0
Equipment Rental and Repairs	134,763	139,763	5,000
Real Estate Rentals	377,500	372,500	(5,000)
Conferences and Training	8,235	8,235	0
Postage and Printing	138,608	136,608	(2,000)
Telecommunications	73,414	73,414	0
Information Technology Services	22,000	22,000	0
Other Professional Services	121,958	91,958	(30,000)
Transcripts	0	30,000	30,000
Total Nonpersonal Service	<u>1,853,211</u>	<u>1,853,211</u>	<u>0</u>
Grand Total	14,839,110	15,286,324	447,214

Court of Appeals 2013 Workload

Court of Appeals	2013
Applications Decided [CPL 460.20(3)(b)]	1,923
Records on Appeal Filed	274
Oral Arguments (Includes Submissions)	211
Appeals Decided	259
Motions Decided	1,310
Judicial Conduct Commission Determinations Reviewed	2
Opinions Published	18,465
Motion Decisions Published	37,342

Major Purpose Summary
APPELLATE COURT
OPERATIONS

2015-16 All Funds Budget Request:	\$79,334,638
General Fund:	79,334,638
State Special Revenue Funds:	0

Major Purpose Description

This Major Purpose provides funding for the Appellate Divisions, the Appellate Terms and a component of the Public Safety Program.

Appellate Divisions: The Appellate Divisions are the courts of intermediate appellate resort. There is one Appellate Division established for each of the State’s four Judicial Departments. Each consists of a Presiding Justice and a varying number of Associate Justices. Currently, there are 18 Justices sitting in the First Department, 20 in the Second Department, 9 in the Third Department and 11 in the Fourth Department. The Presiding Justices and the Associate Justices are all designated to the Appellate Divisions by the Governor from among the sitting Supreme Court Justices. The Appellate Divisions hear appeals from the major trial courts and, in civil cases, from the Appellate Terms and the County Courts (when they sit as appellate courts in review of lower court cases). Beyond exercising their appellate jurisdiction, the Appellate Divisions also oversee the admission of attorneys to the practice of law and the discipline of attorneys for unprofessional conduct; and oversee the Attorney for the Child, Assigned Counsel and Mental Hygiene Legal Service Programs. These programs are funded under the Appellate Auxiliary Operations Major Purpose.

Appellate Terms: Under the State Constitution, each Appellate Division is authorized to establish Appellate Terms to hear appeals from the lower trial courts. Each Appellate Term consists of three to five Justices designated from among the sitting Supreme Court Justices. Currently, there are Appellate Terms in the First and Second Departments that handle criminal and civil appeals from New York City Criminal and Civil Courts and other lower criminal and civil courts within the jurisdiction of the Department.

Summary of 2015-16 Funding Request: Appellate Court Operations

The Appellate Court Operations State Operations General Fund budget request is \$79.3 million, or an increase of \$4.9 million (6.6%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$74.9 million represents an increase of \$5.2 million (7.5%) over the current year adjusted appropriation. This includes funding for all judicial positions and all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, uniform allowance and location pay, as required by law, for all eligible employees. Staffing adjustments attributable to the increase of Appellate judgeships is also noted. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$196,996 for temporary service in the Second and Third Departments in support of ongoing operations. Also reflected in the personal service request is a total of \$125,900 for overtime to support current levels of operations in all four departments.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with court operations, support and administration, including: supplies and materials, legal reference materials and online services, equipment rentals and repairs, postage and printing, telecommunications, records management, judicial hearing officers and travel costs for judges and staff. The nonpersonal service budget also supports contractual security services in the Fourth Department.

The nonpersonal service request of \$4.5 million reflects a decrease of \$251,146 (-5.3%) from current year funding. The decrease is attributable to expenditure-based reductions in supplies and materials, travel, postage and printing, information technology services and records management services. The decrease is partially offset by an expenditure-based increase in legal reference and for costs associated with expanded office space in the real estate rentals category.

**Appellate Court Operations
Budget Summary - General Fund**

Appellate Divisions and Appellate Terms

2015-16 Request

Appellate Divisions & Appellate Terms			
Appellate Division	Personal Service	Nonpersonal Service	Total
1st Department	\$20,933,585	\$701,874	\$21,635,459
2nd Department	\$25,421,481	\$1,141,092	\$26,562,573
3rd Department	\$11,715,137	\$544,280	\$12,259,417
4th Department	\$12,099,750	\$1,929,242	\$14,028,992
Appellate Division Subtotal:	\$70,169,953	\$4,316,488	\$74,486,441
Appellate Division Undistributed	(\$1,060,828)	\$0	(\$1,060,828)
Appellate Term			
1st Department	\$2,251,367	\$7,904	\$2,259,271
2nd Department	\$3,498,539	\$151,215	\$3,649,754
Appellate Term Subtotal:	\$5,749,906	\$159,119	\$5,909,025
Total:	\$74,859,031	\$4,475,607	\$79,334,638

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

APPELLATE COURT OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	69,378,321	74,536,135	5,157,814
PS Temporary	147,834	196,996	49,162
PS Overtime	138,700	125,900	(12,800)
Total Personal Service	<u>69,664,855</u>	<u>74,859,031</u>	<u>5,194,176</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	1,978,176	2,007,862	29,686
Supplies and Materials	431,613	312,285	(119,328)
Travel	428,732	396,632	(32,100)
Equipment Rental and Repairs	293,417	277,317	(16,100)
Real Estate Rentals	12,982	67,100	54,118
Conferences and Training	20,000	16,000	(4,000)
Postage and Printing	328,291	274,979	(53,312)
Telecommunications	125,022	111,522	(13,500)
Information Technology Services	150,500	125,000	(25,500)
Records Management Services	132,010	101,510	(30,500)
Other Professional Services	115,210	79,600	(35,610)
In-Part Services	800	800	0
Judicial Hearing Officers	165,000	160,000	(5,000)
Security Services	545,000	545,000	0
Total Nonpersonal Service	<u>4,726,753</u>	<u>4,475,607</u>	<u>(251,146)</u>
Grand Total	74,391,608	79,334,638	4,943,030

Appellate Court Operations 2013 Workload

Appellate Division

Department	Records on Appeal Filed	Dispositions
1st Department	2,975	2,906
2nd Department	3,989	10,468
3rd Department	1,955	1,418
4th Department	1,380	1,352
Total:	10,299	16,144

Appellate Term

Department	Records on Appeal Filed	Dispositions
1st Department	447	384
2nd Department	2,386	2,485
Total:	2,833	2,869

Major Purpose Summary
APPELLATE AUXILIARY
OPERATIONS

2015-16 All Funds Budget Request:	\$232,939,307
<i>General Fund:</i>	<i>186,774,075</i>
<i>State Special Revenue Funds:</i>	<i>46,165,232</i>

Major Purpose Description

The Appellate Auxiliary Operations Major Purpose is comprised of the following programs: Assigned Counsel, Attorney for the Child, Mental Hygiene Legal Service (MHLS), Candidate Examination, Candidate Fitness and Attorney Discipline.

Summary of 2015-16 Funding: Appellate Auxiliary Operations

The Appellate Auxiliary Operations State Operations All Funds budget request is \$232.9 million or an increase of \$3.4 million (1.5%) over the current year adjusted appropriation. The request includes the following:

Personal Service

The personal service request of \$44.4 million represents an increase of \$1.1 million (2.5%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

The personal service request also includes \$663,342 for temporary service to support ongoing operations for the MHLS Program (\$319,980) and the Attorney Discipline Program (\$343,362). Overtime funding is also included to support current levels of operation.

Nonpersonal Service

Nonpersonal service funding supports usual and necessary expenses associated with the administration and operation of the Appellate Auxiliary Programs. These expenses include: attorney for the child representation in the Attorney for the Child Program; indigent criminal defense funding for defense providers in New York City; assigned counsel costs for attorney representation under section 35 of the Judiciary Law; transcript costs and other professional services (forensic evaluations) required for administrative hearings and court proceedings for the MHLS, Attorney for the Child and Assigned Counsel Programs; and, real estate rentals for NYS Bar Examination testing sites and for the regional offices of the MHLS and Attorney Discipline Programs. The budget also includes funding for supplies and materials, legal reference materials and online services, records management, and information technology services.

The nonpersonal service request of \$188.5 million reflects an increase of \$2.4 million (1.3%) over current year funding. The increase supports cost of living adjustments for contractual providers in the Attorney for the Child Program (attorney for the child representation) and indigent criminal

defense annualization and cost of living adjustments for criminal defense providers in New York City. Expenditure-based increases are also included for other professional services (forensic evaluations) in the MHLS, Attorney Discipline and Attorney for the Child Programs. Assigned counsel costs have increased for attorney representation under section 35 of the Judiciary Law in the Assigned Counsel Program. Lease escalation costs (real estate rentals) as well as digitizing cost (records management) increases are also noted in the MHLS program. The overall nonpersonal service increase is partially offset by expenditure-based reductions in supplies and materials, equipment rental and repairs, postage and printing, transcripts and telecommunications.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

2015-16 Request

Appellate Auxiliary Operations	Personal Service	Nonpersonal Service	Total
1st Department	\$10,483,681	\$4,529,271	\$15,012,952
2nd Department	\$19,103,833	\$18,593,753	\$37,697,586
3rd Department	\$6,027,435	\$14,700,648	\$20,728,083
4th Department	\$7,788,098	\$18,517,017	\$26,305,115
Attorney for the Child Contracts	\$0	\$69,287,612	\$69,287,612
Court of Appeals Assigned Counsel	\$0	\$5,000	\$5,000
Board of Law Examiners	\$1,647,933	\$3,777,000	\$5,424,933
Indigent Criminal Defense	\$0	\$57,500,000	\$57,500,000
Undistributed	(\$627,577)	\$1,605,603	\$978,026
Total:	\$44,423,403	\$188,515,904	\$232,939,307

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
APPELLATE AUXILIARY OPERATIONS

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	42,491,421	43,743,061	1,251,640
PS Temporary	851,478	663,342	(188,136)
PS Overtime	16,600	17,000	400
Total Personal Service	<u>43,359,499</u>	<u>44,423,403</u>	<u>1,063,904</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	265,158	266,293	1,135
Supplies and Materials	348,285	253,397	(94,888)
Travel	521,208	515,308	(5,900)
Equipment Rental and Repairs	404,255	384,598	(19,657)
Real Estate Rentals	3,236,724	3,245,707	8,983
Conferences and Training	138,000	125,900	(12,100)
Postage and Printing	374,329	317,210	(57,119)
Telecommunications	179,926	160,108	(19,818)
Information Technology Services	40,660	37,560	(3,100)
Accounting and Auditing Services	100,000	100,000	0
Records Management Services	114,750	126,450	11,700
Other Professional Services	4,724,707	4,859,242	134,535
Attorney for the Child Representation	116,644,338	118,145,902	1,501,564
Assigned Counsel	1,692,000	2,127,000	435,000
Indigent Criminal Defense	57,000,000	57,500,000	500,000
In-Part Services	12,960	13,560	600
Judicial Hearing Officers	13,000	13,000	0
Transcripts	351,669	324,669	(27,000)
Total Nonpersonal Service	<u>186,161,969</u>	<u>188,515,904</u>	<u>2,353,935</u>
 Grand Total	 <u>229,521,468</u>	 <u>232,939,307</u>	 <u>3,417,839</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

APPELLATE AUXILIARY OPERATIONS
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	27,323,233	28,529,696	1,206,463
PS Temporary	553,684	319,980	(233,704)
PS Overtime	600	1,000	400
Total Personal Service	<u>27,877,517</u>	<u>28,850,676</u>	<u>973,159</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	162,600	165,600	3,000
Supplies and Materials	141,420	98,329	(43,091)
Travel	398,700	395,500	(3,200)
Equipment Rental and Repairs	107,761	93,569	(14,192)
Real Estate Rentals	1,124,645	1,161,412	36,767
Conferences and Training	121,000	110,800	(10,200)
Postage and Printing	97,114	72,248	(24,866)
Telecommunications	97,326	83,858	(13,468)
Information Technology Services	15,960	15,960	0
Records Management Services	39,300	47,500	8,200
Other Professional Services	2,644,060	2,774,742	130,682
Attorney for the Child Representation	91,644,338	93,145,902	1,501,564
Assigned Counsel	1,692,000	2,127,000	435,000
Indigent Criminal Defense	57,000,000	57,500,000	500,000
In-Part Services	1,460	2,560	1,100
Transcripts	162,419	128,419	(34,000)
Total Nonpersonal Service	<u>155,450,103</u>	<u>157,923,399</u>	<u>2,473,296</u>
Grand Total	183,327,620	186,774,075	3,446,455

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

APPELLATE AUXILIARY OPERATIONS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	15,168,188	15,213,365	45,177
PS Temporary	297,794	343,362	45,568
PS Overtime	16,000	16,000	0
Total Personal Service	<u>15,481,982</u>	<u>15,572,727</u>	<u>90,745</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	102,558	100,693	(1,865)
Supplies and Materials	206,865	155,068	(51,797)
Travel	122,508	119,808	(2,700)
Equipment Rental and Repairs	296,494	291,029	(5,465)
Real Estate Rentals	2,112,079	2,084,295	(27,784)
Conferences and Training	17,000	15,100	(1,900)
Postage and Printing	277,215	244,962	(32,253)
Telecommunications	82,600	76,250	(6,350)
Information Technology Services	24,700	21,600	(3,100)
Accounting and Auditing Services	100,000	100,000	0
Records Management Services	75,450	78,950	3,500
Other Professional Services	2,080,647	2,084,500	3,853
In-Part Services	11,500	11,000	(500)
Judicial Hearing Officers	13,000	13,000	0
Transcripts	189,250	196,250	7,000
Total Nonpersonal Service	<u>5,711,866</u>	<u>5,592,505</u>	<u>(119,361)</u>
 Grand Total	 <u>21,193,848</u>	 <u>21,165,232</u>	 <u>(28,616)</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

APPELLATE AUXILIARY OPERATIONS
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

Appellate Auxiliary Operations 2013 Workload

Attorney for the Child

Provider	Petition Intake	Appellate Briefs
Buffalo Legal Aid Bureau	5,204	28
Children's Law Center NYC	5,843	41
Children's Rights Society	3,578	0
Citizens Concerned for Children	933	0
Genesee County Public Defender	58	0
Lawyers For Children	4,470	21
Legal Aid Society NYC	30,051	211
Legal Aid Society Rochester	4,127	10
Legal Aid Society Rockland	1,928	13
Legal Aid Society Suffolk	11,176	48
Sullivan Trail Legal Aid	1,548	1
Clinton County	491	0
Franklin County	421	0
Fulton County	406	0
Otsego County	346	0
Schuyler County	168	0
Children's Law Center Erie	2,293	8
Total:	73,041	381

Appellate Auxiliary Operations 2013 Workload

Mental Hygiene Legal Services

Department	Client Population	Client Services
1st Department	36,664	44,814
2nd Department	98,845	205,095
3rd Department	29,844	46,213
4th Department	50,026	65,239
Total:	215,379	361,361

Candidate Examination

State Board of Law Examiners	2013
Candidates for Examination	17,669
Individuals with Disabilities Applicants	377
Applicants for Certification	947
MPRE Scores Transferred In	12,064
Foreign Evaluations	3,310

Appellate Auxiliary Operations 2013 Workload

Candidate Fitness

Department	Applications for Admissions
1st Department	3,212
2nd Department	2,824
3rd Department	4,077
4th Department	394
Total:	10,507

Attorney Discipline

Department	Matters Received	Matters Disposed
1st Department	6,313	5,265
2nd Department	4,751	5,338
3rd Department	4,015	1,360
4th Department	1,769	1,756
Total:	16,848	13,719

**APPELLATE AUXILIARY OPERATIONS
ASSIGNED COUNSEL PROGRAM**

2015-16 Budget Request: \$59,850,000

Program Description

This Program provides funding for legal services and forensic evaluations required by section 35 of the Judiciary Law.

Assigned Counsel: Under section 35 of the Judiciary Law, indigent persons in certain proceedings are entitled to counsel at State expense. Included among these proceedings are *habeas corpus* proceedings involving prisoners in State institutions; commitment proceedings involving persons who are mentally ill, mentally incompetent or those with narcotic addictions; commitment of a child to an authorized agency by reason of the mental illness or retardation of his or her parent; adoption or custody proceedings where counsel is constitutionally mandated; and matters heard in Supreme Court in which Family Court could have exercised jurisdiction and that are eligible for assigned counsel under section 262 of the Family Court Act. In each Judicial Department, the Appellate Division appoints panels of attorneys to serve as assigned counsel. In the Fourth Judicial Department, the Unified Court System contracts with the Wyoming County-Attica Legal Aid Bureau for representation of Attica inmates in instances where section 35 affords them assigned counsel. Section 35 also authorizes State funding for certain necessary medical or psychiatric examinations in the proceedings in which it makes State-funded assigned counsel available.

The Assigned Counsel Program also supports the implementation of caseload standards for attorneys who provide legal representation to indigent persons in criminal matters in New York City pursuant to chapter 56 of the Laws of 2009.

Summary of 2015-16 Funding Request:

Assigned Counsel

2015-16 Budget Request: \$59,850,000	
<i>Personal Service:</i>	0
<i>Nonpersonal Service:</i>	59,850,000
<i>Maintenance Undistributed:</i>	0

The Assigned Counsel General Fund budget request is \$59.9 million or an increase of \$1.0 million (1.7%) over the current year adjusted appropriation.

The nonpersonal service request includes funding to support contractual obligations with legal service providers in New York City to reduce indigent criminal defense caseloads and for payments to attorneys and experts appointed in various judicial proceedings pursuant to section 35 of the Judiciary Law.

The increase of \$1.0 million is attributable to annualization and cost of living adjustments for indigent criminal defense providers in New York City to meet the statutory caseload standards. Also included is an expenditure-based increase in for attorney representation and forensic evaluation costs in Judiciary Law Section 35 matters.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Assigned Counsel Program

2015-16 Request

Assigned Counsel	Personal Service	Nonpersonal Service	Total
1st Department	\$0	\$335,000	\$335,000
2nd Department	\$0	\$645,000	\$645,000
3rd Department	\$0	\$130,000	\$130,000
4th Department	\$0	\$935,000	\$935,000
Court of Appeals	\$0	\$5,000	\$5,000
Indigent Criminal Defense	\$0	\$57,500,000	\$57,500,000
Undistributed	\$0	\$300,000	\$300,000
Total:	\$0	\$59,850,000	\$59,850,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ASSIGNED COUNSEL
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Other Professional Services	175,000	220,000	45,000
Assigned Counsel	1,672,000	2,127,000	455,000
Indigent Criminal Defense	57,000,000	57,500,000	500,000
Transcripts	5,000	3,000	(2,000)
Total Nonpersonal Service	<u>58,852,000</u>	<u>59,850,000</u>	<u>998,000</u>
 Grand Total	 58,852,000	 59,850,000	 998,000

**APPELLATE AUXILIARY OPERATIONS
ATTORNEY FOR THE CHILD PROGRAM**

2015-16 Budget Request: \$121,737,354

Program Description

This Program provides funding for legal representation to children in Family Court matters.

Attorney for the Child: Children in Family Court matters are entitled to State-funded legal representation in a wide array of circumstances including: all delinquency and supervision proceedings under Articles 3 and 7 of the Family Court Act (FCA); all child protective proceedings under Article 10 FCA; all termination of parental rights proceedings under Article 6 FCA and Social Services Law, Section 384-b; all proceedings to place a child in protective custody under Section 158 FCA; and, in certain foster care review proceedings under Social Services Law, Section 392. This legal representation is provided through the Attorney for the Child Program with oversight by each Appellate Division. Legal representation is provided through contracts with legal service agencies and by individual attorneys assigned from county panels.

Summary of 2015-16 Funding Request:

Attorney for the Child Program

2015-16 Budget Request:	\$121,737,354
<i>Personal Service:</i>	2,100,010
<i>Nonpersonal Service:</i>	119,637,344
<i>Maintenance Undistributed:</i>	0

The Attorney for the Child All Funds budget request is \$121.7 million or an increase of \$1.7 million (1.4%) over the current year adjusted appropriation.

The personal service request of \$2.1 million represents an increase of \$153,752 (7.9%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The nonpersonal service budget primarily supports payments to legal service providers and individual panel attorneys who provide legal representation to children (Attorney for Child Representation), and includes related expenses such as forensic evaluations (other professional services) and transcripts. Funding also includes statutorily mandated training (conferences and training) as well as litigation support and legal reference materials for these attorneys.

The nonpersonal service request of \$119.6 million reflects an increase of \$1.5 million (1.3%) over current year funding. The increase is primarily attributable to cost of living adjustments for contractual providers and an expenditure-based increase in other professional services (forensic evaluations). The increase is partially offset by expenditure-based reductions in transcripts and conference and training costs.

**Appellate Auxiliary Operations
Budget Summary - All Funds**

Attorney for the Child Program

2015-16 Request

Attorney for the Child	Personal Service	Nonpersonal Service	Total
1st Department	\$238,497	\$3,551,000	\$3,789,497
2nd Department	\$725,451	\$15,733,900	\$16,459,351
3rd Department	\$601,100	\$13,634,905	\$14,236,005
4th Department	\$534,962	\$16,124,324	\$16,659,286
Attorney for the Child Contracts	\$0	\$69,287,612	\$69,287,612
Undistributed	\$0	\$1,305,603	\$1,305,603
Total:	\$2,100,010	\$119,637,344	\$121,737,354

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
ATTORNEY FOR THE CHILD

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,945,658	2,099,010	153,352
PS Overtime	600	1,000	400
Total Personal Service	<u>1,946,258</u>	<u>2,100,010</u>	<u>153,752</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,300	4,300	0
Supplies and Materials	12,252	12,050	(202)
Travel	32,700	30,000	(2,700)
Equipment Rental and Repairs	13,045	12,345	(700)
Real Estate Rentals	64,125	62,712	(1,413)
Conferences and Training	116,000	106,000	(10,000)
Postage and Printing	20,666	17,835	(2,831)
Telecommunications	3,700	3,700	0
Information Technology Services	1,100	1,100	0
Records Management Services	11,500	11,500	0
Other Professional Services	1,142,200	1,177,900	35,700
Attorney for the Child Representation	116,644,338	118,145,902	1,501,564
In-Part Services	500	1,000	500
Transcripts	66,000	51,000	(15,000)
Total Nonpersonal Service	<u>118,132,426</u>	<u>119,637,344</u>	<u>1,504,918</u>
Grand Total	120,078,684	121,737,354	1,658,670

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ATTORNEY FOR THE CHILD
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,945,658	2,099,010	153,352
PS Overtime	600	1,000	400
Total Personal Service	<u>1,946,258</u>	<u>2,100,010</u>	<u>153,752</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	4,300	4,300	0
Supplies and Materials	12,252	12,050	(202)
Travel	32,700	30,000	(2,700)
Equipment Rental and Repairs	13,045	12,345	(700)
Real Estate Rentals	64,125	62,712	(1,413)
Conferences and Training	116,000	106,000	(10,000)
Postage and Printing	20,666	17,835	(2,831)
Telecommunications	3,700	3,700	0
Information Technology Services	1,100	1,100	0
Records Management Services	11,500	11,500	0
Other Professional Services	1,142,200	1,177,900	35,700
Attorney for the Child Representation	91,644,338	93,145,902	1,501,564
In-Part Services	500	1,000	500
Transcripts	66,000	51,000	(15,000)
Total Nonpersonal Service	<u>93,132,426</u>	<u>94,637,344</u>	<u>1,504,918</u>
 Grand Total	 <u>95,078,684</u>	 <u>96,737,354</u>	 <u>1,658,670</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ATTORNEY FOR THE CHILD
INDIGENT LEGAL SERVICES FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Nonpersonal Service</u>			
Attorney for the Child Representation	25,000,000	25,000,000	0
Total Nonpersonal Service	25,000,000	25,000,000	0
Grand Total	25,000,000	25,000,000	0

APPELLATE AUXILIARY OPERATIONS
MENTAL HYGIENE LEGAL SERVICE PROGRAM

2015-16 Budget Request: \$30,593,959

Program Description

This Program provides funding to support the operation of Mental Hygiene Legal Service (MHLS) offices.

MHLS: Under Article 47 of the Mental Hygiene Law, the MHLS Program provides legal services, advice and assistance to persons receiving care at in-patient and community-based facilities for the mentally disabled; this ensures that persons with mental and/or developmental disabilities receive the treatment to which they are entitled, including legal counsel in judicial and administrative proceedings concerning their admission, retention, transfer, care and treatment. Originally established to act as guardian of the due process rights of institutionalized mentally-disabled persons, the MHLS has since seen its mission statutorily broadened to include responsibilities in the areas of guardianship, involuntary outpatient treatment and sex offender management.

Summary of 2015-16 Funding Request:

Mental Hygiene Legal Service

2015-16 Budget Request:	\$30,593,959
<i>Personal Service:</i>	27,157,904
<i>Nonpersonal Service:</i>	3,436,055
<i>Maintenance Undistributed:</i>	0

The MHLS General Fund budget request is \$30.6 million or an increase of \$1.3 million (4.4%) over the current year adjusted appropriation.

The personal service request of \$27.2 million represents an increase of \$1.2 million (4.7%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The personal service request also includes \$319,980 in temporary service for attorneys and support staff in the Second, Third and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the MHLS program including: real estate rentals for office space in over 30 locations; transcripts and other professional services required for administrative hearings and court proceedings; legal reference materials and online services; supplies and materials and travel.

The nonpersonal service request of \$3.4 million reflects an increase of \$63,024 (1.9%) over current year funding. The increase is primarily attributable to a growing need for expert witnesses and forensic evaluations related to sex offender civil confinement litigation as well as lease escalation costs in real estate rentals. Digitizing cost increases are also noted in records management services. The overall increase is partially offset by expenditure-based reductions in equipment rental and repairs, postage and printing, telecommunications and transcripts.

**Appellate Auxiliary Operations
Budget Summary - General Fund**

Mental Hygiene Legal Service Program

2015-16 Request

Mental Hygiene Legal Service	Personal Service	Nonpersonal Service	Total
1st Department	\$5,829,236	\$356,450	\$6,185,686
2nd Department	\$11,755,015	\$1,331,165	\$13,086,180
3rd Department	\$4,191,711	\$631,300	\$4,823,011
4th Department	\$5,381,942	\$1,117,140	\$6,499,082
Total:	\$27,157,904	\$3,436,055	\$30,593,959

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

MENTAL HYGIENE LEGAL SERVICES
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	25,377,575	26,837,924	1,460,349
PS Temporary	553,684	319,980	(233,704)
Total Personal Service	<u>25,931,259</u>	<u>27,157,904</u>	<u>1,226,645</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	158,300	161,300	3,000
Supplies and Materials	86,457	86,279	(178)
Travel	366,000	365,500	(500)
Equipment Rental and Repairs	94,716	81,224	(13,492)
Real Estate Rentals	1,060,520	1,098,700	38,180
Conferences and Training	5,000	4,800	(200)
Postage and Printing	58,513	54,413	(4,100)
Telecommunications	89,626	80,158	(9,468)
Information Technology Services	14,860	14,860	0
Records Management Services	27,800	36,000	8,200
Other Professional Services	1,326,860	1,376,842	49,982
In-Part Services	960	1,560	600
Transcripts	83,419	74,419	(9,000)
Total Nonpersonal Service	<u>3,373,031</u>	<u>3,436,055</u>	<u>63,024</u>
 Grand Total	 <u>29,304,290</u>	 <u>30,593,959</u>	 <u>1,289,669</u>

**APPELLATE AUXILIARY OPERATIONS
CANDIDATE EXAMINATION PROGRAM**

2015-16 Budget Request:	\$5,424,933
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Program Description

This Program provides funding for the operation of the State Board of Law Examiners.

State Board of Law Examiners: All applicants for admission to practice law in New York State are required by law to demonstrate their fitness by successful performance on the NYS Bar Examination and by demonstrating good moral character on a credential review. The State Board of Law Examiners develops and administers the NYS Bar Examination twice annually, and the Appellate Divisions, through their Committees on Character and Fitness, conduct the requisite character review of each candidate for admission. The Committees on Character and Fitness are funded through the Candidate Fitness program.

Summary of 2015-16 Funding Request:
Candidate Examination

2015-16 Budget Request:	\$5,424,933
<i>Personal Service:</i>	<i>1,647,933</i>
<i>Nonpersonal Service:</i>	<i>3,777,000</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Candidate Examination Miscellaneous Special Revenue Fund budget request is \$5.4 million or an increase of \$133,943 (2.5%) over the current year adjusted appropriation.

The personal service request of \$1.6 million represents an increase of \$133,943 (8.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments and longevity bonuses as required by law, for all eligible employees.

The personal service budget also includes \$16,000 for overtime to support current levels of operations.

The nonpersonal service funding supports expenses associated with the administration of the Bar Examination including: other professional services for fees paid to the national association for the multi-state portion of the exam, consultant fees, security costs and proctor and grader payments. The nonpersonal service funding also includes real estate rentals for testing locations and accounting and auditing services for credit card payments.

The nonpersonal service request of \$3.8 million reflects no change from current year funding.

The Candidate Examination program collects revenue of approximately \$6.9 million annually in Bar Examination fees which is deposited into the General Fund.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Candidate Examination Program

2015-16 Request

Candidate Examination	Personal Service	Nonpersonal Service	Total
Board of Law Examiners	\$1,647,933	\$3,777,000	\$5,424,933
Total:	\$1,647,933	\$3,777,000	\$5,424,933

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

BOARD OF LAW EXAMINERS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,497,990	1,631,933	133,943
PS Overtime	16,000	16,000	0
Total Personal Service	<u>1,513,990</u>	<u>1,647,933</u>	<u>133,943</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	42,000	42,000	0
Travel	50,000	50,000	0
Equipment Rental and Repairs	178,000	178,000	0
Real Estate Rentals	1,300,000	1,300,000	0
Postage and Printing	137,500	137,500	0
Telecommunications	50,000	50,000	0
Information Technology Services	10,000	10,000	0
Accounting and Auditing Services	100,000	100,000	0
Other Professional Services	1,899,500	1,899,500	0
In-Part Services	10,000	10,000	0
Total Nonpersonal Service	<u>3,777,000</u>	<u>3,777,000</u>	<u>0</u>
 Grand Total	 5,290,990	 5,424,933	 133,943

**APPELLATE AUXILIARY OPERATIONS
CANDIDATE FITNESS PROGRAM**

2015-16 Budget Request:	\$1,100,965
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Program Description

This Program provides funding for operation of the Committees on Character and Fitness maintained by each of the four Appellate Divisions.

Committees on Character and Fitness: Every person who successfully completes the New York State Bar Examination and applies for admission to practice law in New York is required by law to demonstrate that he or she is of good moral character and fit to act as a lawyer. Each of the four Appellate Divisions has a Committee on Character and Fitness to conduct the necessary character review for each applicant.

Summary of 2015-16 Funding Request:

Candidate Fitness Program

2015-16 Budget Request:	\$1,100,965
<i>Personal Service:</i>	<i>899,011</i>
<i>Nonpersonal Service:</i>	<i>201,954</i>
<i>Maintenance Undistributed:</i>	<i>0</i>

The Candidate Fitness Miscellaneous Special Revenue Fund budget request is \$1.1 million or a decrease of \$319,416 (-22.5%) from the current year adjusted appropriation.

The personal service request of \$899,011 represents a decrease of \$313,140 (-25.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The increase in these funding requirements is offset by savings associated with turnover.

The nonpersonal service funding supports usual and necessary expenses associated with the administration of the Candidate Fitness Program including postage and printing, supplies and materials and equipment rental and repairs.

The nonpersonal service request of \$201,954 reflects a decrease of \$6,276 (-3.0%) from current year funding. The decrease is primarily attributable to expenditure-based reductions in other professional services, postage and printing, and transcripts.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Candidate Fitness Program

2015-16 Request

Candidate Fitness	Personal Service	Nonpersonal Service	Total
1st Department	\$624,717	\$37,921	\$662,638
2nd Department	\$274,294	\$52,850	\$327,144
3rd Department	\$0	\$99,858	\$99,858
4th Department	\$0	\$11,325	\$11,325
Total:	\$899,011	\$201,954	\$1,100,965

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

CANDIDATE FITNESS
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,212,151	899,011	(313,140)
Total Personal Service	1,212,151	899,011	(313,140)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	100	100	0
Supplies and Materials	13,950	13,636	(314)
Travel	3,208	3,008	(200)
Equipment Rental and Repairs	10,150	9,700	(450)
Real Estate Rentals	0	135	135
Conferences and Training	4,700	4,700	0
Postage and Printing	50,925	49,925	(1,000)
Telecommunications	2,600	2,600	0
Information Technology Services	600	600	0
Records Management Services	38,700	38,700	0
Other Professional Services	60,047	56,600	(3,447)
Transcripts	23,250	22,250	(1,000)
Total Nonpersonal Service	208,230	201,954	(6,276)
 Grand Total	 1,420,381	 1,100,965	 (319,416)

**APPELLATE AUXILIARY OPERATIONS
ATTORNEY DISCIPLINE PROGRAM**

2015-16 Budget Request: \$14,859,673

Program Description

This Program provides funding to support the Attorney Grievance Committees and disciplinary proceedings.

Attorney Grievance Committees: Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (e.g., censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

Summary of 2015-16 Funding Request:

Attorney Discipline

2015-16 Budget Request: \$14,859,673

<i>Personal Service:</i>	13,246,122
<i>Nonpersonal Service:</i>	1,613,551
<i>Maintenance Undistributed:</i>	0

The Attorney Discipline Special Revenue Fund budget request is \$14.9 million or an increase of \$484,458 (3.4%) over the current year adjusted appropriation.

The personal service request of \$13.2 million represents an increase of \$490,281 (3.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees.

The personal service request also includes \$343,362 for temporary service to support attorneys and staff in the Second and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline program including: real estate rentals for office space; transcript costs and other professional services (forensic evaluations) required for disciplinary hearings; legal reference materials and online services; supplies and materials.

The nonpersonal service request of \$1.6 million reflects a decrease of \$5,823 (-0.4%) from current year funding. The decrease is attributable to reduced real estate lease costs and telecommunications expenses partially offset by expenditure-based increases in transcripts and other professional services.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Attorney Discipline Program

2015-16 Request

Attorney Discipline	Personal Service	Nonpersonal Service	Total
1st Department	\$3,791,231	\$248,900	\$4,040,131
2nd Department	\$6,349,073	\$830,838	\$7,179,911
3rd Department	\$1,234,624	\$204,585	\$1,439,209
4th Department	\$1,871,194	\$329,228	\$2,200,422
Total:	\$13,246,122	\$1,613,551	\$14,859,673

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ATTORNEY DISCIPLINE
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,458,047	12,902,760	444,713
PS Temporary	297,794	343,362	45,568
Total Personal Service	<u>12,755,841</u>	<u>13,246,122</u>	<u>490,281</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	102,458	100,593	(1,865)
Supplies and Materials	90,582	99,432	8,850
Travel	69,300	66,800	(2,500)
Equipment Rental and Repairs	107,844	103,329	(4,515)
Real Estate Rentals	812,079	784,160	(27,919)
Conferences and Training	11,400	10,400	(1,000)
Postage and Printing	57,661	57,537	(124)
Telecommunications	29,200	23,650	(5,550)
Information Technology Services	10,500	11,000	500
Records Management Services	36,750	40,250	3,500
Other Professional Services	112,100	128,400	16,300
In-Part Services	1,500	1,000	(500)
Judicial Hearing Officers	13,000	13,000	0
Transcripts	165,000	174,000	9,000
Total Nonpersonal Service	<u>1,619,374</u>	<u>1,613,551</u>	<u>(5,823)</u>
Grand Total	14,375,215	14,859,673	484,458

Major Purpose Summary
ADMINISTRATION AND
GENERAL SUPPORT

2015-16 All Funds Budget Request:	\$20,920,361
<i>General Fund:</i>	<i>18,172,736</i>
<i>State Special Revenue Funds:</i>	<i>2,747,625</i>

Major Purpose Description

The Administration and General Support Major Purpose requests funding to provide management support for the Unified Court System. Under the Constitution, the Chief Judge of the State, with the advice and consent of the Administrative Board of the Courts, designates a Chief Administrator of the Courts (or Chief Administrative Judge if he or she is a judge), who bears responsibility for day-to-day supervision and operation of the courts. By statute and by direction of the Chief Judge, the Chief Administrator designates Deputy Chief Administrative Judges for the courts within and outside New York City to aid the Chief Administrator in the discharge of his or her duties. The Chief Administrator also is empowered to establish an administrative office for the courts to provide necessary staff support in a broad array of areas, including: legal and intergovernmental affairs; policy planning and executive direction; financial management and budget preparation; education and training; internal affairs; human resources, media relations and public affairs; and court research.

This Major Purpose also requests special revenue funding from the Attorney Licensing Fund (ALF) for management of the attorney registration database. Under Judiciary Law, every attorney admitted to the practice of law in New York must register biennially with the Office of Court Administration and pay a \$375 registration fee. This fee is distributed among the Lawyer's Fund for Client Protection, the Indigent Legal Services Fund, the Legal Services Assistance Fund and the Attorney Licensing Fund.

Finally, the Administration and General Support Major Purpose requests special revenue funding from the Court Facilities Incentive Aid Fund for management of the State's obligations under the Court Facilities Act of 1987, which established a State-aid program to assist counties and cities in meeting their obligations in relation to maintaining court facilities. As originally adopted, this aid program provided localities with reimbursement for a portion of the debt service and maintenance and operations costs associated with court facilities. In the mid-1990's, the Legislature modified the Court Facilities Act to provide for full State assumption of local costs incurred in the cleaning and minor repair of court facilities. This Major Purpose funds the staff who manage implementation of the Court Facilities Act. Funding for the aid program is requested from the Court Facilities Incentive Aid Fund in the Local Assistance budget.

Summary of 2015-16 Funding Request: Administration and General Support

The Administration and General Support Major Purpose State Operations All Funds budget request is \$20.9 million, or an increase of \$0.2 million (0.9%) over the current year adjusted appropriation. This request includes the following:

Personal Service

The personal service request of \$18.4 million represents an increase of \$0.3 million (1.4%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is partially offset by savings associated with turnover.

Nonpersonal Service

Nonpersonal service funding supports the usual and necessary expenses associated with administrative office operations including: supplies, travel, postage, printing, and conferences. Also reflected in accounting and auditing services is the payment of credit card fees associated with online payment of attorney registration fees. A request for office clerical services is included to meet the need for supervisors and monitors to be available to conduct exams offered by the Civil Service Unit within the Division of Human Resources.

The nonpersonal service request is \$2.5 million, or a decrease of \$72,831 (-2.8%) from current year funding. Decreases in supplies, travel, printing, and rentals of equipment reflect the continuation of savings and consolidation efforts. These decreases are partially offset by increases in accounting and auditing services and office clerical services. The increase in accounting and auditing services relates to the continued increase in credit card usage by attorneys paying attorney registration fees. The increase in office clerical services, within the other professional services budget category, relates to an increase in the number of civil service exams being offered in fiscal year 2015-16 as the court system strives to provide additional staff to the trial courts.

**Administration and General Support
Budget Summary - All Funds**

2015-16 Request

Administration & General Support	Personal Service	Nonpersonal Service	Total
UCS Commissions	\$698,716	\$128,900	\$827,616
Communications	\$486,618	\$10,500	\$497,118
Counsel Activities	\$2,972,990	\$31,215	\$3,004,205
Deputy Chief Administrative Judges	\$1,610,297	\$41,815	\$1,652,112
Division of Financial Management	\$1,973,176	\$20,650	\$1,993,826
Executive Direction	\$2,211,553	\$177,600	\$2,389,153
Internal Audit Services	\$1,767,997	\$123,330	\$1,891,327
Judicial Institute Education & Training	\$754,539	\$729,500	\$1,484,039
Jury, Court Statistics & Operations	\$1,408,786	\$59,050	\$1,467,836
HR Civil Service & Labor Administration	\$2,010,113	\$288,050	\$2,298,163
Public Affairs	\$355,067	\$24,888	\$379,955
Attorney Registration	\$853,833	\$797,609	\$1,651,442
Court Facilities Administration	\$1,085,183	\$11,000	\$1,096,183
Web Design	\$469,336	\$49,350	\$518,686
Undistributed	(\$231,300)	\$0	(\$231,300)
Total:	\$18,426,904	\$2,493,457	\$20,920,361

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
ADMINISTRATION
& GENERAL SUPPORT

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	18,153,857	18,413,054	259,197
PS Overtime	16,600	13,850	(2,750)
Total Personal Service	<u>18,170,457</u>	<u>18,426,904</u>	<u>256,447</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,433	13,238	(5,195)
Supplies and Materials	196,581	117,745	(78,836)
Travel	603,850	558,500	(45,350)
Equipment Rental and Repairs	83,709	62,909	(20,800)
Real Estate Rentals	105,500	90,500	(15,000)
Conferences and Training	251,000	268,000	17,000
Postage and Printing	361,450	315,000	(46,450)
Information Technology Services	36,900	43,450	6,550
Accounting and Auditing Services	472,000	570,000	98,000
Records Management Services	50,000	50,000	0
Other Professional Services	330,000	347,250	17,250
In-Part Services	15,000	15,000	0
ADR/SCAR/Arbitration	5,000	10,000	5,000
Transcripts	36,865	31,865	(5,000)
Total Nonpersonal Service	<u>2,566,288</u>	<u>2,493,457</u>	<u>(72,831)</u>
Grand Total	20,736,745	20,920,361	183,616

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ADMINISTRATION
& GENERAL SUPPORT
STATE OPERATIONS - GENERAL FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	16,145,592	16,484,038	338,446
PS Overtime	6,600	3,850	(2,750)
Total Personal Service	<u>16,152,192</u>	<u>16,487,888</u>	<u>335,696</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	18,433	13,238	(5,195)
Supplies and Materials	168,081	101,745	(66,336)
Travel	595,600	550,250	(45,350)
Equipment Rental and Repairs	80,250	59,450	(20,800)
Real Estate Rentals	105,500	90,500	(15,000)
Conferences and Training	251,000	268,000	17,000
Postage and Printing	133,450	134,100	650
Information Technology Services	36,900	43,450	6,550
Accounting and Auditing Services	47,000	20,000	(27,000)
Other Professional Services	330,000	347,250	17,250
In-Part Services	15,000	15,000	0
ADR/SCAR/Arbitration	5,000	10,000	5,000
Transcripts	36,865	31,865	(5,000)
Total Nonpersonal Service	<u>1,823,079</u>	<u>1,684,848</u>	<u>(138,231)</u>
Grand Total	17,975,271	18,172,736	197,465

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ADMINISTRATION
& GENERAL SUPPORT
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	857,756	843,833	(13,923)
PS Overtime	10,000	10,000	0
Total Personal Service	<u>867,756</u>	<u>853,833</u>	<u>(13,923)</u>
<u>Nonpersonal Service</u>			
Supplies and Materials	25,000	12,500	(12,500)
Travel	750	750	0
Equipment Rental and Repairs	3,459	3,459	0
Postage and Printing	228,000	180,900	(47,100)
Accounting and Auditing Services	425,000	550,000	125,000
Records Management Services	50,000	50,000	0
Total Nonpersonal Service	<u>732,209</u>	<u>797,609</u>	<u>65,400</u>
 Grand Total	 1,599,965	 1,651,442	 51,477

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ADMINISTRATION
& GENERAL SUPPORT
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	1,150,509	1,085,183	(65,326)
Total Personal Service	1,150,509	1,085,183	(65,326)
<u>Nonpersonal Service</u>			
Supplies and Materials	3,500	3,500	0
Travel	7,500	7,500	0
Total Nonpersonal Service	11,000	11,000	0
 Grand Total	 1,161,509	 1,096,183	 (65,326)

Major Purpose Summary
LAWYERS' FUND FOR CLIENT PROTECTION

2015-16 All Funds Budget Request:	\$10,782,613
<i>General Fund:</i>	<i>0</i>
<i>Lawyers' Fund for Client Protection:</i>	<i>10,782,613</i>

Major Purpose Description

This Major Purpose provides funding for the operation of the Lawyers' Fund for Client Protection. The Judiciary submits this budget request on behalf of the Fund's Board of Trustees.

Lawyers' Fund for Client Protection: Originally known as the Client Security Fund, the Lawyers' Fund was established by the Legislature in 1981 for the purpose of providing protection to law clients from the misappropriation or willful misapplication of their money and property by their lawyers. The Fund is administered by a Board of Trustees appointed by the Court of Appeals and funded principally from a portion of the biennial attorney registration fee and augmented by appropriations from the Attorney Licensing Fund.

Summary of 2015-16 Funding Request: Lawyers' Fund for Client Protection

The Lawyers' Fund for Client Protection Special Revenue Fund budget request is \$10.8 million, or a decrease of \$2 million (-15.5%) from the current year adjusted appropriation.

Personal Service

The personal service request of \$490,213 represents an increase of \$13,550 (2.8%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions and for the payment of salary increases and longevity bonuses for eligible employees, as approved by the Board of Trustees.

Nonpersonal Service

The nonpersonal service funding primarily supports the payment of awards as approved by the Board of Trustees. In addition, funding for the usual and necessary expenses associated with office operations is reflected. The nonpersonal service request is \$10.3 million, or a decrease of \$2 million (-16.2%) from current year funding. This decrease is attributable to the anticipation of fewer awards of reimbursement; the majority of losses from the Andrew F. Capoccia law firm will have been settled.

**Lawyers' Fund for Client Protection
Budget Summary - State Special Revenue Fund**

2015-16 Request

Lawyers' Fund for Client Protection	Personal Service	Nonpersonal Service	Total
Lawyers' Fund for Client Protection	\$490,213	\$10,292,400	\$10,782,613
Total:	\$490,213	\$10,292,400	\$10,782,613

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

LAWYERS' CLIENT PROTECTION
LAWYERS' FUND FOR CLIENT
PROTECTION

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	476,663	490,213	13,550
Total Personal Service	476,663	490,213	13,550
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	10,000	10,000	0
Supplies and Materials	7,500	9,500	2,000
Travel	26,000	26,000	0
Equipment Rental and Repairs	14,000	14,000	0
Real Estate Rentals	42,000	43,200	1,200
Postage and Printing	18,000	17,500	(500)
Telecommunications	6,000	6,000	0
Other Professional Services	12,156,500	10,166,200	(1,990,300)
Total Nonpersonal Service	12,280,000	10,292,400	(1,987,600)
 Grand Total	 12,756,663	 10,782,613	 (1,974,050)

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2015-16

Part II

Aid to Localities

Major Purpose Summary
AID TO LOCALITIES

2015-16 All Funds Budget Request:	\$106,645,584
<i>General Fund:</i>	<i>2,445,584</i>
<i>Court Facilities Incentive Aid Fund:</i>	<i>104,200,000</i>

Major Purpose Description

The Aid to Localities Major Purpose is comprised of the following programs: Court Facilities Incentive Aid and Justice Court Assistance.

Summary of 2015-16 Funding: Aid to Localities

The Aid to Localities All Funds budget request is \$106.6 million. This reflects an increase of \$4.3 million (4.3%) over the current year adjusted appropriation. The request includes the following:

Maintenance Undistributed

Maintenance undistributed funding supports State assistance to local governments through the Court Facilities Incentive Aid Program and the Justice Court Assistance Program.

The maintenance undistributed request is \$106.6 million. The Court Facilities Incentive Aid Program reflects an increase of \$4.3 million which represents a technical correction that aligns appropriation with cash.

**Aid to Localities
Budget Summary - All Funds**

2015-16 Request

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$104,200,000	\$104,200,000
Justice Court Assistance	\$2,445,584	\$2,445,584
Total:	\$106,645,584	\$106,645,584

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

ALL FUNDS
AID TO LOCALITIES

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	16,551,075	15,303,937	(1,247,138)
State Supported Facilities	13,252,879	14,686,489	1,433,610
Cleaning and Repairs	70,127,957	74,209,574	4,081,617
Justice Court Assistance Program	2,445,584	2,445,584	0
Total Maintenance Undistributed	<u>102,377,495</u>	<u>106,645,584</u>	<u>4,268,089</u>
Grand Total	102,377,495	106,645,584	4,268,089

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID PROGRAM

2015-16 Budget Request: \$104,200,000

Program Description

This Program provides funding for State assistance to local governments for court facilities.

Court Facilities Incentive Aid Program: This program was established in 1986 to provide financial assistance to local governments for the construction and maintenance of court facilities. State support for local governments under the Court Facilities Incentive Aid Program (CFIA) includes interest aid subsidies, reimbursement of cleaning costs and full debt service for appellate facilities and the Judicial Training Institute.

Summary of 2015-16 Funding Request:

Court Facilities Incentive Aid

2015-16 Budget Request: \$104,200,000	
<i>Personal Service:</i>	<i>0</i>
<i>Nonpersonal Service:</i>	<i>0</i>
<i>Maintenance Undistributed:</i>	<i>104,200,000</i>

The Aid to Localities - Court Facilities Incentive Aid Fund budget request is \$104.2 million. This is an increase of \$4,268,089 or 4.3% over the current year appropriation. This increase represents a technical correction that aligns appropriation with cash; there is no requested increase in cash.

The maintenance undistributed request reflects \$15.3 million for interest aid subsidies, \$74.2 million for reimbursement of court cleaning and minor repair expenses, and \$14.7 million for reimbursement of Appellate Division facilities' expenses, including local chamber space for Court of Appeals Judges and funding to support debt service and facility operating expenses of the New York State Judicial Institute.

Aid to Localities
Budget Summary - Court Facilities Incentive Aid Fund

Court Facilities Incentive Aid Program

2015-16 Request

Aid to Localities	Maintenance Undistributed	Total
Court Facilities Incentive Aid	\$104,200,000	\$104,200,000
Total:	\$104,200,000	\$104,200,000

State of New York - Judiciary
Budget Summary for Fiscal Year 2015-2016

AID TO LOCALITIES
COURT FACILITIES INCENTIVE AID
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Interest Aid	16,551,075	15,303,937	(1,247,138)
State Supported Facilities	13,252,879	14,686,489	1,433,610
Cleaning and Repairs	70,127,957	74,209,574	4,081,617
Total Maintenance Undistributed	<u>99,931,911</u>	<u>104,200,000</u>	<u>4,268,089</u>
 Grand Total	 99,931,911	 104,200,000	 4,268,089

AID TO LOCALITIES
JUSTICE COURT ASSISTANCE PROGRAM

2015-16 Budget Request: \$2,445,584

Program Description

This Program provides funding for State assistance to Town and Village Justice courts.

Justice Court Assistance Program (“JCAP”): In 1999, the State inaugurated JCAP, a State assistance program funded through the Judiciary Budget, by which limited State funding would be provided to towns and villages to help them automate their Justice Courts, improve their security, facilitate their online legal reference capabilities, acquire necessary supplies and generally modernize their operations. JCAP funding is disbursed by the Chief Administrative Judge in awards of up to \$30,000 annually provided to applicant towns and villages.

Summary of 2015-16 Funding Request:

Justice Court Assistance

2015-16 Budget Request:	\$2,445,584
<i>Personal Service:</i>	<i>0</i>
<i>Nonpersonal Service:</i>	<i>0</i>
<i>Maintenance Undistributed:</i>	<i>2,445,584</i>

The Aid to Localities Justice Court Assistance Program budget request is \$2.4 million. There is no change from the current year appropriation.

The maintenance undistributed request is \$2.4 million which will be distributed to local justice courts through this program.

Aid to Localities
Budget Summary - Local Assistance

Justice Court Assistance Program

2015-16 Request

Justice Court Assistance	Maintenance Undistributed	Total
Justice Court Assistance	\$2,445,584	\$2,445,584
Total:	\$2,445,584	\$2,445,584

State of New York - Judiciary
 Budget Summary for Fiscal Year 2015-2016

AID TO LOCALITIES
 LOCAL ASSISTANCE

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Maintenance Undistributed</u>			
Justice Court Assistance Program	2,445,584	2,445,584	0
Total Maintenance Undistributed	2,445,584	2,445,584	0
Grand Total	2,445,584	2,445,584	0

STATE OF NEW YORK
JUDICIARY BUDGET
FY 2015-16

Part III
Capital Projects

The 2015-16 Judiciary budget request does not include any new appropriations for capital projects. It does seek to reappropriate capital funds originally appropriated in fiscal 2007-08 for the development of a Court Officer Training Academy in Kings County.

Court Officer Academy in Kings County

One of the primary recommendations of the Task Force on Court Security—convened by the Chief Judge and Chief Administrative Judge in the aftermath of September 11, 2001—was the expansion of training for court security officers by establishing appropriate residential facilities similar to those in use by the Department of Corrections and the State Police. Such facilities are necessary because of the unique security environments in which court officers operate and because of certain insufficiencies in the Judiciary’s existing nonresidential program. Residential facilities would resolve many operational difficulties and would also facilitate court officer recruitment. In addition, the creation of a residential training facility will assist the court system in meeting the newly established training requirements under chapter 491 of the Laws of 2010.

Chapter 548 of the Laws of 2006 provided for the construction or acquisition of a Court Officer Training Academy to be located on specified property within Kings County. The Judiciary, with the assistance of the Dormitory Authority of the State of New York, has entered into a 49-year lease with a nonprofit entity for the purpose of renovating a former school complex into a state-of-the-art Court Officer Training Academy in Kings County. The project entered the construction phase in early Spring of 2014 and is scheduled for completion in the Spring of 2016. Funds needed for this project are estimated at \$51 million.