

Wilson v Isabella Geriatric Ctr., Inc.

2025 NY Slip Op 30294(U)

January 21, 2025

Supreme Court, New York County

Docket Number: Index No. 153279/2022

Judge: John J. Kelley

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**SUPREME COURT OF THE STATE OF NEW YORK
NEW YORK COUNTY**

PRESENT: HON. JOHN J. KELLEY PART 56M

Justice

-----X

DENISE WILSON as Executor of the Estate of GILDA WILSON,

Plaintiff,

- v -

ISABELLA GERIATRIC CENTER, INC., ABC CORPORATION, and ABC PARTNERSHIP

Defendants.

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INDEX NO. 153279/2022

MOTION DATE 12/12/2024

MOTION SEQ. NO. 001

DECISION + ORDER ON MOTION

The following e-filed documents, listed by NYSCEF document number (Motion 001) 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 88, 89, 90, 91, 92, 94

were read on this motion to/for DISMISS.

In this action to recover damages, inter alia, for statutory nursing home negligence, medical malpractice, and wrongful death, the defendant Isabella Geriatric Center, Inc. (Isabella Geriatric), moves pursuant to CPLR 3211(a) to dismiss the complaint as against it on the ground that the complaint fails to state a cause of action (CPLR 3211[a][7]) and for lack of subject matter jurisdiction (CPLR 3211[a][2]). The plaintiff opposes the motion. The motion is granted, inasmuch as the complaint fails to state a cause of action against Isabella Geriatric by virtue of the immunity from civil liability conferred upon it by the Emergency or Disaster Treatment Protection Act (Public Health Law former §§ 3080-3082; hereinafter EDTPA).

The plaintiff's decedent, Gilda Wilson (Wilson), was a resident of Isabella Geriatric from in or about January 2019 until her death on April 17, 2020. In her complaint, which she filed on April 17, 2022, the plaintiff alleged that Isabella Geriatric first became aware of the growing COVID-19 pandemic in or around January 2020, and that it failed to provide Wilson with the

appropriate care or with customary nursing and rehabilitation services during her time there. The plaintiff further alleged that Wilson contracted COVID-19 while at Isabella Geriatric, and that the facility failed to take the proper precautions to prevent and control the spread of infections, such as enforcing social distancing, restricting visitors, providing residents and staff with the proper personal protective equipment (PPE), and actively screening everyone that entered the building for COVID-19 symptoms. Finally, the plaintiff alleged that Wilson died from COVID-19 as a result of Isabella Geriatric's failures.

In its motion, Isabella Geriatric argued that the complaint should be dismissed since EDTPA and New York Governor's Executive Order 202.10 each conferred immunity upon it from civil actions, such as the plaintiff's action here, while the federal Public Readiness and Emergency Preparedness Act (42 USC §§ 247d-6d, *et seq.*; hereinafter the PREP Act) provided broad, federal immunity, since the claims here related both to healthcare services provided in response to the COVID-19 pandemic and to "covered countermeasures" employed in the diagnosis or treatment of COVID-19. In opposition, the plaintiff argued that EDTPA may not be invoked by the defendant since the act has since been repealed. The plaintiff also argued that the defendant has not conclusively established that the decedent's care was affected by their response to the pandemic within the meaning of EDTPA. In addition, the plaintiff claimed that the PREP Act is not applicable to this case. The plaintiff also argued that her claims for gross negligence and recklessness are not subject to statutory immunity. Finally, the plaintiff claimed the EDTPA did not grant the defendant immunity from the Federal Nursing Home Reform Act (42 CFR Part 483).

When assessing the adequacy of a pleading in the context of a motion to dismiss under CPLR 3211(a)(7), the court's role is "to determine whether [the] pleadings state a cause of action" (*511 W. 232nd Owners Corp. v Jennifer Realty Co.*, 98 NY2d 144, 151-152 [2002]). To determine whether a claim adequately states a cause of action, the court must "liberally construe" it, accept the facts alleged in it as true, accord it "the benefit of every possible

favorable inference” (*id.* at 152; see *Romanello v Intesa Sanpaolo, S.p.A.*, 22 NY3d 881 [2013]; *Simkin v Blank*, 19 NY3d 46 [2012]), and determine only whether the facts, as alleged, fit within any cognizable legal theory (see *Taxi Tours, Inc. v Go New York Tours, Inc.*, 41 NY3d 991, 993 [2024]; *Hurrell-Harring v State of New York*, 15 NY3d 8 [2010]; *Leon v Martinez*, 84 NY2d 83 [1994]; *Weil, Gotshal & Manges, LLP v Fashion Boutique of Short Hills, Inc.*, 10 AD3d 267 [1st Dept 2004]; CPLR 3026). “The motion must be denied if from the pleading’s four corners factual allegations are discerned which taken together manifest any cause of action cognizable at law” (*511 W. 232nd Owners Corp. v Jennifer Realty Co.*, 98 NY2d at 152 [internal quotation marks omitted]; see *Leon v Martinez*, 84 NY2d at 87-88; *Guggenheimer v Ginzburg*, 43 NY2d 268 [1977]). Where, however, the court considers evidentiary material beyond the complaint, as it does here, the criterion becomes “whether the proponent of the pleading has a cause of action, not whether he [or she] has stated one” (*Guggenheimer v Ginzburg*, 43 NY2d at 275), but dismissal will not eventuate unless it is “shown that a material fact as claimed by the pleader to be one is not a fact at all” and that “no significant dispute exists regarding it” (*id.*). Nonetheless, “conclusory allegations—claims consisting of bare legal conclusions with no factual specificity—are insufficient to survive a motion to dismiss” (*Godfrey v Spano*, 13 NY3d 358, 373 [2009]).

Subject matter jurisdiction

“refers to the power of the court to hear the kind of case that is presently before it for adjudication (*Matter of Newham v Chile Exploration Co.*, 232 NY 37; *Matter of Rougeron*, 17 NY2d 264; *Thrasher v United States Liab. Ins. Co.*, 19 NY2d 159; *Hunt v Hunt*, 72 NY 217). Whether a court has subject matter jurisdiction is determined by the Constitution, statutes and (occasionally) the rules which confer jurisdiction. (Siegel, *Practice Commentaries*, McKinney’s Cons Laws of NY, Book 7B, CPLR 3211, C3211:11, at 17), and not by the particular facts of any case. (*Hunt v Hunt*, *supra.*) The question to be resolved is whether the court has jurisdiction over the ‘type’ of case, not whether it has jurisdiction over ‘this particular’ case. (*1890 Realty Co. v Ford*, 121 Misc 2d 834; Treiman, *Subject Matter Jurisdiction in Summary Proceedings*, NYLJ, Mar. 2, 1990, at 1, col 1; *Hunt v Hunt*, *supra.*)”

(*New York County Dist. Attorney’s Office v Oquendo*, 147 Misc 2d 125, 127-128 [Civ Ct, N.Y. County 1990]). Thus, subject matter jurisdiction

“refers to objections that are 'fundamental to the power of adjudication of a court.' 'Lack of jurisdiction' should not be used to mean merely 'that elements of a cause of action are absent,' but that the matter before the court was not the kind of matter on which the court had power to rule”

(*Manhattan Telecom. Corp. v H & A Locksmith, Inc.*, 21 NY3d 200, 203 [2013], quoting *Lacks v Lacks*, 41 NY2d 71, 74 [1976]; see *Garcia v Government Emps. Ins. Co.*, 130 AD3d 870, 871 [2d Dept 2015]). “Subject matter jurisdiction is a ‘power to adjudicate concerning the general question involved’ in litigation, and ‘is not dependent upon the state of facts which may appear in a particular case” (*Henry v New Jersey Tr. Corp.*, 39 NY3d 361, 371 [2023], quoting *Hunt v Hunt*, 72 NY 217, 229 [1878]). Pursuant to NY Constitution, art VI, § 7(a), “[t]he supreme court shall have general original jurisdiction in law and equity.” “A plaintiff’s lack of standing does not raise a question of subject matter jurisdiction” (*Hereida v Hereida*, 203 AD2d 524, 524-525 [2d Dept 1994]; see *Matter of Elmer Q.*, 250 AD2d 256, 258 [3d Dept 1998]). Nor is lack of subject matter jurisdiction implicated where a complaint fails to state a cause of action because a claim is barred by an exclusive administrative remedy (see *Hernandez v 2075-2081 Wallace Ave. Owners Corp.*, 176 AD3d 467, 467 [1st Dept 2019]). Crucially, immunity from suit is a waivable defense and, hence, cannot be the basis for the invocation of lack of subject matter jurisdiction (*Henry v New Jersey Tr. Corp.*, 39 NY3d at 369-372; *Gillis v Carmel Richmond Nursing Home, Inc.*, 83 Misc3d 1256[A], 2024 NY Slip Op 50984[U], *5, 2024 NY Misc LEXIS 3283, *13 [Sup Ct, Richmond County, Jul. 29, 2024]). This court thus has subject matter jurisdiction over the instant medical malpractice and negligence action.

Nonetheless, the complaint fails to state a cause of action, inasmuch as, under the circumstances of this case, EDTPA confers immunity upon Isabella Geriatric.

In March 2020, then-Governor Andrew Cuomo signed Executive Order No. 202 (9 NYCRR 8.202), declaring a disaster emergency in New York state, and Executive Order No. 202.10 (9 NYCRR 8.202.10), and conferring, upon healthcare workers and facilities, immunity from civil liability for any injury or death alleged to have been sustained directly as a result of the

provision of medical services in support of New York's response to the COVID-19 pandemic, except where such injury or death was caused by gross negligence or recklessness. On April 3, 2020, the Legislature passed EDTPA, granting any healthcare facility or healthcare professional immunity from civil or criminal liability related to the care of patients with COVID-19, provided that:

“the health care facility or health care professional is arranging for or providing health care services pursuant to a COVID-19 emergency rule or otherwise in accordance with applicable law; the act or omission occurs in the course of arranging for or providing health care services and the treatment of the individual is impacted by the health care facility's or health care professional's decisions or activities in response to or as a result of the COVID-19 outbreak and in support of the state's directives; and the health care facility or health care professional is arranging for or providing health care services in good faith”

(Public Health Law former § 3082[2]). The immunity did not apply where an act or omission constituted willful or intention criminal misconduct, gross negligence, reckless misconduct, or intentional infliction of harm (*id.*). EDTPA was effective retroactive to March 7, 2020, making it applicable to acts or omissions that occurred on or after that date. On April 6, 2021, the legislature repealed EDTPA, with the repeal to take effect immediately.

On March 17, 2020, in response to the pandemic, the Secretary of the United States Department of Health and Human Services issued a declaration invoking and implementing the PREP Act, pursuant to which Congress had provided immunity from liability to covered persons for loss caused by or relating to the administration or use of a “covered countermeasure” in times of a public health emergency (see 42 USC § 247d-6d[a][1]). “Covered countermeasures” included any drug or device used to treat, diagnose, or mitigate the spread of COVID-19, as well as PPE and COVID-19 tests, that had been approved by United States Food and Drug Administration (FDA), as well as common medical devices such as thermometers and ventilators (see *Escobar v Mercy Med. Ctr.*, 83 Misc 3d 1213[A], 2024 NY Slip Op 50704[U] [Sup Ct, Nassau County, Jun. 11, 2024]). The only exception to the PREP Act was “for death or serious physical injury proximately caused by willful misconduct” by a covered person, and

allowed an action to be instituted by the person who suffered such an injury, or by any representative of such a person (see 42 USC §§ 247d-6d[d][1], [2]).

With respect to the issue of whether the repeal of EDTPA was retroactive, thus negating statutory immunity for acts or omissions between March 7, 2020 and April 6, 2021, the courts have determined that it is not (see *Hasan v Terrace Acquisitions II, LLC*, 224 AD3d 475, 477 [1st Dept 2024] [finding that not only did the statutory text use no retroactivity language, but many other factors used in determining whether a statute is retroactive also were not applicable]; *Whitehead v Pine Haven Operating LLC*, 222 AD3d 104, 107 [3d Dept 2023] [determining that both the text of the statute and the legislative history of the repeal weighed against a retroactive application]; *Ruth v Elderwood At Amherst*, 209 AD3d 1281, 1287 [4th Dept 2022] [finding that the expressions of the legislative intent were insufficient to show that the repeal was meant to apply retroactively]).

The court thus concludes that, pursuant to EDTPA, Isabella Geriatric is entitled to immunity from the claims asserted by the plaintiff here. With respect to the criteria that EDTPA required to be considered, it is evident that Isabella Geriatric was arranging for or providing health care services to Wilson within the meaning of that statute, and was doing so in good faith. The court further concludes that the treatment of Wilson indeed was affected or impacted by those of Isabella Geriatric's medical and nursing determinations or activities that had been made in response to, or as result of, the COVID-19 outbreak, and that those determinations and activities had been made in response to, as result of, or in support of the State's COVID-19 directives. The statute does not indicate that the "treatment of the individual" must be impacted one way or another, that is, it does not specify that the treatment be affected positively, negatively, or otherwise, it does not require the patient to have been uniquely impacted as compared to other patients, and it does not identify any particular aspect of, or assign weight to, any aspect of the treatment that must be impacted by such determinations and activities (see *Holder v Jacob*, 231 AD3d 73, 85 [1st Dept 2024]).

In showing that its treatment of Wilson was impacted by COVID-19 and by its determinations and activities addressed thereto, Isabella Geriatric submitted its COVID-19 policies, New York State Department of Health advisories, letters sent to patients' families, a certified copy of Wilson's medical records, and the affidavit of Christina Young, R.N., who was one of its nurse managers during the pandemic, detailing the impact that the pandemic had on the care specifically provided to Wilson during that time. These submissions established the very entitlement to immunity that the EDTPA was meant to provide (*see Hasan v Terrace Acquisitions II, LLC*, 224 AD3d at 477; *Whitehead v Pine Haven Operating LLC*, 222 AD3d at 110; *Martinez v NYC Health & Hosps. Corp.*, 223 AD3d 731, 732 [2d Dept 2024]; *Mera v NY City Health & Hosps. Corp.*, 220 AD3d 668, 670 [2d Dept 2023]; *see also Holder v Jacob*, 231 AD3d at 88 ["where, as here, the CPLR 3211(a)(7) motion is predicated on what is asserted to be a complete defense, and that motion is supported by evidence, the evidence of the defense must be *conclusive*"]; *but cf. Damon v Clove Lakes Healthcare & Rehabilitation Ctr., Inc.*, 228 AD3d 618, 619 [2d Dept 2024] [finding that the defendant's submission did not establish that the three requirements for immunity were met]).

Finally, the plaintiff argued that her claims for gross negligence and recklessness were not subject to statutory immunity. The decedent's medical records, the COVID-19 policies, and the Department of Health advisories negated such claims for gross negligence (*see Hasan v Terrace Acquisitions II, LLC*, 224 AD3d at 479) and, hence, with respect to the allegations of gross negligence, a fact to be alleged a fact by the plaintiff is not a fact at all. Additionally, the plaintiff's allegation that the defendant had been cited 21 times between 2018 and 2022 "for violations of public and safety health codes" does not sufficiently support a gross negligence claim (*see id.*). In any event, allegations purporting to support a gross negligence claim that are devoid of factual specificity and replete with legal conclusions cannot survive dismissal (*see Lociero v Park Avenue Operating, LLC* [Sup Ct, Nassau County, Index No. 615904/2022, Sep.

26, 2023], citing *Godfrey v Spano*, 13 NY3d at 373). Thus, the gross negligence exception is not applicable, and Isabella Geriatric is entitled to immunity under EDTPA.

In light of the court's determination with respect to EDTPA immunity, it need not address Isabella Geriatric's contention that it also was conferred immunity from suit by virtue of the federal PREP Act. Were the court to address that issue, it would be constrained to conclude that it is not entitled to PREP Act immunity. In a state court action, when addressing an immunity defense pursuant to the PREP Act, the court first must determine whether the plaintiff's claims fall within the act's immunity provision (*see* 42 USCS § 247d-6d[a][1]; *Thomas v Highland Care Ctr.*, 2024 NYLJ LEXIS 3209 [Sup Ct, Queens County, Sep. 27, 2024]). The PREP Act is triggered only where there are allegations that the defendant administered countermeasures improperly, causing injury (*Whitehead v Pine Haven Operating LLC*, 2022 NY Slip Op 34685[U], *5, 2022 NY Misc LEXIS 35761, *5 [Sup Ct, NY County, Nov. 29, 2022], citing *Parker v St. Lawrence County Pub. Health Dept.*, 102 AD3d 140, 141-142 [3d Dept 2012]). In this instance, the plaintiff's claims pertain only to the defendant's *failures* to act, and such allegations do not amount to the administration of countermeasures (*see id.*; *see also Adler v Troy*, 2023 NY Slip Op 33804[U], *8, 2023 NY Misc LEXIS 11547, *11-12 [Sup Ct, N.Y. County, Oct. 18, 2023] citing *Dupervil v Alliance Health Operations, LLC*, 516 F Supp 3d 238, 255 [ED NY 2021]). Thus, Isabella Geriatric is not entitled to immunity under the PREP Act. This conclusion, however, does not affect the immunity conferred upon it by EDTPA.

Finally, contrary to the plaintiff's contention regarding a purported violation of 42 USC §§ 1396(r), *et seq.* and 42 CFR Part 483, EDTPA does not unlawfully preempt any cause of action that may be asserted pursuant to a federal statute. There is no federal private right of action implied by that statute and regulation. Rather, Public Health Law §§ 2801-d and 2803-c creates a private cause of action *under state law* that may be predicated on a violation of either federal or state rules, regulations, and statutes (*see Cortez v Terrence Cardinal Cooke Health Ctr.*, 199 AD3d 450, 451 [1st Dept 2021]). Hence, EDTPA does indeed properly preempt the state-law

cause of action created by Public Health Law §§ 2801-d and 2803-c, even though the violation of the federal statutes and regulations identified by the plaintiff may be a predicate for a cause of action pursuant that statute, since EDTPA immunizes the defendants from liability under state law, even if the predicate for the state-law cause of action constituted violation of substantive federal law. Hence, Isabella Geriatric is entitled to immunity under EDTPA with respect to this claim as well.

With respect to the defendants denominated as “ABC Corporation” and “ABC Partnership,” the plaintiff made no showing of any efforts that she made to identify these fictitious defendants. Since they were never identified, the plaintiff is precluded from relying on CPLR 1024 to maintain this action against those parties (*see generally Fountain v Ocean View // Assocs., L.P.*, 266 AD2d 339 [2d Dept 1999]), and the complaint must be dismissed as against them.

This court has considered the parties’ remaining contentions and find them unavailing.

Accordingly, it is,

ORDERED that the motion of the defendant Isabella Geriatric Center, Inc., to dismiss the complaint insofar as asserted against it is granted, and the complaint is dismissed insofar as asserted against Isabella Geriatric Center, Inc.; and it is further,

ORDERED that, on the court’s own motion, the complaint is dismissed insofar as asserted against “ABC Corporation” and “ABC Partnership”; and it is further,

ORDERED that the Clerk of the court shall enter judgment dismissing the entirety of the complaint.

This constitutes the Decision and Order of the court.

1/21/2025
DATE



JOHN J. KELLEY, J.S.C.

CHECK ONE:

CASE DISPOSED

NON-FINAL DISPOSITION

GRANTED

DENIED

GRANTED IN PART

OTHER

APPLICATION:

SETTLE ORDER

SUBMIT ORDER

CHECK IF APPROPRIATE:

INCLUDES TRANSFER/REASSIGN

FIDUCIARY APPOINTMENT

REFERENCE