

**ADMINISTRATIVE ORDER OF THE
CHIEF ADMINISTRATIVE JUDGE OF THE COURTS**

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby repeal the current section 207.20 of the Uniform Rules for Surrogate's Court (relating to the inventory of assets), and adopt the following new section 207.20, effective March 1, 2016:

Section 207.20 Inventory of assets.

(a) The fiduciary or the attorney of record shall furnish the court with an Inventory of Assets form which identifies the following:

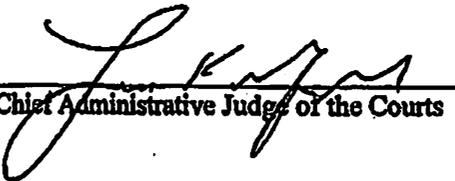
(1) those assets that either were owned by the decedent individually, including those in which the decedent had a partial interest, or were payable or transferrable to the decedent's estate, by indicating the total value thereof by letter only for one of the following categories: A-under \$10,000; B-\$10,000 to under \$20,000; C-\$20,000 to under \$50,000; D-\$50,000 to under \$100,000; E-\$100,000 to under \$250,000; F-\$250,000 to under \$500,000; G-\$500,000 or over; and

(2) those assets held in trust; those assets over which the decedent had the power to designate a beneficiary; jointly owned property; and all other non-probate property of the decedent by checking yes or no.

(b) The Inventory of Assets form shall be filed with the court within nine months of the date letters issued to the fiduciary or as the court otherwise directs.

(c) In the event the Inventory of Assets is not filed, the court may refuse to issue certificates, may revoke the letters and may refuse to issue new ones until such list has been filed and the fees paid as provided in SCPA 2402. Failure to file such list of assets may also constitute grounds for disallowance of commissions or legal fees.

(d) If any additional filing fees are due, they shall be paid to the court at the time of the submission of the inventory.



Chief Administrative Judge of the Courts

Dated: December 23, 2015

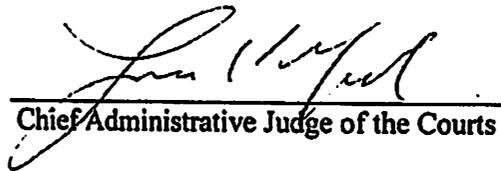
AO/189/15

**ADMINISTRATIVE ORDER OF THE
CHIEF ADMINISTRATIVE JUDGE OF THE COURTS**

Pursuant to the authority vested in me, effective March 1, 2016, I hereby rescind the following form currently in use in proceedings in the Surrogate's Court,

I-1 Inventory of Assets

and promulgate for use in its stead the form attached as Exh. A.



Chief Administrative Judge of the Courts

Dated: February 29, 2016

AO/71/16

EXHIBIT A

TO BE FILLED OUT BY FIDUCIARY or ATTORNEY FOR FIDUCIARY

Total Estate Assets (see below)* _____
Filing fee SCPA 2402(7) _____
Filing fee initially paid _____
Balance (Refund) Due _____

**SURROGATE'S COURT OF THE STATE OF NEW YORK
COUNTY OF _____**

In the Matter of _____x

INVENTORY OF ASSETS (Rule §207.20)

Deceased. _____x

File No: _____

The undersigned, a fiduciary or attorney for the fiduciary of the above Decedent's estate, certifies that the following constitutes the gross estate for tax purposes and identifies whether non-estate assets exist. Complete below according to the following value categories:

- Category A - under \$10,000; Category B - \$10,000 to under \$20,000; Category C - \$20,000 to under \$50,000;
Category D - \$50,000 to under \$100,000; Category E - \$100,000 to under \$250,000;
Category F - \$250,000 to under \$500,000; Category G - \$500,000 or over.

Date of Death: _____ Date of Letters: _____ Type of Letters _____

Name of Fiduciary(ies) and, if changed, fiduciary(ies) address: _____

**ASSETS INDIVIDUALLY OWNED BY DECEDENT
OR PAYABLE TO ESTATE**

CATEGORY

- | | |
|--|--|
| 1. Real Estate | _____ |
| 2. Stocks and Bonds | _____ |
| 3. Insurance Payable to Estate | _____ |
| 4. IRAs, 401Ks Payable to Estate | _____ |
| 5. Mortgages or Notes Held by Decedent | _____ |
| 6. Cash | _____ |
| 7. Miscellaneous | _____ |
| 8. Firearms | _____ |
| (Check appropriate box) | [] Yes - See attached Firearms Inventory form |
| | [] None |
| *TOTAL ESTATE ASSETS | _____ |

NON-ESTATE ASSETS - CHECK YES OR NO TO EACH OF THE FOLLOWING:

- | | | |
|--|-----------|----------|
| 9. Living Trust | _____ Yes | _____ No |
| If yes, set forth the Name of the Trustee(s) | | |
| _____ | | |
| 10. Gifts in Excess of Federal Annual Exclusion
Made Within 3 Years of Decedent's Death | _____ Yes | _____ No |
| 11. Jointly Held Property (Real or Personal) | _____ Yes | _____ No |
| 12. Insurance Payable to Beneficiary | _____ Yes | _____ No |
| 13. IRAs, 401K's Payable to Beneficiary | _____ Yes | _____ No |
| 14. Annuities | _____ Yes | _____ No |
| 15. Powers of Appointment | _____ Yes | _____ No |
| 16. Cause(s) of Action Pending | _____ Yes | _____ No |
| If yes, identify Court and Index Number | | |
| _____ | | |

Certified to be true on the _____ day of _____, 20____

Signature

Attorney's Name

Print Name

Attorney's Address & Telephone No: _____