

State-Paid Trial Court Caseload Trends – Caseload Variable Definitions

Filings – incoming cases in the reporting period

- **Local Criminal** – number of dockets arraigned (excludes traffic and parking cases where the top charge is a violation or infraction)
- **Supreme & County Criminal** – number of dockets filed (includes un-arraigned dockets)
- **Local Civil** – new civil indexes filed
- **Supreme & County Civil** – number of RJJ's filed (Request for Judicial Intervention)
- **Family Court** – number of original and supplemental petitions filed, incoming transfers, and permanency planning hearings held
- **Surrogate's Court** – number of proceedings filed

Dispositions – number of cases resolved in the reporting period

- **Local Criminal** – number of dockets that reached a final disposition where all charges are resolved (excludes traffic and parking cases where the top charge is a violation or infraction) (see [disposition outcomes](#) on page 2)
- **Supreme & County Criminal** – number of dockets that reached final disposition where all charges are resolved (see [disposition outcomes](#) on page 2)
- **Local Civil** – number of indexes that have reached a final disposition
- **Supreme & County Civil** – number of active or restored cases that reached final disposition (excludes resolution of post-disposition motions & appearances) (see [disposition outcomes](#) on page 2)
- **Family Court** - number of original and supplemental petitions disposed, outgoing transfers, and permanency planning hearings held
- **Surrogate's Court** – number of proceedings where a decree, order, certificate, or judgement was issued

Pending – cases that remain active (not resolved) at the end of the reporting period

- **Local Criminal** – excludes un-arraigned dockets, tolled* dockets, and dockets with an active warrant or scofflaw
- **Supreme & County Criminal** – excludes tolled* dockets and dockets with an active warrant or scofflaw
- **Local Civil** – only includes cases that have been heard by a judge and have an unresolved past or future appearance
- **Supreme & County Civil** – includes both active and restored cases (excludes stayed (paused) cases)
- **Family Court** – excludes tolled* dockets and dockets with an active warrant
- **Surrogate's Court** – includes both contested and uncontested proceedings (excludes adoption proceedings)

*Tolled dockets are paused for a specific reason, such as drug court participation, mental hygiene commitment, appellate stay, etc.

Trials Commenced – trials started in the reporting period (includes trials that do not reach completion)

- **Jury Trials** – number of criminal jury trials commenced (jury selection begins) or civil jury trials commenced (opening statement given or first witness sworn)
- **Non-Jury (AKA bench) Trials** – number of non-jury criminal & civil trials commenced (opening statement given or first witness sworn)

Disposition Outcomes – available for Local Criminal, Supreme & County Criminal, & Supreme & County Civil (excludes Local Civil, Family, & Surrogate’s Courts)

Guilty Pleas – defendant pled guilty to a criminal offense

- **Criminal** – number of dockets where the defendant pled guilty to a felony, misdemeanor, violation, or infraction (excludes dockets where the guilty plea is withdrawn)

Trial Verdicts – trials where a jury (jury trial) or a judge (non-jury trial) reach a verdict - not all trials commenced reach a verdict

- **Criminal** – number of dockets where the trial resulted in a conviction (of some or all charges) or a complete acquittal
- **Supreme & County Civil** – number of trial-ready cases disposed by verdict or directed verdict

Dismissals – docket was dismissed by the court

- **Criminal** – number of dockets that are dismissed (includes Adjourned in Contemplation of Dismissal)

*See [OCA-STAT Act](#) for detailed breakdown of criminal dismissal reasons.

Motions – decision by judge closes the case

- **Supreme & County Civil** – number of cases where a motion decision disposed of an active or restored case (excludes post-disposition motions, motions in uncontested divorces, & ex-parte applications)

Settlements – parties agree to a settlement

- **Supreme & County Civil** – number of active or restored cases where a settlement was reached

Other – cases disposed in a manner not listed above

- **Criminal** – examples of other dispositions that are not a plea, verdict, or dismissal
 - abated by death of defendant
 - covered by conviction in another court
 - civil compromise
 - final order of observation
 - probation transferred
 - transferred to another court
- **Supreme & County Civil** – examples of other dispositions that are not a verdict, motion, or settlement
 - discontinued
 - dismissed
 - transferred to arbitration
 - transferred to local civil court (CPLR 325d)
 - judgement granted