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COURT OF APPEALS  
STATE OF NEW YORK

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THE PEOPLE OF THE STATE OF NEW YORK,  
  
Respondent,

-against-

FIDEL VEGA,

Appellant.

No. 33

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20 Eagle Street  
Albany, New York  
March 26, 2019

Before:

CHIEF JUDGE JANET DIFIORE  
ASSOCIATE JUDGE JENNY RIVERA  
ASSOCIATE JUDGE LESLIE E. STEIN  
ASSOCIATE JUDGE EUGENE M. FAHEY  
ASSOCIATE JUDGE MICHAEL J. GARCIA  
ASSOCIATE JUDGE ROWAN D. WILSON  
ASSOCIATE JUDGE PAUL FEINMAN

Appearances:

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1 CHIEF JUDGE DIFIORE: Number 33, the People of  
2 the State of New York v. Fidel Vega.

3 MS. REID: Good afternoon, Your Honors. With the  
4 court's permission, I'd like to reserve two minutes for  
5 rebuttal.

6 CHIEF JUDGE DIFIORE: You may have two minutes.

7 MS. REID: Thank you.

8 CHIEF JUDGE DIFIORE: You're welcome.

9 MS. REID: If Your Honors have no preference for  
10 where I begin, I'd like to start with the justification  
11 charge in this case and explain why it was erroneous for  
12 the trial court below to conflate the elements of dangerous  
13 instrument and deadly physical force.

14 The First Department's holding below that  
15 dangerous instrument element of second degree assault,  
16 quote, "necessarily implies that defendant used deadly  
17 force" is categorically and demonstrably false. As this  
18 court already is, I'm sure, aware, the definitions are not  
19 identical. Dangerous instrument definition is more  
20 expansive than the - - -

21 JUDGE RIVERA: But to the extent that you're  
22 talking about use, isn't that where you've got the complete  
23 overlap? That is to say, if the jury finds that, based on  
24 the use, because anything could be a dangerous instrument,  
25 based on the way it's used by the defendant, it's a



1 dangerous instrument, doesn't it, in this case, on these  
2 facts, overlap with the use of deadly force?

3 MS. REID: I think, Your Honor, the - - - the  
4 initial problem with that is that I think that question  
5 kind of goes to issues of inconsistent verdicts or  
6 repugnant verdicts.

7 JUDGE FAHEY: But we wouldn't want to establish a  
8 per se rule that every use of a dangerous instrument  
9 equates to use of dangerous force. For instance, a belt  
10 can or cannot be a dangerous instrument. In this you can  
11 argue that it was a dangerous instrument and used with  
12 deadly force here, but it could also have been used with  
13 ordinary force, right?

14 MS. REID: Exactly, Your Honor. That's the - - -

15 JUDGE FAHEY: All right. That's your point,  
16 isn't it?

17 MS. REID: That's the point, and the - - -

18 JUDGE FAHEY: Let me just finish the thought.

19 MS. REID: Yeah.

20 JUDGE FAHEY: So if that's the core of your point  
21 - - -

22 MS. REID: Yes.

23 JUDGE FAHEY: - - - that it's a case-by-case  
24 analysis, then don't we have to look and say, under the  
25 circumstances of this case, was the charge correctly given,



1 and if there was an error, was it reversible?

2 MS. REID: Your Honor, I think - - - so there's  
3 two - - - there's two ways that I want to address that  
4 question. The - - - the first way that I want to address  
5 it is to point out that neither the First Department nor  
6 the trial court in this case, when giving this instruction,  
7 gave it under the pretenses that under the circumstances in  
8 this case there was no way the jury could determine - - -

9 JUDGE FAHEY: I think you're correct about that,  
10 but let's assume, for purposes of my question, that that -  
11 - - that's what's confronting us now because we can do the  
12 same thing even if they didn't.

13 MS. REID: Yes, Your Honor, and I think even if  
14 you - - - even if you put aside that, you know, what the  
15 reasoning was behind the lower court's decision, I think in  
16 this case the facts do not make out a suggestion that the  
17 two are necessarily linked. For instance, there was  
18 testimony below from Melissa herself that, you know, her  
19 four-year-old son was right there with her, and she was  
20 worried that he was going to get hit with the belt, and so  
21 she threw a blanket over him and was laying on top of him  
22 while, you know, her father was kind of beating her with  
23 the belt.

24 So a jury in this case could have found that the  
25 belt was a dangerous instrument because of the threat to



1 E.A., her son, who was right there, and you know, her  
2 father was indiscriminately wailing on her with it,  
3 according to her testimony. The jury could have said,  
4 whoa, you know, that, a four-year-old right there could  
5 have been hit with the belt; that makes it a dangerous  
6 instrument. But the way that it was actually used which,  
7 you know, was completely against Melissa, there was no  
8 testimony that E.A. was harmed - - -

9 JUDGE RIVERA: I'm not sure I understand what the  
10 argument is about the charge being wrong. Wasn't the jury  
11 charge that first you have to figure out if it's a  
12 dangerous instrument, and that's based on the use, and once  
13 you do that then you're moving to this question of deadly  
14 force. Why is that charge wrong? What's wrong with that?

15 MS. REID: So my argument in - - - in that  
16 hypothetical, Your Honor, is that there was - - - there was  
17 a way, based on the factual circumstances, that the jury  
18 could have found that the instrument was used in a  
19 dangerous manner, i.e., somebody, you know, wailing it with  
20 a four-year-old right there, that could be considered a  
21 dangerous instrument in terms of use. But the force used,  
22 the actual force element was only against Melissa, and that  
23 was not deadly. And so there are - - - there are  
24 situations like that, you know, hypothetical ways you can  
25 just - - -



1 JUDGE STEIN: Was there a charge of assault  
2 against the child?

3 MS. REID: No, Your Honor, there was no charge of  
4 assault that - - -

5 JUDGE STEIN: Okay. So as I understand it, the  
6 court instructed the jury as to what the dangerous  
7 instrument was in the context of the assault charge. So  
8 wouldn't that have to mean that, if the jury was to find  
9 it, then it was in terms of its use against the daughter  
10 not the grandson?

11 MS. REID: I don't think so, Your Honor. While  
12 the assault charges were specifically related to Melissa,  
13 the court never said, you know, you have to only consider  
14 the use of the dangerous instrument as it relates to  
15 Melissa. The Court defined "dangerous instrument" and said  
16 if you find this - - - the - - -

17 JUDGE STEIN: But the court defined "dangerous  
18 instrument" in the context of the assault charge. And the  
19 assault charge was only against her, right? So it wouldn't  
20 - - -

21 MS. REID: Yes, Your Honor, the assault charge  
22 was against her, but I think the - - - the issue comes when  
23 if you're - - - if you're doing this sort of analysis based  
24 on purely the facts of the crime or the facts of the  
25 incident, there are ways of considering the facts that



1 would make these two definitions which, you know, are not  
2 identical, they can be harmonized in ways based on the - -  
3 - based on the - - - the testimony.

4 JUDGE FAHEY: Here he was charged with assault 3  
5 and the jury instruction was on ordinary physical force,  
6 and then on assault 2, he was charged with deadly physical  
7 force, correct?

8 MS. REID: Yes.

9 JUDGE FAHEY: And an element there is the use of  
10 a dangerous instrument which isn't there in assault 3. So  
11 that's the core of our definitional problem. So let - - -  
12 as I said to you before, assuming you're correct that there  
13 may be some conflation, analytically, by the Appellate  
14 Division or the trial court, in this case it seems that a  
15 separate charge was given reflecting the appropriate amount  
16 of force that would apply to the specific charge.

17 And the facts of the case - - - I - - - I looked  
18 at the photos, and it reflected that someone had been - - -  
19 that had been hit severely and hit ten times with a belt.  
20 And there's a broken door frame going into the room.  
21 That's a separate part of your argument, but it certainly  
22 establishes the atmosphere within which these assaults took  
23 place.

24 That says to me that we're into an "individual  
25 circumstances" case not a hard and fast rule that whenever



1 you say dangerous instrument you always say deadly physical  
2 force. Courts may be wrong about that, though. There's a  
3 Third Department case that seems to draw a distinction  
4 clearly; I think it's Powell. And - - - and perhaps that's  
5 the way we should be looking at this case. In other words,  
6 not as a constant misapplication of the law, but in the  
7 circumstances of this case, was the charge correctly given.

8 MS. REID: Your Honor, I think - - - and you  
9 know, I think in the circumstances of this case we can't  
10 say, because there was so - - - there would - - - the  
11 testimony that, you know, she was hit ten times, that was  
12 her testimony. But since we're talking about justification  
13 charge, you have to view the evidence in the light most  
14 favorable to Mr. Vega. And he said that he only hit her  
15 three to five times.

16 And again, you know, the idea that he - - - you  
17 know, spanking an adult, or not - - - like, hitting an  
18 adult with a belt three to five times, I think, you know,  
19 the jury made a determination, but I don't think that  
20 that's deadly force as a matter of law. And I - - - and  
21 the - - -

22 JUDGE FEINMAN: Let's just be clear; it's not  
23 just the belt; it's the buckle.

24 MS. REID: Well, according to her testimony, Your  
25 Honor, but again, this is in the light most favorable to



1 Mr. Vega, he said that he didn't use the - - - the buckle.  
2 He said it was just the belt.

3 CHIEF JUDGE DIFIORE: Counsel, do you care to  
4 move to the sufficiency issue before your time is up?

5 MS. REID: Sure, Your Honor. I want to point out  
6 that - - - and - - - and with respect to the burglary  
7 charge, there's no jurisprudential support for the idea  
8 that a trespass is committed every time a parent, sibling,  
9 or child enters into the bedroom of another - - - another  
10 member of their family. While it's certainly the case that  
11 individual rooms within - - - within a larger structure can  
12 be considered separately or secured - - - or occupied, this  
13 was just not - - -

14 JUDGE FAHEY: Was the "separately secured unit"  
15 argument preserved?

16 MS. REID: It was, Your Honor, and you know - - -

17 JUDGE FAHEY: Was it really? I - - - I thought  
18 it was unpreserved. Is that the one you're relying on  
19 Finch for?

20 MS. REID: So we're not relying on Finch per se.  
21 Our argument was always that counsel preserved it at trial,  
22 and in addition to that, there was this well thought out,  
23 well laid out argument against it in the pre-trial motion  
24 to dismiss.

25 JUDGE STEIN: I thought at trial the argument was



1 really about license not about the definition of a dwelling  
2 or - - - or a building.

3 MS. REID: Well, Your Honor, at trial, counsel  
4 said this is Mr. Vega's mother's apartment, where he had  
5 lived in for most of his life, and that this was a bedroom  
6 within that apartment, and that there were no keys, and it  
7 couldn't be locked, and you know, to me, and I think to - -  
8 -

9 JUDGE FAHEY: But the door was locked, wasn't it?

10 MS. REID: According to Melissa's testimony it  
11 was locked, yes, but - - -

12 JUDGE STEIN: And the photographs would appear to  
13 support that testimony.

14 MS. REID: Yes, so when I said "not locked" I  
15 meant counsel was talking about the fact that it could - -  
16 - there were no keys to kind of secure it separately from  
17 the rest of the apartment. So those arguments convey that  
18 this is a family home; this is not, you know, some sort of  
19 SRO arrangement where, you know, you might have, you know,  
20 people living separate lives inside the home. That was the  
21 - - -

22 JUDGE FAHEY: I'm lost on there; what's an SRO  
23 arrangement?

24 MS. REID: Oh, sorry, single resident occupancy,  
25 like a normal apartment leasing arrangement.



1 JUDGE FAHEY: Yes.

2 MS. REID: And so, but it clear - - -

3 JUDGE STEIN: Hasn't there been at least a case  
4 that said that co-roommates - - -

5 MS. REID: Yes.

6 JUDGE STEIN: - - - in - - - in a single  
7 apartment?

8 MS. REID: Yes, there has been a case that says  
9 if you're a roommate, if you have a - - - I mean, that's  
10 why I mentioned the SRO arrangement. That would be kind of  
11 an SRO arrangement where you have co-tenants. There was a  
12 case where it said a co-tenant can't go into another  
13 co-tenant's bedroom without permission. But this is not  
14 the kind of - - - that wasn't - - - that's not the kind of  
15 household that we have here. There was no rent being paid.  
16 No - - - nobody's name was on the lease other than the  
17 grandmother. There was just - - - Melissa testified that  
18 her father had come into her room before and she'd never  
19 told him that he needed to ask her permission and that her  
20 son had gone into his grandfather's room before without  
21 permission from the father.

22 There was no evidence here that this was anything  
23 other than a familial household other than the fact that  
24 Melissa said she had a key and that she locked her door.  
25 But my bedroom door, when I was growing up as a kid, had a



1 lock on it, and I couldn't tell my mom, you know, don't  
2 come in here while I'm not here; this is my room.

3 CHIEF JUDGE DIFIORE: And counsel, as to the  
4 final issue, do you care to address that one which you  
5 spoke to, the final one?

6 MS. REID: Sure, Your Honor. As to the final  
7 issue, the - - - the relevance of Melissa's psychiatric  
8 history was - - - was established below. The court said I  
9 understand why this is relevant. The issue arose when the  
10 court said you don't have a good-faith basis for asking her  
11 whether she had been hospitalized because I don't - - -  
12 basically I don't believe her family. So counsel had  
13 information from her - - - from Melissa's family members.

14 JUDGE FAHEY: Why not call one of the family  
15 members then?

16 MS. REID: I don't - - - Your Honor, based on - -  
17 - based on the court's own instruction, counsel said I have  
18 - - - you know, her family members told me this. The court  
19 said I don't believe them. So for the - - - as an initial  
20 matter, I'm not quite sure that calling them - - - the  
21 court seemed to just discount Melissa's family members  
22 altogether. I don't know that calling them to say anything  
23 to - - - to the court would have been useful. But the  
24 court didn't ask that.

25 JUDGE WILSON: It might have preserved an issue



1 for us.

2 MS. REID: Well, I think the issue here is that  
3 the court said - - - they went back and forth about it for  
4 a while. The court said I'm not letting you do this, she  
5 said, but I know this from her family, and the court said  
6 that's not good enough; I want medical records or an expert  
7 testimony.

8 Counsel had requested medical records prior to  
9 trial and, you know, based on the record, it doesn't seem  
10 like she ever got them. And since she didn't have them and  
11 she couldn't, you know, force Melissa to sign a HIPAA  
12 waiver to give her - - -

13 JUDGE RIVERA: Did she say that to the court?  
14 Did she say I've requested them; they haven't been turned  
15 over?

16 MS. REID: No, Your Honor, she didn't say any - -  
17 - when the court - - - she didn't say that she had req - -  
18 - I think the issue is that the prior counsel had requested  
19 them and so, you know, the defense requested them. This  
20 particular attorney did not. But the People also didn't  
21 say we gave the medical records, there wasn't anything in  
22 there about, you know, hospitalization, so I don't know  
23 where this is coming from. Their whole sole argument was,  
24 well, this is her family and you know, why would we believe  
25 her family. But her family is poised to know more about



1 her mental health history than anybody else. And the court  
2 - - - I think it's - - - the - - - the case law only  
3 requires a good-faith basis; it doesn't require proof  
4 beyond a reasonable doubt. Good-faith basis is a  
5 reasonable basis - - -

6 JUDGE RIVERA: Well, why didn't counsel argue any  
7 of that? Was counsel required to argue any of that to  
8 preserve it?

9 MS. REID: I don't think so, Your Honor. I think  
10 counsel initially asked the question. The prosecution  
11 objected. After that initial objection and court saying I  
12 don't understand, you know, what you're doing, counsel  
13 said: her family members told me that she was hospital - -  
14 - hospitalized. The Court says, I think that's a fishing  
15 expedition, I don't - - - you know, that's just  
16 speculation. She says - - - counsel reiterated, no, I have  
17 this information from her family members. And at that  
18 point the court - - -

19 JUDGE RIVERA: What's the import of saying  
20 "okay"? When counsel says "okay", what's the import of  
21 that?

22 MS. REID: Yeah, so at the point counsel said  
23 "okay", the court had again said, no, it's not good enough;  
24 you need medical records. When counsel says "okay", I  
25 think the import of that, and a fair reading of that is



1           okay, I don't have medical records, and you've made your  
2           ruling, so I guess, you know, I'm not going to keep going  
3           back and forth. By that point she'd already asked twice  
4           and reiterated twice that this was her family member's  
5           testim - - - or what her family members had told her. And  
6           the court said twice: I don't really care what her family  
7           says; I want medical records.

8                         At that point, what else could she say but okay,  
9           unless they wanted to keep going back and forth about it  
10          continuously? So counsel did really all she could do here  
11          by asking and asking again and the court repeatedly saying  
12          no.

13                        CHIEF JUDGE DIFIORE: Okay. Thank you, Ms. Reid.

14                        MS. REID: Thank you.

15                        CHIEF JUDGE DIFIORE: Counsel?

16                        MR. STROMES: Good afternoon. May it please the  
17          court. David Stromes for the People.

18                        With the court's permission, I'll respond in  
19          reverse order. I think Ms. Reid's argument exposes exactly  
20          why the cross issue is not preserved below because counsel  
21          said none of the things that Ms. Reid just very eloquently  
22          presented to the court. When the court said, look, I have  
23          two problems with your offer of proof: one, her family's  
24          also his family, so just because they said she was  
25          hospitalized, I think I need a little more than that. And



1 two, you say you're going to use it to impeach her  
2 credibility. I don't know what - - - what being bipolar  
3 has to do with whether or not you're believable on the  
4 stand. So you - - - you're going to have to give me some  
5 more if this is to be admitted for this purpose. And  
6 counsel said okay. That was the end of the inquiry. The  
7 Court's entitled to think, great, if counsel wants to bring  
8 this up again she's been told what she needs.

9 Very briefly, as to the medical records, all we  
10 have in this record is a pre-trial request saying to the  
11 People, pursuant to normal discovery obligations, if you  
12 have medical records, you've got to give them to us.

13 JUDGE RIVERA: But doesn't the CPL says that, if  
14 in the course of addressing an objection the court rules on  
15 the question that's presented, that that preserves the  
16 issue? Why doesn't it preserve - - - it's obviously the  
17 court decided: no, no, no, you can't come up here just  
18 telling me that the family has told you; you've got to do  
19 something or you've got to present something more. That is  
20 the ruling of the court.

21 MR. STROMES: The Court - - - respectfully, Your  
22 Honor, the court didn't say no; the court simply said not  
23 yet. And when counsel - - -

24 JUDGE RIVERA: But no based on the way that  
25 counsel had wanted to proceed which is I have information



1 from the family and I want to make an inquiry based on  
2 that. And the court says that you cannot do.

3 MR. STROMES: And I think that - - - I think that  
4 if counsel truly disagreed with that, instead of saying  
5 okay, Ms. Reid has identified all the ways that counsel  
6 could have proceeded instead.

7 JUDGE RIVERA: But that's my question. Does the  
8 CPL require that, once this is a ruling that's in response  
9 to an objection, the People's objection?

10 MR. STROMES: I believe it does because this  
11 court's law interpreting that provision of the CPL talks  
12 about ways you can acquiesce to a ruling which basically  
13 unpreserves or leaves - - - leaves any remnant of it  
14 unreserved - - - unpreserved.

15 Very quickly, on the merits, this is an abuse-of-  
16 discretion standard. It can't fairly be said that the  
17 trial judge abused its discretion as a matter of law by  
18 requiring this order of proof.

19 Moving over, with this court's permission, to the  
20 burglary. As several of Your Honors noted, that claim too  
21 is unpreserved. What counsel said, in asking for a trial  
22 order of dismissal, on page A380 of the record: "I don't  
23 believe the People have met their burden to show that he  
24 didn't have permission or authority." She goes on: "He  
25 entered the bedroom in that apartment that was in the name



1 of his mother who never said she didn't give him permission  
2 to be there." She goes on, still quoting, "Melissa had  
3 allowed her father to enter her apartment in the bedroom in  
4 the past."

5 Nowhere in any of that is the word "dwelling" or  
6 the words "permission" or "authority". I'm sorry, excuse  
7 me, only the words "permission" or "authority"; nowhere in  
8 there are the words "separately secured or occupied".  
9 That's how you preserve this claim.

10 I'm happy to speak to Finch, if the court wants,  
11 but it doesn't apply in this situation for the reasons  
12 stated in my brief. As Finch even said, traditional trial  
13 protests are required in most cases.

14 In terms of the merits of the dwelling arguments,  
15 everything that counsel talked about, in terms of this  
16 being some sort of a familial household, that all came from  
17 the defense case. This issue would have to be viewed, at  
18 this point, in the light most favorable to the People.

19 And Melissa told a very different story. Pages  
20 A78 to A84 of the record, she says - - - on page A84, you  
21 probably have the best evidence: "It was my room; nobody  
22 should be in there but me."

23 On page A78 she talks about what sounds a lot  
24 like a tenancy for services agreement. She wants to stay  
25 with her grandmother. She doesn't have money. She can't



1 afford to pay rent because she's not working, so she agrees  
2 to do chores around the house in exchange for this room.  
3 And in the light most favorable to the People, giving the  
4 People the benefit of all reasonable evidentiary  
5 inferences, that's sufficient to make out the dwelling  
6 element.

7 Simply, as to any other complaints about what  
8 brothers and sisters may do, this is not a situation where  
9 a minor child is living with parents, and there is no  
10 immediate family member exception to burglary.

11 Moving to the justification charge, unless there  
12 are further questions about that, what the judge very much  
13 did do here was determine that if the jury were to  
14 determine that defendant actually used the belt as a  
15 dangerous instrument, there would be no remaining  
16 reasonable view of the evidence that the defendant used  
17 only ordinary force.

18 As Judge Rivera points out, this is not an  
19 attempt case. This is not - - -

20 JUDGE WILSON: What do you then make of the  
21 prosecutor's response, after the jury note comes back, when  
22 she says we have to prove that it was capable of causing  
23 it, not that it actually occurred. So even after the  
24 instructions are given, the prosecutor still is not  
25 thinking this is just a use case but is thinking I can



1 prove it any way, use or - - - or threat or attempt.

2 MR. STROMES: Respectfully, Your Honor, you can  
3 use force without causing serious physical injury, and that  
4 was the context of that conversation was the jury asked for  
5 - - - the jury sent a note asking for clarification on the  
6 definition of dangerous instrument and serious physical  
7 injury, and all the prosecutor was saying is those two  
8 don't have overlap.

9 And the Second Department actually said it in a  
10 case called - - - I think it was Johnson or Jackson - - -  
11 that, look, you can - - - you can shove someone with  
12 ordinary force and have serious physical injury result  
13 because they fall down and hit their head in a certain way.  
14 But the point on the charge was the judge gave the jury a  
15 correct and nonconfusing conditional charge. If you find  
16 that the belt was actually used as a dangerous instrument  
17 then you have to apply deadly force justification under the  
18 facts of this case.

19 JUDGE WILSON: Well, the judge doesn't say  
20 "actually used" but says - - -

21 MR. STROMES: Well, he does say "used".

22 JUDGE WILSON: He says "used as I previously  
23 defined it".

24 MR. STROMES: Yes.

25 JUDGE WILSON: And "use as I previously defined



1 it" included both attempt and threat?

2 MR. STROMES: That - - - that may well be true,  
3 but there's no reasonable view of the evidence here that  
4 the belt was only attempted or threatened to be used. I -  
5 - - I guess, Your Honor, if we take a step back, judges  
6 have always been the gatekeepers in terms of charges. No  
7 charge can be given unless there's a reasonable view of the  
8 evidence that supports it. And from defendant's own mouth,  
9 I hit her with the belt. We all see the pictures; they are  
10 unmistakably belt buckle imprints upon her, even a month  
11 after the crime. So under the - - - under the unique facts  
12 of this case, you do have complete overlap between  
13 dangerous instrument and deadly force because there's just  
14 no reasonable view of the evidence that it was merely used  
15 or attempted to be used.

16 The People are not advocating for, and the First  
17 Department did not create any sort of bright line rule that  
18 whenever you have a dangerous instrument as an element of a  
19 crime you necessarily have to use the deadly physical force  
20 instruction.

21 As I said in my brief, I can't think of an  
22 example in the assault context, but there may well be one  
23 out there, and there's no reason to close the door on that  
24 discussion. All that we're going to talk about is  
25 reasonable view of the evidence. Justification is not a



1 hypothetical exercise. It's not like when you discuss  
2 legal repugnancy, which is a completely different context,  
3 you are instructed to look in the hypothetical.  
4 Justification, the opposite is true. Justification, you  
5 have to look at what a reasonable view of the evidence  
6 shows.

7 And the reason that this instruction was really  
8 correct, if we want to add repugnancy into the discussion,  
9 I mean, we live with inconsistent verdicts when we get  
10 them, but we don't give instructions that condone them or  
11 that permit the jury to speculate and reach that kind of an  
12 inconsistent conclusion.

13 JUDGE RIVERA: Does it matter that his testimony  
14 is that - - - or his position is - - - defendant's position  
15 is that he didn't use the belt buckle, just the belt? Does  
16 that matter here?

17 MR. STROMES: That doesn't matter here for two  
18 reasons. One, the jury would still have to first find that  
19 it constitute - - - that he - - - that he used the belt as  
20 a dangerous instrument. I think it would be hard pressed  
21 for the jury to actually find that without finding that he  
22 used the - - - the buckle, or at least it's much easier if  
23 they find that he used the buckle. And the judge made it  
24 clear this was not a hypothetical exercise. He tells the  
25 jury "if you determine this", "after you determine this".



1 JUDGE WILSON: Doesn't McManus say that, at least  
2 in circumstances involving mens rea we do give instructions  
3 that may lead to logically inconsistent results?

4 MR. STROMES: What McManus says is - - - McManus  
5 says you can't restrict the justification instruction based  
6 on the elements of the crime. We're in full accord with  
7 that. We're not asking this court to say any time you have  
8 a dangerous instrument element you must have a deadly  
9 physical force instruction.

10 McManus talked about justification in the context  
11 of depraved indifference homicide. Other cases have taken  
12 that and talked about it in the context of other mental  
13 states. That's just - - - that's just not what's going on  
14 here. We're take - - - we're asking the court to apply  
15 longstanding law but justification to apply it only to the  
16 reasonable view of the evidence.

17 And Judge Rivera, just to get back to your other  
18 question, a reasonable view of the evidence, even in the  
19 light most favorable to the defense, is not one at war with  
20 common sense. And we can all see the belt buckle imprints  
21 in the photographs. So whatever defendant said about that,  
22 that doesn't have to be taken into account when given the  
23 justification instruction.

24 CHIEF JUDGE DIFIORE: Thank you, counsel.

25 MR. STROMES: Thank you.



1 CHIEF JUDGE DIFIORE: Counsel?

2 MS. REID: Yes, Your Honor. I just want to  
3 respond to a couple of points briefly. I guess first I'll  
4 start with the burglary charge and the preservation  
5 question. The - - - the - - - counsel's statement that - -  
6 - about the keys and the ability to lock the door from the  
7 outside versus the inside and the - - - the presence of  
8 keys or no keys clearly goes to the question of whether  
9 there's a securely occupied or securely separate occupied  
10 space.

11 And on the merits, while Melissa did say this is  
12 my room, nobody should be in here, she also said this is  
13 Maria's house, she has the key to the room, so if I'm not  
14 home and if she wants to go in my room, I can't tell her  
15 no, you can't go into my room because it's her apartment.

16 I have a landlord. My landlord doesn't have a  
17 key to my apartment, and I don't have to worry about coming  
18 home from work one day and finding my landlord in my  
19 apartment. That's just not how landlord-tenant  
20 relationships work. Your landlord is not all - - -

21 JUDGE FEINMAN: I think most leases actually  
22 require that - - - they have some access, but in case of an  
23 emergency like a pipe bursts - - - but never mind.

24 MS. REID: Well, I mean, I know my landlord  
25 doesn't have a key, so I don't know how he would get in.



1 But even if they did have a key, the idea is of right of  
2 possession. So the person in the apartment has the right  
3 of possession and the landlord can come in - - - you know,  
4 give notice and say I need to come because I need to fix  
5 something. But they just can't show up anytime they want  
6 and come into your apartment without notifying you. And  
7 that's exactly what the - - - the relationship was here,  
8 like, people were going inside of each other's bedrooms  
9 without notice, without permission. That's not a landlord-  
10 tenant relationship as in a co-tenancy.

11 And I also want to point - - - just turning to  
12 the justification point briefly again. You know, I offered  
13 one example of how the jury could have reconciled dangerous  
14 instrument and deadly force in this case. Another example  
15 of how the jury could have reconciled that is, you know,  
16 Melissa testified that, you know, her father kept hitting  
17 her and he would have kept going if not for the grandmother  
18 coming into the room and basically taking the belt from him  
19 or making him stop. So the jury could have found in that  
20 situation that the belt was a dangerous instrument, you  
21 know, in the way he was using it. And if he had been  
22 continuing to use it, maybe it would have been capable of  
23 causing, you know - - -

24 JUDGE STEIN: Well, but isn't the capability of  
25 causing separate from whether it actually causes? In other



1 words, if I - - - let's say I - - - I - - - I shoot a gun  
2 at you - - -

3 MS. REID: Um-hum.

4 JUDGE STEIN: - - - right? And it just grazes  
5 your arm or your shoulder; it really doesn't cause any inj  
6 - - - any injury. Isn't that the use of a dangerous  
7 instrument?

8 MS. REID: Yes, Your Honor. I'm not arguing, and  
9 I'm not making the argument that, you know, it has to  
10 actually cause serious physical injury. The only - - - the  
11 only point I'm making is that this - - - a dangerous  
12 instrument element in this case could have been found  
13 without the jury also finding that Mr. Vega used deadly  
14 force.

15 The jury could have said in the way that this  
16 weapon was used or this belt was used, it could - - - you  
17 know, it could have constituted a dangerous instrument.  
18 Fine. Does that necessarily mean that hitting Melissa with  
19 the belt constituted deadly force? And I think the answer  
20 to that question is no, for any reasonable person.

21 The court below said this is not deadly force as  
22 a matter of law. The prosecution asked the court to charge  
23 deadly force as a matter of law, and the court said, no, I  
24 think this is a jury question; it's not clear that it's  
25 deadly force as a matter of law. But the charge the court



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gave basically told the jury if you find this element satisfied, this dangerous instrument element satisfied, then it's basically deadly force as a matter of law. And I don't think, in any case, unless it is deadly force as a matter of law, that courts should be instructing the jury to - - - to apply that standard.

CHIEF JUDGE DIFIORE: Thank you, counsel.

MS. REID: Thank you.

(Court is adjourned)



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C E R T I F I C A T I O N

I, Sharona Shapiro, certify that the foregoing transcript of proceedings in the Court of Appeals of The People of the State of New York v. Fidel Vega, No. 33, was prepared using the required transcription equipment and is a true and accurate record of the proceedings.

*Sharona Shapiro*

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