



NOA #

Notice of Appointment and Certification of Compliance

(Pursuant to 22 NYCRR § 36.4)

DATE OF APPOINTMENT: _____ / _____ / _____
Month Day Year

Non-List

PART A

Notice of Appointment

1. Appointee's Name and Fiduciary Identification Number:

FID #

Name: _____
First Middle Last Suffix (Jr., Sr., III)

2. Address/Phone/FAX/Email:

Business Name (if any)

Street City/Town/Village State Zip

Phone Fax E-Mail

3. Type of Appointment:

4. Index/File No.:

Number / Year

5. Court:

6. County:

7. Title of Action/Proceeding:

8. Case Type:

9. Appointing Judge:

First Middle Last Suffix (Jr., Sr., III)

2. **Part 36 appointments RECEIVED during CURRENT calendar year:**

a) Review information for current calendar year appointments provided below from the records of the Office of Court Administration; circle errors and enter corrections on this form. b) In the blank spaces below, provide information for all current calendar year appointments not pre-recorded on this form. c) Mark "X" in the box in Column E for any appointment (including pre-recorded appointments) for which compensation of \$15,000 or more is anticipated to be awarded in *any* single calendar year (current or future). Include compensation already awarded in calculating anticipated compensation for the current calendar year.

A <u>Index/File No.</u> (Number/year)	B <u>Court</u>	C <u>County</u>	D <u>Appointing Judge</u>	E <u>Anticipated Compensation of \$15,000 or more</u>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>
_____/____	_____	_____	Judge _____	<input type="checkbox"/>

3. **Part 36 appointments for which compensation was AWARDED during PRIOR calendar year:**

a) Review information for prior calendar year compensation provided below from the records of the Office of Court Administration; circle errors and enter corrections on this form. b) In the blank spaces below, provide information for all appointments not pre-recorded on this form for which compensation was awarded during the prior calendar year. c) Total all amounts of compensation entered in Column E (including pre-recorded amounts) in the space provided at the bottom of the column.

A <u>Index/File No.</u> (Number/year)	B <u>Court</u>	C <u>County</u>	D <u>Appointing Judge</u>	E <u>Compensation Awarded</u>
_____/____	_____	_____	Judge _____	\$ _____
_____/____	_____	_____	Judge _____	\$ _____
_____/____	_____	_____	Judge _____	\$ _____
_____/____	_____	_____	Judge _____	\$ _____
_____/____	_____	_____	Judge _____	\$ _____
_____/____	_____	_____	Judge _____	\$ _____
_____/____	_____	_____	Judge _____	\$ _____
_____/____	_____	_____	Judge _____	\$ _____
_____/____	_____	_____	Judge _____	\$ _____

• **Total compensation awarded during prior calendar year** **from Column E: \$** _____

4. I certify that I am qualified to accept the appointment in Part A of this form pursuant to section 36.2(c) of the Rules of the Chief Judge (22 NYCRR) because I AM NOT:
- a. a full-time or a part-time judge or housing judge of the Unified Court System or a relative of, or related by marriage to, a full-time or a part-time judge or housing judge of the Unified Court System within the fourth degree of relationship (Town and Village judges are judges of the Unified Court System);
 - b. a full-time or part-time employee of the Unified Court System;
 - c. the spouse, brother/sister, parent or child of a full-time or part-time employee of the Unified Court System at or above salary grade JG24, or its equivalent: 1) employed within the judicial district of the court making the appointment in Part A of this form, or 2) with statewide responsibilities;
 - d. a person who currently serves, or has served within the last two years (commencing January 1, 2003), as chair, executive director, or the equivalent, of a state or county political party; the spouse, brother/sister, parent or child of such political party official; or a member, associate, counsel or employee of a law firm or entity with which such political party official is currently associated;
 - e. a former judge or housing judge of the Unified Court System who left office within the last two years (commencing January 1, 2003) and who served within the jurisdiction of the court making the appointment in Part A of this form, as defined by section 36.2(c)(5) of the Rules of the Chief Judge, or the spouse, brother/sister, parent or child of such former judge;
 - f. a person who is serving or who has served as a campaign chair, coordinator, manager, treasurer or finance chair for the campaign of the judge making the appointment in Part A of this form, or the spouse, sibling, parent or child of that person, or anyone associated with the law firm of such person, within two years following the judicial election;
 - g. a judicial hearing officer pursuant to Part 122 of the Rules of the Chief Administrator serving on a judicial hearing officer panel for the court making the appointment in Part A of this form;
 - h. an attorney currently disbarred or suspended from the practice of law by any jurisdiction;
 - i. a person convicted of a felony for which no certificate of relief from disabilities has been received;
 - j. a person convicted of a misdemeanor for which sentence was imposed within the last five years and for which no certificate of relief from disabilities, or waiver by the Chief Administrator of the Courts, has been received;
 - k. a person who has been removed from an appointment list of the Chief Administrator of the Courts for unsatisfactory performance or conduct incompatible with appointment.
5. I certify that I am qualified to accept the appointment in Part A of this form pursuant to section 36.2(d) (“Limitations on appointments based on compensation”) of the Rules of the Chief Judge (22 NYCRR).

Date: _____ Signature: _____

Fiduciary Clerk should submit all completed statements to: *Appointment Processing Unit, 25 Beaver Street, Room 840, New York, NY 10004*